



**California Department of Public Health**  
 Center for Health Care Quality  
 Licensing and Certification Program

**Field Operations**

Long-Term Care (LTC) Health Facility Federal Recertification Surveys

Data as of **September 30, 2014 (Quarter 4, FFY 2013-14)**

**VOLUME, TIMELINESS AND DISPOSITION**

Reporting Period	LTC Facility Type	Number of Certified Facilities Subject to Re-certification Survey by the end of each FFY	Number of Facilities Surveyed During Reporting Period	Percentage Completed During Reporting Period	Deficiencies Issued from Surveys	
					Cumulative Number	Average per Survey
<b>Current Federal Fiscal Year (FFY)</b>						
2013-2014, Cumulative through Quarter 4	Intermediate Care Facility – Individuals with Intellectual Disabilities (ICF-IID)	1,155	1,135	98%	4,731	4.2
	Skilled Nursing Facility (SNF)	1,215	1,153	95%	10,259	8.9
	<b>All LTC Total</b>	<b>2,370</b>	<b>2,288</b>	<b>97%</b>	<b>14,990</b>	<b>6.6</b>
<b>Previous Federal Fiscal Years</b>						
2012-2013	All LTC Total	2,369	2,368	100%	16,116	6.8
2011-2012	All LTC Total	2,367	2,367	100%	16,017	6.8

This table describes the volume, timeliness, and disposition of re-certification surveys of long-term care (LTC) facilities. All certified LTC facilities are due for a re-certification survey each 15.9 months (42 CFR §488.308). The ICF-IID category above includes all certified ICF-Developmentally Disabled (ICF-DD), ICF-DD-Habilitative (H), ICF-DD-Nursing (N), and ICF-DD-Continuous Nursing (CN) facilities. “Licensed-only” facility types that are not subject to re-certification, including Congregate Living Health Facilities, Pediatric Day Health and Respite Care Facilities, are not included in this reporting.

Table Notes:

- Column A lists the type of long-term care facilities that are subject to re-certification.
- Column B shows the number of long-term care facilities that are certified by the State of California and subject to recertification, by facility type. This includes distinct part facilities (data source: CASPER Reports 0820S-ICFIID and 0801S-SNF, October 8, 2014.)
- Column C shows the cumulative number of facilities surveyed from the beginning of the reporting period thru the end of the FFY quarter being reported (data source: CASPER Reports 0820S-ICFIID and 0801S-SNF). The FFY year reporting period for federal recertification surveys is from June 1 of one year to September 30 of the following year. For reporting purposes, the FFY Q1 report will include facilities surveyed June 1 thru December 31 (7 months); the FFY Q2 report will count facilities surveyed June 1 - March 31 (10 months); the FFY Q3 report will count facilities surveyed June 1 - June 30 (13 months); and the FFY Q4 report will count facilities surveyed June 1 - September 30 (16 months).
- Column D shows the percentage of surveys due by close of the FFY that were completed through close of the reporting period.
- Column E shows the total number of deficiencies issued for surveys (data source: QIES Workbench, October 8, 2014.) Licensing & Certification Program’s Field Operations may identify one or more deficiencies (violations of statutory or regulatory requirements) in the course of a survey.
- Column F shows the average number of deficiencies issued per survey.