



State of California – Health and Human Services Agency
California Department of Public Health
 Center for Health Care Quality
 Licensing and Certification



EDMUND G. BROWN JR.
Governor

State Health Facilities Citation Penalties Account (Fund 0942-601)

<u>SPECIAL DEPOSIT FUND</u> 0942-601	<u>FUND</u> <u>CONDITION</u> <u>STATEMENT</u>	<u>FUNDING FISCAL</u> <u>YEAR</u>	<u>BUDGET ACT</u> <u>APPROPRIATION *</u>	<u>EXPENDITURES *</u>	<u>BUDGET ACT</u> <u>APPROPRIATION</u> <u>BALANCE *</u>	<u>REVENUES *</u>
<u>STATE HEALTH FACILITIES</u> <u>CITATION PENALTIES</u> <u>ACCOUNT</u>		SFY 2013-2014**	2,144	0	2,144	1,185
<u>STATE CITATION PENALTY</u> <u>ACCOUNTS SUMMARY</u>		SFY 2012-2013	2,141	216	1,925	2,630
		SFY 2011-2012	2,137	828	1,309	3,085

*Figures in thousand dollars

** SFY 2013-2014 reflects actual data from quarter ending December 31, 2013.



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Fund Condition Statement – PROJECTED
SFY 2013-2014
[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2013	10,445
Prior Year Adjustment	<u>0</u>
Adjusted Fund Beginning Balance	<u>10,445</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	2,495
250300 Income from Surplus Money	<u>29</u>
Total Revenues, Transfers and Other Adjustments	<u>2,524</u>
Total Resources	<u>12,969</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,189
4265 Department of Public Health (State Operations)	<u>2,144</u>
Total Expenditures and Expenditure Adjustments	<u>3,333</u>
FUND BALANCE, END - JUNE 30, 2014	<u>9,636</u>



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 Licensing and Certification



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Fund Condition Statement – ACTUAL
SFY 2012-2013
[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2012	9,902
Prior Year Adjustment (Reversal of revenue accrual)	(685)
Adjusted Fund Beginning Balance	<u>9,217</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	2,601
250300 Income from Surplus Money	<u>29</u>
Total Revenues, Transfers and Other Adjustments	<u>2,630</u>
Total Resources	<u>11,847</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>216</u>
Total Expenditures and Expenditure Adjustments	<u>1,402</u>
FUND BALANCE, END - JUNE 30, 2013	<u>10,445</u>



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Fund Condition Statement – ACTUAL
SFY 2011-2012
[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2011	10,319
Prior Year Adjustment	<u>-1,487</u>
Adjusted Fund Beginning Balance	<u>8,832</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	3,049
250300 Income from Surplus Money	<u>35</u>
Total Revenues, Transfers and Other Adjustments	<u>3,084</u>
Total Resources	<u>11,916</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
Expenditures:	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>828</u>
Total Expenditures and Expenditure Adjustments	<u>2,014</u>
FUND BALANCE, END - JUNE 30, 2012	<u>9,902</u>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

Expenditures – ACTUAL
SFY 2012-2013 Quarter Ending June 30, 2013
[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS CONVALESCENT HOSPITAL	<u>216</u>
	TOTAL	<u>216</u>

* Figures in thousand dollars
* SFY 2012-13 Expenditures reflect actual data from quarter ending June 30, 2013.



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State Health Facilities Citation Penalties Account (Fund 0942-601)

Expenditures - ACTUAL

SFY 2011-2012

[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601

STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT

* Figures in thousand dollars

<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS, LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	TOTAL	<u>828</u>

* Figures in thousand dollars

* SFY 2011-12 Expenditures reflect actual data from quarter ending June 30, 2012.



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STATE CITATION DETAILED REPORT
 2nd Quarter of Fiscal Year 13-14
 Fiscal Years¹ 13-14, 12-13, 11-12 and 10-11

	Fiscal Year 13-14 (7/1/13-12/31/13)			Fiscal Year 12-13			Fiscal Year 11-12			Fiscal Year 10-11		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶
TOTAL AMOUNT ASSESSED	\$839,350	100%	168	\$2,115,300.00	100%	340	\$2,818,750.00	99%	595	\$3,118,450.00	100%	612
ALLOWABLE ADJUSTMENTS²	\$107,260	13%	1	\$348,750.00	16%	7	\$634,222.50	23%	74	\$762,040.00	24%	111
Reduced	\$0	0%	0	\$22,250.00	6%	4	\$188,447.50	30%	52	\$370,585.00	49%	99
Dismissed	\$0	0%	0	\$0	0%	0	\$11,000	2%	2	\$33,500	4%	4
35% payment offset	\$106,260	99%	(34)	\$276,500	79%	(221)	\$355,775	56%	(311)	\$329,333	43%	(307)
Uncollectable Other	\$0	0%	0	\$50,000	15%	0	\$61,000	10%	16	\$27,623	3%	7
Withdrawn	\$1,000	1%	1	\$0	0%	3	\$18,000	2%	4	\$1,000	1%	1
Reverse offset payment	\$0	0%	0	\$0	0%	0	\$0	0%	0	\$0	0%	0
NET AMOUNT SUBJECT TO COLLECTION³	\$732,090	87%		\$1,766,550	84%		\$2,184,528	77%		\$2,356,410	76%	
AMOUNT COLLECTED TO DATE⁴	\$242,190	29%	107	\$696,600	33%	286	\$1,508,423	54%	443	\$1,606,510	52%	412
65% payment	\$197,340	81%	83	\$513,500	74%	221	\$660,725	44%	311	\$611,618	38%	307
Full payment	\$43,000	16%	24	\$142,275	20%	65	\$283,400	19%	132	\$472,000	29%	105
Installment payment	\$0	0%	0	\$3,000	1%	(1)	\$75,250	5%	(2)	\$5,628	1%	(18)
Continuing Penalty payment	\$1,850	1%	(4)	\$9,750	1%	(13)	\$44,100	3%	(23)	\$32,697	2%	(9)
Medi-Cal Offset payment	\$0	0%	0	\$0	0%	0	\$15,300	1%	(6)	\$3,450	1%	(4)
Other payment	\$650	1%	(1)	\$325	1%	(1)	\$7,700	1%	(9)	\$455,320	25%	(5)
Settlement payment	\$0	0%	0	\$29,750	2%	(5)	\$426,548	26%	(53)	\$42,520	3%	(97)
Reverse payment	-\$650	1%	(1)	-\$2,000	1%	(2)	-\$4,600	1%	(7)	-\$16,722	1%	(6)
PENDING RESOLUTION⁵	\$489,900	58%	60	\$1,069,950	51%	47	\$676,105	23%	78	\$749,900	24%	89
Litigation												
Citation Review Conference	\$0	0%	0	\$0	0%	0	\$65,650	10%	22	\$283,200	38%	67
Administrative Law Judge	\$20,700	4%	14	\$22,000	2%	18	\$43,700	6%	33	\$6,850	1%	7
Superior/Municipal Court	\$244,000	50%	9	\$1,047,350	97%	28	\$560,055	83%	16	\$459,850	61%	15
Binding Arbitration	\$44,350	9%	24	\$0	0%	0	\$0	0%	0	\$0	0%	0
Collection in Process	\$180,850	37%	13	\$600	1%	1	\$6,700	1%	7	\$0	0%	0

Footnotes:

- Fiscal Year dates refer to the date the citation was issued.
- Allowable Adjustments include reduction amount of citations paid @ 65% per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced or dismissed in appeals and/or settlements, uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.
- Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.
- Amount Collected to Date - Reflects collections that result in a closed citation.
- Pending Resolution includes citations in litigation/appealed to a citation review conference (appeal option available for citations issued prior to 1/1/12), administrative law judge, binding arbitration or court. Collection in Process includes active payment demands, Medi-Cal offset, settlement money pending.
- Citation numbers reflected in parenthesis are non-add figures.