Pre-kindergarten (Child Care) and School Immunization Requirements

## California Immunization Handbook

For Pre-kindergarten (Child Care) Programs and Schools



### Introduction

To protect children against serious infections, the California school immunization law requires them to receive immunizations before entry to pre-kindergarten (child care or preschool) and school. In addition, the law requires pre-kindergarten facilities and schools to enforce immunization requirements, maintain immunization records of all children enrolled, and submit reports to public health agencies. (For links to the law, see page 30.)

The 13<sup>th</sup> edition of the California Immunization Handbook reviews school immunization requirements, staff responsibilities, procedures for evaluating immunization requirements, and reporting obligations. This handbook supersedes all earlier versions of the California Immunization Handbook. You can access an electronic version at <u>California's school immunization website</u>, (ShotsForSchool.org).

We encourage your school to use the School and Child Care Roster Lookup tool (SCRL) offered through <u>CAIR Hub</u> to help determine if a child has received all vaccines required for enrollment. View the <u>SCRL facts</u> to learn more and then follow the steps for <u>registration and enrollment</u>.

We salute California schools and pre-kindergarten providers for their conscientious efforts to protect the health of the children they educate.

If you have any questions or would like to order more blue California Pre-Kindergarten and School Immunization Record cards, please contact your <u>local health department's Immunization Program</u>, the health office of your school, or your school district.

Robert Schechter, M.D., Chief

Immunization Branch

California Department of Public Health

ShotsForSchool.org

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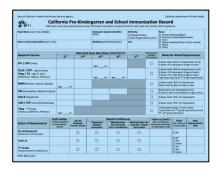
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### **Steps In Brief**

#### **How to Implement Immunization Requirements**

Pre-kindergarten (child care or preschool) facility<sup>1</sup> or school staff must:

- Obtain each child's personal immunization record, even if the child may qualify for exemptions from immunization requirements. (Examples of personal immunization records include the yellow California Immunization Record, other printout from a doctor's office or clinic, and the <u>digital</u> <u>vaccine record</u>.)
- 2. Complete a <u>California Pre-Kindergarten and School Immunization Record (PDF)</u> (CSIR/Blue Card/CDPH 286) for each child. Other written or electronic formats to record the information in the Blue Card are allowed. Many children have their immunization records in the California Immunization Registry (CAIR). CAIR and the School and Child Care Roster Lookup tool (SCRL) can print out filled Blue Cards. If you are instead completing the Blue Card by hand:



- Enter the child's identifying information on the top portion of the card, if not already done by the parent.
- Transfer vaccine dates from the child's personal immunization record to a Blue Card or into your computerized student information system.
- 3. Determine if requirements are met.
  - Compare the number of doses on the Blue Card to the tables on the <u>Child Care (Pre-K) Guide (PDF)</u> or <u>TK/K-12 School Guide (PDF)</u>. Note that both guides are available in other languages under <u>Implementation Tools</u>.
  - For temporary and permanent medical exemptions, see page 14.
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K-12<sup>th</sup> Grade

- 4. Complete the Status of Requirements boxes on the Blue Card.
- 5. Admit only those children who (a) have all required immunizations; (b) are not currently due for any immunizations but have doses due later (conditional admission); or (c) fit in one of the "Other" categories (see <a href="Exemptions FAQs">Exemptions FAQs</a>).

- File the completed Blue Card, or equivalent, in the child's cumulative folder or pre-kindergarten file. Schools must keep this immunization information on file in hard copy or electronic format for every child enrolled.
- 7. Follow up on those children who were not due for any doses when admitted but have pending doses due. (See page 19 for more information on following up with parents.)
- 8. If you discover an admitted student is overdue for required immunizations, notify the parent/guardian and allow no more than 10 school days to receive the required vaccine(s) or a medical exemption. Use the Letter to Parents available in multiple languages on the <a href="mailto:lmplementation Tools page">lmplementation Tools page</a> of <a href="mailto:ShotsForSchool.org">ShotsForSchool.org</a>. After the deadline, you must exclude the student from further attendance until the student comes into compliance with the requirements.
- 9. Every fall, submit <u>Immunization Assessment Reports</u> for pre-kindergarten, kindergarten, and 7<sup>th</sup> grade (page 26).

These steps are outlined in interactive <u>training videos for child-care facilities</u> and <u>schools</u>. Staff tasked with reviewing immunization records are encouraged to watch these online training videos. Please note that the training videos for child-care staff are also available in Spanish, Mandarin, and Cantonese.

1 Pre-kindergarten facilities include child-care facilities, child-care centers, day nurseries, nursery schools, family day-care homes (also known as family child-care homes), or development centers. There is an exemption from immunization requirements for children attending "drop-in" day-care centers (Health and Safety Code section 1596.799). Parents do not sign a contract with the center for ongoing care and use these centers on an "ad hoc" basis.

## The Basic Procedures

#### Obtain the Child's Personal Immunization Record

Before children under age 18 years are admitted to any pre-kindergarten facility or (TK/K-12) school<sup>1</sup> in California, either public or private, California law<sup>2</sup> requires that a parent or guardian present an immunization record to staff. Usually, this is the child's personal immunization record given to parents by the doctor or clinic. The personal immunization record must:

- ✓ identify the student by name and date of birth
- ✓ show the date (month, day, and year) each required vaccine dose was received
- √ have the type of vaccine received
- ✓ include the name of the physician or agency who gave the vaccine.



In some cases, it will be a yellow California Immunization Record or similar form given to parents by their doctors. Parents can also access their children's digital vaccine record from <a href="maydvr.cdph.ca.gov">mydvr.cdph.ca.gov</a> as proof of vaccination. Schools and child-care staff may also use the <a href="School and Child Care Roster Lookup (SCRL">School and Child Care Roster Lookup (SCRL)</a> to check children's immunization records in <a href="CAIR">CAIR</a> and help them determine if a child meets all immunization requirements to enter school or child care. Records from other states and countries are often acceptable.

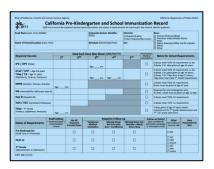
Staff should refer parents without records to their regular doctor; if a child does not have a health care provider, parents may be referred to the <u>local health</u> <u>department</u>.

1 Home schools are subject to the same immunization record keeping requirements as other schools or pre-kindergarten facilities (see <u>California Education Code, Title 2, sections 33190-48222</u>).

2 17 CCR section 6065 (PDF)

#### **Complete a Blue Card (or Equivalent)**

In California, the blue <u>California Pre-Kindergarten and School Immunization Record (PDF)</u> card is a tool pre-kindergarten facilities and schools can use to record information required to be on file for every child enrolled. This record card is sometimes referred to by its initials (CSIR), number (CDPH 286), or color (blue). For simplicity, in this Handbook it is called the "Blue Card."



The Blue Card may be filled out and saved electronically or printed and filled in by hand. Blue paper is not required. Free copies may be available from the <u>local health department</u> in your area. Blue Cards may also be printed with a student's immunization information from SCRL or CAIR. See <u>School and Child Care</u> Roster Lookup resources for additional information about SCRL.

In completing the Blue Card, or equivalent record, pre-kindergarten providers or school staff must enter all immunization dates. Staff must record all vaccine dates (month, day, and year) from the child's personal immunization record and complete the status of requirements section. For transfer students, you may use the previous school's Blue Card if it is available.

Guidance for completing the Blue Card is on the back of the card and in the "Processing Records" training videos for child-care and school staff.

The completed Blue Card, or equivalent immunization information, is part of the child's mandatory pupil record (cumulative folder) for school or file for pre-kindergarten. School immunization records may also be computerized (see page 25). Only one Blue Card should be in the student's cumulative folder. If there are several, a school may update one to make it complete, and either discard all others or staple them behind the new Blue Card.

## Transfers at Pre-kindergarten (Child Care or Preschool) Level

Sometimes pre-kindergarten facilities forward Blue Cards when children transfer or move on to kindergarten. In these cases, the prior Blue Card may be used in the new center or elementary school.

#### **Transfers between Schools**

For children transferring from another school in California or another state, the new school must review the student's immunization records to make sure all immunization requirements have been met. A valid record can be any of the following if it includes dates for all immunizations: the Blue Card or equivalent record, CAIR/SCRL record, another state's school record, or the child's personal immunization record.

When a child transfers from another school, we encourage schools to ask parents to bring their child's personal immunization record from the doctor or clinic to registration rather than wait for the cumulative folder (containing the immunization record) to arrive from the former school. Staff may admit transfer students without an immunization record for up to 30 school days of attendance while waiting for their former school's cumulative folder (or other immunization record) to arrive. Your school or district may set a shorter time frame or have no grace period at all.

When the record is received from the other school:

- If the child does not have the required immunization doses, allow no more than 10 (0-10) school days for the parents to submit records for any remaining doses due. Notify the parent of this deadline.
- If you do not receive documentation of receipt of the doses due after the 10 (or fewer) school days, the child must be excluded from attendance until proper documentation is submitted to the school.<sup>2</sup>

When the record is NOT received from the other school at the end of the 30-school-day (or fewer) grace period, you will have to contact the parents and follow up. The parents must present their child's personal immunization record to show that their child has met all immunization requirements. If not, staff will need to exclude that child until proper documentation is submitted. Students transferring after July 1, 2019, must meet the latest immunization requirements.

For students from another state, follow Basic Procedures (page 6) to complete a new Blue Card. Follow up on any students who were not due for any doses upon admission but still need additional immunization doses in accordance with the conditional admission schedule.

#### **Admitting Homeless or Foster Transfer Students**

The federal McKinney-Vento Homeless Assistance Act requires schools to enroll new students who are homeless even if their immunization records are missing or unavailable at the time of enrollment. California law requires schools to immediately enroll foster children transferring to their school even if a foster child is unable to produce immunization records normally required for school entry.<sup>3</sup> Once a homeless student or a foster child is enrolled, school staff should work with the foster family or school where the student was transferred from to obtain the student's immunization records quickly. The school staff should also work with their local health department to ensure these students receive any vaccinations they need. The law still requires that the school obtain the student's immunization record and ensure that these students meet all immunization requirements.

For foster students, Education Code section 48853.5 requires that within two business days of the foster child's request for school enrollment, the educational liaison for the new school shall contact the school last attended by the foster child to obtain all academic and other records.<sup>4</sup> The educational liaison for the school last attended shall provide all records to the new school within two business days of receiving the request.

#### **Admitting Students from another Country**

For children entering school from another country, you will need to obtain immunization records from the parents. Do not wait for a record from the previous country. Do not allow any grace period, but refer families without personal records, who are missing doses, or with foreign records that you cannot understand to their physician or <u>local health department</u>. Use this list of <u>foreign vaccine names (PDF)</u> as a reference. **Please note:** Oral polio vaccine (OPV) doses received on or after April 1, 2016, do not count toward the requirements.<sup>5</sup>

<sup>1</sup> The California Code of Regulations, Title 17, Division 1, Chapter 4, Subchapter 8, Article 3, section 6035(d) (PDF)

<sup>2</sup> The California Code of Regulations, Title 17, Division 1, Chapter 4, Subchapter 8, Article 3, section 6040 (PDF)

<sup>3</sup> Health and Safety Code, Division 105, Part 2, Chapter 1, section 120341(b)

<sup>4</sup> Education Code, Division 4, Part 27, Chapter 5.5, section 48853.5(f)(8)(C)

<sup>5</sup> Errata: Vol. 66, No. 1 | MMWR (cdc.gov)

## Determining if Requirements Are Met

The immunization requirements you must enforce are detailed in the Health and Safety Code sections 120325-120375 and California Code of Regulations sections 6000-6075, including Tables A-D (PDF). The Child Care (Pre-K) Guide (PDF) and School Guide (PDF) are also user-friendly resources to the required immunizations. For school staff, notes provided on versions of the Blue Card since 2019 can help you determine if the child has met school requirements. For transitional kindergarten, children must have met and provided documentation of required kindergarten immunizations prior to admission.



Using Tables A or B, compare the child's number of vaccine doses to the requirements and determine if all requirements are met. The **timing** of the following doses needs to be checked to meet requirements:

#### Pre-kindergarten, ages 15 months and older

Hib: At least one dose needs to be given on after the 1st birthday.

#### Pre-kindergarten and TK/K-12

MMR: Doses need to be given on or after the 1st birthday.

#### TK/K-12

#### DTaP.

- If a child has had 5 doses, requirement met no need to check the timing.
- If a child has only had 4 doses, the last dose needs to be given on or after the 4<sup>th</sup> birthday.
- If a child has only had 3 doses, at least 1 dose must be Tdap, DTaP, or DTP given on or after the 7<sup>th</sup> birthday.

#### Polio:

- If a child has had 4 doses, requirement met no need to check the timing.
- If a child has had 3 doses, the last dose needs to be given on or after the 4<sup>th</sup> birthday.
- If a child has oral polio vaccine (OPV) doses given on or after April 1, 2016, these do not count toward the requirement.<sup>3</sup>

#### Doses received 4 days or fewer before the birthday are considered valid.

A child can also meet requirements if his/her parent or guardian submits a medical exemption for one or more required vaccines along with the immunization record showing that the child has met all requirements for age or grade for vaccines not included in the medical exemption. (Additional information on medical exemptions is on page 14.)

In addition, starting January 1, 2016, students are no longer required to have immunizations for entry if they attend a home-based private school or an independent study program and do not receive classroom-based instruction. The immunization requirements do not prohibit students from accessing special education and related services required by their individualized education programs (IEPs). Schools are still required to request, maintain, and report records of immunizations that have been received for these students.

See answers to FAQs on <u>immunizations</u>, <u>transitional kindergarten</u>, <u>conditional</u> admission, exemptions, and the All Required Vaccines (ARV) Rate.

<sup>1</sup> Health and Safety Code, Division 105, Part 2, Chapter 1, section 120325-120375

<sup>2</sup> The California Code of Regulations, Title 17, Division 1, Chapter 4, Subchapter 8, sections 6000-6075 (PDF)

<sup>3</sup> Errata: Vol. 66, No. 1 | MMWR (cdc.gov)

#### 7th Grade Requirement: Tdap

California law requires that all students entering 7<sup>th</sup> grade receive a Tdap booster shot.<sup>1</sup> Schools with 7<sup>th</sup> grade must review the child's personal immunization record and record the immunization dates:

- If using a Blue Card version dated 2019 or later, record the date of Tdap vaccination in the "Tdap-7<sup>th</sup> Grade" row at the bottom of the "Required Vaccine" table on the Blue Card.
- If using SCRL or CAIR, Tdap vaccination information will be included in the "Tdap-7<sup>th</sup> Grade" row.

Schools may also find the customizable Missing Records Letter to Parents of Rising 6th Graders or Phone Script helpful in notifying parents of the requirements. They can be found on the <a href="mailto:lmplementation-tools-webpage-on-shotsForSchool.org">lmplementation Tools-webpage-on-shotsForSchool.org</a>.

#### If Requirements Are Met

If all the requirements are met, school staff must complete the Status of Requirements boxes on the Blue Card, or similar, following guidance on the back. Add the Blue Card, or similar, to the child's cumulative folder or pre-kindergarten file along with any exemption documentation. (Refer to the Exemptions to Requirements section beginning on page 14 for information on valid documentation.)

1 The California Code of Regulations, Title 17, Division 1, Chapter 4, Subchapter 8, sections 6000-6075 (PDF)

#### If Requirements Are Not Met

If the child does not meet immunization requirements, alert the parents and refer them to their doctor or clinic. You cannot allow the child to attend a pre-kindergarten facility or school unless the child has met all requirements or is not currently due for any immunizations but has doses due later. To avoid confusion, provide the parent with a written notice indicating what doses the child needs. Sample notices such as <a href="Letter to Parents: Immunizations Needed (PDF)">Letter to Parents: Immunizations Needed (PDF)</a> (see <a href="Implementation Tools">Implementation Tools</a> for additional languages) may be copied onto the school's or facility's letterhead.

Dear Parent/Guardian of:						
Our records show that your child ne of the California School Immunizatio						
VACCINE	MISSING DOSE(S) MARKED BELOW				DEADU	DEADLINE
Polio	□#1	□ #2	□#3	□ #4		
DTaP (Tdap/Td if 7 years or older)	□ #1	□ #2	□#3	□ #4	□ #S	
MMR	□ #1	□ #2				
Hib (preschool only)	#1	□ #2	□ #3	□ #4		
Hepatitis B	□ #1	□ #2	□#3			
Varicella (chickenpox)	□ #1	□ #2				
Tdap (for 7th-12th grade)	□ #1					
YOU NEED TO DO ONE OR MORE O  1. If your child has already received immunization record so that we	d all of the	e immuniz	ations mark	ed above, I		
immunizations checked above as 2. If your child has not received the child's immunization record to y Bring us your child's updated im grayined immunizations have be 3. If any of these immunizations w us a medical exemption form iss	e immuniza our doctor munizatior en receive ere not giv	tions mark or local he record aft d. en to your o	ed above, b alth depart er every im thild becau	ment to get munization se of medic	the missi visit until al reasons	ng doses. all of the , please bring
doctor (MD or DO licensed in Ca According to state law, we cannot a requirements are met by this date:	allow your			we receive	proof tha	it the above
For more information on pre-kinder		school imn or require a				

Depending on the requirement, you may admit children on condition that they receive the remaining dose(s) when due, according to the schedules shown in <u>Tables C and D: Conditional Admission Immunization Schedule (PDF)</u> **only** if the deadlines for next doses have not passed at the time of admission. See Admitting Children "On Condition" (page 16).

**Potential Scenario:** Wendy is entering TK. She receives her first-ever dose of varicella vaccine a month before the first day of school. She has received all of the doses for the other vaccines required for TK. May Wendy be admitted?

Yes. According to the conditional admission schedule (<u>Tables C and D:</u> <u>Conditional Admission Immunization Schedule [PDF]</u>), Wendy is not due yet for her 2<sup>nd</sup> dose of varicella vaccine. The 2<sup>nd</sup> dose of varicella vaccine can be given as early as 3 months but no later than 4 months after the 1<sup>st</sup> dose. You may admit her to TK on the condition that she presents documentation of her 2<sup>nd</sup> varicella vaccine dose no later than 4 months after the 1<sup>st</sup> dose.

#### If an Unmet Requirement is Found After Admission

After a child is admitted, if he or she is discovered to lack one or more immunizations, the parent or guardian must be notified (see <u>Letter to Parents: Immunizations Needed [PDF]</u>). In this circumstance, state regulations <sup>1</sup> **allow no more than 10 school days after you notify the parent** for the parent or guardian to present a record showing the child received the immunizations the child was previously lacking. The school or pre-kindergarten facility may set a deadline of fewer than 10 school days. After the deadline of 10 or fewer school days, you **must exclude** the child from further attendance until he or she comes into compliance with the requirements.

1 <u>The California Code of Regulations, Title 17, Division 1, Chapter 4, Subchapter 8, Article 3, section 6040 (PDF)</u>

#### **Exemptions to Requirements**

#### Personal Beliefs Exemptions (PBE)

Starting in 2016, a law enacted by Senate Bill 277 eliminates the option of PBEs. Parents or guardians of students in any school or pre-kindergarten facility, whether public or private, are no longer allowed to submit a PBE for a currently required vaccine.

For more information on prior PBEs, visit ShotsForSchool.org.

#### **Medical Exemptions**

Starting in 2021, a law enacted by Senate Bills 276 and 714 requires new medical exemptions to be issued using a State database and a standardized medical exemption form. Medical exemptions issued using the <u>California Immunization Registry-Medical Exemption website</u> (CAIR-ME) are the only medical exemptions schools and pre-kindergarten facilities can accept from students entering a new grade span in 2021 and beyond. Medical exemptions issued starting January 1, 2021, are subject to CDPH review and may be revoked. Schools and pre-kindergarten facilities will be notified of permanently revoked medical exemptions.

However, valid medical exemptions on file for students in attendance at a California school or pre-kindergarten facility prior to January 1, 2021, may remain valid until the student enrolls in the next grade span, typically at kindergarten (or transitional kindergarten) or 7th grade.

For additional information, refer to these resources:

- Verifying Medical Exemptions: Guide for School Staff (PDF)
- Verifying Medical Exemptions (Spanish, PDF)
- Interactive Training: "How to Process Medical Exemptions."

Criteria for a valid medical exemption (ME):

#### Filed on or after January 1, 2021, with no prior ME on file:

✓ ME issued using CAIR-ME

#### Filed between July 1, 2019, through December 31, 2020:

Signed, written statement from a physician (MD or DO) licensed in California which states:

- ✓ The specific nature of the physical condition or medical circumstance of the child for which a licensed physician does not recommend immunization.
- ✓ Each specific required vaccine that is being exempted.

- ✓ Whether the medical exemption is permanent or temporary.
- ✓ If the exemption is temporary, an expiration date no more than 12 calendar months from the date of signing.

#### Filed before July 1, 2019:

Written statement from a licensed physician (MD or DO) which states:

- ✓ That the physical condition or medical circumstances of the child are such that the required immunization(s) is not indicated.
- ✓ Which vaccines are being exempted.
- ✓ Whether the medical exemption is permanent or temporary.
- ✓ The expiration date, if the exemption is temporary.

Students with temporary medical exemptions (page 19) must be followed up to confirm that either:

- They have received exempted doses by the time the exemption expires, or that
- A new exemption has been issued using CAIR-ME.

**Potential Scenario**: Ana is entering 7th grade in 2025. She has a written doctor's note saying she had chickenpox disease and so is exempt from the chickenpox vaccine requirement. Will this doctor's note suffice?

No. Ana is entering a new grade span and will need a medical exemption issued in CAIR-ME (or record of receiving the required doses).

## Admitting Children "On Condition"

In limited circumstances, some children who have not received one or more of the required immunizations may attend child care or school while they catch up on these immunizations. If children have received at least the first dose of each required vaccine and are not due for the next doses at the time of admission, they may be admitted on the condition that they will receive still-needed doses as they become due in the future. The school or child-care provider must follow up (see Following Up with Parents, page 19) by notifying parents, checking documentation that the needed immunizations were received (usually by reviewing the child's updated personal immunization record), and updating the Blue Card with the dates for the catch-up immunizations once they have been received.

California Code of Regulations (CCR), Title 17, section 6035(b) (PDF) states that, "The governing authority shall **review records** of any pupil admitted conditionally to a school **at least every 30 days from the date of admission**, inform the parent or guardian of the remaining required vaccine doses until all required immunizations are received or an exemption is filed, and update the immunization information in the pupil's record."

If a child has NOT received all immunization doses which are currently due, the child must NOT be admitted until all doses currently due are received and documentation is provided. <u>Tables C and D, 17 CCR section 6035 (PDF)</u> show the intervals when doses are due. If a child has not received any dose of a required vaccine, a first dose MUST be received prior to admission.

For additional information, review the "Following Up on Conditional Entrants" training videos for <u>child-care</u> or <u>school</u> staff.

Examples of children who may attend child care or school under conditional admission include the following:

• Children younger than 18 months who have met all the requirements for admission are required to receive additional vaccine doses as they become older (see <u>Table A, 17 CCR section 6025 [PDF]</u>). These children may be conditionally admitted but must complete the remaining doses when they become due (<u>Table C, 17 CCR section 6025 [PDF]</u>). The child-care facility must notify the parent or guardian of the date by which the child must complete the remaining doses.

**Potential scenario:** You admitted Amy into your child-care facility at 3 months of age after she had received all doses required for her age (1 polio, 1 DTaP, 1 Hib, 1 Hepatitis B). When do you need to check her immunization record again and what doses does she need next?

According to <u>Table A, 17 CCR section 6025 (PDF)</u>, Amy is due for additional immunizations when she turns 4 months of age. Notify Amy's parents that she will need one additional dose of each vaccine (second doses of each: polio, DTaP, Hib, and Hepatitis B). Amy's parents have 30 days from when Amy turns 4 months old to share updated immunization records for these doses. If her parents do not provide proper documentation for these immunizations by 30 days after she turns 4 months old, you must exclude Amy from attendance until you receive it.

- Children who are NOT CURRENTLY DUE for any immunizations at the time of admission but have doses due later. These children may be conditionally admitted but must complete the remaining doses in accordance with <u>Table C or D</u>, <u>17 CCR section 6035 (PDF)</u>. The child-care facility or school must notify the parent or guardian of the date by which the pupil must complete all the required immunizations in accordance with <u>Table C or D</u> (PDF).
- Children admitted with a temporary medical exemption to one or more immunization(s) as documented by a physician (MD or DO) licensed in California prior to admission. To attend child care or school, these children must receive all vaccines except those listed in the exemption. For temporary exemptions, the CAIR-ME medical exemption form will include the date by which the exemption expires and can be no longer than 12 calendar months from the date the exemption is issued. Once this expiration date has occurred, the child may continue in attendance only after the school or child care receives documentation of receipt of the temporarily exempted vaccines or a new medical exemption issued using CAIR-ME.

#### **Establishing Deadlines for the Next Dose**

Most of the vaccines given to children require a series of several doses. Certain time intervals are recommended between doses. You do not need to consider intervals between doses that have already been received. Only the interval for the next needed immunization(s) should be taken into consideration.

In <u>Tables C and D: Conditional Admission Schedule (PDF)</u>, time intervals are given for the next dose of the vaccine series. The child should not receive the next dose until the first day of the time interval (the "Earliest Dose May be Given" column). The last day of the interval (the "Exclude if not Given by" column) is the deadline. Up until that deadline, the child may be admitted "on condition" that all remaining doses are received by their deadlines. If the next and remaining doses are not received by the deadline, the child must be excluded.

When you admit a child conditionally, the parent must be advised of the time interval and encouraged to have their child receive the next dose at the beginning of the time interval. Here is an example based on the intervals shown in Table D Conditional Admission Schedule (PDF).

**Potential scenario:** Emma, a 5-year-old student, received her first dose of Hep B vaccine on 5/15 and the second dose on 8/31. When is she due for the third dose of Hep B vaccine?

- Table D Conditional Admission Schedule (PDF) shows that Emma can get the third dose as soon as 8 weeks after the second dose, or on 10/26 in this example. Also, the third dose should not be given earlier than 4 months after the 1<sup>st</sup> dose, on or after 9/15. Let Emma's parents know that Emma should receive the third dose on 10/26 or later. However, the maximum time allowed is twelve months, or 8/31 of the following year.
- Recommend that Emma get the dose on 10/26 or shortly thereafter.
- Use the twelve-month deadline date to determine the exclusion date.
   Advise parents that Emma will be excluded the day after the deadline date, 9/1 of the following year in this example, unless they provide documentation that she received the third dose.

## Pre-Kindergarten (Child Care or Preschool): Children Younger Than Age 18 Months

Children younger than age 18 months may still be in the process of completing their basic immunization series. The child-care provider must follow up on each of these children until they receive all necessary shots. If they currently are up to date, they can be admitted "on condition" that they receive the next shots due as they age into new requirements, as shown in <u>Table A California Immunization</u> Requirements (PDF).

#### **Temporary Medical Exemptions**

A child whose **California-licensed physician** decides to postpone one or more immunizations because of a physical condition or medical circumstance may be admitted "on condition" that the needed dose(s) be obtained and an updated immunization record for the needed doses be submitted by the time the exemption expires.

See <u>exemption resources and FAQs</u> for additional information. (See page 14 for more information on medical exemptions.)

## Following Up with Parents

It is the responsibility of school and child-care staff to follow up on all children that need required immunizations in the future. Schools also need to follow up on transfer students when awaiting arrival of records from prior schools. This means that each child-care facility or school must develop a follow-up system for these children and employ it consistently.

The first key to success is being very clear with parents or guardians that you must enforce the law and the basic rule of, "No shots? No records? No school."

- No Shots? No School poster (PDF)
- No Shots? No School poster (Spanish, PDF)

#### Your follow-up system should include:

- 1. **Keeping lists of unimmunized** (exempt or conditionally admitted) children to refer to in case of disease outbreak.
- 2. **Reviewing your records monthly** (see Systems 1-4 on the following pages).
- 3. Helping other schools by making sure you forward cumulative folders to the new schools in a timely manner. Education Code section 49068 requires schools to send cumulative folders within 10 school days following the request from the new school. For foster students, Education Code section 48853.5(f)(8)(C) requires the educational liaison of the new school contact the foster child's school last attended to obtain all records; this must occur within 2 business days of the foster child's request for enrollment. The educational liaison of the school last attended shall provide all records to the new school within 2 business days of receiving the request.
- 4. **Using the shortest interval** to complete immunizations **is encouraged** but not required (see <u>Tables C and D Conditional Admission Schedules [PDF]</u>). For example, when a conditionally admitted child is in the 6- to 12-month interval between doses of DTaP, target the 6 months date for receiving the next dose rather than using the maximum 12 months. Notify parents of when the next dose is due but also recommend not to get the dose before the minimum interval is reached.
- 5. **Keeping in contact with the parents**. If immunizations are needed, parents should be sent a Letter to Parents:

  Immunizations Needed (PDF) (see Implementation Tools for additional languages) at the beginning of the month in which shots or records are due. Encourage parents to have their child receive the next dose during the beginning of the time interval. The exclusion date is the day after the deadline date and must be noted on the letter. Students may continue in attendance only if they provide documentation of having received the doses that are due. If the parent does not submit documentation showing the child has received the doses due, the law requires that the child (unless exempt) be excluded from further school/child care attendance until proof of adequate immunization is presented.

NOTICE OF IMMUNIZATIONS NEEDED

Due Franch show that your clidd reads the following immunisation(s) (shots) to meet the requirements of the collisions Solving Solving

6. Exclude children who do not meet requirements by the exclusion date. Almost all parents comply with requirements when they know their child must be excluded. If you need to exclude a child, usually the student's family quickly comes into compliance with the requirements, and the child is back in school as a result. However, the <u>Letter to Parents:</u> <u>Immunizations Needed (PDF)</u> and documentation of your follow-up efforts will provide the information you need for due process.

#### Follow-Up System Examples

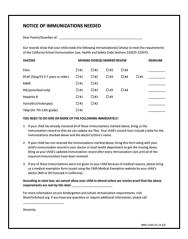
Described below are four systems currently used in various California child-care facilities and schools. Choose whichever system is best for you.

#### System 1 – Blue Card Folder

 Keep a separate file of the Blue Cards of children needing follow up. For schools, however, because the Blue Card or equivalent record is part of the child's permanent scholastic record, make sure that it is transferred with the cumulative folder if the child changes schools.



- Clip a note with the due date of each shot due onto the Blue Card.
- At the beginning of each month, call or send parents a
  letter to remind them that shots are due and of the
  deadline date. See the <u>Letter to Parents: Immunizations</u>
  <u>Needed (PDF)</u> and <u>Implementation Tools</u> for additional
  languages. Encourage parents to have their child
  receive the next dose at the beginning of the time
  interval. Note that the child must be excluded on the
  day after your deadline date if not immunized.
- Enter immunization dates on the Blue Card when the parents present the doctor or clinic record to verify the child's immunization status.



- Repeat the steps above if additional immunizations will be needed in the future, until all requirements are met.
- Exclude students who do not provide evidence of receiving the next doses due by the exclusion date.

- If a school or child-care facility later discovers that a conditionally admitted student has not complied in meeting the conditional admission requirements and previously had not been appropriately notified, the school or child care may allow up to 10 school days after notification to receive all vaccines currently due and provide documentation of these doses before excluding the student.
- When no more vaccine doses are needed, remove the Blue Card from the follow-up file, and return it to the student's cumulative folder or the childcare file for that child.
- When waiting for another school to send a cumulative folder and immunization record or Blue Card, include a blank Blue Card (with a note with the child's name attached to it) in the follow-up file. Write the deadline date for receipt of the record from the prior school (within the student's first 30 school days at your school). When the cumulative folder arrives from the prior school, review the Blue Card to make sure all required immunizations have been received. Indicate that review was done in the Status of Requirements section. If the transfer student is from out-of-state, complete a new Blue Card. (Note: When students leave your school, forward the cumulative folder with the Blue Card to the new school in a timely manner.)

#### System 2 – Index Card File



- A card file includes index cards, a box to hold them, and a set of month index cards (January-December).
- For a child needing future immunizations, enter his or her name on an index card and list all doses which will be needed. Using the <u>Child Care</u> (<u>Pre-K) Guide (PDF)</u> or <u>School Guide (PDF)</u>, determine the earliest date the child can receive the next dose and note the due date on the upper right-hand corner of the follow-up card.
- For a child whose immunization record is in transit from another school, enter the child's name and the deadline date for your school to receive the record (within 30 school days) in the upper right-hand corner of the followup card.
- File each follow-up card by the month the immunization or transfer record is due.

- At the beginning of each month, call or send parents a letter to remind them that shots are due and of the deadline date. See the <u>Letter to</u> <u>Parents: Immunizations Needed (PDF)</u> and <u>Implementation Tools</u> for additional languages. Encourage parents to have their child receive the next dose at the beginning of the time interval. Note the exclusion date as the day after the deadline date. Be sure to document on the index card the date the notice was sent.
- Enter immunization dates on the Blue Card when the parents present the doctor or clinic record to verify the child's immunization status.
- Repeat the steps above if additional immunizations will be needed in the future until all requirements are met.
- Exclude children who do not provide evidence of up-to-date immunizations by the exclusion date.
- When no more immunizations are needed, remove the card from the file box.

#### System 3 - Calendar

A calendar system may work well in a family child-care home or in a small school or center. Use a calendar with large spaces for each day to note the name of the child on the first day of the time interval an immunization is first due. To preserve confidentiality, the calendar should not be hung where it is visible to parents or the public.

Child-care facilities and schools may also choose to set reminders within their electronic or Internet-based calendars such as Microsoft Outlook Calendar.

## System 4 – Computerized Student Information Systems

Schools with computerized student information systems (see page 25) can run reports or create lists of students due for immunizations. Capabilities will vary according to the system being used. Contact your system administrator for details.

For additional information, review the interactive training:

- "Following Up on Conditional Entrants" for child-care staff or
- "Following Up on Conditional Entrants" for school staff.

# Transferring and Storing Immunization Records

For school children, the Blue Card is part of the mandatory permanent pupil record as defined in <u>California Code of Regulations</u>, <u>Title 5</u>, <u>section 430</u>.<sup>1</sup> Whenever a student leaves your school, you will need to send the Blue Card, or equivalent record, in the cumulative folder to the new school.<sup>2</sup> The sending district or school also must keep a copy of the mandatory permanent record including the Blue Card, or equivalent record.<sup>3</sup> (Information about computerizing school immunization records is on page 25.)

When the student graduates from high school or terminates schooling earlier, the Blue Card, or equivalent record, must be kept with the student's mandatory permanent record permanently.<sup>4</sup> It can be archived, computerized, or microfilmed with the scholastic record, depending on the procedure for record retention used by the school.

For child-care providers and facilities, the Blue Card, or equivalent record, must be kept with the child's file during the period of enrollment and for three years after the child leaves your care, as required by the California Department of Social Services.

- 1 <u>California Code of Regulations, Title 5, Division 1, Chapter 2, Subchapter 2, Article 3, section 430</u>
- 2 California Education Code section 49068
- 3 California Code of Regulations, Title 5, Division 1, Chapter 2, Subchapter 2, Article 3, section 438(a)
- 4 California Code of Regulations, Title 5, Division 1, Chapter 2, Subchapter 2, Article 3, section 437

## Computerizing School Immunization Records

Schools, school districts, and pre-kindergarten facilities may computerize their immunization record-keeping systems. The computerized systems must contain at a minimum the information outlined in regulation section 6070. Please contact <a href="mailto:lmmunizationBranch@cdph.ca.gov">lmmunizationBranch@cdph.ca.gov</a> or Immunization Branch, California Department of Public Health, 850 Marina Bay Pkwy, Richmond, CA 94804 if you need assistance.

A back-up electronic or manual copy of all records is recommended in case the original version is inadvertently lost or destroyed.

- 1. A complete hard copy of the Blue Card, or equivalent record, must be included in the mandatory cumulative folders of all students transferring from your school to another school in California.
- 2. For children with medical exemptions to the requirements, the medical exemption document must be kept on file.
- 3. Hard copies of the Blue Cards or equivalent records of each child's immunization record (i.e., all immunization dates) must be made available to health department personnel as needed for auditing purposes. Health and Safety Code section 120375(c)-(d) grants local health departments access to these records.

A PDF fill-in version of the Blue Card can be saved and printed. Free copies of the Blue Card may also be available from your <u>local health department</u>.

1 California Code of Regulations, Title 17, Division 1, Chapter 4, Subchapter 8, section 6070

## **Annual Reports and Special Surveys**

#### **Annual Immunization Reports**

All child-care facilities (with children ages 2-5 years), schools with kindergartens, and schools with 7<sup>th</sup> grades in California, whether public or private, are required to report the immunization status of children admitted each fall. Reporting instructions and deadlines for completing the annual immunization report can be found for pre-kindergarten, kindergarten, and 7<sup>th</sup> grades online at ShotsForSchool. Reports are submitted at CAIR Hub and require a registration process.

For additional information, review the "Reporting Immunizations" training videos for <u>child-care</u> or <u>school</u> staff online.

#### **Special On-Site Surveys**

Each year CDPH and local health department staff may visit schools and child-care facilities to check understanding of and compliance with immunization requirements and effectiveness of follow up. Other visits may be made or reports may be required when disease outbreaks or other problems relating to immunizations occur. Health and Safety Code section 120375(c)-(d) grants local health departments access to child-care or school immunization records.

## Disease Reporting, Screening, and Education Requirements

#### **Reporting Communicable Diseases**

Suspected cases or outbreaks of chickenpox, measles, pertussis and meningitis are amongst the diseases that must be reported by school or pre-kindergarten personnel to the <u>local health department</u> according to the <u>California Code of Regulations (PDF)</u> sections 2500, 2593, 2641-2643, and 2800-2812.

Note that some of these diseases must be reported immediately by phone. Please do not wait until the child returns to pre-kindergarten or school before contacting the <u>local health department</u>.

#### **Tuberculosis (TB) Screening Requirements**

Tuberculosis (TB) screening is not part of the California school immunization law. If you have questions regarding TB or TB screening requirements, please contact your local health department's <u>TB control program (PDF)</u>. Pre-kindergarten providers should check with their local child-care licensing agency.

## Human Papillomavirus (HPV) Education Requirements

Effective January 1, 2024, the Cancer Prevention Act (Aguiar-Curry, Assembly Bill 659, 2023) requires every public and private school to notify 6th grade students and their parents that they are advised to follow current HPV immunization guidelines before starting 8th grade, according to the <a href="Health and Safety Code">Health and Safety Code</a> and <a href="Education Code">Education Code</a>. The notifications must include specific information that is provided in the <a href="template letter to families">template letter to families</a> to help schools implement this law. See the <a href="Cancer Prevention Act webpage">Cancer Prevention Act webpage</a> for additional information and resources.

### **Useful Websites**

#### California State Information:

- <u>California Department of Public Health, Immunization Branch, Shots for School</u> (ShotsForSchool.org)
- <u>California Immunization Registry (CAIR)</u> (CDPH.CA.gov/CAIR)
- CAIR Hub (cairhub.cdph.ca.gov)
- <u>California Department of Public Health, Immunization Branch</u> (CDPH.CA.gov/immunize)
- <u>California Immunization Registry Medical Exemption</u> (cairme.cdph.ca.gov/home)
- California State Laws (LegInfo.Legislature.CA.gov)
- California Code of Regulations (Govt.Westlaw.com/calregs)

#### School Information:

<u>California Department of Education</u> (CDE.CA.gov)

#### **Child Care Information:**

- <u>California Department of Social Services, Community Care Licensing</u>
   <u>Division</u> (CDSS.ca.gov/inforesources/community-care-licensing)
- California Child Care Resource & Referral Network (RRnetwork.org)

#### **General Immunization Information:**

- Center for Disease Control and Prevention, Vaccines (CDC.gov/vaccines)
- American Academy of Pediatrics (AAP) (AAP.org/en/patientcare/immunizations)
- Immunize.org
- Vaccine Adverse Event Reporting System (VAERS) (VAERS.HHS.gov)

#### **College Information:**

American College Health Association (ACHA) (ACHA.org)

#### **California's Local Health Departments:**

 <u>Local Health Department Immunization Program Contact Information</u> (CDPH.CA.gov/Programs/CID/DCDC/Pages/Immunization/Local-Health-Department.aspx)

## On the Shots for School Website:

#### **Frequently Asked Questions**

- Regulations (2019 Changes)
- Transitional Kindergarten
- Transitional Kindergarten (Spanish)
- Exemptions
- Conditional Admission
- All Required Vaccines (ARV) Rate

#### Resources to Help You Implement the Law

- <u>Implementations Tools</u> (includes records and guides, notices to parents, and 7<sup>th</sup> grade immunization requirements materials)
- <u>Interactive training videos for child-care facilities</u> (also available in Spanish, Mandarin, and Cantonese)
- Interactive training videos for school staff
- Immunization Reporting
- Vaccine-Preventable Disease Terms in Multiple Languages (PDF)
- <u>The Cancer Prevention Act</u> (includes background, templates, flyers, and more)

#### Samples and Materials for Parents and Students

Resources for Parents

## California Statutes and Regulations

#### Statutes - California Health and Safety Code

- <u>Educational and Child Care Facility Immunization Requirements</u>, Division 105, Part 2, Chapter 1
- Immunization of College-Age Students, Division 105, Part 2, Chapter 1.5
- Meningococcal Immunization, Division 105, Part 2, Chapter 1.7
- Administration of Child Day Care Licensing, Division 2, Chapter 3.4, Article 2 (see §1596.813)
- Day Care Centers Administration
- Family Day Care Homes, Division 2, Chapter 3.6 (see §1597.541)

#### Regulations

<u>California Code of Regulations Title 17, Division 1, Chapter 4, Subchapter 8</u> (PDF)

- Table A: Immunization Requirements for Pre-kindergarten
- Table B: Immunization Requirements for Grades K-12
- Table C: Conditional Admission Schedule for Pre-kindergarten
- Table D: Conditional Admission Schedule for Grades K-12

Reportable Diseases and Conditions (PDF)