

Conflict of Interest Compliance Certificate

- A. CDPH intends to avoid conflicts of interest or the appearance of conflicts of interest on the part of the Contractor, subcontractors, or employees, officers and directors of the Contractor or subcontractors. Thus, CDPH reserves the right to determine, at its sole discretion, whether any information received from any source indicates the existence of a conflict of interest.
- B. Conflicts of Interest include but are not limited to the following:
1. An instance where the Applicant/Contractor or any of its subcontractors, or any employee, officer, or director of the Applicant/Contractor or any subcontractors has responsibility to promote or assist in the promotion of, the use or sale of tobacco or alcohol products for a company involved in the production, distribution, or marketing of tobacco or alcohol products.
 2. An instance where the Applicant/Contractor or any of its subcontractors, or any employee, officer, or director of the Applicant/Contractor or any subcontractors holds a position of interest, financial or otherwise, which would allow use or disclosure of information obtained while performing services for private or personal benefit or for any purpose that is contrary to the goals and objectives of the contract.
- C. If CDPH is aware of a known or suspected conflict of interest, the Applicant or Contractor will be given an opportunity to submit additional information or to resolve the conflict. An Applicant or Contractor with a suspected conflict of interest will have five (5) working days from the date of notification of the conflict by CDPH to provide complete information regarding the suspected conflict. If a conflict of interest is determined to exist by CDPH and cannot be resolved to the satisfaction of CDPH, before or after the award of the contract, the conflict will be grounds for rejection of the application and/or termination of the contract.
- D. The Applicant shall place this Certificate in its application response in accordance with the Application Requirements and Instructions section of the RFA. This Certificate shall bear the original signature of an official or employee of the proposer who is authorized to bind the proposer.
- E. This Certificate will be incorporated into the contract, if any, awarded from this RFA. It is understood that this requirement shall be in effect for the entire term of the contract. The Contractor shall obtain a completed Certificate from any proposed Subcontractors and submit it to CDPH prior to approval of the Subcontractor by CDPH.
- F. The Contractor and each Subcontractor shall notify CDPH, Cancer Detection Section at 1616 Capitol Avenue, Suite 74.421, MS-7203, P.O. Box 997377, Sacramento, CA 95899-7377 within ten (10) working days of any change to the information provided on this Certificate.
- G. CDPH's' determination of a suspected or potential conflict of interest will be based on all of the Applicant's/Contractor's business affiliations and contractual relationships. If the Applicant/Contractor or any of its subsidiaries or its parent company is in any way involved in the production, distribution, or marketing of tobacco and alcohol products, the Applicant/Contractor will be deemed to have a potential conflict of interest.

If the Applicant/Contractor has a business affiliation with a company above its parent company and/or with any of that affiliation's holdings, the Applicant/Contractor shall attach to this form a description of the relationship, a plan for ensuring that such a relationship will not adversely affect the State, and procedures to guard against the existence of an actual Conflict of Interest.

The undersigned hereby affirms that: (check one)

- The statements above have been read and no conflict of interest exists.
- A suspected or potential conflict of interest does exist, and additional information (as described B.3. above) is attached along with a plan to address the possible conflict of interest.

Name of Applicant: _____

Printed Name/Title: _____

Signature: _____ Date Signed: _____