



State of California – Health and Human Services Agency  
**California Department of Public Health**  
 Center for Health Care Quality  
 Licensing and Certification



State Health Facilities Citation Penalties Account (Fund 0942-601)

<u>SPECIAL DEPOSIT FUND</u> <u>0942-601</u>	<u>FUND</u> <u>CONDITION</u> <u>STATEMENT</u>	<u>FUNDING FISCAL</u> <u>YEAR</u>	<u>BUDGET ACT</u> <u>APPROPRIATION *</u>	<u>EXPENDITURES *</u>	<u>BUDGET ACT</u> <u>APPROPRIATION</u> <u>BALANCE *</u>	<u>REVENUES *</u>
<u>STATE HEALTH FACILITIES</u> <u>CITATION PENALTIES</u> <u>ACCOUNT</u>		SFY 2011-2012	2,149	638 **	1,511	1,555 ***
<u>STATE CITATION PENALTIES</u> <u>ACCOUNT SUMMARY</u>		SFY 2010-2011	2,149	36	2,113	3,837
		SFY 2009-2011	2,149	189	1,960	1,905

\* Figures in thousand dollars

\*\* SFY 2011-2012 Expenditures reflects actual data from quarter ending March 31, 2012

\*\*\* SFY 2011-2012 Revenues reflects actual data from quarter ending March 31, 2012.



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EDMUND G. BROWN JR.  
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**Expenditures - Actual**

**SFY 2011-2012**

[\(back to top\)](#)

<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS BRIUS LLC	GRANITE HILLS HEALTHCARE, INC. CENTRAL COAST NURSING CENTER	334 <u>304</u>
	<b>TOTAL</b>	<b><u>638</u></b>

\* Figures in thousand dollars

\* SFY 2011-2012 Expenditures reflects actual data from quarter ending March 31, 2012.



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**Expenditures - Actual**

**SFY 2010-2011**

[\(back to top\)](#)

<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GOLDEN CROSS HEALTH CARE OF FRESNO	<u>36</u>
	<b>TOTAL</b>	<b><u>36</u></b>

Figures in thousand dollars

\* SFY 2010-2011 Expenditures reflects actual data from quarter ending June 30, 2011.



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**Fund Condition Statement – PROJECTED**

**SFY 2011-2012**

[\(back to top\)](#)

<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2011</b>	10,319
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>10,319</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
217600 Fines and Penalties (External): State Licensing	3,801
250300 Income from Surplus Money	<u>43</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>3,844</u></b>
<b>Total Resources</b>	<b><u>14,163</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
<b>Expenditures:</b>	
4170 Department of Aging	1,188
4265 Department of Public Health (State Operations)	<u>2,149</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>3,337</u></b>
<b>FUND BALANCE, END - JUNE 30, 2012</b>	<b><u>10,826</u></b>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Fund Condition Statement – ACTUAL**  
**SFY 2010-2011**

[\(back to top\)](#)

<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2010</b>	6,518
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>6,518</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
217600 Fines and Penalties (External): State Licensing	3,801
250300 Income from Surplus Money	<u>36</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>3,837</u></b>
<b>Total Resources</b>	<b><u>10,355</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
<b>Expenditures:</b>	
4265 Department of Public Health (State Operations)	<u>36</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>36</u></b>
<b>FUND BALANCE, END - JUNE 30, 2011</b>	<b><u>10,319</u></b>



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Citation Summary Report  
 Fiscal Years<sup>1</sup> 11-12 (3rd Qtr.), 10-11, 09-10, 08-09  
[\(back to top\)](#)

	Fiscal Year 11-12 (7/1/11-03/31/12)			Fiscal Year 10-11			Fiscal Year 09-10			Fiscal Year 08-09		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued	Total Amount Assessed	% of \$ Assessed	# of Citations Issued	Total Amount Assessed	% of \$ Assessed	# of Citations Issued	Total Amount Assessed	% of \$ Assessed	# of Citations Issued
<b>TOTAL AMOUNT ASSESSED</b>	\$1,418,400.00	100%	435	\$3,093,300.00	100%	611	\$4,143,350.00	100%	701	\$4,695,250.00	100%	788
<b>ALLOWABLE ADJUSTMENTS<sup>2</sup></b>	\$251,825.00	18%	4	\$357,707.50	12%	12	\$723,182.50	17%	63	\$1,734,865.18	37%	149
Reduced	\$0.00	0%	0	\$25,675.00	7%	8	\$224,767.50	31%	47	\$993,815.00	57%	119
Dismissed	\$0.00	0%	0	\$500.00	1%	1	\$46,450.00	6%	12	\$223,700.00	13%	13
35% payment offset	\$249,025.00	98%	(124)	\$329,332.50	90%	(178)	\$370,615.00	50%	(174)	\$379,837.50	22%	(209)
Uncollectable Other	\$800.00	1%	1	\$1,200.00	1%	2	\$80,400.00	11%	2	\$125,512.68	8%	13
Withdrawn	\$2,000.00	1%	3	\$1,000.00	1%	1	\$1,750.00	1%	2	\$12,000.00	1%	4
Reverse offset payment	\$0.00	0%	0	\$0.00	0%	0	\$800.00	1%	(1)	\$0.00	0%	0
<b>NET AMOUNT SUBJECT TO COLLECTION<sup>3</sup></b>	\$1,166,575.00	82%		\$2,735,592.50	88%		\$3,420,167.50	83%		\$2,960,384.82	63%	
<b>AMOUNT COLLECTED TO DATE<sup>4</sup></b>	\$569,625.00	40%	303	\$892,392.50	29%	389	\$1,172,967.50	28%	431	\$2,256,984.82	48%	536
65% payment	\$462,475.00	81%	236	\$611,617.50	65%	307	\$688,285.00	59%	329	\$705,412.50	31%	385
Full payment	\$92,000.00	16%	67	\$244,500.00	27%	82	\$218,700.00	19%	102	\$372,700.00	17%	151
Installment payment	\$0.00	0%	(0)	\$0.00	0%	(0)	\$16,950.00	1%	(4)	\$184,300.00	8%	(20)
Continuing Penalty payment	\$10,850.00	1%	(6)	\$5,400.00	1%	(17)	\$6,200.00	1%	(19)	\$7,750.00	1%	(28)
Medi-Cal Offset payment	\$0.00	0%	(0)	\$2,975.00	1%	(4)	\$25,900.00	2%	(13)	\$29,950.00	1%	(18)
Other payment	\$5,650.00	1%	(6)	\$3,450.00	1%	(4)	\$4,000.00	1%	(2)	\$6,500.00	1%	(1)
Settlement payment	\$0.00	0%	(0)	\$37,350.00	4%	(6)	\$213,532.50	16%	(43)	\$1,004,422.32	40%	(108)
Reverse payment	\$1,350.00	1%	(2)	\$12,900.00	1%	(5)	\$600.00	1%	1	\$54,050.00	1%	(8)
<b>PENDING RESOLUTION<sup>5</sup></b>	\$596,950.00	42%	128	\$1,843,200.00	60%	210	\$2,247,200.00	54%	207	\$703,400.00	15%	103
Litigation												
Citation Review Conference	\$361,750.00	61%	65	\$874,650.00	47%	171	\$889,405.00	39%	155	\$274,550.00	39%	67
Administrative Law Judge	\$28,100.00	5%	28	\$14,050.00	1%	13	\$9,000.00	1%	11	\$12,775.00	2%	13
Superior/Municipal Court	\$90,000.00	15%	6	\$932,000.00	50%	21	\$1,309,295.00	58%	32	\$356,000.00	50%	15
Binding Arbitration	\$22,000.00	4%	3	\$750.00	1%	1	\$2,000.00	1%	2	\$3,000.00	1%	3
Collection in Process	\$95,100.00	15%	26	\$21,750.00	1%	4	\$37,500.00	1%	7	\$57,075.00	8%	5

**Footnotes:**

- Fiscal Year dates refer to the date the citation was issued and is not reflective of the date the data was updated.
- Allowable Adjustments include reduction amount of citations paid @ 65% discount rate per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced in Appeals and/or Settlements, Uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.
- Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.
- Amount Collected to Date - Note: Citation numbers reflected in brackets are non-add figures for purposes of this report only. The collections that result in a closed citation are counted in the total.
- Pending Resolution includes citations in litigation/appealed to a citation review conference, administrative law judge, binding arbitration or court. Collection in Process includes payment demands, Medi-Cal offset, settlement money pending

Source: ELMS Disposition of Civil Money Penalty Assessments, 3/31/2012