



State of California – Health and Human Services Agency  
**California Department of Public Health**  
 Center for Health Care Quality  
 Licensing and Certification



State Health Facilities Citation Penalties Account (Fund 0942-601)

<u>SPECIAL DEPOSIT FUND</u> <u>0942-601</u>	<u>FUND</u> <u>CONDITION</u> <u>STATEMENT</u>	<u>FUNDING FISCAL</u> <u>YEAR</u>	<u>BUDGET ACT</u> <u>APPROPRIATION *</u>	<u>EXPENDITURES *</u>	<u>BUDGET ACT</u> <u>APPROPRIATION</u> <u>BALANCE *</u>	<u>REVENUES *</u>
<u>STATE HEALTH FACILITIES</u> <u>CITATION PENALTIES</u> <u>ACCOUNT</u>		SFY 2013-2014**	2,144	0	2,144	2,693
<u>STATE CITATION PENALTY</u> <u>ACCOUNTS SUMMARY</u>		SFY 2012-2013	2,141	216	1,925	2,630
		SFY 2011-2012	2,137	828	1,309	3,085

\*Figures in thousand dollars

\*\* SFY 2013-2014 reflects actual data from quarter ending June 30, 2014.



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EDMUND G. BROWN JR.  
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**Fund Condition Statement – PROJECTED**

**SFY 2013-2014**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
<i>* Figures in thousand dollars</i>	
<b>FUND BEGINNING BALANCE - JULY 1, 2013</b>	10,445
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>10,445</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
217600 Fines and Penalties - External - Private Sector	2,559
250300 Income from Surplus Money	<u>29</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,588</u></b>
<b>Total Resources</b>	<b><u>13,033</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,189
4265 Department of Public Health (State Operations)	<u>2,144</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>3,333</u></b>
<b>FUND BALANCE, END - JUNE 30, 2014</b>	<b><u>9,700</u></b>



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**Fund Condition Statement – ACTUAL**  
**SFY 2012-2013**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
<i>* Figures in thousand dollars</i>	
<b>FUND BEGINNING BALANCE - JULY 1, 2012</b>	9,902
Prior Year Adjustment (Reversal of revenue accrual)	( 685)
<b>Adjusted Fund Beginning Balance</b>	<b><u>9,217</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
217600 Fines and Penalties - External - Private Sector	2,601
250300 Income from Surplus Money	<u>29</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,630</u></b>
<b>Total Resources</b>	<b><u>11,847</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>216</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>1,402</u></b>
<b>FUND BALANCE, END - JUNE 30, 2013</b>	<b><u>10,445</u></b>



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**Fund Condition Statement – ACTUAL**

**SFY 2011-2012**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
<i>* Figures in thousand dollars</i>	
<b>FUND BEGINNING BALANCE - JULY 1, 2011</b>	10,319
Prior Year Adjustment	<u>-1,487</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>8,832</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
217600 Fines and Penalties - External - Private Sector	3,049
250300 Income from Surplus Money	<u>35</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>3,084</u></b>
<b>Total Resources</b>	<b><u>11,916</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
<b>Expenditures:</b>	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>828</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>2,014</u></b>
<b>FUND BALANCE, END - JUNE 30, 2012</b>	<b><u>9,902</u></b>



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**Expenditures – ACTUAL**  
**SFY 2012-2013 Quarter Ending June 30, 2013**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS CONVALESCENT HOSPITAL	<u>216</u>
	<b>TOTAL</b>	<b><u>216</u></b>

\* Figures in thousand dollars  
 \* SFY 2012-13 Expenditures reflect actual data from quarter ending June 30, 2013.



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Expenditures - ACTUAL**  
**SFY 2011-2012**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS, LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	<b>TOTAL</b>	<b><u>828</u></b>

\* Figures in thousand dollars

\* SFY 2011-12 Expenditures reflect actual data from quarter ending June 30, 2012.



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**State Health Facilities Citation Penalties Account (Fund 0942-601)**

STATE CITATION DETAILED REPORT  
 4th Quarter of Fiscal Year 13-14  
 Fiscal Years 13-14, 12-13, 11-12 and 10-11

	Fiscal Year 13-14			Fiscal Year 12-13			Fiscal Year 11-12			Fiscal Year 10-11		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>
<b>TOTAL AMOUNT ASSESSED</b>	\$2,471,200	100%	447	\$2,141,300	100%	342	\$2,818,700	100%	595	\$3,115,750	99%	611
<b>ALLOWABLE ADJUSTMENTS<sup>2</sup></b>	\$462,840	19%	22	\$396,700	18%	11	\$701,103	25%	89	\$863,400	28%	125
Reduced	\$700	1%	1	\$69,200	17%	8	\$233,528	33%	61	\$396,045	46%	106
Dismissed	\$36,000	10%	18	\$0	0%	0	\$11,600	2%	3	\$34,500	4%	5
35% payment offset	\$423,640	92%	(270)	\$276,500	70%	(221)	\$355,775	51%	(311)	\$329,333	38%	(307)
Uncollectable Other	\$0	0%	0	\$10,000	3%	1	\$81,200	12%	20	\$82,523	10%	11
Withdrawn	\$2,500	1%	3	\$41,000	10%	2	\$19,000	2%	5	\$21,000	2%	3
Reverse offset payment	\$0	0%	0	\$0	0%	0	\$0	0%	0	\$0	0%	0
<b>NET AMOUNT SUBJECT TO COLLECTION<sup>3</sup></b>	\$2,008,360	81%		\$1,744,600	81%		\$2,117,598	75%		\$2,252,350	72%	
<b>AMOUNT COLLECTED TO DATE<sup>4</sup></b>	\$1,048,010	42%	349	\$830,150	39%	293	\$1,627,398	58%	455	\$1,703,850	54%	437
65% payment	\$786,110	75%	270	\$513,500	62%	221	\$660,725	41%	311	\$611,618	36%	307
Full payment	\$251,300	21%	79	\$186,275	22%	72	\$312,050	19%	144	\$527,500	31%	130
Installment payment	\$0	0%	0	\$62,000	7%	(2)	\$75,250	5%	(2)	\$5,778	1%	(19)
Continuing Penalty payment	\$6,650	1%	(12)	\$9,750	1%	(13)	\$44,100	3%	(23)	\$60,697	4%	(11)
Medi-Cal Offset payment	\$2,000	1%	(1)	\$0	0%	0	\$15,300	1%	(6)	\$3,450	1%	(4)
Other payment	\$14,300	1%	(3)	\$325	1%	(1)	\$7,700	1%	(9)	\$488,010	24%	(5)
Settlement payment	\$1,300	1%	(1)	\$60,300	6%	(9)	\$516,873	29%	(63)	\$42,520	2%	(232)
Reverse payment	-\$13,650	1%	(2)	-\$2,000	1%	(2)	-\$4,600	1%	(7)	-\$35,722	1%	(7)
<b>PENDING RESOLUTION<sup>5</sup></b>	\$960,350	39%	76	\$914,450	43%	38	\$490,200	17%	51	\$548,500	18%	49
Litigation												
Citation Review Conference	\$0	0%	0	\$0	0%	0	\$7,800	2%	7	\$133,750	24%	26
Administrative Law Judge	\$33,600	2%	20	\$15,800	1%	14	\$35,900	7%	26	\$3,000	1%	13
Superior/Municipal Court	\$806,250	84%	41	\$898,050	98%	23	\$437,500	89%	10	\$411,750	75%	10
Binding Arbitration	\$0	1%	0	\$0	0%	0	\$0	0%	0	\$0	0%	0
Collection in Process	\$120,500	13%	15	\$600	1%	1	\$9,000	2%	8	\$0	0%	0

Footnotes:  
 1. Fiscal Year dates refer to the date the citation was issued.  
 2. Allowable Adjustments include reduction amount of citations paid @ 65% per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced or dismissed in appeals and/or settlements, uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.  
 3. Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.  
 4. Amount Collected to Date - Reflects collections that result in a closed citation.  
 5. Pending Resolution includes citations in litigation/appealed to a citation review conference (appeal option available for citations issued prior to 1/1/12), administrative law judge, binding arbitration or court.  
 Collection in Process includes active payment demands, Medi-Cal offset, settlement money pending  
 6. Citation numbers reflected in parenthesis are non-add figures.