



California Department of Public Health
 Center for Health Care Quality
 Licensing and Certification Program
Field Operations

Long-Term Care (LTC) Health Facility Federal Recertification Surveys
 Data as of **December 31, 2014 (through Quarter 2, SFY 2014-15)**

VOLUME, TIMELINESS AND DISPOSITION

Reporting Period	LTC Facility Type	Number of Certified Facilities Subject to Recertification Survey by the end of each FFY	Number of Facilities Surveyed During Reporting Period	Percentage of Facilities Surveyed During Reporting Period	Deficiencies Issued from Surveys	
					Cumulative Number	Average per Survey
Current Federal Fiscal Year (FFY)						
2014-2015, Cumulative through Quarter 2	Intermediate Care Facility – Individuals with Intellectual Disabilities (ICF-IID)	1,161	547	47%	2,148	3.9
	Skilled Nursing Facility (SNF)	1,214	493	41%	4,057	8.2
	All LTC Total	2,375	1,040	44%	6,205	6.0
Previous Federal Fiscal Years						
2013-2014	All LTC Total	2,370	2,288	97%	14,990	6.6
2012-2013	All LTC Total	2,369	2,368	100%	16,116	6.8
2011-2012	All LTC Total	2,367	2,367	100%	16,017	6.8

This table describes the volume, timeliness, and disposition of recertification surveys of long-term care (LTC) facilities. All certified LTC facilities are due for a recertification survey each 15.9 months (42 CFR §488.308.) The ICF-IID category above includes all certified ICF-Developmentally Disabled (ICF-DD), ICF-DD-Habilitative (H), ICF-DD-Nursing (N), and ICF-DD-Continuous Nursing (CN) facilities. "Licensed-only" facility types that are not subject to recertification, including Congregate Living Health Facilities, Pediatric Day Health and Respite Care Facilities, are not included in this report.

Table Notes:

- Column A lists the type of long-term care facilities that are subject to recertification.
- Column B shows the number of long-term care facilities that are certified by the State of California and subject to recertification, by facility type. This includes distinct part facilities (data source: CASPER Reports 0820S-ICFIID and 0801S-SNF, January 16, 2015.)
- Column C shows the cumulative number of facilities surveyed from the beginning of the reporting period thru the end of the FFY quarter being reported (data source: CASPER Reports 0820S-ICFIID and 0801S-SNF). The FFY year reporting period for federal recertification surveys is from June 1 of one year to September 30 of the following year. For reporting purposes, the FFY Q1 report will include facilities surveyed June 1 thru December 31 (7 months); the FFY Q2 report will count facilities surveyed June 1 - March 31 (10 months); the FFY Q3 report will count facilities surveyed June 1 - June 30 (13 months); and the FFY Q4 report will count facilities surveyed June 1 - September 30 (16 months.)
- Column D shows the percentage of surveys due by close of the FFY that were completed through close of the reporting period.
- Column E shows the total number of deficiencies (violations of statutory or regulatory requirements) issued for surveys (data source: QIES Workbench, January 16, 2015.) This number is cumulative for the reporting period. Licensing & Certification Program's Field Operations may identify one or more deficiencies in the course of a survey.
- Column F shows the average number of deficiencies issued per survey. Some facilities may be surveyed more than once per reporting period.