



State of California – Health and Human Services Agency
California Department of Public Health
 Center for Health Care Quality
 Licensing and Certification



EDMUND G. BROWN JR.
 Governor

State Health Facilities Citation Penalties Account (Fund 0942-601)

<u>SPECIAL DEPOSIT FUND 0942-601</u>	<u>FUND CONDITION STATEMENT</u>	<u>FUNDING FISCAL YEAR</u>	<u>BUDGET ACT APPROPRIATION *</u>	<u>EXPENDITURES *</u>	<u>BUDGET ACT APPROPRIATION BALANCE *</u>	<u>REVENUES *</u>
<u>STATE HEALTH FACILITIES CITATION PENALTIES ACCOUNT</u>		SFY 2011-2012	2,149	828 **	1,321	3,085 **
<u>STATE CITATION PENALTIES ACCOUNT SUMMARY</u>		SFY 2010-2011	2,149	36	2,113	3,837
		SFY 2009-2010	2,149	189	1,960	1,905

* Figures in thousand dollars

** SFY 2011-2012 Reflects actual data from quarter ending June 30, 2012



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Expenditures - ACTUAL

SFY 2011-2012

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SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	TOTAL	<u>828</u>

* Figures in thousand dollars

* SFY 2011-2012 Expenditures reflects actual data from quarter ending June 30, 2012.



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Expenditures - ACTUAL
SFY 2010-2011
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SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GOLDEN CROSS HEALTH CARE OF FRESNO	<u>36</u>
	TOTAL	<u>36</u>

Figures in thousand dollars

* SFY 2010-2011 Expenditures reflects actual data from quarter ending June 30, 2011.



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Fund Condition Statement – PROJECTED

SFY 2011-2012

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SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
<i>* Figures in thousand dollars</i>	
FUND BEGINNING BALANCE - JULY 1, 2011	10,319
Prior Year Adjustment	<u>0</u>
Adjusted Fund Beginning Balance	<u>10,319</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties (External): State Licensing	3,801
250300 Income from Surplus Money	<u>43</u>
Total Revenues, Transfers and Other Adjustments	<u>3,844</u>
Total Resources	<u>14,163</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
Expenditures:	
4170 Department of Aging	1,188
4265 Department of Public Health (State Operations-Actual)	<u>2,149</u>
Total Expenditures and Expenditure Adjustments	<u>3,337</u>
FUND BALANCE, END - JUNE 30, 2012	<u>10,826</u>



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Fund Condition Statement – ACTUAL
SFY 2010-2011

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SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2010	6,518
Prior Year Adjustment	<u>0</u>
Adjusted Fund Beginning Balance	<u>6,518</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties (External): State Licensing	3,801
250300 Income from Surplus Money	<u>36</u>
Total Revenues, Transfers and Other Adjustments	<u>3,837</u>
Total Resources	<u>10,355</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
Expenditures:	
4265 Department of Public Health (State Operations)	<u>36</u>
Total Expenditures and Expenditure Adjustments	<u>36</u>
FUND BALANCE, END - JUNE 30, 2011	<u>10,319</u>



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Citation Summary Report
 Fiscal Years¹ 11-12 (4th Qtr.), 10-11, 09-10, 08-09
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	Fiscal Year 11-12 (07/1/11-06/30/12)			Fiscal Year 10-11			Fiscal Year 09-10			Fiscal Year 08-09		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued	Total Amount Assessed	% of \$ Assessed	# of Citations Issued	Total Amount Assessed	% of \$ Assessed	# of Citations Issued	Total Amount Assessed	% of \$ Assessed	# of Citations Issued
TOTAL AMOUNT ASSESSED	\$1,886,300.00	100%	569	\$3,093,317.50	100%	611	\$4,143,350.00	100%	701	\$4,694,650.00	100%	788
ALLOWABLE ADJUSTMENTS²	\$376,795.00	20%	28	\$404,190.00	13%	77	\$781,662.50	19%	99	\$1,753,165.18	37%	169
Reduced	\$6,070.00	2%	19	\$51,807.50	13%	71	\$265,247.50	34%	82	\$1,011,965.00	58%	138
Dismissed	\$0.00	0%	0	\$20,500.00	5%	2	\$64,450.00	8%	13	\$223,700.00	13%	13
35% payment offset	\$344,925.00	92%	(152)	\$329,332.50	80%	(178)	\$370,615.00	47%	(174)	\$379,837.50	22%	(208)
Uncollectable Other	\$23,800.00	5%	6	\$1,550.00	1%	3	\$80,400.00	9%	2	\$125,662.68	6%	14
Withdrawn	\$2,000.00	1%	3	\$1,000.00	1%	1	\$1,750.00	1%	2	\$12,000.00	1%	4
Reverse offset payment	\$0.00	0%	0	\$0.00	0%	0	\$800.00	1%	(1)	\$0.00	0%	0
NET AMOUNT SUBJECT TO COLLECTION³	\$1,509,505.00	80%		\$2,689,127.50	87%		\$3,361,687.50	81%		\$2,941,484.82	63%	
AMOUNT COLLECTED TO DATE⁴	\$814,405.00	43%	397	\$951,827.50	31%	389	\$1,341,998.31	32%	433	\$2,361,934.82	51%	532
65% payment	\$640,575.00	79%	301	\$611,617.50	64%	307	\$688,285.00	51%	329	\$705,412.50	30%	385
Full payment	\$142,050.00	17%	96	\$244,500.00	26%	82	\$251,200.00	19%	104	\$430,700.00	18%	147
Installment payment	\$0.00	0%	(0)	\$0.00	0%	(0)	\$16,950.00	1%	(4)	\$212,300.00	9%	(20)
Continuing Penalty payment	\$11,050.00	1%	(14)	\$5,400.00	1%	(17)	\$6,200.00	1%	(19)	\$7,750.00	1%	(28)
Medi-Cal Offset payment	\$4,000.00	1%	(2)	\$2,975.00	1%	(4)	\$41,960.81	3%	(14)	\$29,950.00	1%	(18)
Other payment	\$6,300.00	1%	(7)	\$3,450.00	1%	(4)	\$4,000.00	1%	(2)	\$7,150.00	1%	(2)
Settlement payment	\$12,480.00	1%	(19)	\$96,785.00	6%	(70)	\$334,002.50	23%	(79)	\$1,022,722.32	39%	(127)
Reverse payment	\$2,050.00	0%	(4)	\$12,900.00	1%	(5)	\$600.00	1%	1	\$54,050.00	1%	(8)
PENDING RESOLUTION⁵	\$695,100.00	37%	144	\$1,737,300.00	56%	145	\$2,019,689.19	49%	169	\$579,550.00	12%	87
Litigation												
Citation Review Conference	\$351,000.00	50%	45	\$784,500.00	45%	108	\$750,455.00	37%	126	\$210,950.00	36%	48
Administrative Law Judge	\$48,600.00	7%	44	\$14,050.00	1%	13	\$9,000.00	1%	11	\$12,775.00	2%	13
Superior/Municipal Court	\$182,000.00	26%	12	\$912,000.00	52%	21	\$1,219,234.19	60%	25	\$316,000.00	55%	15
Binding Arbitration	\$13,000.00	2%	5	\$750.00	1%	1	\$0.00	1%	0	\$3,000.00	1%	3
Collection in Process	\$100,500.00	15%	38	\$26,000.00	1%	2	\$41,000.00	1%	7	\$36,825.00	6%	8

Footnotes:

- Fiscal Year dates refer to the date the citation was issued and is not reflective of the date the data was updated.
- Allowable Adjustments include reduction amount of citations paid @ 65% discount rate per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced in Appeals and/or Settlements, Uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.
- Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.
- Amount Collected to Date - Note: Citation numbers reflected in brackets are non-add figures for purposes of this report only. The collections that result in a closed citation are counted in the total.
- Pending Resolution includes citations in litigation/appealed to a citation review conference, administrative law judge, binding arbitration or court. Collection in Process includes payment demands, Medi-Cal offset, settlement money pending



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