



KAREN L. SMITH, MD, MPH  
 Director and State Public Health Officer

State of California – Health and Human Services Agency  
**California Department of Public Health**  
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EDMUND G. BROWN JR.  
 Governor

Fund Condition Statement  
 State Health Facilities Citation Penalties Account  
 Special Deposit Fund 0942-601

FUNDING FISCAL YEAR	BUDGET ACT APPROPRIATION *	EXPENDITURES *	BUDGET ACT APPROPRIATION BALANCE *	REVENUES *
SFY 2015-16**	4,337	62	4,275	458
SFY 2014-15	3,337	1,187	2,150	2,147
SFY 2013-14	3,337	1,187	2,150	2,693
SFY 2012-13	3,337	1,402	1,935	2,630
SFY 2011-12	3,337	2,014	1,323	3,084

\*Figures in thousand dollars

\*\*SFY 2015-2016 reflects actual expenditures and revenues through quarter ending September 30, 2015.





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**Fund Condition Statement – Projected**

**SFY 2015-16**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2015</b>	9,855
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>9,855</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	2,391
4163000 Income from Surplus Money Investment	<u>34</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,425</u></b>
<b>Total Resources</b>	<b><u>12,280</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	2,193
4265 Department of Public Health (State Operations)	<u>2,144</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>4,337</u></b>
<b>FUND BALANCE, END - JUNE 30, 2016</b>	<b><u>7,943</u></b>





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**Fund Condition Statement – Actual**  
**SFY 2015-16 FM03**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2015</b>	9,855
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>9,855</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	458
4163000 Income from Surplus Money Investment	<u>0</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>458</u></b>
<b>Total Resources</b>	<b><u>10,313</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	62
4265 Department of Public Health (State Operations)	<u>0</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>62</u></b>
<b>FUND BALANCE, END - SEPTEMBER 30, 2015</b>	<b><u>10,251</u></b>





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**Fund Condition Statement – Actual**  
**SFY 2014-15**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2014</b>	11,948
Prior Year Adjustment	<u>19</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>11,967</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	2,115
4163000 Income from Surplus Money Investment	32
Transfer to General Fund 0001 per Health and Safety Code Section 1417.2 (b)	<u>(3,072)</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>( 925)</u></b>
<b>Total Resources</b>	<b><u>11,042</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,187
4265 Department of Public Health (State Operations)	<u>0</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>1,187</u></b>
<b>FUND BALANCE, END – June 30, 2015</b>	<b><u>9,855</u></b>





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**Fund Condition Statement – Actual**  
**SFY 2013-14**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2013</b>	10,445
Prior Year Adjustment	( 3)
<b>Adjusted Fund Beginning Balance</b>	<b><u>10,442</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	2,667
4163000 Income from Surplus Money Investment	<u>26</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,693</u></b>
<b>Total Resources</b>	<b><u>13,135</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,187
4265 Department of Public Health (State Operations)	<u>0</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>1,187</u></b>
<b>FUND BALANCE, END - JUNE 30, 2014</b>	<b><u>11,948</u></b>





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**Fund Condition Statement – Actual**

**SFY 2012-13**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2012</b>	9,902
Prior Year Adjustment	( 685)
<b>Adjusted Fund Beginning Balance</b>	<u><b>9,217</b></u>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	2,601
4163000 Income from Surplus Money Investment	<u>29</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<u><b>2,630</b></u>
<b>Total Resources</b>	<u><b>11,847</b></u>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>216</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<u><b>1,402</b></u>
<b>FUND BALANCE, END - JUNE 30, 2013</b>	<u><b>10,445</b></u>





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**Fund Condition Statement – Actual**

**SFY 2011-12**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2011</b>	10,319
Prior Year Adjustment	( 1,487)
<b>Adjusted Fund Beginning Balance</b>	<b><u>8,832</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	3,049
4163000 Income from Surplus Money	<u>35</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>3,084</u></b>
<b>Total Resources</b>	<b><u>11,916</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
<b>Expenditures:</b>	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>828</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>2,014</u></b>
<b>FUND BALANCE, END - JUNE 30, 2012</b>	<b><u>9,902</u></b>





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**Expenditures – Actual**  
**SFY 2012-13**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES</u> *
HFS CONSULTANTS	GRANITE HILLS CONVALESCENT HOSPITAL	<u>216</u>
	<b>TOTAL</b>	<b><u>216</u></b>

\* Figures in thousand dollars

\* SFY 2012-13 Expenditures reflect actual data through quarter ending June 30, 2013.





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**Expenditures – Actual**  
**SFY 2011-12**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES</u> *
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS, LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	<b>TOTAL</b>	<b><u>828</u></b>

\* Figures in thousand dollars  
 \* SFY 2011-12 Expenditures reflect actual data through quarter ending June 30, 2012.





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STATE CITATION DETAILED REPORT  
1st Quarter of Fiscal Year 2015-16,  
Fiscal Years 2014-15, 2013-14 & 2012-13

	Fiscal Year 15-16 (7/1/15-09/30/15)			Fiscal Year 14-15			Fiscal Year 13-14			Fiscal Year 12-13		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>
<b>TOTAL AMOUNT ASSESSED</b>	\$568,850	100%	74	\$2,600,550	100%	328	\$2,641,850	100%	523	\$2,058,300	100%	343
<b>ALLOWABLE ADJUSTMENTS<sup>2</sup></b>	\$52,990	10%	0	\$368,505	14%	6	\$628,340	24%	41	\$607,725	30%	30
Reduced	\$0	0%	0	\$17,400	5%	3	\$161,450	26%	18	\$206,225	34%	24
Dismissed	\$0	0%	0	\$0	0%	0	\$36,000	6%	18	\$75,000	12%	2
35% payment offset	\$52,990	100%	(43)	\$329,105	89%	(176)	\$425,740	66%	(275)	\$276,500	45%	(221)
Uncollectable Other	\$0	0%	0	\$0	0%	0	\$1,150	1%	1	\$10,000	2%	2
Withdrawn	\$0	0%	0	\$22,000	6%	3	\$4,000	1%	4	\$40,000	7%	2
Reverse offset payment	\$0	0%	0	\$0	0%	0	\$0	0%	0	\$0	0%	0
<b>NET AMOUNT SUBJECT TO COLLECTION<sup>3</sup></b>	\$515,860	90%		\$2,232,045	86%		\$2,013,510	76%		\$1,450,575	70%	
<b>AMOUNT COLLECTED TO DATE</b>	\$121,360	21%	49	\$1,201,095	46%	260	\$1,338,760	50%	370	\$1,182,075	57%	293
65% payment	\$98,410	81%	43	\$611,195	51%	176	\$790,660	55%	275	\$513,500	43%	221
Full payment	\$9,500	8%	6	\$530,700	40%	84	\$304,800	23%	95	\$207,200	18%	72
Installment payment	\$0	0%	0	\$1,500	1%	(1)	\$7,800	1%	(1)	\$152,000	13%	(3)
Continuing Penalty payment	\$450	1%	(2)	\$5,600	1%	(13)	\$6,750	1%	(14)	\$10,650	1%	(14)
Medi-Cal Offset payment	\$0	0%	0	\$0	0%	0	\$3,500	1%	(2)	\$0	0%	0
Other payment	\$13,000	10%	(1)	\$46,000	4%	(3)	\$26,650	2%	(3)	\$0	0%	0
Settlement payment	\$0	0%	0	\$24,100	2%	(3)	\$212,750	16%	(18)	\$300,725	25%	(24)
Reverse payment	\$0	0%	0	-\$18,000	1%	(1)	-\$14,150	1%	(3)	-\$2,000	1%	(2)
<b>PENDING RESOLUTION<sup>4</sup></b>	\$394,500	69%	25	\$1,030,950	40%	62	\$674,750	26%	112	\$268,500	13%	20
Litigation												
Citation Review Conference	\$0	0%	0	\$0	0%	0	\$0	0%	0	\$0	0%	0
Administrative Law Judge	\$5,500	1%	3	\$46,250	4%	21	\$73,500	11%	83	\$9,550	4%	8
Superior/Municipal Court	\$230,000	59%	8	\$935,700	90%	37	\$581,250	86%	28	\$163,350	61%	9
Binding Arbitration	\$0	0%	0	\$2,000	1%	1	\$0	0%	0	\$0	0%	0
Collection in Process	\$159,000	40%	14	\$47,000	5%	3	\$20,000	3%	1	\$95,600	35%	3

Footnotes:  
 1. Fiscal Year dates refer to the date the citation was issued.  
 2. Allowable Adjustments include reduction amount of citations paid @ 65% per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced or dismissed in appeals and/or settlements, uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.  
 3. Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.  
 4. Pending Resolution includes citations in litigation/appealed to a citation review conference (appeal option available for citations issued prior to 1/1/12), administrative law judge, binding arbitration or court. Collection in Process includes active payment demands, Medi-Cal offset, settlement money pending  
 5. Citation numbers reflected in parenthesis are non-add figures.

