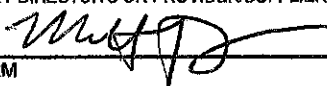


California Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: CA030000073	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED 03/03/2022
NAME OF PROVIDER OR SUPPLIER STOCKTON NURSING CENTER		STREET ADDRESS, CITY, STATE, ZIP CODE 4545 SHELLEY COURT STOCKTON, CA 95207		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	<p>Initial Comments</p> <p>The following reflects the findings of the California Department of Public Health during a staffing audit visit for 24 randomly selected days from 11/29/2020 to 02/28/2021.</p> <p>Representing the Department: N.K., Associate Governmental Program Analyst.</p> <p>Welfare and Institutions (W&I) Code section 14126.022 sets forth the Department's authority to conduct audits of direct caregiver nursing services provided to residents of skilled nursing facilities, and to establish procedures for conducting such audits through All Facility Letters (AFLs). <http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=14126.022.&lawCode=WIC></p> <p>AFL 21-11, setting forth the audit process and guidelines for facilities is available through the following link: <https://www.cdph.ca.gov/Programs/CHCQ/LCP/Pages/AFL-21-11.aspx></p> <p>Health and Safety Code (HSC) 1337-1338.5, sets forth the requirements for Certified Nurse Assistants is available through the following link: <https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?division=2.&chapter=2.&lawCode=HSC&article=9></p> <p>W&I section 14126.022 requires the Department to assess an administrative penalty to a SNF if the Department determines that the SNF fails to meet the DHPPD requirements pursuant to HSC sections 1276.5 or 1276.65. The Department shall assess an administrative penalty to any facility that fails to meet the applicable standard</p>	A 000	<p>A000 Initial Comments</p> <p>"This Plan of Correction is prepared and submitted as required by law. By submitting this Plan of Correction, Stockton Nursing and Rehab Center does not admit that the deficiency listed on this form exist, nor does the Center admit to any statements, findings, facts, or conclusions that form the basis for the alleged deficiency. The Center reserves the right to challenge in legal and/or regulatory or administrative proceedings the deficiency, statements, facts, and conclusions that form the basis for the deficiency."</p> <p>A200 HSC 1276.65 © (1) (B) SAS – 3.5 Standard</p> <p>The facility contracted with several registry companies locally: Advantage Staffing on 9/20/21, StrategiCareStaffing on 7/1/22, Sac Valley Nursing, Recruitment Alley, and Clipboard Health. A list of open positions was generated and ads were placed on Indeed.com in order to recruit more C.N.As and License</p>	

Licensing and Certification Division
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE



TITLE

Administrator

(X6) DATE

5/24/24

California Department of Public Health

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A 000	<p>Continued From page 1</p> <p>for staffing requirements on any given day. The applicable standard is 3.5 DHPPD and 2.4 DHPPD (CNA), unless an approved Workforce Shortage, Patient Needs, or COVID-19 Waiver is granted.</p> <p>The statute was not met as evidenced by the following findings:</p> <p>Final Audit Result:</p> <p>Total Distinct Non-Compliant Day(s) = 24</p> <table border="1"> <thead> <tr> <th>Date</th> <th>3.5</th> <th>2.4</th> </tr> </thead> <tbody> <tr><td>11/29/2020</td><td>*2.77*</td><td>*1.82*</td></tr> <tr><td>12/01/2020</td><td>*2.79*</td><td>*1.54*</td></tr> <tr><td>12/02/2020</td><td>*2.98*</td><td>*1.70*</td></tr> <tr><td>12/07/2020</td><td>*3.02*</td><td>*1.69*</td></tr> <tr><td>12/10/2020</td><td>*3.27*</td><td>*1.74*</td></tr> <tr><td>12/11/2020</td><td>*3.09*</td><td>*1.58*</td></tr> <tr><td>12/12/2020</td><td>*2.60*</td><td>*1.65*</td></tr> <tr><td>12/14/2020</td><td>*3.19*</td><td>*1.64*</td></tr> <tr><td>12/25/2020</td><td>*2.64*</td><td>*1.62*</td></tr> <tr><td>12/30/2020</td><td>*3.48*</td><td>*2.07*</td></tr> <tr><td>01/02/2021</td><td>*3.21*</td><td>*2.16*</td></tr> <tr><td>01/04/2021</td><td>4.01</td><td>*2.35*</td></tr> <tr><td>01/08/2021</td><td>*2.98*</td><td>*1.69*</td></tr> <tr><td>01/21/2021</td><td>*3.45*</td><td>*2.12*</td></tr> <tr><td>01/22/2021</td><td>*3.03*</td><td>*1.77*</td></tr> <tr><td>01/24/2021</td><td>*2.78*</td><td>*1.74*</td></tr> <tr><td>01/26/2021</td><td>*3.12*</td><td>*1.88*</td></tr> <tr><td>01/27/2021</td><td>*3.05*</td><td>*1.94*</td></tr> <tr><td>01/28/2021</td><td>*3.33*</td><td>*1.87*</td></tr> <tr><td>02/08/2021</td><td>*3.16*</td><td>*2.05*</td></tr> <tr><td>02/09/2021</td><td>*3.05*</td><td>*1.98*</td></tr> <tr><td>02/13/2021</td><td>*2.79*</td><td>*1.91*</td></tr> <tr><td>02/25/2021</td><td>*3.49*</td><td>*2.14*</td></tr> <tr><td>02/26/2021</td><td>*3.39*</td><td>*2.16*</td></tr> </tbody> </table> <p>*x.xx* = non-compliant date</p>	Date	3.5	2.4	11/29/2020	*2.77*	*1.82*	12/01/2020	*2.79*	*1.54*	12/02/2020	*2.98*	*1.70*	12/07/2020	*3.02*	*1.69*	12/10/2020	*3.27*	*1.74*	12/11/2020	*3.09*	*1.58*	12/12/2020	*2.60*	*1.65*	12/14/2020	*3.19*	*1.64*	12/25/2020	*2.64*	*1.62*	12/30/2020	*3.48*	*2.07*	01/02/2021	*3.21*	*2.16*	01/04/2021	4.01	*2.35*	01/08/2021	*2.98*	*1.69*	01/21/2021	*3.45*	*2.12*	01/22/2021	*3.03*	*1.77*	01/24/2021	*2.78*	*1.74*	01/26/2021	*3.12*	*1.88*	01/27/2021	*3.05*	*1.94*	01/28/2021	*3.33*	*1.87*	02/08/2021	*3.16*	*2.05*	02/09/2021	*3.05*	*1.98*	02/13/2021	*2.79*	*1.91*	02/25/2021	*3.49*	*2.14*	02/26/2021	*3.39*	*2.16*	A 000	<p>Nurses. Sign-on bonus was posted in the Indeed ads for \$1000 for full time CNAs and \$1500/\$2000 for license nursing who were hired full time, paid over a year. Referral bonuses of the same amounts for CNAs/LVNs/RNs were offered via posters to current staff if they were hired full time, paid over a year.</p> <p>The scheduler along with the D.O.N changed the license nurses schedule to 12-hour shifts with every other weekend off. The D.O.N posted the schedule on his door and highlighted open shifts for license nurses to pick up additionally.</p> <p>The Director of Nursing inserviced the scheduler, DSD and other department managers how to calculate the PPD and how to properly record their time sheets on the CDPH 530 form.</p> <p>The D.O.N. will create the monthly schedule a week in</p>	
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A 200	Continued From page 2	A 200		
A 200	<p>HSC 1276.65(c)(1)(B) SAS - 3.5 Standard</p> <p>(B) Effective July 1, 2018, skilled nursing facilities, except those skilled nursing facilities that are a distinct part of a general acute care facility or a state-owned hospital or developmental center, shall have a minimum number of direct care services hours of 3.5 per patient day, except as set forth in Section 1276.9.</p> <p>This Statute is not met as evidenced by: Facility failed to meet 3.5 Direct Care Service Hours Per Patient Day (DHPPD), Pursuant to HSC 1276.65(c)(1)(B) for 23 of 24 days.</p> <p>The statute was not met as evidenced by the following findings:</p> <p>The total number of actual direct care nursing hours performed by direct caregivers per patient day divided by the average census during the patient day failed to meet DHPPD Staffing Standard(s).</p> <p>Facility failed to maintain current, complete and accurate personnel and payroll records for all employees in accordance with CCR Title 22, section 72533. Time spent providing direct care could not be verified. Failure to provide the information has resulted in the exclusion of all service hours for such employees.</p> <p>Per HSC, section 1337.2 (g) "...It shall be unlawful for any person not certified under this article to hold himself or herself out to be a certified nurse assistant." CDPH found staff with</p>	<p>A 200</p> <p>A 200</p>	<p>advance for the next month. The schedule will be posted on the DON door. The DSD and DON will monitor the schedule by reviewing the overall PPD daily. If PPD is lower than 3.5, DSD will reach out to on-call staff to fill shifts. If no on-call staff are available, registry staff will be called to fill the shifts.</p> <p>A205 HSC 1276.65(c)(1)(C) SAS – 2.4 Standard</p> <p>The facility contracted with several registry companies locally for CNAs: Advantage Staffing on 9/20/21, StrategiCareStaffing on 7/1/22, Recruitment Alley, and Sac Valley Nursing, and Clipboard Health. A list of open positions was generated, and ads were placed on Indeed.com in order to recruit more C.N.As.</p> <p>Sign-on bonus was posted in the</p>	

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A 200	Continued From page 3 lapsed, suspended, expired, or revoked certification(s) and/or nurse assistants in training without active certification(s). This necessitated excluding all CNA service hours for such employees. Facility failed to replace staff that did not work as scheduled, and/or did not schedule to meet the minimum staffing requirements.	A 200	indeed ads for \$1000 for full time CNAs paid out over a year. Referral bonuses of the same amounts for CNAs were offered via posters to current staff if they were hired full time, paid over a year.	
A 205	HSC 1276.65(c)(1)(C) SAS - 2.4 Standard (C) Skilled nursing facilities shall have a minimum of 2.4 hours per patient day for certified nurse assistants in order to meet the requirements in subparagraph (B). This Statute is not met as evidenced by: Facility Failed to meet 2.4 Direct Care Service Hours Per Patient Day (DHPPD) performed by certified nurse assistants, pursuant to HSC 1276.65(c)(1)(C) for 24 out of 24 days. The statute was not met as evidenced by the following findings: The total number of actual direct care nursing hours performed by direct caregivers per patient day divided by the average census during the patient day failed to meet DHPPD Staffing Standard(s). Facility failed to maintain current, complete and accurate personnel and payroll records for all employees in accordance with CCR Title 22, section 72533. Time spent providing direct care	A 205	The Director of Nursing inserviced the scheduler, DSD and other department managers how to calculate the CNA PPD and how to properly record their time sheets on the CDPH 530 form if they had their C N A certificate. The D.S.D. will create the monthly schedule a week in advance for the next month. The schedule will be posted on the DSD door. The DSD and DON will monitor the schedule by reviewing the CNA PPD daily. If PPD is lower than 2.4, the DSD will reach out to on-call staff to fill the CNA shifts. If no on-call staff are available, registry staff	

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A 205	<p>Continued From page 4</p> <p>could not be verified. Failure to provide the information has resulted in the exclusion of all service hours for such employees.</p> <p>Per HSC, section 1337.2 (g) " ...It shall be unlawful for any person not certified under this article to hold himself or herself out to be a certified nurse assistant. " CDPH found staff with lapsed, suspended, expired, or revoked certification(s) and/or nurse assistants in training without active certification(s). This necessitated excluding all CNA service hours for such employees.</p> <p>Facility failed to replace staff that did not work as scheduled, and/or did not schedule to meet the minimum staffing requirements.</p>	A 205	will be called to fill the CNA shifts.	