

CERTIFIED NURSE ASSISTANT (CNA) AND/OR HOME HEALTH AIDE (HHA) INITIAL APPLICATION

(See instructions on the reverse)

MAIL OR FAX APPLICATION TO:
California Department of Public Health (CDPH)
Licensing and Certification Program (L&C)
Aide and Technician Certification Section (ATCS)
MS 3301, P.O. Box 997416
Sacramento, CA 95899-7416
PHONE: (916) 327-2445 FAX: (916) 552-8785
EMAIL: cna@cdph.ca.gov

THERE IS NO FEE TO PROCESS THIS APPLICATION. YOUR APPLICATION WILL NOT BE PROCESSED IF ALL APPLICABLE QUESTIONS ARE NOT ANSWERED.

SECTION I (REQUIRED)

TYPE OF REQUEST

- Check here if you are enrolling in a **CNA** training program (complete sections I, II, III, IV, and V)
- Check here if you are enrolling in a **HHA** training program (complete sections I, II, III, IV, and V)
- Check here if you have **EQUIVALENT TRAINING** (complete sections I, II, III, and V)
- Check here if you are requesting **RECIPROCITY FROM ANOTHER STATE** (complete sections I, II, III, and V) Indicate State: _____

SECTION II (REQUIRED)

Last Name		First Name	MI	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female
Mailing Address (Number and Street or P.O. Box Number)		City	State	Zip Code
Date of Birth	*Social Security Number (SSN) <small>*If you use an invalid SSN, your application process may be delayed</small>	Driver's License or State ID Number Number: _____ State: _____	Telephone Number	
Height	Weight	Hair Color	Eye Color	

SECTION III (REQUIRED)

- 1) Have you been **CONVICTED**, at any time, of any crime, other than a minor traffic violation? (You need not disclose any marijuana-related offenses specified in the marijuana reform legislation and codified at the Health and Safety Code, Sections 11361.5 and 11361.7).

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

 - If yes, list conviction: _____ Court of conviction: _____ Date: _____

- 2) Has any health-related licensing, certification or disciplinary authority taken adverse action (revoked, annulled, cancelled, suspended, etc.) against you?

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

 - If yes, indicate the type and number of license/certificate: _____

SECTION IV (IF APPLICABLE)

Name of school or facility where you received / will receive the CNA or HHA training		Telephone Number		
Mailing Address (Number and Street or P.O. Box Number)		City	State	Zip Code
California Training Program ID Number for CNA (Required) or California Training Program ID Number for HHA (Required) CNA: _____ HHA: _____		Beginning Date of CNA Training	End Date of CNA Training	
		Beginning Date of HHA Training	End Date of HHA Training	

SECTION V (REQUIRED)

I certify, under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

Signature of Applicant

Date

SECTION VI: TO BE COMPLETED BY THE REGISTERED NURSE RESPONSIBLE FOR THE GENERAL SUPERVISION OF THE TRAINING PROGRAM

I certify that this individual has successfully completed state and federal nurse assistant training requirements and is eligible to take the Competency Evaluation (**this section only applies to students that have recently completed a CNA Training Program in California**).

FOR VENDOR USE ONLY

Printed Name

Title

Signature

Date

CERTIFIED NURSE ASSISTANT (CNA) AND/OR HOME HEALTH AIDE (HHA) INITIAL APPLICATION INFORMATION

CRIMINAL RECORD CLEARANCE

Upon enrollment in a CDPH-approved training program, the applicant must be fingerprinted through the Live Scan process.

All convictions are reviewed. If the conviction prevents certification, the applicant will be notified. Applicants will not receive a certificate until they have received a criminal record clearance.

A) CNA APPLICANTS (complete sections I, II, III, IV, and V)

- 1) The applicant must submit the following to ATCS upon enrollment in the program and before patient contact:
 - a) This completed Initial Application (CDPH 283 B); **and**
 - b) The second copy of the completed Request for Live Scan Services (BCIA 8016) form.

B) HHA APPLICANTS (complete sections I, II, III, IV, and V)

- 1) Upon enrollment in the HHA training program, the applicant must submit the following to ATCS:
 - a) This completed Initial Application (CDPH 283 B).
 - b) The second copy of the completed Request for Live Scan Services (BCIA 8016) form (not required for applicants who are in a CNA training program); **and**
 - c) The Home Health Aide Certification List (CDPH 183), which is to be submitted by the training program after successful completion of the program.

C) EQUIVALENCY-TRAINED NURSE ASSISTANT APPLICANTS (complete sections I, II, III, and V)

- 1) If the applicant is presently enrolled in (or completed) a Registered Nurse, Licensed Vocational Nurse, or Licensed Psychiatric Technician program, or has received medical training in military services, or has received the above license(s) from a foreign country or U.S. state, the applicant may not have to take further training and may qualify to take the Competency Evaluation. Please submit the following to ATCS:
 - a) This completed Initial Application (CDPH 283 B).
 - If approved, the applicant will be sent information regarding the Competency Evaluation.
 - b) An official, **sealed** transcript of training (students may substitute the transcript with a **sealed** letter on official school letterhead, listing equivalent training and the completion of at least the "Fundamentals of Nursing" course). **The letter must include the completion date(s) of the training/courses and hours/units completed.** If discharged from the military, a copy of the DD-214 can substitute for an official transcript. If seeking certification with the use of a foreign transcript, a copy of the foreign transcript may be acceptable; **and**
 - c) Proof of work (paystub or W2) showing the applicant has provided nursing or nursing-related services in a facility to residents for compensation within the last two (2) years (not required for current nursing students or if the college degree was obtained within the last two (2) years); **and**
 - d) A copy of the completed Request for Live Scan Services (BCIA 8016) form.

D) RECIPROCIITY APPLICANTS (complete sections I, II, III, and V) Reciprocity is not granted for HHAs

- 1) If the CNA certification is active and in good standing on another state's registry, the applicant may qualify for certification in the State of California without taking CNA training or the Competency Evaluation. Please submit the following to ATCS:
 - a) This completed Initial Application (CDPH 283 B).
 - b) A copy of the state-issued certificate; **and**
 - c) Proof of work (paystub or W2) showing the CNA has provided nursing or nursing-related services in a facility to residents for compensation within the last two (2) years (not required for those who received their initial certification from another state within the last two (2) years); **and**
 - d) A copy of the completed Request for Live Scan Services (BCIA 8016) form. The applicant must be fingerprinted in the State of California to obtain criminal record clearance through this method; **and**
 - e) A completed Verification of Current Nurse Assistant Certification (CDPH 931) form, which must be completed by the applicant and submitted by the endorsing state agency.

E) CNA RENEWAL INFORMATION

- 1) CNA certificates must be renewed every two (2) years. You may renew your certificate any time within two (2) years after the expiration date, if by the time the certificate expires you will have completed the following:
 - a) You have previously received and maintained criminal record clearance for CNA, HHA, Intermediate Care Facility- Developmentally Disabled (ICF-DD), DD Habilitative, or DD Nursing and a criminal clearance is granted; **and**
 - b) You have provided nursing or nursing-related services in a health facility to residents for compensation (under the supervision of a licensed health professional) within your most recent certification period; **and**
 - c) You have successfully obtained and **submitted documentation** of forty-eight (48) hours of In-Service Training (provided by the Skilled Nursing Facility-SNF employer or Home Health Agency – HHA employer or Continuing Education Units (CEUs) (provided by a non-SNF/HHA employer) within your most recent certification period. The SNF In-Service documentation must be submitted on the CDPH 283A form, including the signature of the instructor responsible for the training. **Only CDPH-approved CEU providers with a Nurse Assistant Certification Number (NAC#) may provide CEUs for CNAs.** CEU certificates must be submitted with the renewal application. Twelve (12) of the forty-eight (48) hours shall be completed in each year of the two (2) year certification period. **A maximum of twenty-four (24) of the forty-eight (48) hours may be obtained only through a CDPH-approved online computer training program listed on our website.** Please visit www.cdph.ca.gov for a complete listing of CDPH-approved online CEU computer training programs and CDPH-approved classroom CEU providers.

F) HHA RENEWAL INFORMATION

- 1) HHA certificates must be renewed every two (2) years. You may renew your certificate any time within four (4) years after the expiration date of your certificate, if by the time your certificate expires you will have completed the following:
 - a) You have successfully obtained and **submitted documentation** of twenty-four (24) hours of In-Service Training/CEUs within your most recent certification period. The documentation must include a signature of the instructor who was responsible for the training. A minimum of twelve (12) of the twenty-four (24) hours shall be completed in each year of the two (2) year certification period (**HHAs may not complete online CEUs**).
 - b) If you do not meet the renewal requirement, you must retrain through a CDPH-approved HHA training program to receive an active HHA certificate.
- 2) If you have an active CNA certificate, you may renew at the same time as your HHA. Renewing the CNA and HHA certificates together requires the completion and submission of forty-eight (48) hours of In-Service Training/CEUs.

G) NAME AND ADDRESS CHANGES

- 1) Certificate holders shall notify CDPH within sixty (60) days of any change of address. If requesting a name change, submit legal verification of the change (marriage certificate, divorce decree, or court documents). Failure to report a name or address change may result in the delay or loss of your certification.

Aforementioned requirements are based on Health and Safety Code commencing with §1337 through 1338.5, 1725 through 1742 and Code of Federal Regulations Title 42, Chapter IV, commencing with §483.13 and California Code of Regulations, Title 22, commencing with §71801.

INFORMATION COLLECTION AND ACCESS-PRIVACY STATEMENT

*Social Security Number Disclosure: Pursuant to Section 666(a)(13) of Title 42 of the United States Code and California Family Code Section 17520, subdivision (d), the California Department of Public Health (CDPH) is required to collect social security numbers from all applicants for nursing assistant certificates, home health aide certificates, hemodialysis technician certificates or nursing home administrator licenses. Disclosure of your social security number is mandatory for purposes of establishing, modifying, or enforcing child support orders upon request by the Department of Child Support Services and for reporting disciplinary actions to the Health Integrity and Protection Data Bank as required by 45 CFR §§ 61.1 et seq. Failure to provide your social security number will result in the return of your application. Your social security number will be used by CDPH for internal identification, and may be used to verify information on your application, to verify certification with another state's certification authority, for exam identification, for identification purposes in national disciplinary databases or as the basis of a disciplinary action against you.



REQUEST FOR LIVE SCAN SERVICE

Applicant Submission

ORI (Code assigned by DOJ)

Authorized Applicant Type

Type of License/Certification/Permit OR Working Title (Maximum 30 characters - if assigned by DOJ, use exact title assigned)

Contributing Agency Information:

Agency Authorized to Receive Criminal Record Information

Mail Code (five-digit code assigned by DOJ)

Street Address or P.O. Box

Contact Name (mandatory for all school submissions)

City State ZIP Code

Contact Telephone Number

Applicant Information:

Last Name

First Name Middle Initial Suffix

Other Name (AKA or Alias) Last

First Suffix

Date of Birth Sex Male Female

Driver's License Number

Height Weight Eye Color Hair Color

Billing Number (Agency Billing Number)

Place of Birth (State or Country) Social Security Number

Misc. Number (Other Identification Number)

Home Address Street Address or P.O. Box

City State ZIP Code

Your Number: OCA Number (Agency Identifying Number)

Level of Service: DOJ FBI

If re-submission, list original ATI number: (Must provide proof of rejection)

Original ATI Number

Employer (Additional response for agencies specified by statute):

Employer Name

Mail Code (five digit code assigned by DOJ)

Street Address or P.O. Box

City State ZIP Code

Telephone Number (optional)

Live Scan Transaction Completed By:

Name of Operator

Date

Transmitting Agency LSID

ATI Number Amount Collected/Billed



**SAMPLE FOR CERTIFICATION OF NURSE ASSISTANTS OR HOME HEALTH AIDES
REQUEST FOR LIVE SCAN SERVICE**

Applicant Submission

A1226

ORI (Code assigned by DOJ)

Certification

Authorized Applicant Type

Certified Nurse Assistant (CNA) or Home Health Aide (HHA)

Type of License/Certification/Permit OR Working Title (Maximum 30 characters - if assigned by DOJ, use exact title assigned)

Contributing Agency Information:

California Department of Public Health (CDPH)

03314

Agency Authorized to Receive Criminal Record Information

Mail Code (five-digit code assigned by DOJ)

MS 3301, P.O. Box 997416

(Leave blank)

Street Address or P.O. Box

Contact Name (mandatory for all school submissions)

Sacramento

CA

95899-7416

(Leave blank)

City

State

Zip Code

Contact Telephone Number

Applicant Information:

Your last name

Your first name & middle initial

Last Name

First Name

Middle Initial

Suffix

Other Name *Other last names known as*

Other first names known as

(AKA or Alias) Last

First Name

Suffix

(Check one)

Date of Birth

Sex:

Male

Female

California Driver's License Number

Date of Birth

Driver's License Number

Height

Weight

Color

Color

Billing

Not Applicable

Height

Weight

Eye Color

Hair Color

Number

(Agency Billing Number)

Place of Birth

**Social Security Number (Required by CDPH)*

Misc.

Your telephone number

Place of Birth (State or Country)

Social Security Number

Number

(Other Identification Number)

Home

Your mailing address

Address

Street Address or P.O. Box

City

State

Zip Code

Your Number: **Social Security Number (Required by CDPH)*

Level of Service: DOJ

FBI

OCA Number (Agency Identification Number)

If re-submission, list ATI number:

(Must provide proof of Rejection)

Original ATI Number

Employer (Additional response for agencies specified by statute):

(Leave blank)

Employer Name

Mail Code (five-digit code assigned by DOJ)

Street Address or P.O. Box

City

State

Zip Code

Telephone Number (optional)

Live Scan Transaction Completed By:

Name of Operator

Date

Transmitting Agency

LSID

ATI Number

Amount Collected/Billed

VERIFICATION OF CURRENT NURSE ASSISTANT CERTIFICATION

PART I-To be completed by the applicant. Please PRINT clearly or TYPE.

Last name:		First name:		MI:	
*Social Security Number:		Date of birth: (Month/Day/Year)		Telephone number: ()	
Mailing address: (Number and Street Name or P.O. Box Number)			City	State	ZIP code
Originally certified under the last name of:			First	MI	
Original certificate number:		Original date of certification:		Date last provided certified nurse assistant duties:	

PART II-Must be completed by state agency from which applicant holds active certification and must be mailed directly by Agency to CDPH. (See address above.)

1. This individual is listed on the Nurse Aide Registry and has met all relevant Federal requirements pursuant to Title 42, Code of Federal Regulations (42 CFR), Sections 483.75, 483.150-483.156. Yes No
 Certification Number: _____ Expires: _____ Date of Issue: _____
2. Method of Certification (Check all that apply):
 - Certified by reciprocity from the state of: _____
 - Completed a state-approved training program of (specify number of hours): _____
 - Passed a state-administered competency evaluation (i.e. examination) on what date: (mm/dd/yy) _____
 - Not Available (please explain): _____
3. Is there documentation of substantiated abuse, neglect or misappropriation of resident property by this individual? (If yes, please attach explanation.) Yes No
4. Is there documentation of a felony conviction in a court of law? (If yes, please attach explanation.) Yes No
5. Disciplinary Status: None Revoked Denied Suspension Yes No

It is hereby certified that the above facts are stated from official records pertaining to this individual in the office of the undersigned.

Date



Name _____ Title _____
 Agency _____
 Address _____
 City _____ State _____ Zip Code _____
 Telephone _____

INSTRUCTIONS

CERTIFIED NURSE ASSISTANT (CNA) RECIPROCITY APPLICANTS FROM OTHER STATES

If the CNA certification is active and in good standing on another state's registry, applicant may qualify for certification in California without taking the CNA training or competency evaluation. Submit the following to CDPH:

- A completed Nurse Assistant and/or Home Health Aide Initial Application (CDPH 283 B); **and**
- A copy of your state-issued certificate; **and**
- Proof of work providing nursing or nursing-related services within the last two years (not required for those who received their **initial** certificates from another state in the last two years); **and**
- A copy of the completed Request for Live Scan form (BCIA 8016). You must wait until you move to California to obtain fingerprints through this method.
- This completed verification form (CDPH 931) needs to be completed by the state agency from which the individual currently holds an active certification.

Reciprocity applicants may work as nurse assistants (NA) while waiting for criminal clearance if the facility:

- Has verified the applicant is on the respective State's Registry in good standing and has an active status; **and**
- Has proof that the applicant has worked providing nursing or nursing-related services in the last 24 months; **and**
- Has proof that the NA has applied to CDPH for certification.

INFORMATION COLLECTION AND ACCESS: PRIVACY STATEMENT

*Social Security Number (SSN) Disclosure: Pursuant to Section 666(a)(13) of Title 42 of the United States Code and California Family Code, Section 17520. subdivision (d), the California Department of Public Health (CDPH) is required to collect SSNs from all applicants for nursing assistant, home health aide, hemodialysis technician certificates or nursing home administrator licenses. Disclosure of your SSN is mandatory for purposes of establishing, modifying, or enforcing child support orders upon request by the Department of Child Support Services and for reporting disciplinary actions to the Health Integrity and Protection Data Bank as required by 45 CFR subsection 61.1 et seq. Failure to provide your SSN will result in the return of your application. Your SSN will be used by CDPH for internal identification, and may be used to verify information on your application, to verify certification with another state's certification authority, for examination identification, for identification purposes in national disciplinary data bases or as the basis of a disciplinary action against you.