

California Department of Public Health

Licensing & Certification Program

**Health Facility License Fees
ANNUAL REPORT
FY 2009-10**

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LEGISLATIVE REQUIREMENTS

The California Department of Public Health (CDPH), Licensing and Certification Program (L&C), Health Facility License Fees Annual Report Fiscal Year (FY) 2009-10 is published in accordance with California Health and Safety (H&S) Code Section 1266(d) as follows:

- Publish the list of estimated fees by February 1 of each year;
- Publish a report of all costs for activities of the L&C Program, and;
- Prepare a staffing and system analysis report including;
 - The number of surveyors and administrative support personnel devoted to the licensing and certification of health care facilities;
 - The percentage of time devoted to licensing and certification activities for the various types of health facilities;
 - The number of facilities receiving full surveys and the frequency and number of follow up visits;
 - The number and timeliness of complaint investigations;
 - Data on deficiencies and citations issued, and numbers of citation review conferences and arbitration hearings; and
 - Other applicable activities of the licensing and certification division.

H&S Code Section 1266(d) requires the calculation of fees to be based on workload by facility type. When calculating the FY 2009-10 estimated fees, workload changes over the last year served to increase fees for most of the facility types.

CDPH is proposing to hold steady licensing fees in FY 2009-10 at the same level as FY 2008-09. In order to hold fees constant, L&C will apply \$5.3 million in program savings to offset and mitigate the increases that would have occurred on the natural. It should be noted, however, that in subsequent fiscal years when fees are calculated based on the statutorily prescribed workload methodology, there may be significant increases to licensing fees by facility type.

BACKGROUND

H&S Code Sections 1254, 1282 and 1417 require the L&C program to license health facilities that do business in California. CDPH's contract with the federal Centers for Medicare and Medicaid Services (CMS), as well as provisions of California's Medicaid State Plan, requires L&C to certify facilities for participation in Medicare (Title XVIII) and/or Medi-Cal (Title XIX). In conducting these activities, L&C develops and enforces state licensure standards, conducts inspections to assure compliance with federal standards for facility participation in Medicare and/or Medi-Cal, and responds to complaints against providers licensed by CDPH.

In addition, the H&S Code Section 1266 was amended by Chapter 74 of the Statutes of 2006 (Assembly Bill 1807), changing the L&C Program from a General Fund (GF) Program to a Special Fund (SF) Program. The statute requires that beginning FY 2009-10, L&C be entirely supported by federal funds (FF) and SF, unless funds are specifically appropriated from the GF in the annual Budget Act or other enacted legislation. This requirement to be fully supported by FFs and SFs was achieved one year early in FY 2008-09. Only state departments, authorities, bureaus, commissions or officers are exempt from paying license fees. For those facilities that are state operated, an annual Budget Act appropriation transfers GF moneys into the L&C SF to cover the costs to license state operated facilities.

The provisions of H&S Code Section 1266 require L&C to capture and report workload data by fee category (survey activity and facility type) which prompted the development and implementation of a new timekeeping system. The L&C program implemented the Surveyor Timekeeping System (STS) and used FY 2007-08 data, and data from CMS Automated Survey Processing Environment

Network (ASPEN) to create the Standard Average Hours (SAH) report. The CMS ASPEN data provides the survey counts for each survey activity, while the STS provides the total hours spent in each fee category. The FY 2007-08 SAH report was used to develop the state licensing workload for FY 2009-10.

FEE DEVELOPMENT

This section outlines the process ordinarily used to calculate licensing fees in accordance with HSC Section 1266(d). Although CDPH is holding FY 2009-10 licensing fees steady at FY 2008-09 levels, the process described below is included for informational purposes.

Fee Methodology Overview

H&S Code Section 1266 was amended to restructure the methodology for calculating health facilities license fees based on the workload and cost of licensing and regulating health care facilities and agencies. Only state departments, authorities, bureaus, commissions or officers are exempt from paying license fees. Statute specifies the methodology for annually recalculating license fees for all licensed health care facilities as follows:

Licensing fee rates, based on the aggregate state share of the special fund cost, are structured on a per facility or bed classification count and are collected on an initial license application, an annual license renewal, and change of ownership (CHOW).

The fee rates are based on the following activities:

- Combines information on projected workload hours for various mandated activities by facility type;
- Calculates the state workload rate percentage of each facility type to the total state workload;
- Allocates the baseline budget costs by facility type based on the state workload percentages;
- Determines total proposed SF budget cost comprised of baseline, incremental cost adjustments, and credits; and,
- Divides the proposed SF budget cost per facility type by the total number of facilities within the facility type or by the total number of beds to determine a per facility or per bed licensing fee.

State Workload Percentage for Each Facility Type

State workload percentages are calculated for each workload activity and grouped by facility type. Workload activities include state licensing, federal certification, and initial state and federal certification, follow up/revisits, complaint and investigations. The following basic data components are used to come up with the workload percentages for each workload activity within each facility type.

- The number of open and active facility counts (licensure and federal certification workload survey activities only);
- The annualized workload frequency for each workload activity as mandated by either state or federal statute requirements;
- The standard average hours based on the SAH report from the STS data. This data reflects the average hours required to complete each workload activity as reported in FY 2007-08; and,
- The state funding percentage. This is the percentage charged to the L&C SF based on the specific workload activity.

Attachment A, State Workload Percentage Calculation Chart, provides the detailed workload analysis by facility type that was used to calculate the state workload percentage for each facility

type for FY 2009-10. Because of the decision to hold fees steady in FY 2009-10, the workload percentages reflected in this attachment were not used. However, they have been included in this report for informational purposes.

Health Care Facilities Proposed Fees for Each Facility Type

Under current state statutes, program budget cost estimates shall be based on appropriated amounts including any adjustments. To meet the required provisions, it is necessary to project costs associated with the L&C SF starting with the baseline and reflecting each incremental adjustment. Costs are allocated by facility type based on the facility type's relative state workload percentage.

- The program's baseline budget cost is the appropriated amount based on the Budget Act. Costs are allocated across all facility types based on the facility type's relative workload percentage. L&C's baseline budget going into FY 2009-10 is \$91,995,000.
- Incremental cost adjustment to the baseline budget cost (i.e. employee compensation; retirement, pro-rata, one time loan, repayment cost, etc.) are added or deducted to the base and are allocated to facility types based on the relative state workload percentage. The total baseline budget cost adjustments amounted to a reduction of \$3,291,000.
- Budget Change Proposal(s) (BCPs) contained in the Governor's Budget are added to the base and are allocated to facility types impacted by the specific BCP(s) request. For FY 2009-10, the Governor's Budget did not propose BCPs that will impact health facility licensing fees.
- Credits to various facility types are based on those revenues that were collected in the last fiscal year for Change of Ownership (CHOW) and late fees. Ninety-five percent (95%) of the revenue collected by specific facility type is applied as a credit to specific facility types thus reducing the operational costs associated with that facility type. Five percent of the revenue collected under this category is retained in the L&C Special Fund as a prudent reserve. For FY 2009-10, there was a total credit of \$5,280,554 applied for CHOWs and late fees.

Once the L&C SF operational budget costs are determined and allocated by facility type, the license fee amount is calculated as follows:

- Outpatient Facilities: The proposed licensing fee for each facility type is determined based on the allocated SF operational budget cost divided by the total number of facilities.
- In-Patient Facilities: The proposed licensing fee for each bed is determined based on the allocated SF operational budget cost divided by the total number of beds in the facility type.

The methodology described above is the process used to calculate fees in accordance with H&S Code Section 1266(d). Because of fluctuations in workload by facility type as well as changes in the amount of credits for CHOWs and late fees, the calculations for most facility types reflected an increase in fees over the amounts paid in FY 2008-09. CDPH proposes to use program savings to offset fee increases for all facility types. CDPH has determined that \$5.3 million in program savings that has accumulated in the L&C Special Fund reserve balance can be used to offset these fee increases for FY 2009-10 without jeopardizing the fund solvency.

However, it needs to be noted that in future years when fees are again calculated based on statutorily mandated workload requirements, there will be fee increases across the board. While L&C cannot project what these increases will be in FY 10-11 based on workload percentages, the following table does provide what the fee increases would have been in FY 2009-10 if the fees were based on H&S Code Section 1266(d) workload methodology.

Summary of License Fees by Facility Type

The table below provides a comparison of license fee amounts for FYs 2008-09 and 2009-10. The fees listed for FY 2008-09 reflect the proposed fees as contained in the FY 2008-09 Governor's Budget and Annual Report to the Legislature as well as the final fees based on a one-time credit of \$7.7 million resulting from program savings generated in the L&C Program in FY 2007-08. These final fees are consistent with the appropriation levels approved in the FY 2008-09 Budget Act. For FY 2009-10, the table reflects two columns, the first being what the fees would be if based solely on workload and the second column reflecting fees that will be held steady after applying the \$5.3 million in program savings.

License Fees by Facility Type					
		FY 2008-09		FY 2009-10	
Facility Type	Fee Per Bed or Facility	Final Fee Amounts Per Budget Act		FY 2009-10 Initial Fee Based on Workload	FY 2009-10 Proposed Fee Amount (With \$5.3M Offset)
Adult Day Health Centers	Facility	\$3,995.61		\$4,202.83	\$3,995.61
Alternative Birthing Centers	Facility	\$2,430.93		\$4,811.16	\$2,430.93
Chemical Dependency Recovery	Bed	\$144.59		\$99.34	\$144.59
Chronic Dialysis Clinics	Facility	\$2,932.87		\$4,257.89	\$2,932.87
Community Clinics	Facility	\$600.00		\$2,176.26	\$600.00
Correctional Treatment Centers	Bed	\$422.15		\$1,005.02	\$422.15
Home Health Agencies	Facility	\$4,159.42		\$5,408.94	\$4,159.42
Hospice	Facility	\$1,875.41		\$2,705.64	\$1,875.41
Pediatric Day Health/ Respite Care	Bed	\$154.62		\$159.63	\$154.62
Psychology Clinics	Facility	\$1,099.99		\$3,528.92	\$1,099.99
Referral Agencies	Facility	\$3,564.13		\$5,408.82	\$3,564.13
Rehab Clinics	Facility	\$200.00		\$5,201.01	\$200.00
Surgical Clinics	Facility	\$1,918.00		\$8,270.30	\$1,918.00
Acute Psychiatric Hospitals	Bed	\$257.76		\$119.01	\$257.76
District Hospitals Less Than 100 Beds	Bed	\$257.76		\$128.85	\$257.76
General Acute Care Hospitals	Bed	\$257.76		\$128.85	\$257.76

		FY 2008-09	FY 2009-10	
Facility Type	Fee Per Bed or Facility	Final Fee Amounts Per Budget Act	FY 2009-10 Initial Fee Based on Workload	FY 2009-10 Proposed Fee Amount (With \$5.3M Offset)
Special Hospitals	Bed	\$257.76	\$128.85	\$257.76
Congregate Living Health Facilities	Bed	\$287.00	\$327.83	\$287.00
Intermediate Care Facility (ICF)	Bed	\$287.00	\$327.83	\$287.00
Skilled Nursing Facilities	Bed	\$287.00	\$327.83	\$287.00
ICF - Developmentally Disabled	Bed	\$938.01	\$1,504.37	\$938.01
ICF - DDH	Bed	\$938.01	\$1,507.37	\$938.01
ICF - DDN	Bed	\$938.01	\$1,504.37	\$938.01

Attachment B, Health Care Facilities Proposed Fee Chart, provides detailed information by facility type regarding the various baseline budget adjustments, credits, and program savings offsets that were factored into the calculation of licensing fee amounts for FY 2009-10.

STAFFING AND SYSTEMS ANALYSIS

H&S Code Section 1266(d)(2) requires L&C to prepare a staffing and systems analysis to ensure efficient and effective utilization of fees collected, proper allocation of departmental resources to L&C activities, survey schedules, complaint investigations, enforcement and appeal activities, data collection and dissemination, surveyor training, and policy development.

The following charts depict information from FY 2007-08, which represents the last full fiscal year in which the L&C Program has data. The purpose of displaying this information is to show the efficient and effective utilization of the fees that were collected in FY 2007-08.

Charts:

1. Number of Personnel Devoted to the L&C Health Care Facilities;
2. The Percentage of Time Devoted to L&C Activities for All Licensed Health Care Facilities;
3. Surveys and Follow-up Visits Performed;
4. Number and Timeliness of Complaint Investigations;
5. Data on Citations, Deficiencies, and Enforcements; and,
6. Other Applicable Activities.

Number of Personnel Devoted to the Licensing and Certification of Health Care Facilities

Health and Safety Code Section 1266(d)(2)(B)(i)

FY 2007-08

Personnel Types	14 Field Offices		Professional Certification Branch		Headquarters		Total L&C		LA County	
	# of Positions	% of Total	# of Positions	% of Total	# of Positions	% of Total	# of Positions	% of Total	# of Positions	% of Total
Surveyors & Consultants										
Surveyors ¹	487.75	63.97%		0.00%		0.00%	487.75	48.42%	121.00	74.92%
Consultants	53.00	6.95%		0.00%		0.00%	53.00	5.26%	5.00	3.10%
Administrative Support Personnel										
Managers/Supervisors & Support Staff ²	221.75	29.08%	87.5	100.00%	157.4	100.00%	466.65	46.32%	35.5	21.98%
Total	762.50	100%	87.50	100%	157.40	100%	1,007.40	100%	161.50	100%

This chart represents the number of surveyors, consultants and administrative support personnel devoted to the licensing and certification of health care facilities. The following detail describes the breakdown by function-

Personnel in the Field Offices and LA Country Contract:

This area of the chart represents the amount of staff in the 14 L&C field offices statewide and the 5 Los Angeles County offices. The Los Angeles County performs their own L&C activities and contracts with the CDPH to report information. L&C Field Offices and Los Angeles County perform the same common functions which include:

- Evaluating and reporting on services and conditions;
- Cite deficiencies and issue penalties;
- Issue, deny or revoke licenses, and approve plans of correction; and,
- Control performance of other public agencies' survey staff.

Professional Certification Branch:

This area of the chart represents the amount of staff in the Professional Certification Branch. This Branch in the L&C Division focuses on:

- The certification of Certified Nurse Assistants (CNAs), Home Health Aides (HHAs) and Certified Hemodialysis Technicians (CHTs); and
- The Health Professions Consultation and Nursing Home Administrator Program as well as data collection, reporting and investigations.

Headquarters:

This area of the chart represents the amount of staff in Headquarters who provide the following functions:

- Budgeting, Accounting and Personnel;
- Training, time reporting, and data collection;
- Policy development and interpretation;
- Regulations preparation, and legislative analysis of proposed legislation;
- Procurement and information technology support; and,
- Communication, including ongoing liaison and advocacy with industry and other public agencies.

¹Includes HFEN, HFE I and HFE Trainee classifications for Field Offices

²Includes HFEII (Supervisor) classifications for Field Offices

Data Source: Position count is validated from Salaries and Wages from L&C's Personnel Unit, Dated 12/24/08, LA County positions as outlined in Contract No. 05-45391

The Percentage of Time Devoted to L&C Activities for all Licensed Health Facilities Health and Safety Code Section 1266(d)(2)(B)(ii) FY 2007-08		
FACILITY TYPE	HOURS	
Adult Day Health Centers	1,624	0.18%
Alternate Birthing Center	0	0.00%
Chemical Dependency Recovery Hospital	185	0.02%
Chronic Dialysis Clinic	8,520	0.97%
Community and Free Clinics	5,502	0.63%
Correctional Treatment Center	3,382	0.38%
Home Health Agencies	40,188	4.57%
Hospice	6,482	0.74%
Pediatric Day Health or Respite Care	79	0.01%
Psychology Clinic	10	0.00%
Referral Agencies	48	0.01%
Rehabilitation Clinic	153	0.02%
Surgical Clinic	2,993	0.34%
Acute Psychiatric Hospital	7,460	0.85%
General Acute Care Hospital	85,512	9.73%
Congregate Living Health Facility	305	0.03%
Intermediate Care Facilities	10,179	1.16%
Skilled Nursing Facilities	588,164	66.95%
Intermediate Care Facilities DD, DDH, DDN	117,686	13.40%
TOTALS	878,474	100%

Data Source:
Surveyor Timekeeping System FY 2007-08 Standard Average Hour Report

Surveys and Follow-up Visits Performed

Health & Safety Code Section 1266(d)(2)(B)(iii)

FY 2007-08

	SURVEYS (Licensing)		SURVEYS (Certification)		FOLLOWUP Revisits
	Initial	Re- licensure	Initial	Re- certification	REVISITS*
Adult Day Health Centers	21	0	0	0	0
Alternative Birthing Center	0	0	0	0	0
Chemical Dependency Recovery	0	0	0	0	0
Chronic Dialysis Clinics	20	5	22	19	26
Community Clinics	19	13	13	6	7
Correctional Treatment Center	12	0	0	0	4
Home Health Agencies	68	0	45	201	211
Hospice	9	0	9	5	14
Pediatric Day Health/Respite Care	2	0	0	0	0
Psychology Clinic	1	0	0	0	0
Referral Agencies	0	0	0	0	0
Rehabilitation Clinics	4	0	2	9	14
Surgical Clinic	25	0	14	8	28
Acute Psychiatric Hospital	3	0	1	4	16
General Acute Care Hospital	123	7	3	28	105
Congregate Living Health Facility	6	0	0	0	0
Intermediate Care Facility (ICF/non-nursing)	1	0	0	0	0
Skilled Nursing Facility	45	23	12	1,159	3,344
ICF/Developmentally Disabled (ICF/DD); ICF/DD-H; ICF/DD-N	34	0	26	1,101	2,018
Totals	393	48	147	2,540	5,787
Category Totals	441		2,687		5,787
Category Percents	5%		30%		65%
Grand Total	8,915				

NOTES:

INITIAL LICENSING SURVEY: facilities that have applied for licensure

FOLLOW-UP VISITS: return visits to verify corrective actions in facilities where surveys or complaint investigations have recently occurred.

* This number is for both licensure and certification workload.

Data Source:
Surveyor Timekeeping System FY 2007-08 Standard Average Hour Report

Number and Timeliness of Complaint Investigations

Health & Safety Code Section 1266(d)(2)(B)(iv)

FY 2007-08

Complaints Received

	TOTAL Complaints Received	Number of Complaints Rec'd which required Investigation	% of Complaints Rec'd which required Investigation	Immediate and Serious (I&S) Complaints	Non-Immediate and Serious (I&S) Complaints
Long-Term Care	6,754	6,356	64.40%	442	5,914
Non Long-Term Care	3,727	3,474	35.60%	106	3,368
Total	10,481	9,830	100%	548	9,282

Timeliness of Complaint Investigation

	I&S Complaints Received	I&S Investigations Completed within 10 Days	% I&S Investigations Completed within 10 Days	Non I&S Complaints Received	# Non I&S Investigation Completed within 40 Days	% Non I&S Investigation Completed within 40 Days
Long-Term Care	442	306	69.23%	5,914	4,670	79.00%
Non Long-Term Care	106	65	61.32%	3,368	2,534	75.20%
Total	548	371	67.70%	9,282	7,204	77.60%

Notes: "I&S" means immediate and serious

An I&S complaint is defined in H&S Cost Section 1420(a)(1) as follows: The complaint involves a threat of imminent danger of death or serious bodily harm and requires Licensing and Certification to make an onsite inspection or investigation within 24 hours of the receipt of complaint.

A Non I&S complaint is defined in H&S Cost Section 1420(a)(1) as follows: A complaint which does not involve a threat of imminent danger of death or serious bodily harm and requires Licensing and Certification to make an onsite inspection or investigation within 10 days of the receipt of the complaint.

Data Source:
Surveyor Timekeeping System FY 2007-08 Standard Average Hour Report

Data on Citations, Deficiencies, and Enforcement

Health & Safety Code Section 1266(d)(2)(B)(v)

FY 2007-08 Source:

FACILITY TYPE	CITATIONS ISSUED (BY CLASSIFICATION)						DEFICIENCY	ENFORCEMENT PENALTY		TOTAL
	AA	A	B	WMF	WMO	RDO	Deficiencies Issued	Administrative Penalties (H&S 12801.1)	Failure to report Penalties (H&S Code 1279.1)	
Skilled Nursing Facility (SNF)	22	120	564	4	0	2	1,208			1,920
Intermediate Care Facility (ICF)	0	1	5	0	0	0	9			15
ICF/Developmentally Disabled (ICF/DD)	1	1	25	0	0	0	47			74
ICF/DD-Habilitative (ICF/DD-H)	0	8	91	0	1	0	143			243
ICF/DD-Nursing (ICF/DD-N)	1	5	26	0	0	0	36			68
Congregate Living Health Facility	0	0	1	0	0	0	1			2
Pediatric Day Health and Respite Care Facility (PDHRCF)	0	0	1	0	0	0	1			2
General Acute Care Hospital (GACH)							167	53	92	312
Acute Psychiatric Hospital (APH)							0	2	0	2
Special Hospital (SPHOSP)							3	0	1	4
TOTALS	24	135	713	4	1	2	1,615	55	93	2,642

Data on Citations, Deficiencies, and Enforcement

Health & Safety Code Section 1266(d)(2)(B)(v)

FY 2007-08

1. The relationship of deficiencies to citations issued is that a deficiency is a citation without a monetary penalty. A citation results when a survey or complaint investigation identifies a "deficiency" that can seriously affect the health or safety of a patient.
2. "AA" means it meets the definition of a Class "A" violation and was a direct proximate cause of patient death.
3. "A" means Immediate danger of death or serious harm to patients, or a substantial probability of death or serious physical harm to patients.
4. "B" means the level of violation is related to patient's immediate health, safety, or security. Can include emotional and financial elements.
5. "WMF" means willful material falsification.
6. "WMO" means willful material omission.
7. "RDO" means retaliation/ discrimination
8. Pursuant to Health & Safety Code, Section 1280.1, the California Department of Public Health (CDPH) is allowed to impose administrative penalties against general acute care, acute psychiatric and facilities licensed as special hospitals a maximum fine of \$25,000 per violation that constitutes immediate jeopardy to a patients' health and safety
9. Pursuant to health & Safety Code, Section 1280.4 the CDPH, may assess a civil money penalty in the amount of \$100 for each day that an adverse event is not reported following an initial five-day period or 24-hour period.

Citation Appeals Statewide

RESOLUTION TYPE	No.	%
Citation Review Conferences	170	58%
Administrative Law Judge	82	28%
Court Appeals	32	11%
Arbitration	8	3%
Total	292	100%

Data Source:

Data on Citations, Deficiencies and Enforcements, as supplied by the Grants and Fiscal Assessment Unit on 11/21/08

Other Applicable Activities

Health & Safety Code Section 1266(d)(2)(B)(vi)

Surveyor Training Provided in FY 2007-08

Course Name and Description	Total Number of Surveyors Trained
New Surveyor Orientation Academy	174
Clinic Training	119
Principles of Documentation Inservice	517
Principles of Investigation Inservice	321
Rehab Nuts and Bolts	55
State Licensing Process Title 22 Survey	59
ASPEN/ELMS Train-the-Trainers	16
Revised Complaint Process	40
Dietary In-service: Kitchen Survey Kits	21
Dietary In-service: Dietary Service Supervisor What's Acceptable	23
Dietary In-service: Roles of the Food Service Manager & Dietician	19
Insurance Fraud	24
Surveying for Infection Control	33
Health Facility Evaluator Supervisor Academy	55
Training Supervisor Workshop	39
Support Academy Week One	41
Support Academy Week Two - Computer Lab	36
CMS: ESRD Advanced Technical Training	6
CMS: ESRD Basic Accelerated Course	13
CMS: FSES/BC (Life Safety Code)	4
CMS: FSES/HC (Life Safety Code)	13
CMS: Home Health Agency (HHA) Basic	29
CMS: Hospital Basic (GACH)	188
CMS: Hospital Update – Advanced	21
CMS: ICF/MR Basic Course	65
CMS: Life Safety Code Basic Course	3
CMS: Long Term Care Basic Course	15
VIDEO CMS: How To Be An Effective Team	25
VIDEO CMS: Institution to Individualized Care II Transforming Systems	37
VIDEO CMS: Physical Restraint Use I	31
VIDEO CMS: Physical Restraint Use II	31
VIDEO CMS: Physical Restraint Use III	31
CMD Basic Long Term Care	25
CMS Basic Long Term Care	74
CMS: ESRD Advanced Technical Training	6
CMS: ESRD Basic Accelerated Course	13

Other Applicable Activities (Continued)

Health & Safety Code Section 1266(d)(2)(B)(vi)

Surveyor Training Provided in FY 2007-08

CMS: FSES/BC (Life Safety Code)	4
CMS: FSES/HC (Life Safety Code)	13
CMS: Home Health Agency (HHA) Basic	29
CMS: Hospital Basic (GACH)	188
CMS: Hospital Update – Advanced	21
CMS: ICF/MR Basic Course	65
CMS: Life Safety Code Basic Course	3

Data Source

Other Applicable Activities, as supplied by the L&C Training Unit on 11/25/08

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH
STATE WORKLOAD PERCENTAGE CALCULATION CHART
FY 2009-10

CLASSIFICATION		ANNUALIZATION								STATE WORKLOAD PERCENTAGE CALCULATION					
FACILITY	ACTIVITY	FACILITY COUNTS	Data Source /Basis	ANNUALIZED FREQUENCY RATE	SURVEY FREQUENCY RULE	ANNUALIZED WORKLOAD	Data Source/ Basis	STD AVERAGE HOURS	Data Source/Basis	ANNUALIZED HOURS (ANNUALIZED WORKLOAD x STD AVG HRS)	STATE % FUND SPLIT (Based in CalSTAR PCA Table)	Data Source/Basis	STATE WORKLOAD HRS (ANNUALIZED HRS x STATE % FUND SPLIT)	STATE WORKLOAD HRS AS A % TO TOTAL (STATE WORKLOAD HRS (PER FACILITY) / TOTAL STATE WORKLOAD HRS)	STATE WORKLOAD PERCENTAGES GROUPED BY FACILITY
ADULT DAY HEALTH CENTER	ADHC RELICENSURE (SURVEY)	334	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	50%	HSC 1279 (b) Once every 2 yrs (General Rule)	167		35	Based on historical 3-5 year average	5,845	100%	Per CalSTAR PCA Table	5,845	1.35%	1.66%
	INITIAL LICENSURE				HSC 1254; 1282; 1417 requires L & C programs to license health facilities doing business in California	21	Used timekeeping data	14.46	Timekeeping data	304	100%	Used the relicensure rate of 100% as basis	304	0.07%	
	COMPLAINT INVESTIGATION					53	Used timekeeping data	19.73	Timekeeping data	1,046	100%	Used FY 08-09 data. Not avail in CalSTAR PCA Table	1,046	0.24%	
ALTERNATIVE BIRTHING CENTERS	ABC RELICENSURE (SURVEY)	5	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	33%	HSC 1278. Once every 3 yrs.	1.67		69.51	Timekeeping data	116	100%	Per CalSTAR PCA Table	116	0.03%	0.03%
CHEMICAL DEPENDANCY RECOVERY HOSPITAL	CDRH RELICENSURE (SURVEY)	6	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	50%	HSC 1279 (b) Once every 2 yrs (General Rule)	3		41	Based on historical 3-5 year average	123	100%	Per CalSTAR PCA Table	123	0.03%	0.06%
	COMPLAINT INVESTIGATION					6	Used timekeeping data	26.08	Timekeeping data	156	100%	Used FY 08-09 data. Not avail in CalSTAR PCA Table	156	0.04%	
CHRONIC DIALYSIS CLINIC /ESRD	CDC RELICENSURE (SURVEY)	395	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	33%	HSC 1228 (a) Once every 3 yrs.	130.35		10.00	Timekeeping data	1,304	100%	Per CalSTAR PCA Table	1,304	0.30%	2.01%
	RECERTIFICATION (SURVEY)	467	Used FY 09-10 Federal Grant Workload Allocation	35%	CMS Tier 2, 10% & Tier 3, 25% (10%+ 25% = 35%) ref FY 2009 Federal Grant	163.45		83.88	Timekeeping data	13,710.19	25%	Per CalSTAR PCA Table	3,428	0.79%	
	INITIAL CERTIFICATION					20	Used FY 09-10 Federal grant data	28.71	Timekeeping data	574	25%	Used the recertification rate of 25% as basis	144	0.03%	
	INITIAL LICENSURE				HSC 1254; 1282; 1417 requires L & C programs to license health facilities doing business in California	20	Used timekeeping data	23.69	Timekeeping data	474	100%	Used the relicensure rate of 100% as basis	474	0.11%	
	COMPLAINT INVESTIGATION					531	Used timekeeping data	7.95	Timekeeping data	4,221	75%	Per Percentage Complaint Allocation % Table	3,166	0.73%	
	FOLLOW UP / REVISITS					26	Used timekeeping data	12.26	Timekeeping data	319	50%	Rule: 50% if the facility have both recertification and relicensure workload survey activities and 100 % if the workload survey activity is relicensure only.	159	0.04%	

CLASSIFICATION		ANNUALIZATION								STATE WORKLOAD PERCENTAGE CALCULATION						
FACILITY	ACTIVITY	FACILITY COUNTS	Data Source /Basis	ANNUALIZED FREQUENCY RATE	SURVEY FREQUENCY RULE	ANNUALIZED WORKLOAD	Data Source/ Basis	STD AVERAGE HOURS	Data Source/Basis	ANNUALIZED HOURS (ANNUALIZED WORKLOAD x STD AVG HRS)	STATE % FUND SPLIT (Based in CalSTAR PCA Table)	Data Source/Basis	STATE WORKLOAD HRS (ANNUALIZED HRS x STATE % FUND SPLIT)	STATE WORKLOAD HRS AS A % TO TOTAL (STATE WORKLOAD HRS (PER FACILITY) / TOTAL STATE WORKLOAD HRS)	STATE WORKLOAD PERCENTAGES GROUPED BY FACILITY	
COMMUNITY CLINIC (INCLUDING FREE CLINIC)	CC/PCC RELICENSURE (SURVEY)	828	Used Facility Counts Report dtd 10-28-2008 (COMTYC 920 -JC Accredited Clinics 131 + FREEC 39 = 828)	20%	20% is based on FY 08-09 projected frequency rate. HSC 1228 (a) requires once every 3 yrs.	165.60		45.32	Timekeeping data	7,505	100%	Per CalSTAR PCA Table	7,505	1.74%	2.41%	
	RECERTIFICATION (SURVEY) RHC	262	Used FY09-10 Federal Grant Workload Allocation	19%	CMS Tier 2, 5% & Tier 3, 14% (5 + 14 = 19%) ref FY 2009 Federal Grant Workload Allocation	49.78		64.62	Timekeeping data	3,217	25%	Used the Portable X-ray facility certification rate of 25% rate per CalSTAR PCA Table	804	0.19%		
	INITIAL CERTIFICATION					4	Used FY09-10 Federal Grant data	21.06	Timekeeping data	84	25%	Used recertification rate of 25% as basis	21	0.00%		
	INITIAL LICENSURE COMPLAINT INVESTIGATION				HSC 1254; 1282; 1417 requires L & C programs to license health facilities doing business in California	14	Used the average of 2 yrs workload data : (9 +19) / 2 = 14	25.66	Timekeeping data	359	100%	Used relicensure rate of 100% as basis	359	0.08%		
						76	Used timekeeping data.	23.59	Timekeeping data	1,793	91%	Per Percentage Complaint Allocation % Table	1,631	0.38%		
						7	Used timekeeping data.						Rule: 50% if the facility have both recertification and relicensure workload survey activities and 100 % if the workload survey activity is relicensure only.	98	0.02%	
	FOLLOW UP / REVISITS					7		27.87	Timekeeping data	195	50%					
CORRECTIONAL TREATMENT CENTERS (CTC is a State facilities)	CTC RELICENSURE (SURVEY)	18		50%	HSC 1279(a) Once every 2 yrs. (General Rule)	9		172	Based on historical 3-5 year average	1,548	100%	CalSTAR PCA Table	1,548	0.36%	0.89%	
	INITIAL LICENSURE COMPLAINT INVESTIGATION				HSC 1254; 1282; 1417 requires L & C programs to license health facilities doing business in California	8	Used the average of 2 yrs workload data : (12 +3) / 2 = 8	135.1	Timekeeping data	1,081	100%	Used relicensure rate of 100% as basis	1,081	0.25%		
						35	Used timekeeping data.	15.24	Timekeeping data	533	100%	Used FY 08-09 data. Not available in CalSTAR PCA Table	533	0.12%		
						4	Used timekeeping data.					Rule: 50% if the facility have both recertification and relicensure workload survey activities and 100 % if the workload survey activity is relicensure only.	666	0.15%		
	FOLLOW UP / REVISITS					4		166.62	Timekeeping data	666	100%					

CLASSIFICATION		ANNUALIZATION								STATE WORKLOAD PERCENTAGE CALCULATION						
FACILITY	ACTIVITY	FACILITY COUNTS	Data Source /Basis	ANNUALIZED FREQUENCY RATE	SURVEY FREQUENCY RULE	ANNUALIZED WORKLOAD	Data Source/ Basis	STD AVERAGE HOURS	Data Source/Basis	ANNUALIZED HOURS (ANNUALIZED WORKLOAD x STD AVG HRS)	STATE % FUND SPLIT (Based in CalSTAR PCA Table)	Data Source/Basis	STATE WORKLOAD HRS (ANNUALIZED HRS x STATE % FUND SPLIT)	STATE WORKLOAD HRS AS A % TO TOTAL (STATE WORKLOAD HRS (PER FACILITY) / TOTAL STATE WORKLOAD HRS)	STATE WORKLOAD PERCENTAGES GROUPED BY FACILITY	
HOME HEALTH AGENCIES	HHA RELICENSURE (SURVEY)	1,017	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	100%	HSC 1733. No less than once a year	1,017		25	Based on historical 3-5 year average	25,425	100%	Per CalSTAR PCA Table	25,425	5.88%	7.31%	
	RECERTIFICATION (SURVEY)	737	Used FY09-10 Federal Grant Workload Allocation	38%	CMS Tier 1. 33% & Tier 2. 5% (33 + 5 = 38) ref FY 2009 Federal Grant Workload Allocation	280		2.73	Timekeeping data	765	25%	Used HHA Title 19 Cert % data per CalStar PCA Table	191	0.04%		
	INITIAL CERTIFICATION					14		606.61	Based on historical 3-5 year average Timekeeping data	8,493	25%	Used recertification rate of 25% as basis	2,123	0.49%		
	INITIAL LICENSURE COMPLAINT INVESTIGATION					41		18.36	Used the average of 2 yrs workload data : (68 +14) / 2 = 41 Timekeeping data	753	100%	Used relicensure rate of 100% as basis	753	0.17%		
						205		14.48	Used timekeeping data Timekeeping data	2,968	75%	Per Percentage Complaint Allocation % Table	2,226	0.51%		
		FOLLOW UP / REVISITS					211		8.3	Used timekeeping data Timekeeping data	1,751	50%	Rule: 50% if the facility have both recertification and relicensure workload survey activities and 100 % if the workload survey activity is relicensure only.	876	0.20%	
HOSPICES	H RECERTIFICATION (SURVEY)	219	Used FY09-10 Federal Grant Workload Allocation	14%	CMS Tier 3. 7 yrs interval or 14% ref FY 2009 Federal Grant Workload Allocation	30.66		91.35	670 hours	2,801	25%	Per CalSTAR PCA Table	700	0.16%	0.78%	
	INITIAL CERTIFICATION					7		362.16	Based on historical 3-5 year average Timekeeping data	2,535	25%	Used recertification rate of 25% as basis	634	0.15%		
	INITIAL LICENSURE				HSC 1254; 1282; 1417 requires L & C programs to license health facilities doing business in California	9		29.74	Used timekeeping data. No sufficient data to do averaging Timekeeping data	268	100%	Used relicensure rate of 100% as basis	268	0.06%		
	COMPLAINT INVESTIGATION					75		23.99	Used timekeeping data. Timekeeping data	1,799	91%	Per Percentage Complaint Allocation % Table prepared by Maria	1,637	0.38%		
		FOLLOW UP / REVISITS					14		7.07	Used timekeeping data. Timekeeping data	99	50%	Rule: 50% if the facility have both recertification and relicensure workload survey activities and 100 % if the workload survey activity is relicensure only.	49	0.01%	
		LIFE SAFETY CODE					42		9.50	Used FY09-10 Federal Grant Workload 670 hours	399	25%	Used recertification rate of 25% as basis	100	0.02%	

CLASSIFICATION		ANNUALIZATION								STATE WORKLOAD PERCENTAGE CALCULATION						
FACILITY	ACTIVITY	FACILITY COUNTS	Data Source /Basis	ANNUALIZED FREQUENCY RATE	SURVEY FREQUENCY RULE	ANNUALIZED WORKLOAD	Data Source/ Basis	STD AVERAGE HOURS	Data Source/Basis	ANNUALIZED HOURS (ANNUALIZED WORKLOAD x STD AVG HRS)	STATE % FUND SPLIT (Based in CalSTAR PCA Table)	Data Source/Basis	STATE WORKLOAD HRS (ANNUALIZED HRS x STATE % FUND SPLIT)	STATE WORKLOAD HRS AS A % TO TOTAL (STATE WORKLOAD HRS (PER FACILITY) / TOTAL STATE WORKLOAD HRS)	STATE WORKLOAD PERCENTAGES GROUPED BY FACILITY	
PEDIATRIC DAY HEALTH / RESPITE CARE	PDHRC	RELICENSURE (SURVEY)	12	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	50%	HSC 1422 (b) (1) Once every 2 yrs.		6	Based on historical 3-5 year average	26	156	100%	CalSTAR PCA Table	156	0.04%	0.05%
		INITIAL LICENSURE COMPLAINT INVESTIGATION				HSC 1254: 1282; 1417 requires L & C programs to license health facilities doing	Used timekeeping data. No sufficient data to do averaging	2	13.26	Timekeeping data	27	100%	same % fund split as relicensure	27	0.01%	
							Used timekeeping data.	4	9.75	Timekeeping data	39	100%	Used FY 08-09 data. Not available in current CalSTAR PCA Table	39	0.01%	
PSYCHOLOGY CLINIC	PC	RELICENSURE (SURVEY)	24	Used Facility Counts 10-28-2008 (ELMS Active & Open List)	33%	HSC 1228. Once every 3 yrs.		8	Based on historical 3-5 year average	48	384	100%	CalSTAR PCA Table	384	0.09%	0.09%
		INITIAL LICENSURE COMPLAINT INVESTIGATION					Used timekeeping data. No sufficient data to do averaging	1	8	Timekeeping data	8	100%	same % fund split as relicensure	8	0.00%	
							Used FY 08-09 data	1	18	Based on historical 3-5 year average	18	100%	Used FY 08-09 data.	18	0.00%	
REFERRAL AGENCIES	RA	RELICENSURE (SURVEY)	9	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	50%	No State Statute. Historically, L&C has conducted survey cycles every 2 yrs.		4.50	Based on historical 3-5 year average	50	225	100%	CalSTAR PCA Table	225	0.05%	0.06%
		COMPLAINT INVESTIGATION					Used timekeeping data	2	20.25	Timekeeping data	41	100%	same % fund split as relicensure	41	0.01%	
REHAB CLINIC / OPT	RC	RELICENSURE (SURVEY)	17	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	33%	HSC 1228. Once every 3 yrs.		5.67	Based on historical 3-5 year average	32	181	100%	CalSTAR PCA Table	181	0.04%	0.10%
		RECERTIFICATION (SURVEY)	166	Used OPT count per FY 09-10 Federal Grant Workload Allocation	19%	CMS Tier 2. 5% & Tier 3. 14% (5 + 14 = 19) ref FY 2009 Federal Grant Workload Allocation		31.54	670 hours	22.31	704	25%	% rate per Maria to follow up. FY 08-09 rate is 100%	176	0.04%	
		INITIAL LICENSURE COMPLAINT INVESTIGATION				HSC 1254: 1282; 1417 requires L & C programs to license health facilities doing business in California	Used timekeeping data. No sufficient data to do averaging	4	16.68	Timekeeping data	67	100%	same % fund split as relicensure	67	0.02%	
							Used timekeeping data	2	7.16	Timekeeping data	14	63%	Used Percentage Complaint Allocation % Table prepared by Maria	9	0.0021%	

CLASSIFICATION		ANNUALIZATION								STATE WORKLOAD PERCENTAGE CALCULATION								
FACILITY	ACTIVITY	FACILITY COUNTS	Data Source /Basis	ANNUALIZED FREQUENCY RATE	SURVEY FREQUENCY RULE	ANNUALIZED WORKLOAD	Data Source/ Basis	STD AVERAGE HOURS	Data Source/Basis	ANNUALIZED HOURS (ANNUALIZED WORKLOAD x STD AVG HRS)	STATE % FUND SPLIT (Based in CalSTAR PCA Table)	Data Source/Basis	STATE WORKLOAD HRS (ANNUALIZED HRS x STATE % FUND SPLIT)	STATE WORKLOAD HRS AS A % TO TOTAL (STATE WORKLOAD HRS (PER FACILITY) / TOTAL STATE WORKLOAD HRS)	STATE WORKLOAD PERCENTAGES GROUPED BY FACILITY			
SURGICAL CLINIC / ASC	SC	RELICENSURE (SURVEY)	257	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	33%	HSC 1228. Once every 3 yrs.		84.81		Based on historical 3-5 year average	21	1,781	100%	CalSTAR PCA Table	1,781	0.41%	2.50%	
		RECERTIFICATION (SURVEY)	479	Used ASC count per FY 09-10 Federal Grant Workload Allocation Report	24%	CMS Tier 3.14 % & Tier 2, 10% (14 + 10 = 24) ref FY 2009 Federal Grant Workload Allocation		114.96		670 hours	93.63	10,763	25%	CalSTAR PCA Table	2,691	0.62%		
		INITIAL CERTIFICATION						13		Based on historical 3-5 year average	86.03	Timekeeping data	1,118	25%	same % fund split as recertification	280	0.06%	
		INITIAL LICENSURE				HSC 1254; 1282; 1417 requires L & C programs to license health facilities doing business in California		25		Used timekeeping data. No sufficient data to do averaging	13.52	Timekeeping data	338	100%	same % fund split as relicensure	338	0.08%	
		COMPLAINT INVESTIGATION						25		Used timekeeping data	29.69	Timekeeping data	742	91%	Used Percentage Complaint Allocation % Table prepared by Maria	675	0.16%	
		FOLLOW UP / REVISITS						28		Used timekeeping data	0.74	Timekeeping data	21	50%	Rule: Used the 50% State % split if the facility have both recertification and relicensure workload surveys and 100 % for relicensure workload only.	10	0.00%	
		LIFE SAFETY CODE						59		Used FY09-10 Federal Grant Workload Allocation	12.05	Timekeeping data	711	25%	CalSTAR PCA Table	178	0.04%	
		REVIEW MEDICAL ERROR PLAN						186		Based on historical 3-5 year average	26	Based on historical 3-5 year average	4,836	100%	Used FY08-09 data. Not available in current CalSTAR PCA Table	4,836	1.12%	
ACUTE PSYCHIATRIC HOSPITAL	APH	RECERTIFICATION (SURVEY)	37	Used FY09-10 Federal Grant Workload Allocation	20%	CMS Tier 3. Requires survey cycle of every 5 yrs or 20% (1 / 5 = 20%) (ref. FY09-10 Fed Grant Workload Allocation)		7.4		114.97	Timekeeping data	851	25%	Per CalSTAR PCA Table	213	0.05%	1.18%	
		INITIAL LICENSURE				HSC 1254; 1282; 1417 requires L & C programs to license health facilities doing business in California		3		Used timekeeping data. No sufficient data to do averaging	44.79	Timekeeping data	134	100%	Used the relicensure rate of 100% as basis	134	0.03%	
		COMPLAINT INVESTIGATION						403		Used timekeeping data.	11.83	Timekeeping data	4,767	91%	Used Percentage Complaint Allocation % Table prepared by Maria	4,338	1.00%	
		FOLLOW UP / REVISITS						16		Used timekeeping data.	51.32	Timekeeping data	821	50%	Rule: 50% if the facility have both recertification and relicensure workload survey activities and 100 % if the workload survey activity is relicensure only.	411	0.09%	

Note: No statute for relicensure. Per ELMS there are 37 facilities accounted for.

CLASSIFICATION		ANNUALIZATION								STATE WORKLOAD ALLOCATION RATE							
FACILITY	ACTIVITY	FACILITY COUNTS	Data Source /Basis	ANNUALIZED FREQUENCY RATE	SURVEY FREQUENCY RULE	ANNUALIZED WORKLOAD	Data Source/ Basis	STD AVERAGE HOURS	Data Source/Basis	ANNUALIZED HOURS (ANNUALIZED WORKLOAD x STD AVG HRS)	STATE % FUND SPLIT (Based in CalSTAR PCA Table)	Data Source/Basis	STATE WORKLOAD HRS (ANNUALIZED HRS x STATE % FUND SPLIT)	STATE WORKLOAD HRS AS A % TO TOTAL (STATE WORKLOAD HRS (PER FACILITY) / TOTAL STATE WORKLOAD HRS)	STATE WORKLOAD PERCENTAGES GROUPED BY FACILITY		
GENERAL ACUTE CARE HOSPITAL	GACH	RELICENSURE (SURVEY)	433	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	33%	HSC 1279 (c) Once every 3 yrs		142.89	6.00	Timekeeping data	857	100%	Per CalSTAR PCA Table	857	0.20%	10.91%	
		RECERTIFICATION (SURVEY)	62	Used FY09-10 Federal Grant Workload Allocation	27%	CMS Tier 2. 20% + 5% Tier 3. 2% (20 + 5 + 2 = 27) ref FY 2009 Federal Grant Workload Allocation		16.74	132.44	Timekeeping data	2,217	25%	Per CalSTAR PCA Table	554	0.13%		
		INITIAL CERTIFICATION						2	397.11	Timekeeping data	794	25%	Used recertification rate of 25% as basis	199	0.05%		
		INITIAL LICENSURE COMPLAINT INVESTIGATION						67	19.6	Timekeeping data	1,313	100%	Used relicensure rate of 100% as basis	1,313	0.30%		
								3,155	15.49	Timekeeping data	48,871	75%	Per Percentage Complaint Allocation % Table	36,653	8.48%		
											Used timekeeping data			Rule: 50% if the facility have both recertification and relicensure workload survey activities and 100 % if the workload survey activity is relicensure only.			
		FOLLOW UP / REVISITS						105	27.28	Timekeeping data	2,864	50%		1,432	0.33%		
		LIFE SAFETY CODE						17	111.46	Timekeeping data	1,895	25%	Per CalSTAR PCA Table	474	0.11%		
REVIEW MEDICAL ERROR PLAN		441	Used FY08-09 data	33%	HSC 1339.63 Frequency follows GACH rules of once every 3 yrs.		145.53	39	Based on historical 3-5 year average	5,676	100%	Used FY08-09 State fund split data	5,676	1.31%			
CONGREGATE LIVING HEALTH FACILITY	CLHF	RELICENSURE (SURVEY)	46	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	50%	HSC 1279(a) Once every 2 yrs. (General Rule)		23	60	Based on historical 3-5 year average	1,380	100%	Per CalSTAR PCA Table	1,380	0.32%	0.38%	
		INITIAL LICENSURE				HSC 1254; 1282; 1417 requires L & C programs to license health facilities doing business in California		6	19.76	Timekeeping data	119	100%	Used relicensure rate of 100% as basis	119	0.03%		
		COMPLAINT INVESTIGATION						6	23.12	Timekeeping data	139	100%	Used FY08-09 data. Not available in current CalSTAR PCA Table	139	0.03%		
INTERMEDIATE CARE FACILITY (ICF)	ICF	INITIAL LICENSURE					1	52.42	Timekeeping data	52	100%	same % fund split as relicensure	52	0.01%	0.95%		
		COMPLAINT INVESTIGATION					375	20.89	Timekeeping data	7,834	50%	Used FY08-09 data. Not available in current CalSTAR PCA Table	3,917	0.91%			
		CITATIONS (called "State Enforcement Action" in ELMS)					6	25	Based on historical 3-5 year average	150	100%	Used FY08-09 data. Not available in current CalSTAR PCA Table	150	0.03%			

CLASSIFICATION		ANNUALIZATION								STATE WORKLOAD PERCENTAGE CALCULATION						
FACILITY	ACTIVITY	FACILITY COUNTS	Data Source /Basis	ANNUALIZED FREQUENCY RATE	SURVEY FREQUENCY RULE	ANNUALIZED WORKLOAD	Data Source/ Basis	STD AVERAGE HOURS	Data Source/Basis	ANNUALIZED HOURS (ANNUALIZED WORKLOAD x STD AVG HRS)	STATE % FUND SPLIT (Based in CalSTAR PCA Table)	Data Source/Basis	STATE WORKLOAD HRS (ANNUALIZED HRS x STATE % FUND SPLIT)	STATE WORKLOAD HRS AS A % TO TOTAL (STATE WORKLOAD HRS (PER FACILITY) / TOTAL STATE WORKLOAD HRS)	STATE WORKLOAD PERCENTAGES GROUPED BY FACILITY	
SKILLED NURSING	SNF	RELICENSURE (SURVEY)	1,135	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	50%	HSC 1279 No less than once every 2 yrs.	568		670 hours	17,913	100%	CalSTAR PCA Table	17,913	4.14%	49.14%	
		RECERTIFICATION (SURVEY)	1,256	Used FY09-10 Federal Grant Workload Allocation	85%	CMS Tier 1. 85% ref FY 2009 Federal Grant Workload Allocation	1,067.60		Timekeeping data	267,882	12.5%	State allocation % rate for SNF certification activities per Maria . Ref email dtd 11-10-08	33,485	7.74%		
		INITIAL CERTIFICATION					10		402.9	Timekeeping data	4,029	12.5%	same % rate as recertification	504	0.12%	
		INITIAL LICENSURE					31		37.08	Timekeeping data	1,149	100%	same % fund split as relicensure	1,149	0.27%	
		COMPLAINT INVESTIGATION					10,842		17.09	Timekeeping data	185,290	71%	Used Percentage Complaint Allocation % Table prepared by Maria	131,556	30.43%	
		FOLLOW UP / REVISITS					3,344		3.69	Timekeeping data	12,339	50%	Rule: Used the 50% State % split if the facility have both recertification and relicensure workload surveys and 100 % for relicensure workload only.	6,170	1.43%	
		LIFE SAFETY CODE CITATIONS (called "State Enforcement Action" in ELMS)					1,068		13.67	Timekeeping data	14,600	25%	Title 19 = 25%; Title 19 & 18 = 12.5%. Check w/ Maria	3,650	0.84%	
		OTHER MISC ACTIVITIES					365		25	Based on historical 3-5 year average	17,800	100%	Used FY08-09 data	17,800	4.12%	
		RELICENSURE (SURVEY)	1,193	Used the Facility Counts Report dtd 10-28-2008 (ELMS Active & Open List)	50%	HSC 1279. Once every 2 yrs. (General Rule0	596.50		51	Based on historical 3-5 year average	30,422	100%	Per CalSTAR PCA Table	30,422	7.04%	19.50%
		RECERTIFICATION (SURVEY)	1,161	Used FY09-10 Federal Grant Workload Allocation	85%	CMS Tier 1. 85% ref FY 2009 Federal Grant Workload Allocation	986.85		51.19	Timekeeping data	50,517	50%	Used FY08-09 data. Not available in CalSTAR PCA Table	25,258	5.84%	
ICF - DEVELOPMENTALLY DISABLED (includes DDH & DDN)	ICF-DD;DDH	INITIAL LICENSURE				34		26.83	Timekeeping data	912	25%	Used IMR data per Maria	228	0.05%		
		COMPLAINT INVESTIGATION				2,338.00		12.75	Timekeeping data	29,810	75%	Used Percentage Complaint Allocation % Table prepared by Maria	22,357	5.17%		
		FOLLOW UP / REVISITS				2,018		1.41	Timekeeping data	2,845	50%	Rule: Used the 50% State % split if the facility have both recertification and relicensure workload surveys and 100 % for relicensure workload only.	1,423	0.33%		
		LIFE SAFETY CODE CITATIONS (called "State Enforcement Action" in ELMS)				1,161		6.8	Timekeeping data	7,895	50%	Assumed to have the same % fund split as certification since workload is Federal Grant based	3,947	0.91%		
		RELICENSURE (SURVEY)					27		25	Based on historical 3-5 year average	675	100%	Used FY08-09 data.	675	0.16%	
		INITIAL LICENSURE					34		26.83	Timekeeping data	912	25%	Used IMR data per Maria	228	0.05%	

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH
 PROPOSED HEALTH CARE FACILITIES FEE CHART
 FY 2009-10

Facility Type	BASELINE BUDGET COST ADJUSTMENTS													Baseline Budget Cost Adjustment Total	Revised Baseline Budget Cost Total	1,068,000	Program Cost Total	CREDIT ADJUSTMENT			FACILITY / BED COUNTS				PROPOSED FEE (NON-STATE & STATE)		
	91,995,000	296,000	192,000	(13,000)	1,319,000	(3,784,000)	(136,000)	(793,000)	3,000	102,000	545,000	(1,358,000)	336,000					(5,280,555)	(5,295,209)	Proposed Special Fund Total	NON STATE		STATE-OPERATED		Non-State	STATE	GF needed for State operated facility
	Beg Baseline Budget FY 08-09 4265-001-3098	General Salary Increase	Employee Comp	Retirement - PERS rate Adj	Pro Rata	Removal of 08-09 Budget Cycle Adjustment - Pro Rata	One Time Cost Reduction	Limited Term	Dept. of Technology Rate Adjustment	AG Rate Adjustment	Price Increase	Ovehead Cost Reallocation	Lease Revenue Debt Service Adj B					Loan Repayment (Final Payment)	Credit From: CHOW, CHON, And Late Fees	Credit From: L&C Program Savings		FACILITIE S	BEDS	FACILITIE S	BEDS		
Adult Day Health Centers																1,746,849	(90,053)	(322,262)	1,334,534	334				3,995.61			
Alternative Birthing Centers																14,253		(2,098)	12,155	5				2,430.93			
Chemical Dependency Recovery																83,596	(1,903)	0	81,693		565			144.59			
Chronic Dialysis Clinic																1,637,861	(118,228)	(359,905)	1,159,728	392		3		2,932.87	3,347.66	10,043	
Community Clinic/FreeC																1,916,071	(76,220)	(1,264,451)	575,400	959				600.00			
Correctional Treatment Centers																545,019		(53,132)	491,887		196	595	422.15	687.64	409,146		
Home Health Agencies																6,154,945	(1,059,125)	(865,690)	4,230,130	1,017				4,159.42			
Hospice																788,002	(70,087)	(278,601)	439,314	233		1		1,875.41	2,343.25	2,343	
Pediatric Day Health/ Respite																											
LT Care																44,747	(6,247)	0	38,500		249			154.62			
Psychology Clinic																87,053	(436)	(60,217)	26,400	24				1,099.99			
Referral Agencies																59,384	(6,448)	(20,859)	32,077	9				3,564.13			
Rehab. Clinic																136,537	(1,464)	(131,673)	3,400	17				200.00			
Rehab. Non-Profit																		0									
Surgical Clinic																2,011,227	(107,946)	(1,410,355)	492,926	257				1,918.00			
Acute Psychiatric Hospitals																					6,839	2,021	257.76	207.28	418,913		
District Hospitals Less Than 100 Beds																20,971,184	(995,137)	0	19,976,047					257.76			
General Acute Care Hospitals																					68,765	335	257.76	207.28	69,439		
Special Hospitals																						257.76					
Congregate Living Health																											
LT Facility																					389						
LT Intermediate Care Facility (ICF)																40,126,094	(2,502,739)	0	37,623,355		489	5,147	287.00	270.68	2,138,101		
LT Skilled Nursing																					122,764	2,752					
LT ICF - Developmentally Disabled																					997						
LT ICF -DDN																13,449,178	(244,520)	(525,967)	12,678,691		2,360	4,122	938.01	1,202.56	4,956,952		
LT ICF-DDH																					4,875						
	91,995,000	296,000	192,000	(13,000)	1,319,000	(3,784,000)	(136,000)	(793,000)	3,000	102,000	545,000	(1,358,000)	336,000	(3,291,000)	88,704,000	1,068,000	89,772,000	(5,280,554)	(5,295,209)	79,196,237	3,247	208,488	4	14,972	8,004,937		

NOTE: BECAUSE FY 2009-10 LICENSING FEES ARE BEING HELD STEADY AT THE FY 2008-09 LEVEL, BASELINE ADJUSTMENTS WERE NOT ALLOCATED BASED ON WORKLOAD.

Column totals may not tie due to rounding of percentage calculations.

Date Revised: 1/28/09