

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 07/10/2013  
FORM APPROVED  
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>055619</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____  B. WING _____		(X3) DATE SURVEY COMPLETED  <b>C</b>  <b>07/09/2013</b>
NAME OF PROVIDER OR SUPPLIER  <b>PLOTT NURSING HOME</b>			STREET ADDRESS, CITY, STATE, ZIP CODE <b>800 EAST FIFTH STREET</b> <b>ONTARIO, CA 91764</b>		
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F 000	<b>INITIAL COMMENTS</b>  The following reflects the findings of the California Department of Public Health during an abbreviated survey to investigate a complaint.  Complaint number: CA00357871  Representing the California Department of Public Health: 26504  The inspection was limited to the specific complaint investigated and does not represent the findings of a full inspection of the facility.  One deficiency was issued for complaint number: CA00357871	F 000	Plott Nursing Center ("PNC") makes its best effort to operate in full compliance with both Federal and State Law. Nothing included in this Plan of Correction is an admission otherwise. PNC has submitted this Plan of Correction in order to comply with its regulatory obligations and does not waive any objections to the merits or form of any allegations contained herein. Please note that PNC may contest the merits and/or form of any deficiency or findings alleged below and may take reasonable steps to appeal them. This Plan of Correction constitutes PNC's allegation of substantial compliance.		
F 492 SS=E	<b>483.75(b) COMPLY WITH FEDERAL/STATE/LOCAL LAWS/PROF STD</b>  The facility must operate and provide services in compliance with all applicable Federal, State, and local laws, regulations, and codes, and with accepted professional standards and principles that apply to professionals providing services in such a facility.  This REQUIREMENT is not met as evidenced by: Based on record review and interview, the facility failed to provide documentation for pre-employment health screenings for 5 of 14 employees files reviewed and failed to ensure that employees received a PPD/TB testing (skin test used to determine if someone has developed an immune response/been exposed to the bacterium that causes tuberculosis) or chest X-ray upon hire and annually, for 11 of 14	F 492	<b>[A492] 483.75(b) Comply with Federal/State/Local Laws/Prof Std</b> It is the policy and practice of PNC to operate and provide services in compliance with all applicable Federal, State, and local laws, regulations, and codes, and with accepted professional standards and principles that apply to professionals providing services in such a facility.  <u><b>Corrective Action</b></u> <b>Findings 1-2:</b> On or before August 9, 2013, under the supervision of the DON, all identified employees received their required health examinations, including PPD/TB testing.		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

*Tony Kingall*

TITLE

Administrator

(X6) DATE

07/18/13

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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F 492	<p>Continued From page 1</p> <p>employees. This failure had the potential to expose a universe of 174 residents, employees and visitors to an increased risk of unknown health conditions and exposure of tuberculosis through contact with infected individuals.</p> <p>Findings:</p> <p>1. During a review of the employee files on _____ at _____, revealed that 5 of 14 files did not contain a health examination within the 90 day pre-employment window or the 7 day window from date of hire for new employees.</p> <p>A concurrent interview with the DSD (Director of Staff Development) revealed that the facility conducted the physicals and PPD/TB titers every third Monday of the month, this included the new hired employees.</p> <p>The documentation indicated that one employee Certified Nurse Assistant (CNA 5) was hired, May 21, 2013, and four employees CNA 8, 9, 10, and CNA 11 were hired June 6, 2013, no documentation was found of a health examination in their personnel files.</p> <p>The DSD also confirmed that 5 of 14 current and annual health examinations were not done. During a concurrent interview with the Director of Nurses (DON) _____ was informed of the discrepancies, and when asked for clarification of the facility's policy for health screening and PPD/TB tests, she stated "We follow Title 22, 90 days before and 7 days after employment."</p> <p>No further documentation for employee files was</p>	F 492	<p><b><u>Procedure for Identifying Potentially Affected Patients</u></b></p> <p>As all residents may be potentially affected by the alleged deficient conduct contained herein, PNC will take corrective action in relation to all residents. Therefore, no procedure for identifying potentially affected residents is necessary.</p> <p><b><u>Corrective Action for Potentially Affected Patients</u></b></p> <p>On or before August 9, 2013, under the supervision of the DON, PNC will take corrective action in relation to all residents by auditing employee records to verify all health examinations, including PPD/TB testing, have been conducted appropriately.</p> <p><b><u>Measures Adopted for Systemic Change</u></b></p> <p>On or before August 9, 2013, under the supervision of the DON, the new Director of Staff Development will be in-serviced regarding compliance with all applicable Federal, State, and local laws, regulations, and codes, and with accepted professional standards and principles that apply to professionals providing services in such a facility, including all required health examinations, including PPD/TB testing for employees and new-hires.</p>	08/09/13	
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F 492	<p>Continued From page 2 provided by the facility.</p> <p>According to California Code of Regulations, Title 22, 72535 (a) "Employees' Health Exam and Health Records (a) All employees working in the facility, including the licensee, shall have a health examination within 90 days prior to employment or within seven days after employment and at least annually thereafter by a person lawfully authorized to perform such a procedure. Each such examination shall include a medical history and physical evaluation. The report signed by the examiner shall indicate that the person is sufficiently free of disease to perform assigned duties and does not have any health condition that would create a hazard for fellow employees, or patients or visitors."</p> <p>2. During review of the employee records on _____ at _____, it was noted that the personnel file for Certified Nurse Assistant _____ ) date of hire _____, date of hire _____, and Licensed Vocational Nurse _____ ) date of hire _____, did not contain a PPD/TB test upon their hire and/or annually thereafter. In addition, 8 newly hired CNAs personnel files were reviewed and did not contain a current PPD/TB test.</p> <p>1. CNA _____ date of hire _____ 2. CNA _____ date of hire _____ 3. CNA _____ date of hire _____</p> <p>During an interview with the DSD on _____ at _____ confirmed that _____ was _____</p>	F 492	<p><b>Monitoring of Corrective Action and Quality Assurance</b></p> <p>The Quality Assurance Nurse or designee will audit employee records to verify that all health examinations, including PPD/TB testing have been conducted appropriately. Audits will be unannounced and a report of the findings will be submitted to the DON, who will review the results and bring the report to the Quarterly Quality Assurance Committee, which will also review the results and recommend changes as necessary for compliance.</p>		

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F 492	<p>Continued From page 3</p> <p>unable to locate the PPD/TB tests for the stated employees.     stated that     just missed them. When asked who was responsible for making sure the health screenings were up to date,     stated that at her previous position as DSD, human resources handled the physicals and PPD/TB screenings, and was not aware of responsibility of " being gate keeper."</p> <p>According to California Code of Regulations, Title 22, 72535 (b) "Employees' Health Exam and Health Records</p> <p>(b) The initial health examination and subsequent annual examination shall include a purified protein derivative intermediate strength intradermal skin test for tuberculosis. A chest X-ray is indicated if the employee has previously had a positive reaction to a tuberculosis skin test or is currently being treated for tuberculosis. Positive reaction to the skin test shall be followed by a 35.56 cm x 43.18 cm (14 " x 17 ") chest X-ray. Evidence of tuberculosis screening within 90 days prior to employment shall be considered as meeting the intent of this section."</p>	F 492			