

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 08/04/2017
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 055987	(X2) MULTIPLE CONSTRUCTION A. BUILDING 02 B. WING _____		(X3) DATE SURVEY COMPLETED 07/26/2017
NAME OF PROVIDER OR SUPPLIER BROADWAY VILLA POST ACUTE			STREET ADDRESS, CITY, STATE, ZIP CODE 1250 BROADWAY SONOMA, CA 95476		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE	
K 000	<p>INITIAL COMMENTS</p> <p>Surveyor: 31201 K3 Building: 01 K6 Plan Approval: 7/1/1974 K7 Survey Under: 2012 Existing K12 Structure Type: One Story, Type V (111), Fully Sprinklered</p> <p>The following reflects the findings of the California Department of Public Health, during an annual Life Safety Code recertification survey. The findings are in accordance with 42 Code of Federal Regulations (CFR) 483.70 (a), National Fire Protection Association (NFPA) 101, Life Safety Code, 2012 Edition, and NFPA 99, Health Care Facilities Code, 2012 Edition.</p> <p>Representing the California Department of Public Health: 31201</p> <p>The facility is not in substantial compliance with 42 CFR 483.70 (a) for Long Term Care Facilities.</p>	K 000	<p>Preparation and execution of this response and PO do not constitute an admission or agreement by the provider of truth or accuracy of the alleged facts or conclusions set forth in this Statement of Deficiencies. This POC is prepared and/or executed solely due to the provisions of federal and state law require it. This POC is not an admission of noncompliance with the cited regulation(s).</p>		
K 161 SS-D	<p>CENSUS: 129</p> <p>NFPA 101 Building Construction Type and Height</p> <p>Building Construction Type and Height 2012 EXISTING</p> <p>Building construction type and stories meets Table 19.1.6.1, unless otherwise permitted by 19.1.6.2 through 19.1.6.7</p> <p>19.1.6.4, 19.1.6.5</p> <p>Construction Type 1 (442), I (332), II (222) Any number of stories non-sprinklered and</p>	K 161	<p><u>K 161- NFPA 101 Building Construction Type and Height</u></p> <p>Corrective action for residents found to have been affected by this deficiency:</p> <p>During tour of the facility laundry room a penetration above a water pipe, under the sink was found to have not been sealed properly by fire caulking. There were no specific resident identified as being affected.</p> <p>Corrective action for residents that may be affected by this deficiency:</p> <p>All residents can be affected by this deficient lack of maintenance.</p>	<p>CALIFORNIA DEPARTMENT OF PUBLIC HEALTH</p> <p>2017 AUG 14 PM 1:44</p> <p>LICENSING & CERTIFICATION PROGRAM</p>	

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See Instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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K 161	Continued From page 2 During a tour of the facility with staff on 7/26/17, the walls were observed.			K 161			
K 511 SS=E	<p>At 12:26 p.m., in the Laundry room, there was an approximately 1/2 inch penetration above a water pipe underneath a sink. The finding was confirmed by the Maintenance Director.</p> <p>NFPA 101 Utilities - Gas and Electric</p> <p>Utilities - Gas and Electric Equipment using gas or related gas piping complies with NFPA 54, National Fuel Gas Code, electrical wiring and equipment complies with NFPA 70, National Electric Code. Existing installations can continue in service provided no hazard to life. 18.5.1.1, 19.5.1.1, 9.1.1, 9.1.2</p> <p>This STANDARD is not met as evidenced by: Surveyor: 31201 Based on observation, the facility failed to maintain their wiring and electrical equipment. This was evidenced by light fixtures that had no cover. This could result in an electrical fire, and/or electrical shock. This affected four of four smoke compartments.</p> <p>NFPA 101, Life Safety Code, 2012 Edition 19.5.1 Utilities. 19.5.1.1 Utilities shall comply with the provisions of Section 9.1.</p> <p>9.1.2 Electric Systems. Electrical wiring and equipment shall be in accordance with NFPA 70, National Electrical Code, unless such installations</p>			K 511	<p><u>K 511 – NFPA 101 Utilities – Gas and Electric</u></p> <p>Corrective action for residents found to have been affected by this deficiency:</p> <p>During facility tour there were seven broken or missing light fixture covers. Fixtures were replaced and there were not any residents found to be negatively affected.</p> <p>Corrective action for residents that may be affected by this deficiency:</p> <p>All residents can be affected by this deficient practice. Corrective action was taken on 7/27/17 to replace all broken light fixtures.</p> <p>1. On 7/27/17 at 9:00AM the Maintenance Director Assistant replaced the light fixture cover in the Housekeeping closet near room 206.</p> <p>2. On 7/27/17 at 9:15AM the Maintenance Director Assistant replaced the light fixture cover in the Biohazardous Waste Storage near Room 610.</p> <p>3. On 7/27/17 at 9:30AM the Maintenance Director Assistant replaced the light fixture cover in the Janitor's Closet near room 507.</p> <p>4. On 7/27/17, at 9:45AM the Maintenance</p>		

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K 511	<p>Continued From page 3</p> <p>are approved existing installations, which shall be permitted to be continued in service.</p> <p>NFPA 70, National Electrical Code, 1999 Edition 370-25 In completed installations, each box shall have a cover, faceplate, or fixture canopy.</p> <p>410-12 Outlet Boxes to Be Covered. In a completed installation each outlet box shall be provided with a cover unless covered by means of a fixture canopy, lampholder, receptacle, or similar device.</p> <p>Findings:</p> <p>During a tour of the facility with staff on 7/26/17, the electrical wiring and equipment were observed.</p> <p>1. At 12:21 p.m., there was a missing light fixture cover in the Housekeeping closet near Room 206.</p> <p>2. At 12:50 p.m., there was a missing light fixture cover in the Biohazardous Waste Storage near Room 610.</p> <p>3. At 1:03 p.m., there was a missing light fixture cover in the Janitor's Closet near Room 507.</p> <p>4. At 1:33 p.m., there was a missing light fixture cover in the TV closet, located in the Business Office.</p> <p>5. At 1:50 p.m., there was a missing light fixture cover in the Housekeeping Storage near Room 502.</p> <p>6. At 1:52 p.m., there was a missing light fixture</p>	K 511	<p>Director Assistant replaced the light fixture cover in the TV closet, located in the Business Office.</p> <p>5. On 7/27/17 at 10:00AM the Maintenance Director Assistant replaced the light fixture cover in Housekeeping Storage near room 502.</p> <p>6. On 7/27/17 at 10:15 AM the Maintenance Director Assistant replaced the light fixture cover in Activity Storage near room 506.</p> <p>7. On 7/27/17 at 10:30 AM the Maintenance Director Assistant replaced the light fixture cover in the Medical Storage near room 609.</p> <p>Measures that will be put into place to ensure that this deficiency does not recur:</p> <p>The Maintenance department will conduct monthly assessment by performing visual checks of all light fixtures, insuring they are in good repair. If there are issues it will be reported to the Executive Director and corrective action will be taken immediately. Initial assessment was conducted on 7/27/2017.</p> <p>Measures that will be implemented to monitor the continued effectiveness of the corrective action taken to ensure that this deficiency has been corrected and will not recur:</p> <p>The Maintenance Director shall report any findings to the QA&A committee on a quarterly basis. The QA&A committee shall review any</p>	7/27/17	

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K 511	Continued From page 4 cover in the Activity Storage near Room 506.	K 511	findings on a quarterly basis to ensure ongoing compliance. The POC for this deficient practice will also be reviewed and monitored in the Quality Assurance meeting on a quarterly basis for effectiveness and competency of the plan.	7/27/17	
K 920 SS=D	<p>7. At 2 p.m., there was a missing light fixture cover in the Medical Storage near Room 609.</p> <p>NFPA 101 Electrical Equipment - Power Cords and Extens</p> <p>Electrical Equipment - Power Cords and Extension Cords Power strips in a patient care vicinity are only used for components of movable patient-care-related electrical equipment (PCREE) assemblies that have been assembled by qualified personnel and meet the conditions of 10.2.3.6. Power strips in the patient care vicinity may not be used for non-PCREE (e.g., personal electronics), except in long-term care resident rooms that do not use PCREE. Power strips for PCREE meet UL 1363A or UL 60601-1. Power strips for non-PCREE in the patient care rooms (outside of vicinity) meet UL 1363. In non-patient care rooms, power strips meet other UL standards. All power strips are used with general precautions. Extension cords are not used as a substitute for fixed wiring of a structure. Extension cords used temporarily are removed immediately upon completion of the purpose for which it was installed and meets the conditions of 10.2.4.</p> <p>10.2.3.6 (NFPA 99), 10.2.4 (NFPA 99), 400-8 (NFPA 70), 590.3(D) (NFPA 70), TIA 12-5</p> <p>This STANDARD is not met as evidenced by: Surveyor: 31201</p> <p>Based on observation, and interview, the facility failed to maintain their electrical wiring. This was evidenced by the use of an extension cord, and a power strip as substitutes for fixed wiring. This affected two of four smoke compartments, and</p>	K 920	<p><u>K 920 - NFPA 101 Electrical Equipment - Power Cords and Extension</u></p> <p>Corrective action for residents found to have been affected by this deficiency:</p> <p>No specific residents were identified to have been affected by this deficient practice.</p> <p>Corrective action for residents that may be affected by this deficiency:</p> <p>All residents have the potential to be affected.</p> <p>1. On 7/26/17 at 3PM the Maintenance Director removed the suspended power strip in the laundry room and plugged the iron and radios into wall outlets.</p> <p>2. On 7/26/17 at 3:10PM the Maintenance Director removed the yellow extension cord from the lounge room and plugged the air conditioner and two microwaves into wall outlets.</p> <p>Measures that will be put into place to ensure that this deficiency does not recur:</p> <p>On a weekly basis the Maintenance Director shall do a visual check throughout the building to look for the use of power strips and extension cords. If there are issues it will be reported to the Executive Director and corrective action will be taken immediately.</p>		

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K 920	<p>Continued From page 5 could result in the ignition of an electrical fire.</p> <p>NFPA 101, Life Safety Code, 2012 Edition 19.5.1 Utilities. 19.5.1.1 Utilities shall comply with the provisions of Section 9.1. 9.1.2 Electrical Systems. Electrical wiring and equipment shall be in accordance with NFPA 70, National Electrical Code, unless such installations are approved existing installations, which shall be permitted to be continued in service.</p> <p>NFPA 70, National Electrical Code, 2011 Edition 400.8 Uses Not Permitted. Unless specifically permitted in 400.7, flexible cords and cables shall not be used for the following: (1) As a substitute for the fixed wiring of a structure. (2) Where run through holes in walls, structural ceilings, suspended ceilings, dropped ceilings, or floors (3) Where run through doorways, windows, or similar openings (4) Where attached to building surfaces Exception to (4): Flexible cord and cable shall be permitted to be attached to building surfaces in accordance with the provisions of 368.56(B) (5) Where concealed by walls, floors, or ceilings or located above suspended or dropped ceilings (6) Where installed in raceways, except as otherwise permitted in this Code (7) Where subject to physical damage</p> <p>Findings</p> <p>During a tour of the facility with staff on 7/26/17, the electrical wiring and equipment was observed.</p>	K 920	<p>All suspended power strips or extension cords shall be removed if observed.</p> <p>Measures that will be implemented to monitor the continued effectiveness of the corrective action taken to ensure that this deficiency has been corrected and will not recur:</p> <p>The Maintenance Director shall report any findings to the QA&A committee on a monthly basis.</p> <p>The QA&A committee shall review any findings on a quarterly basis to ensure ongoing compliance. The POC for this deficient practice will also be reviewed and monitored in the Quality Assurance meeting on a quarterly basis for effective- ness and competency of the plan.</p>	<p>CALIFORNIA DEPARTMENT OF PUBLIC HEALTH</p> <p>2017 AUG 14 PM 1:24</p> <p>LICENSING & CERTIFICATION PROGRAM</p>	

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NAME OF PROVIDER OR SUPPLIER

BROADWAY VILLA POST ACUTE

STREET ADDRESS, CITY, STATE, ZIP CODE

1250 BROADWAY
SONOMA, CA 95476

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K 920	Continued From page 6 1. At 12:24 p.m., a hot iron, and two radios were plugged into a suspended power strip in the Laundry Room. 2. At 12:33 p.m., an air conditioner, and two microwaves were plugged into a yellow extension cord in the Lounge Room. The findings were confirmed by the Maintenance Director.	K 920		

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