



State of California – Health and Human Services Agency
California Department of Public Health
 Center for Health Care Quality
 Licensing and Certification



State Health Facilities Citation Penalties Account (Fund 0942-601)

<u>SPECIAL DEPOSIT FUND</u> <u>0942-601</u>	<u>FUND</u> <u>CONDITION</u> <u>STATEMENT</u>	<u>FUNDING FISCAL</u> <u>YEAR</u>	<u>BUDGET ACT</u> <u>APPROPRIATION *</u>	<u>EXPENDITURES *</u>	<u>BUDGET ACT</u> <u>APPROPRIATION</u> <u>BALANCE *</u>	<u>REVENUES *</u>
<u>STATE HEALTH FACILITIES</u> <u>CITATION PENALTIES</u> <u>ACCOUNT</u>		SFY 2014-2015**	2,144	0	2,144	635
		SFY 2013-2014	2,144	0	2,144	2,693
		SFY 2012-2013	2,141	216	1,925	2,630
		SFY 2011-2012	2,137	828	1,309	3,085

*Figures in thousand dollars

** SFY 2014-2015 reflects actual data from quarter ending September 30, 2014.



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EDMUND G. BROWN JR.
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Fund Condition Statement – Projected
SFY 2014-2015

[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2014	11,948
Prior Year Adjustment	<u>0</u>
Adjusted Fund Beginning Balance	<u>11,948</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
4172220 Fines and Penalties - External - Private Sector	2,632
4163000 Income from Surplus Money Investment	<u>29</u>
Total Revenues, Transfers and Other Adjustments	<u>2,661</u>
Total Resources	<u>14,609</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,193
4265 Department of Public Health (State Operations)	<u>2,144</u>
Total Expenditures and Expenditure Adjustments	<u>3,337</u>
FUND BALANCE, END - JUNE 30, 2015	<u>11,272</u>



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 Licensing and Certification



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State Health Facilities Citation Penalties Account (Fund 0942-601)

Fund Condition Statement – Actual

SFY 2013-2014

[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2013	10,445
Prior Year Adjustment	(5)
Adjusted Fund Beginning Balance	<u>10,440</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
4172220 Fines and Penalties - External - Private Sector	2,667
4163000 Income from Surplus Money Investment	<u>26</u>
Total Revenues, Transfers and Other Adjustments	<u>2,693</u>
Total Resources	<u>13,133</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,185
4265 Department of Public Health (State Operations)	<u>0</u>
Total Expenditures and Expenditure Adjustments	<u>1,185</u>
FUND BALANCE, END - JUNE 30, 2014	<u>11,948</u>



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Fund Condition Statement – Actual
SFY 2012-2013

[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2012	9,902
Prior Year Adjustment	(685)
Adjusted Fund Beginning Balance	<u>9,217</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
4172220 Fines and Penalties - External - Private Sector	2,601
4163000 Income from Surplus Money Investment	<u>29</u>
Total Revenues, Transfers and Other Adjustments	<u>2,630</u>
Total Resources	<u>11,847</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>216</u>
Total Expenditures and Expenditure Adjustments	<u>1,402</u>
FUND BALANCE, END - JUNE 30, 2013	<u>10,445</u>



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 Licensing and Certification



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 Governor

State Health Facilities Citation Penalties Account (Fund 0942-601)

Fund Condition Statement – Actual
SFY 2011-2012
[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
<i>* Figures in thousand dollars</i>	
FUND BEGINNING BALANCE - JULY 1, 2011	10,319
Prior Year Adjustment	-1,487
Adjusted Fund Beginning Balance	<u>8,832</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
4172220 Fines and Penalties - External - Private Sector	3,049
4163000 Income from Surplus Money	<u>35</u>
Total Revenues, Transfers and Other Adjustments	<u>3,084</u>
Total Resources	<u>11,916</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
Expenditures:	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>828</u>
Total Expenditures and Expenditure Adjustments	<u>2,014</u>
FUND BALANCE, END - JUNE 30, 2012	<u>9,902</u>



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Expenditures – Actual
SFY 2012-2013 Quarter Ending June 30, 2013
[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES</u> *
HFS CONSULTANTS	GRANITE HILLS CONVALESCENT HOSPITAL	<u>216</u>
	TOTAL	<u>216</u>

* Figures in thousand dollars
 * SFY 2012-13 Expenditures reflect actual data from quarter ending June 30, 2013.



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Expenditures – Actual
SFY 2011-2012 Quarter Ending June 30, 2012
[\(back to top\)](#)

SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES</u> *
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS, LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	TOTAL	<u>828</u>

* Figures in thousand dollars
 * SFY 2011-12 Expenditures reflect actual data from quarter ending June 30, 2012.



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STATE CITATION DETAILED REPORT
 1st Quarter of Fiscal Year 14-15
 Fiscal Years 14-15, 13-14, 12-13 and 11-12

	Fiscal Year 14-15 (7/1/14-9/30/14)			Fiscal Year 13-14			Fiscal Year 12-13			Fiscal Year 11-12		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶
TOTAL AMOUNT ASSESSED	\$285,800.00	100%	54	\$2,637,350.00	100%	522	\$2,141,300.00	100%	342	\$2,818,700.00	100%	595
ALLOWABLE ADJUSTMENTS²	\$22,697.50	8%	0	\$471,140.00	17%	26	\$490,075.00	23%	13	\$704,602.50	25%	96
Reduced	\$0.00	0%	0	\$5,750.00	1%	4	\$162,575.00	33%	18	\$237,027.50	34%	68
Dismissed	\$0.00	0%	0	\$36,000.00	7%	18	\$0.00	0%	0	\$11,600.00	2%	3
35% payment offset	\$22,697.50	100%	(28)	\$425,740.00	90%	(275)	\$276,500.00	56%	(221)	\$355,775.00	50%	(311)
Uncollectable Other	\$0.00	0%	0	\$1,150.00	1%	1	\$10,000.00	2%	(2)	\$81,200.00	12%	20
Withdrawn	\$0.00	0%	0	\$2,500.00	1%	3	\$41,000.00	9%	(3)	\$19,000.00	2%	5
Reverse offset payment	\$0.00	0%	0	\$0.00	0%	0	\$0.00	0%	0	\$0.00	0%	0
NET AMOUNT SUBJECT TO COLLECTION³	\$263,102.50	92%		\$2,166,210.00	82%		\$1,651,225.00	77%		\$2,114,097.50	75%	
AMOUNT COLLECTED TO DATE⁴	\$91,602.50	32%	36	\$1,069,960.00	41%	363	\$1,049,724.99	49%	304	\$1,634,897.50	58%	457
65% payment	\$42,152.50	46%	28	\$790,010.00	74%	275	\$513,500.00	49%	221	\$660,725.00	40%	311
Full payment	\$47,500.00	52%	8	\$264,300.00	20%	88	\$206,875.00	20%	83	\$316,050.00	19%	146
Installment payment	\$0.00	0%	(0)	\$1,300.00	1%	(1)	\$61,999.99	6%	(2)	\$75,250.00	5%	(2)
Continuing Penalty payment	\$0.00	0%	(0)	\$6,750.00	1%	(14)	\$10,650.00	1%	(14)	\$44,100.00	1%	(23)
Medi-Cal Offset payment	\$0.00	0%	(0)	\$3,500.00	1%	(2)	\$0.00	0%	0	\$15,300.00	1%	(6)
Other payment	\$1,950.00	2%	(1)	\$14,300.00	1%	(3)	\$325.00	1%	(1)	\$7,700.00	1%	(9)
Settlement payment	\$0.00	0%	(0)	\$3,450.00	1%	(3)	\$258,375.00	22%	(19)	\$520,372.50	32%	(70)
Reverse payment	\$0.00	0%	0	(\$13,650.00)	1%	(2)	(\$2,000.00)	1%	(2)	(\$4,600.00)	1%	(7)
PENDING RESOLUTION⁵	\$171,500.00	60%	18	\$1,096,250.00	42%	133	\$601,500.01	28%	25	\$479,200.00	17%	42
Litigation												
Citation Review Conference	\$0.00	0%	0	\$0.00	0%	0	\$0.00	0%	0	\$0.00	0%	0
Administrative Law Judge	\$13,150.00	8%	7	\$35,600.00	4%	21	\$8,550.00	1%	7	\$33,900.00	7%	23
Superior/Municipal Court	\$117,000.00	68%	6	\$946,650.00	86%	111	\$592,350.01	98%	17	\$436,500.00	91%	10
Binding Arbitration	\$0.00	0%	0	\$0.00	0%	0	\$0.00	0%	0	\$0.00	0%	0
Collection in Process	\$41,350.00	24%	5	\$114,000.00	10%	1	\$600.00	1%	1	\$8,800.00	2%	9

Footnotes:

- Fiscal Year dates refer to the date the citation was issued.
- Allowable Adjustments include reduction amount of citations paid @ 65% per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced or dismissed in appeals and/or settlements, uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.
- Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.
- Amount Collected to Date - Reflects collections that result in a closed citation.
- Pending Resolution includes citations in litigation/appealed to a citation review conference (appeal option available for citations issued prior to 1/1/12), administrative law judge, binding arbitration or court. Collection in Process includes active payment demands, Medi-Cal offset, settlement money pending
- Citation numbers reflected in parenthesis are non-add figures.