

SECTION 1424 NOTICE

CITATION NUMBER: 15-1284-0009498-S

Date: Time: _____

YOU ARE HEREBY FOUND IN VIOLATION OF APPLICABLE CALIFORNIA STATUTES AND REGULATIONS OR APPLICABLE FEDERAL STATUTES AND REGULATIONS

Type of Visit :
Incident/Complaint No.(s) : CA00322951

Licensee Name: State of CA Dept of Developmental Services
 Address: 1600 9TH STREET, RM 340 SACRAMENTO, CA 95814
 License Number: 150000089 Type of Ownership: State Agency

Facility Name: SONOMA DEVELOPMENTAL CENTER D/P ICFDD
 Address: 15000 Arnold Drive Glen Ellen, CA 95442
 Telephone:
 Facility Type: Intermediate Care Facility/Developmentally Disabled Capacity: 753
 Facility ID: 150000230

SECTIONS VIOLATED	CLASS AND NATURE OF VIOLATIONS	PENALTY ASSESSMENT \$25,000.00	DEADLINE FOR COMPLIANCE
76301(e)	<p>CLASS AA CITATION -- PATIENT CARE T22 DIV5 CH8 ART3 - 76301e Required Services</p> <p>(e) Client care provided by all team members shall be safe and considerate as ordered or indicated by the needs of the client and in accordance with acceptable standards of practice.</p> <p>The facility violated the above regulations</p> <div style="background-color: black; width: 100%; height: 100px; margin-top: 10px;"></div> <div style="background-color: black; width: 100%; height: 30px; margin-top: 10px;"></div> <div style="background-color: black; width: 100%; height: 100px; margin-top: 10px;"></div>		

Name of Evaluator: Linda Lucey HFEN Evaluator Signature : _____	Without admitting guilt, I hereby acknowledge receipt of this SECTION 1424 NOTICE Signature : _____ Name : _____ Title : _____
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NOTE: IN ACCORDANCE WITH CALIFORNIA HEALTH AND SAFETY CODE, FAILURE TO CORRECT VIOLATIONS IS GROUNDS FOR SUSPENSION OR REVOCATION OF YOUR LICENSE

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SECTIONS VIOLATED	CLASS AND NATURE OF VIOLATIONS
	[REDACTED]

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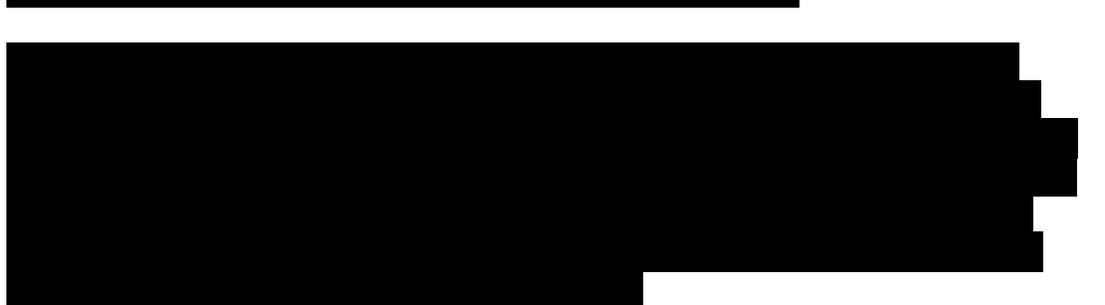
SECTIONS VIOLATED	CLASS AND NATURE OF VIOLATIONS
	[REDACTED]

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	<p>[REDACTED]</p> <p>[REDACTED]</p> <p>[REDACTED]</p> <p>[REDACTED]</p> <p>[REDACTED]</p> <p>[REDACTED]</p> <p>[REDACTED]</p> <p>[REDACTED]</p>

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	<div data-bbox="328 317 1429 548" style="background-color: black; width: 100%; height: 110px; margin-bottom: 10px;"></div> <div data-bbox="328 583 1442 699" style="background-color: black; width: 100%; height: 55px; margin-bottom: 10px;"></div> <div data-bbox="328 732 1458 999" style="background-color: black; width: 100%; height: 127px; margin-bottom: 10px;"></div> <p data-bbox="328 1037 1458 1297">On 6/21/12 at 2 p.m., a review of the facility document entitled, "GASTROSTOMY TUBE" dated February 2011, under the heading of, "Residual and Placement Checks" indicated, "Attach a feeding syringe to end of tube, lower the syringe below stomach level to allow gravity to fill syringe ..." and "... If there is no return do one of the following: ... Auscultation: Inject 10-20 ml (milliliter) of air in the GT (gastrostomy tube) while listening for a loud whooshing or gurgling sound in upper left quadrant of abdomen using a stethoscope."</p> <p data-bbox="328 1339 1458 1444">Auscultation is the act of listening to sounds arising within organs (as the lungs or heart) as an aid to diagnosis and treatment. Aspiration is breathing in a foreign object or liquid.</p> <p data-bbox="328 1486 1458 1633">Reference review on 6/21/12, indicated: ("... Do not use an auscultation method to check tube placement: it is not reliable." Preventing Aspiration During ... Gastrostomy Tube Feedings. Janice L. Palmer, MS, RN: Norma A. Metheny PhD, RN, FAAN. American Journal of Nursing, February 2008. Volume 108.")</p> <div data-bbox="328 1675 1323 1719" style="background-color: black; width: 100%; height: 21px;"></div>

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	<div data-bbox="329 279 1425 510" style="background-color: black; width: 100%; height: 110px; margin-bottom: 10px;"></div> <p data-bbox="329 548 1438 695">On 6/21/12 at 2 p.m., a review of the facility document entitled, "GASTROSTOMY TUBE" dated February 2011 indicated in bold underlined print, "... If the tube comes out and the balloon is intact (inflated), and with signs of trauma (e.g.bleeding) and with missing parts, notify the physician immediately."</p> <div data-bbox="329 732 1446 999" style="background-color: black; width: 100%; height: 127px; margin-bottom: 10px;"></div> <p data-bbox="329 1037 1450 1184">On 6/21/12 at 2 p.m. a review of the facility document entitled, "GASTROSTOMY TUBE" dated February 2011 indicated, "Balloon Gastrostomy Tube Placement. Performed by: Licensed level of care staff. (This means a Registered Nurse, a Licensed Vocational Nurse, or a Psychiatric Technician).</p> <p data-bbox="329 1222 1396 1335">On 6/21/12 at 6:15 p.m., a review of a revised procedure entitled, "GASTROSTOMY TUBE" dated June 2012 indicated, "Purpose: Direct licensed staff to appropriately place a gastrostomy tube ..."</p> <p data-bbox="329 1373 1429 1446">Neither the former or current policy described the limits of the conditions under which a Psychiatric Technician (PT) may insert a replacement gastrostomy tube.</p> <p data-bbox="329 1484 1443 1673">During a phone interview on 7/3/12, a representative of the California Board of Vocational Nursing and Psychiatric Technicians stated a PT may reinsert a gastrostomy tube in accordance with a physician's order into a well-healed, non-problematic tract. In all cases, the PT must possess the knowledge, skill and abilities to perform safely and competently.</p>

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	<p>[REDACTED]</p> <p>[REDACTED]</p> <p>[REDACTED]</p> <p>Therefore the facility failed to provide the physician services necessary [REDACTED] [REDACTED] Nursing policies did not provide for nursing services to ensure correct gastrostomy tube placement and nurses did not follow the policies in effect [REDACTED] [REDACTED]</p> <p>The facility failures presented an imminent danger to the patient and was a direct proximate cause of the death of the patient.</p>

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