

Center for Health Care Quality

FY 2016-17 November Estimate



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Center Overview

The California Department of Public Health (Public Health), Center for Health Care Quality (Center), Licensing & Certification Program, is responsible for regulatory oversight of licensed health care facilities and health care professionals to ensure safe, effective, and quality health care for all Californians. The Center fulfills this role by conducting periodic inspections and complaint investigations of health care facilities to ensure they comply with federal and state laws and regulations. The Center licenses and certifies over 7,500 health care facilities and agencies in California in 20 facility categories in 30 different licensure and certification categories.

The U.S. Department of Health and Human Services' Centers for Medicare and Medicaid Services (CMS) awards federal grant monies to the Center to certify that facilities accepting Medicare and Medicaid (Medi-Cal) payments meet federal requirements. The Center evaluates health care facilities for compliance with state and federal laws and regulations, and contracts with the Los Angeles County Department of Public Health to certify health care facilities located in Los Angeles County.

In addition, the Center oversees the certification of nurse assistants, home health aides, hemodialysis technicians, and the licensing of nursing home administrators. These activities are funded by the State Department of Public Health Licensing and Certification Program Fund (Fund 3098), federal funds (Title XVIII and Title XIX Grants), and reimbursement funds associated with interagency agreements with the Department of Health Care Services.

Center for Health Care Quality Budget Projections

Current Year 2015-16

The 2015 Budget Act appropriated \$246,769,000 to the Center. The Center projects a revised fiscal year 2015-16 expenditure authority of \$251,045,000, which is an increase of \$4,276,000 or 1.7 percent compared with the Budget Act. This increase is the result of salary, wages, and other standard adjustments.

Budget Year 2016-17

For 2016-17, the Center estimates expenditures will total \$264,154,000, which is an increase of \$17,385,000 or 7.0 percent compared with Budget Act. This increase is the result of \$6,150,000 for standard adjustments, \$10,655,000 for the full year cost of the positions granted in the 2015-16 Budget Change Proposal (HQ-04) *Licensing and Certification State Workload*, and \$580,000 for the net cost of 2016-17 Budget Change Proposal (HQ-02) *Timely Investigation of Caregivers*. The *Timely Investigation of Caregivers* proposal converts 18.0 limited-term positions to permanent status, and adds 2.0 permanent attorney positions. The \$580,000 increase is the requested \$2,495,000 in new and ongoing authority, less \$1,915,000 to support the existing 18.0 limited-term

positions that expire in 2015-16. These positions support the Professional Certification Branch to complete timely investigations of caregivers.

The estimated expenditures for 2016-17 also include Budget Change Proposal (HQ-08) *Licensing and Certification Program Quality Improvement Projects*. This proposal requests a \$2,000,000 appropriation from the Internal Departmental Quality Improvement Account (Fund 0942-222) to support the redesign of the Health Facilities Consumer Information System and a redesign of the Central Applications Unit IT systems. The Health Facilities Consumer Information System is a public-facing website that enables consumers to access information regarding health care facilities. The Central Applications Unit IT systems will be redesigned to replace paper-based processes with information technology solutions that will enable Public Health to comply with Affordable Care Act requirements for tracking facility ownership. Health and Safety Code section 1280.15(f) mandates the Internal Departmental Quality Improvement Account be used for the sole purpose of quality improvement activities in the Licensing and Certification Program. These planned activities are consistent with that purpose, and the account has a sufficient balance to support this effort.

In addition to the 2.0 attorney positions requested to support the Professional Certification Branch, the Center's workload analysis indicated an increase of 7.8 Health Facilities Evaluator Nurse positions and 7.9 support and supervisor positions (a total of 15.7 positions) are required to complete mandated workload. The Center does not request these positions at this time. The estimated 7.8 Health Facility Evaluator Nurses reflects a change of 1.3 percent compared with current staffing levels. The Center expects this type of variation from year to year and may put forth a request in a future Estimate or Budget Change Proposal. Table 1 below compares the 2015 Budget Act with the 2016-17 November Estimate.

Table 1
Comparison of 2015 Budget Act with 2016-17 November Estimate
(\$ in thousands)

Funding Source (\$ in thousands)	2015 Budget Act	Current Year 2015-16			Budget Year 2016-17		
		2015 November Estimate**	Change from 2015 Budget Act to 2015 November Estimate	Percent Change from 2015 Budget Act to 2015 November Estimate	2016 November Estimate***	Change from 2015 Budget Act to 2016 November Estimate	Percent Change from 2015 Budget Act to 2016 November Estimate
Appropriations Summary:							
0001 - General Fund transfer to fund 3098	\$3,700	\$3,703	\$3	0.1%	\$3,703	\$3	0.1%
0890 - Federal Trust Fund	\$91,871	\$93,413	\$1,542	1.7%	\$95,091	\$3,220	3.5%
0942-222 - Internal Departmental Quality Improvement Account	\$2,292	\$2,303	\$11	0.5%	\$2,304	\$12	0.5%
0942-601 - State Health Facilities Citation Penalty Account	\$2,144	\$2,144	\$0	0.0%	\$2,144	\$0	0.0%
0942-605 - Federal Health Facilities Citation Penalty Account	\$973	\$973	\$0	0.0%	\$973	\$0	0.0%
0995 - Reimbursements	\$15,130	\$15,460	\$330	2.2%	\$16,421	\$1,291	8.5%
3098 - Licensing and Certification Program Fund	\$134,334	\$136,746	\$2,412	1.8%	\$147,218	\$12,884	9.6%
<i>Less Transfer from General Fund 0001</i>	-\$3,700	-\$3,700	\$0	0.0%	-\$3,700	\$0	0.0%
3151 - Internal Health Information Integrity Quality Improvement Account*	\$25	\$3	-\$22	-88.0%	\$0	-\$25	-100.0%
Total Appropriations	\$246,769	\$251,045	\$4,276	1.7%	\$264,154	\$17,385	7.0%
Field Positions - Health Facility Evaluator Nurse	600.2	600.2	0.0	0.0%	600.2	0.0	0.0%
Field Positions - Other	446.1	446.1	0.0	0.0%	448.1	2.0	0.4%
Headquarters Positions	251.0	251.0	0.0	0.0%	251.0	0.0	0.0%
Center Positions	1297.3	1297.3	0.0	0.0%	1299.3	2.0	0.2%

*Public Health became the Administrator of the Internal Health Information Integrity Quality Improvement Account (IHIIQIA) - Fund 3151, effective July 1, 2015. Public Health will request elimination of this fund in fiscal year 2016-17

** Includes Baseline Budget Adjustments for item 9800 (salaries and benefits) and 3.60 (retirement)

*** Includes Baseline Budget Adjustments for item 9800 (salaries and benefits), 3.60 (retirement), ProRata, and overhead. Also includes 14/15 SFL HQ-01 Limited Term take-down, and 15/16 BCP HQ-04 full term adjustments.

Resource Estimate Methodology/Key Drivers of Cost

The Center's Estimate projects the workload associated with all programmatic functions and the corresponding number of positions needed to perform these functions.

The Center's workload is determined by the following cost drivers:

- **Facility Count** – The number of health care facilities to survey or investigate.
- **Activity Count** – The number of pending and projected activities for Center staff to perform. This is based on the Center's projections of the number of new and renewal licensing and certification surveys and complaint investigations the Center will conduct in 2016-17. Some activities, for example federal recertification surveys, must occur on a specified frequency. The Estimate includes the workload associated with the number of pending complaints the program anticipates will remain from prior years that will be completed in the budget year.
- **Standard Average Hours** – The number of hours needed to complete an activity. The Center calculates this number for each activity by facility type based on the actual average time spent on the activity by facility type in the past three years.

To estimate the workload for each activity by facility type, the Center uses the following formulae:

- Complaint and other variable workload hours = Standard average hours x projected activity count.
- Survey workload hours = Standard average hours x facility count x required frequency.

The Center then calculates the amount of additional time associated with non-survey functions (e.g., federal and state training, meetings, etc.) to calculate the overall time required by Health Facilities Evaluator Nurses and Health Consultants. Finally, the Center uses the total number of Health Facilities Evaluator Nurses to calculate the number of supervisors and administrative positions needed to support these nurses.

Major Assumptions

Future Fiscal Issues

The Center has no future fiscal issues.

New Assumptions/Premises

Budget Change Proposal HQ-02: Timely Investigations of Caregivers

Background: The Professional Certification Branch certifies nurse assistants, home health aides, and hemodialysis technicians, and licenses nursing home administrators (collectively caregivers). It also investigates allegations involving health care professionals and the enforcement of disciplinary actions. Federal and state laws require investigation of complaints against caregivers. These laws include Social Security Act sections 1819 and 1919, Title 42 of the Code of Federal Regulations, California Health and Safety Code sections 1337 and 1338, Business and Professions Code section 1247, and Government Code section 11400.

In 2014-15, to support timely investigations of allegations/complaints of unprofessional conduct filed against caregivers, the Professional Certification Branch received 18.0 two-year limited-term positions.

The Professional Certification Branch may issue administrative actions or penalties when complaints are substantiated. Analysts represent Public Health at administrative hearings when caregivers appeal administrative actions. The administrative law judges increasingly require the Professional Certification Branch analysts to provide complicated legal briefs and respond to questions of evidence and legal issues at hearings. The administrative law judges also frequently challenge the analysts' qualifications to assume this role in the administrative hearings, and question why the Professional Certification Branch is not represented by attorneys similar to other Public Health programs, such as the Woman, Infants, and Children Program.

Description of Change: Convert the 18.0 two-year limited-term positions approved in 2014-15 to permanent positions, and authorize 2.0 additional positions for the Office of Legal Services to support the Professional Certification Branch.

Discretionary? Y/N: Yes.

Reason for Adjustment/Change: Converting the 18.0 two-year, limited-term positions to permanent positions will allow the Professional Certification Branch to improve the timeliness of complaint investigations from greater than one year to less than three months by fiscal year 2018-19. One attorney will serve as the Professional Certification Branch litigation support to represent Public Health at administrative appeal hearings. The second attorney will serve as house counsel to provide legal advice and assistance on disciplinary actions, regulations, policies and procedures, bill analyses, contracts, subpoenas, Public Records Act requests, and media responses. The house counsel will work closely with the administrative litigation attorney to provide consistent guidance to help ensure appealed disciplinary actions are upheld by the Administrative Law Judges.

Fiscal Impact: \$2,495,000 in expenditure authority from the Licensing and Certification Program Fund in 2016-17 and ongoing.

Budget Change Proposal HQ-08: Licensing and Certification Program Quality Improvement Projects

Background: Chapter 605, Statutes of 2008 (SB 541) established the Internal Departmental Quality Improvement Account. As required by statute, the Center has used the funds to contract for, develop, and maintain program quality improvement activities.

As required by the Centers for Medicare and Medicaid Services in June 2012, the Center contracted with Hubbert Systems Consulting to “conduct a comprehensive assessment of the Department’s entire survey and certification operations.” In August 2014, Hubbert Systems Consulting provided a final report containing 21 recommendations to “allow for meaningful, measurable improvements in the Center’s performance.”

In 2015-16, the Center will execute two contracts to implement recommendations from the Hubbert Systems Consulting report. One contract will evaluate the Center’s recruitment efforts, and design and implement a comprehensive recruitment plan. The other contract will evaluate the Center’s employee onboarding and employee retention efforts, and implement changes to existing onboarding and retention practices as necessary.

In 2016-17, the Center proposes to complete two additional projects to implement Hubbert System Consulting’s recommendations. The first project is to redesign the Central Applications Unit IT systems by replacing substantially paper-based processes with information technology solutions. The other project is a redesign of the Health Facilities Consumer Information System.

Description of Change: The Center requests expenditure authority of \$2,000,000 in 2016-17 from the Internal Departmental Quality Improvement Account to implement program quality improvement projects recommended by Hubbert Systems Consulting.

Discretionary? Y/N: Yes.

Reason for Adjustment/Change: This proposal will allow the Center to address additional program quality improvement recommendations stemming from the Hubbert Consulting report.

Fiscal Impact: \$2,000,000 in 2016-17 from the Internal Departmental Quality Improvement Account fund.

Existing (Significantly Changed) Assumptions/Premises

The Center has no changes to existing assumptions/premises.

Unchanged Assumptions/Premises

The Center has no unchanged assumptions/premises.

Discontinued Assumptions/Premises

The Center has no discontinued assumptions/premises.

Appendix A Fiscal Summary

Comparison of 2015 Budget Act with 2016-17 November Estimate
(\$ in thousands)

	A 2015 Budget Act	B 2016-17 November Estimate	C = B - A Request
I. BUDGET ITEMS:			
A. Headquarters			
Headquarters Sub-total	44,036	44,616	580
B. Field Operations			
Licensing and Certification Sub-total	144,197	160,124	15,927
Los Angeles County Contract Sub-total	41,788	41,788	-
State Facilities Section Sub-total	5,670	5,670	-
Field Operations Sub-total	191,655	207,582	15,927
C. Partial Year Adjustment	2,432	4,298	1,866
D. Pro Rata and SWCAP	8,646	7,658	(988)
E. Grand Total	\$ 246,769	\$ 264,154	\$ 17,385
II. FUND SOURCES:			
A. General Fund (0001G) Transfer to State Department of Public Health			
Licensing and Certification Program Fund 3098*	3,700	3,703	3
B. Federal Trust Fund (0890)	91,871	95,091	3,220
C. Special Deposit Fund (0942)			
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	2,292	2,304	12
2. State Health Facilities Citation Penalties Account (601)	2,144	2,144	-
3. Federal Health Facilities Citation Penalties Account (605)*	973	973	-
D. Reimbursement (0001R)	15,130	16,421	1,291
E. Internal Information Integrity Quality Improvement Account (3151)	25	0	-25
F. State Department of Public Health Licensing and Certification			
Program Fund (3098)*	134,334	147,218	12,884
Less transfer from the General Fund (0001)	(3,700)	(3,700)	-
G. Grand Total	\$ 246,769	\$ 264,154	\$ 17,385
III. TOTAL CENTER POSITIONS:			
A. Headquarters	243.0	245.0	2.0
B. Field Operations - Licensing & Certification	1,016.3	1,016.3	-
C. Field Operations - State Facilities Section	38.0	38.0	-
D. Grand Total	1,297.3	1,299.3	2.0

* 2016-17 November Estimate
Includes Local Assistance

Appendix B Position Summary

Comparison of 2015 Budget Act with 2016-17 November Estimate

2016-17 November Estimate					
For FY 2016-17					
	A	B	C	D=B+C	E=D-A
	2015 Budget Act*	November Estimate 2016-17 Workload Analysis **	Adjustments to workload analysis***	November Estimate 2016-17	Request
TOTAL CENTER POSITIONS	1,297.3	1,315.0	(15.7)	1,299.3	2.0
Headquarters					
Research & Operations Management Branch	35.0	35.0	-	35.0	-
Policy & Enforcement Branch (PEB)	27.0	27.0	-	27.0	-
Staffing Audit and Research (STAAR) Branch	47.0	47.0	-	47.0	-
Professional Certification Branch	102.0	104.0	-	104.0	2.0
Deputy Director's Office	3.0	4.0	-	4.0	1.0
Healthcare Associated Infections (HAI) Program	16.0	16.0	-	16.0	-
Division Office	13.0	12.0	-	12.0	(1.0)
Headquarters Total	243.0	245.0	-	245.0	2.0
Field Operations					
Administrative Staff	134.2	134.2		134.2	-
Health Facility Evaluator Nurse	576.2	594.0	(17.8)	576.2	-
Consultants	59.0	62.0	(3.0)	59.0	-
Health Facility Evaluator II Supervisors	105.3	110.0	(4.7)	105.3	-
Support Staff	115.8	117.0	(1.2)	115.8	-
Life Safety Code – Health Facility Evaluator I	15.8	15.8	-	15.8	-
Life Safety Code - Health Facility Evaluator II Supervisors	5.0	5.0	-	5.0	-
Life Safety Code - Support Staff	5.0	5.0	-	5.0	-
Field Operations total	1,016.3	1,043.0	(26.7)	1,016.3	-
State Facilities Section					
Administrative Staff	6.0	6.0	-	6.0	-
Health Facility Evaluator Nurse	24.0	14.0	10.0	24.0	-
Consultants	-	2.0	(2.0)	-	-
Health Facility Evaluator II Supervisors	3.0	2.0	1.0	3.0	-
Support Staff	5.0	3.0	2.0	5.0	-
State Facilities Section & Life Safety Code Total	38.0	27.0	11.0	38.0	-
Total Field Operations Health Facility Evaluator Nurses	600.2	608.0	(7.8)	600.2	-

*Reflects authorized Center positions as of July 1, 2015. 19 Center positions as reflected in May Revise were redirected for Departmental Administration (8 from the Resource and Operations Management Branch and 11 from Administrative Staff. In addition, one Health Facilities Evaluator Manager II position was lost in 2014-15 as a result of Government Code section 12439.

**Reflects position requirements based on workload analysis. Includes 2 attorneys for the Professional Certification Branch.

***Reflects adjustments to not request an increase in position authority.

Appendix C

Detailed Assumptions

1. Methodology:

To estimate the workload for each facility type, the Center uses the following general formulae:

- Complaint workload = Standard average hours x activity count (projected complaints).
- Survey workload = Standard average hours x facility count x required frequency (if applicable).

The Center then estimates the positions needed to accomplish the workload. Specifically, the formula for estimating positions is:

Health Facilities Evaluator Nurse positions (for complaints, entity reported incidents, and other non-periodic workload):

- Health Facilities Evaluator Nurse = ([standard average hour x activity count]/non-survey factor)/1,800 hours.

Health Facilities Evaluator Nurse positions (for surveys):

- Health Facilities Evaluator Nurse = ([standard average hour x facility count x mandated frequency rate]/non-survey factor)/1,800 hours.

Supervisor and support staff positions:

- Supervisors = 1 supervisor to 6 Health Facilities Evaluator Nurses
- Support staff for state workload = 1 support staff to 6 (Health Facilities Evaluator Nurses and Supervisors)
- Support staff for federal workload = 1 support to 5 Health Facilities Evaluator Nurses/supervisor

2. Facility Counts:

A health facility means any facility or building that is organized, maintained, and operated for the diagnosis, care, prevention, and treatment of human illness, physical or mental, including convalescence and rehabilitation and including care during and after pregnancy, or for any one or more of these purposes, for one or more persons, to which the persons are admitted for a 24-hour stay or longer.

- Facilities are counted by facility type (e.g., Skilled Nursing Facility, General Acute Care Hospital, Home Health Agency, etc.), and facilities opened as of a point-in-time of the current fiscal year as reported by the Centers for Medicare and Medicaid Services' Automated Survey Processing Environment for certified facilities, and facilities open as of July, 2015 as reported in the Electronic Licensing Management System.
- Only active and open main facilities and skilled nursing distinct part facilities are counted for purposes of this Estimate.
- For some facility types, there may be a difference in the number of licensed facilities versus the number of certified facilities. This is due to some facilities being licensed only or certified only. Additionally, there may be minor discrepancies due to the use of different data sources required by the Centers

for Medicare and Medicaid Services, and/or the timing of data reconciliation activities.

3. **Health Care Facility List:**

The Center has added “Community Mental Health Center” as a new facility in the Community Clinic category in 2015-16.

- Adult Day Health Centers
- Alternative Birthing Centers
- Acute Psychiatric Hospitals
- Chronic Dialysis Clinics
- Chemical Dependency Recovery Hospitals
- Congregate Living Health Facilities
- Community Clinic/Free Clinic/Community Mental Health Center
- Correctional Treatment Centers
- General Acute Care Hospitals
- Home Health Agencies
- Hospice
- Hospice Facilities
- Intermediate Care Facilities
- Intermediate Care Facilities – Developmentally Disabled (DD):
DD-Habilitative; DD-Nursing
- Pediatric Day Health/ Respite Care
- Psychology Clinics
- Referral Agencies
- Rehabilitation Clinics
- Skilled Nursing Facilities
- Surgical Clinics

4. **Survey Activities:**

Licensing survey activities based on state mandated requirements. State licensing surveys include the following activities performed by surveyors:

- Re-licensure
- Re-licensure – Follow-up
- Initial Licensure
- Initial Licensure – Follow-up
- Complaint Investigations/Entity Reported Incident Investigations – State
- Field Visits
- Review Medical Error Plan

Certification survey activities are based on the federal Centers for Medicare and Medicaid Services’ tiered activity requirements. Federal certification surveys include the following activities performed by surveyors:

- Re-certification
- Re-certification – Follow-up
- Initial Certification
- Initial Certification – Follow-up

- Life Safety Code
- Life Safety Code – Follow-up
- Complaint/Entity Reported Investigations – Federal
- Complaint Validation
- Validation
- Validation – Follow-up
- Informal Dispute Resolution
- Federal Hearings
- Pre-Referral Hearings
- Monitoring Visits

5. Time Entry and Activity Management:

The provisions of Health and Safety Code section 1266(e) require the Center to capture and report workload data by category (survey activity and facility type). The Time Entry and Activity Management system captures data on the number of survey counts and the total hours spent for each survey activity to determine the standard average hours that it takes to accomplish specific workload.

6. Survey Workload:

Survey workload is either state mandated (licensing survey) or federal Centers for Medicare and Medicaid Services mandated (certification survey).

7. Standard Average Hours:

Standard average hours are the average hours each survey activity takes to complete. The Center used, July 1, 2012 through June 30, 2015 closed complaints and exited survey data to calculate standard average hours for this Estimate.

8. Complaint and Entity Reported Incidents Counts:

Complaints and entity reported incidents derived from the Automated Survey Processing Environment data of all complaints and entity reported incidents received in the July 1, 2012 through June 30, 2015 period, as a three-year average.

9. Opened Complaints and Entity Reported Incidents:

Includes all open complaints and entity reported incidents as of June 30, 2015.

10. Annualized Workload Hours:

Annualized workload determined by the corresponding state or federal mandated survey requirements multiplied by the standard average hours that have been adjusted to include non-survey administration hours.

11. Surveyor Positions:

Surveyor positions consist of Health Facilities Evaluator Nurses and Consultants. Consultant positions make up 9.46 percent of total surveyors. The Center uses 1,800 functional hours per position per year for state field operations staff. The Los Angeles County contract uses 1,760 functional hours per position per year for its

equivalent staff, consistent with bargained agreements between Los Angeles County and its employees.

12. Position Classification Costing:

Salaries for Headquarters and Field Operations administrative staff are based on the mid-step salary range and varying rates of travel reflected in the Operating Expense and Equipment costs (chart below). Operating expense and equipment costs are based on standard costs for the department. The Health Facility Evaluator Nurse position includes high travel for all surveyors, and additional training costs of \$3,472.

Operating Expenses and Equipment

STANDARD COSTS	FY 2015/16	FY 2016/17
General Expense	\$ 3,400	\$ 3,400
Printing	1,800	1,800
Communications	1,300	1,300
Travel – Light	3,000	3,000
Travel – Medium	7,500	7,500
Travel – High	13,000	13,000
Training	300	300
Facilities	10,500	10,500
Data Center	300	300
Office Automation*	2,000	2,000

*onetime cost to set up new positions

ADDITIONAL COSTS

HFEN Training	\$ 3,472	\$ 3,472
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- Operations expense and equipment costs for all non-Health Facility Evaluator Nurses have been reduced to reflect light travel in 2016-17.
- Cost factors for Los Angeles County contract surveyor and supervisory classifications are based on the current salary levels for 2015-16, as listed in Contract agreement #15-10003.

13. Staffing Ratios:

State Ratios:

- The allocation of the Health Facility Evaluator II Supervisor positions is computed using a (1:6) ratio: 1 Health Facility Evaluator II Supervisor for every 6 Health Facility Evaluator Nurses.
- The allocation of the Program Technician II is computed using a (1:6) ratio: 1 Program Technician II for every 6 of the combined Health Facility Evaluator Nurses/Health Facility Evaluator II Supervisor.

Federal Ratios:

- The allocation of the Health Facility Evaluator II Supervisor positions is computed using a (1:5) ratio: 1 Health Facility Evaluator II Supervisor for every 5 Health Facility Evaluator Nurses.

- The allocation of the Program Technician II positions is computed using a (1:5) ratio: 1 Program Technician II for every 5 Health Facility Evaluator Nurses.

The Los Angeles County contract uses the same state and federal staffing ratios listed above.

14. All surveyor workload and related administrative costs for Los Angeles County Contract #15-10003 are displayed separately, and \$41,789,046 is used as the base.
15. Federal grant amounts are estimated for 2015-16 and 2016-17. The federal fiscal year 2016 Federal Grant approval, anticipated in the spring of 2016, and the 2016-17 November Estimate reflect the approved federal grant amount for federal fiscal year 2015.
16. Workload calculations and costs are displayed by each facility type, and footnotes are entered throughout the displays and summaries.

17. Fund Sources:

General Fund (0001G)

Federal Trust (0890):

- Title XVIII Long Term Care
- Title XVIII Non-Long Term Care
- Title XVIII Hospice Care
- Title XIX Long Term Care
- Title XIX Non-Long Term Care

Special Deposit Fund (0942)

- Internal Department Quality Improvement Account (222)
- Skilled Nursing Facility Minimum Staffing Penalty Account (248)
- State Health Facility Citation Penalty Account (601)
- Federal Health Facility Citation Penalty Account (605)

Reimbursement (0001R)

State Department of Public Health Licensing & Certification Program Fund (3098)

Internal Health Information Integrity Quality Improvement Account (3151)

18. Contract costs are included for executed contracts only.

Changes to Detailed Assumptions from the 2015-16 May Revision

- Item 3, added Community Mental Health Center to the Community Clinic facility type.
- Item 12, updated Los Angeles County contract information. Added: Operations expense and equipment costs for all non-Health Facility Evaluator Nurses were reduced to reflect light travel.
- Item 13, Los Angeles County contract staffing ratios are identical to state and federal ratios.
- Item 14, updated Los Angeles County contract amount.
- Item 17, updated Title XVIII Hospice Care. Added: Internal Health Information Integrity Quality Improvement Account, a new Center for Health Care Quality fund that transferred to Public Health from the California Health and Human Services Agency, effective July 1, 2015.

Appendix D

Field Operations Workload Driver Summary Chart

2015 May Revision Estimate vs. 2016 November Estimate for FY 2016-17

Facility Type	Facility Count		Estimated Activity Count	Estimated Activity Hours	Total Estimated Cost (\$ in thousands)	Funds		
	Federal	State				Reimb. Fund	Federal Fund	Special Fund
TOTALS								
2016-17 November Estimate	6,617	5,564	60,849	1,590,584	\$ 179,247	\$ -	\$ 84,302	\$ 94,945
2015-16 May Revision Estimate	6,324	5,444	59,504	1,632,646	\$ 184,120	\$ -	\$ 88,745	\$ 95,375
INC/(DEC)	293	120	1,345	(42,062)	(\$4,873)	\$ -	(\$4,443)	(\$430)
1. Alternative Birthing Centers (ABC)								
2016-17 November Estimate	-	11	5	140	\$ 1	\$ -	\$ -	\$ 1
2015-16 May Revision Estimate	-	10	5	126	\$ 12	\$ -	\$ -	\$ 12
INC/(DEC)		1.00	-	-	(\$11)	\$ -	\$ -	(\$11)
2. Adult Day Health Centers (ADHC)								
2016-17 November Estimate	-	266	207	9,923	\$ 1,021	\$ -	\$ -	\$ 1,021
2015-16 May Revision Estimate	-	269	212	18,594	\$ 1,791	\$ -	\$ -	\$ 1,791
INC/(DEC)		(3)	(5)	(8,670)	(\$770)	\$ -	\$ -	(\$770)
3. Acute Psychiatric Hospitals (APH)								
2016-17 November Estimate	37	37	830	15,008	\$ 1,624	\$ -	\$ 380	\$ 1,244
2015-16 May Revision Estimate	62	37	970	18,190	\$ 1,917	\$ -	\$ 450	\$ 1,467
INC/(DEC)	(25)	-	(140)	(3,182)	(\$293)	\$ -	(\$70)	(\$223)
4. Chronic Dialysis Clinics (CDC)								
2016-17 November Estimate	597	24	810	56,962	\$ 6,249	\$ -	\$ 4,997	\$ 1,252
2015-16 May Revision Estimate	578	3	723	53,430	\$ 6,226	\$ -	\$ 5,024	\$ 1,202
INC/(DEC)	19	21	87	3,532	\$ 23	\$ -	(\$27)	\$ 50
5. Chemical Dependency Recovery (CDR)								
2016-17 November Estimate	-	7	47	1,044	\$ 108	\$ -	\$ -	\$ 108
2015-16 May Revision Estimate	-	7	51	1,014	\$ 106	\$ -	\$ -	\$ 106
INC/(DEC)		-	(3)	30	\$ 2	\$ -	\$ -	\$ 2
6. Congregate Living Health Facilities (CLHF)								
2016-17 November Estimate	-	94	139	3,030	\$ 346	\$ -	\$ -	\$ 346
2015-16 May Revision Estimate	-	84	102	2,702	\$ 350	\$ -	\$ -	\$ 350
INC/(DEC)		10	37	330	(\$4)	\$ -	\$ -	(\$4)
7. Community Clinic/Free Clinic (CC)								
2016-17 November Estimate	330	1,105	1,030	25,857	\$ 2,702	\$ -	\$ 452	\$ 2,250
2015-16 May Revision Estimate	299	1,064	966	14,657	\$ 1,626	\$ -	\$ 548	\$ 1,078
INC/(DEC)	31	41	64	11,200	\$ 1,076	\$ -	(\$96)	\$ 1,172
8. Correctional Treatment Centers (CTC)								
2016-17 November Estimate	-	21	547	8,042	\$ 828	\$ -	\$ -	\$ 828
2015-16 May Revision Estimate	-	21	479	8,914	\$ 854	\$ -	\$ -	\$ 854
INC/(DEC)		-	68	(870)	(\$26)	\$ -	\$ -	(\$26)
9. General Acute Care Hospitals (GACH)								
2016-17 November Estimate	435	426	16,618	316,264	\$ 35,164	\$ -	\$ 8,660	\$ 26,504
2015-16 May Revision Estimate	407	431	16,161	323,760	\$ 35,991	\$ -	\$ 10,028	\$ 25,963
INC/(DEC)	28	(5)	457	(7,496)	(\$827)	\$ -	(\$1,368)	\$ 541
10. Home Health Agencies (HHA)								
2016-17 November Estimate	1,291	214	1,234	72,537	\$ 8,359	\$ -	\$ 5,281	\$ 3,077
2015-16 May Revision Estimate	1,255	227	1,300	72,195	\$ 9,125	\$ -	\$ 6,345	\$ 2,781
INC/(DEC)	36	(13)	(66)	342	(\$766)	\$ -	(\$1,064)	\$ 296

Appendix D (Continued)

Field Operations Workload Driver Summary Chart

2015 May Revision Estimate vs. 2016 November Estimate for FY 2016-17

Facility Type	Facility Count		Estimated Activity Count	Estimated Activity Hours	Total Estimated Cost (\$ in thousands)	Funds		
						Reimb. Fund	Federal Fund	Special Fund
11. Hospice (H)								
2016-17 November Estimate	640	860	566	17,041	\$ 1,938	\$ -	\$ 1,138	\$ 801
2015-16 May Revision Estimate	421	787	582	28,755	\$ 3,519	\$ -	\$ 2,698	\$ 821
INC/(DEC)	219	73	(17)	(11,714)	(\$1,581)	\$ -	(\$1,560)	(\$20)
12. Hospice Facilities (HOFA)								
2016-17 November Estimate	-	8	12	411	\$ 42	\$ -	\$ -	\$ 42
2015-16 May Revision Estimate	-	8	15	749	\$ 72	\$ -	\$ -	\$ 72
INC/(DEC)	-	-	(3)	(337)	(\$30)	\$ -	\$ -	(\$30)
13. Intermediate Care Facilities (ICF)								
2016-17 November Estimate	-	5	1,495	19,875	\$ 2,046	\$ -	\$ -	\$ 2,046
2015-16 May Revision Estimate	-	5	1,253	18,579	\$ 1,823	\$ -	\$ -	\$ 1,823
INC/(DEC)	-	-	243	1,300	\$ 223	\$ -	\$ -	\$ 223
14. ICF-DD/DDH/DDN								
2016-17 November Estimate	1,182	1,181	9,887	175,807	\$ 19,384	\$ -	\$ 8,071	\$ 11,313
2015-16 May Revision Estimate	1,178	1,181	9,158	206,006	\$ 22,472	\$ -	\$ 7,180	\$ 15,292
INC/(DEC)	4	-	730	(30,199)	(\$3,088)	\$ -	\$ 891	(\$3,979)
15. Pediatric Day Health/Respite (PDHR)								
2016-17 November Estimate	-	16	14	1,134	\$ 117	\$ -	\$ -	\$ 117
2015-16 May Revision Estimate	-	17	14	1,024	\$ 102	\$ -	\$ -	\$ 102
INC/(DEC)	-	(1)	1	110	\$ 15	\$ -	\$ -	\$ 15
16. Psychology Clinics (PC)								
2016-17 November Estimate	-	22	7	439	\$ 45	\$ -	\$ -	\$ 45
2015-16 May Revision Estimate	-	23	9	510	\$ 62	\$ -	\$ -	\$ 62
INC/(DEC)	-	(1)	(2)	(70)	(\$16)	\$ -	\$ -	(\$16)
17. Referral Agencies (RA)								
2016-17 November Estimate	-	2	1	64	\$ 7	\$ -	\$ -	\$ 7
2015-16 May Revision Estimate	-	3	-	-	\$ -	\$ -	\$ -	\$ -
INC/(DEC)	-	(1)	1	64	\$ 7	\$ -	\$ -	\$ 7
18. Rehabilitation Clinics (RC)								
2016-17 November Estimate	111	12	49	4,309	\$ 462	\$ -	\$ 384	\$ 77
2015-16 May Revision Estimate	120	12	92	7,727	\$ 864	\$ -	\$ 736	\$ 128
INC/(DEC)	(9)	-	(43)	(3,418)	(\$402)	\$ -	(\$352)	(\$50)
19. Skilled Nursing Facilities (SNF)								
2016-17 November Estimate	1,232	1,248	26,900	827,688	\$ 94,852	\$ -	\$ 51,570	\$ 43,282
2015-16 May Revision Estimate	1,245	1,259	26,752	817,863	\$ 93	\$ -	\$ 51,951	\$ 40,845
INC/(DEC)	(13)	(11)	147	9,825	\$ 94,760	\$ -	(\$381)	\$ 2,437
20. Surgical Clinics (SC)								
2016-17 November Estimate	762	5	450	35,008	\$ 3,952	\$ -	\$ 3,368	\$ 585
2015-16 May Revision Estimate	759	4	663	37,853	\$ 4,411	\$ -	\$ 3,786	\$ 625
INC/(DEC)	3	1	(213)	(2,845)	(\$459)	\$ -	(\$418)	(\$40)

Facility Type Workload Driver Comparisons for each of the 20 facility types is available on CD.

Appendix E

Revenue Summaries

FY 2015-16									
	Calstars Code	Fiscal Code	Federal Fund 0890	L&C Program Fund 3098	Special Deposit Fund 0942	General Fund 0001 "G"	General Fund 0001 "R"	IHIQIA Fund 3151	TOTAL
(\$ in thousands)									
Revenue Projection by Fund Totals (November Estimate)			\$ 89,656	\$ 91,832	\$ 9,276	\$ 3,700	\$ 14,805	\$ -	\$ 208,469
L&C Program Fund 3098*									
Other Regulatory Licenses and Permits	125700	4129400		\$ 90,839					\$ 90,839
Miscellaneous Services to the Public	142500	4143500		\$ 17					\$ 17
Income from Surplus Money Investments	150300	4163000		\$ 176					\$ 176
Internal Health Information Integrity Quality Improvement Account 3151									
Fines and Penalties - External - Other	217900	4172240						\$ -	\$ -
Special Deposit Fund 0942*									
LTC State Civil Money Penalties	217600	4172220			\$ 2,391				\$ 2,391
Income from Surplus Money Investments	250300	4163000			\$ 34				\$ 34
Federal Citation Fines and Penalties External	217400	4172240			\$ 1,987				\$ 1,987
Income from Surplus Money Investments	250300	4163000			\$ 17				\$ 17
SB 1301 Health Facilities Reporting/Inspection Penalties	217600	4172220			\$ 396				\$ 396
SB 1312 Health Care Facilities Penalties	217600	4172220			\$ 3,776				\$ 3,776
Income from Surplus Money Investments	250300	4163000			\$ 45				\$ 45
Skilled Nursing Facility Quality and Accountability	217600	4172220			\$ 630				\$ 630
Federal Fund 0890**									
Title 18 Long Term Care (LTC), Project No. 93777S	493777	4400000	\$ 32,918						\$ 32,918
Title 18 Non-long Term Care (NLTC), Project No. 31006S	493777	4400000	\$ 11,386						\$ 11,386
Title 18 NLTC - Hospice, Project No. 31070S	493777	4400000	\$ 761						\$ 761
Title 19 Long Term Care (LTC) Project No. 93779S	493778	4400000	\$ 37,435						\$ 37,435
Title 19 Non-long Term Care (NLTC), Project No. 93780S	493778	4400000	\$ 6,154						\$ 6,154
Enhanced Background Check Process, Project No. 93506	493506	4400000	\$ 1,002						\$ 1,002
General Fund 0001 "R" Reimbursements (0995)									
AB 1629 and SB 853, Project No. 31145	991913	4810000					\$ 7,120		\$ 7,120
Medi-cal Title 19 Reimbursements, Project No. RLC16S-50	991913	4810000					\$ 49		\$ 49
Provider Certification, Aide and Tech Certification and Central Applications, Project No. RLNC15-50	991913	4810000					\$ 7,636		\$ 7,636
General Fund 0001 "G"									
General Fund Transfer State Facilities Section Allocation						\$ 3,700			\$ 3,700

Appendix E (Continued)

Revenue Summaries

FY 2016-17									
	Calstars Code	Fiscal Code	Federal Fund 0890	L&C Program Fund 3098	Special Deposit Fund 0942	General Fund 0001 "G"	General Fund 0001 "R"	IHIQIA Fund 3151	TOTAL
(\$ in thousands)									
Revenue Projection by Fund Totals (November Estimate)									
			\$ 89,331	\$ 122,716	\$ 9,276	\$ 3,700	\$ 14,805	\$ -	\$ 239,828
L&C Program Fund 3098*									
Other Regulatory Licenses and Permits	125700	4129400		\$ 122,523					\$ 122,523
Miscellaneous Services to the Public	142500	4143500		\$ 17					\$ 17
Income from Surplus Money Investments	150300	4163000		\$ 176					\$ 176
Internal Health Information Integrity Quality Improvement Account 3151									
Fines and Penalties - External - Other	217900	4172240						\$ -	\$ -
Special Deposit Fund 0942*									
LTC State Civil Money Penalties	217600	4172220			\$ 2,391				\$ 2,391
Income from Surplus Money Investments	250300	4163000			\$ 34				\$ 34
Federal Citation Fines and Penalties External	217400	4172240			\$ 1,987				\$ 1,987
Income from Surplus Money Investments	250300	4163000			\$ 17				\$ 17
SB 1301 Health Facilities Reporting/Inspection Penalties	217600	4172220			\$ 396				\$ 396
SB 1312 Health Care Facilities Penalties	217600	4172220			\$ 3,776				\$ 3,776
Income from Surplus Money Investments	250300	4163000			\$ 45				\$ 45
Skilled Nursing Facility Quality and Accountability	217600	4172220			\$ 630				\$ 630
Federal Fund 0890**									
Title 18 Long Term Care (LTC), Project No. 93777S	493777	4400000	\$ 33,401						\$ 33,401
Title 18 Non-Long Term Care (NLTC), Project No. 31006S	493777	4400000	\$ 11,567						\$ 11,567
Title 18 NLTC - Hospice, Project No. 31070S	493777	4400000	\$ 774						\$ 774
Title 19 Long Term Care (LTC) Project No. 93779S	493778	4400000	\$ 37,435						\$ 37,435
Title 19 Non-Long Term Care (NLTC), Project No. 93780S	493778	4400000	\$ 6,154						\$ 6,154
Enhanced Background Check Process, Project No. 93506	493506	4400000	\$ -						\$ -
General Fund 0001 "R" Reimbursements (0995)									
AB 1629 and SB 853, Project No. 31145	991913	4810000					\$ 7,120		\$ 7,120
Medical Title 19 Reimbursements, Project No. RLC16S-50	991913	4810000					\$ 49		\$ 49
Provider Certification, Aide and Tech Certification and Central Applications, Project No. RLNC15-50	991913	4810000					\$ 7,636		\$ 7,636
General Fund 0001 "G"									
General Fund Transfer State Facilities Section Allocation						\$ 3,700			\$ 3,700

Descriptions:

Schedule 10Rs - Schedule of Revenues and Transfers. The schedule 10Rs are required to report General Fund and Special Fund revenue and transfer data for the preparation of the Governor's Budget and other special budget processes.

General Fund - 0001 Government Code sections 16300-16315. The General Fund has existed since the beginning of the State as a political entity. It is the principal operating fund for the majority of governmental activities and consists of all money received in the Treasury that is not required by law to be credited to any other fund.

Reimbursement Fund - General Fund 0001 "R" Reimbursement. This is a fund for budgetary purposes only. It is set up separately as a General Fund Special Account and is used in the schedule of appropriation for reimbursements. Reimbursement Fund 0995 is used in this purpose to capture the funding source.

General Fund for State Facilities Section - General Fund 0001 "G" General. General Fund "G" is the funding source to recoup fees for survey cost incurred in fee exempt state-owned facilities, including the state match cost of survey performed in certified-only facilities. Funding is specifically appropriated from the General Fund in the annual Budget Act or other enacted legislation.

Federal Trust Fund - 0890 Chapter 1284, Statutes of 1978. Government Code sections 16360-16365. Section 16361 of the Government Code appropriates the fund, "...without regard to fiscal year, for expenditure for the purposes for which the money deposited therein is made available by the United States for expenditure by the state."

Title XVIII - Social Security Act, 1864(a). Medicare health insurance for the aged and disabled.

Title XIX - Social Security Act, 1902(a)(33)(B). Medicaid low income program that pays for the medical assistance for individuals and families of low income and limited resources."

Special Deposit Fund - 0942 Government Code sections 16370-16375, and 16377 provide that the fund is appropriated to fulfill the purposes for which payments into it are made. The fund was created by Statute in 1880 and codified by the Statutes of 1907 as Section 453a of the Political Code.

Special Fund - 3098 Chapter 483, Statutes of 2007 (SB 1039), Health and Safety Code section 1266.9. This fund is created in Chapter 528, Statutes of 2006. Original Administrative Organization Code 4260 was changed to 4265 due to the Department of Health Services splitting into two departments effective July 2007 according to Chapter 241, Statutes of 2007 (SB162). This fund, originally titled the State Department of Health Services Licensing and Certification Program Fund, was retitled to the State Department of Public Health Licensing and Certification Program Fund in Chapter 483, Statutes of 2006 (SB1039). Its purpose is to support the Licensing and Certification Program's operation.

Internal Health Information Integrity Quality Improvement Account - Fund 3151 - Chapter 602, Statutes of 2008 (AB 211) Section 2, Health and Safety Code section 130204. This fund is newly established for the Center of Health Care Quality, Licensing and Certification Program effective July 1, 2015 per Budget Act for the purpose of supporting quality improvement activities relating to Health Information Integrity. Originally, the administering agency was the California Health and Human Services Agency (Org. 0530).

Appendix F

Fund Condition Statements

3098 State Department of Public Health Licensing and Certification Program Fund	2014-15	2015-16	2016-17
BEGINNING BALANCE	\$ 73,753,000	\$ 67,193,000	\$ 24,593,000
Prior year adjustments	2,963,000		
Adjusted Beginning Balance	\$ 76,716,000	\$ 67,193,000	\$ 24,593,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 - Other Regulatory Licenses and Permits (1257)	77,970,000	90,839,000	122,523,000
4143500 - Miscellaneous Services to the Public (1425, 211)	15,000	17,000	17,000
4163000 - Investment Income - Surplus Money Investments (1503 2503)	176,000	176,000	176,000
Transfers and Other Adjustments:			
Total Revenues, Transfers, and Other Adjustments	\$ 78,161,000	\$ 91,032,000	\$122,716,000
Total Resources	\$154,877,000	\$158,225,000	\$147,309,000
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4170 Department of Aging (State Operations)	-	400,000	400,000
4265 Department of Public Health			
State Operations	91,291,000	136,746,000	147,175,000
Local Assistance			43,000
8880 Financial Information System for CA (State Operations)	93,000	186,000	165,000
Expenditure Adjustment			
Less Funding Provided by the General Fund	(3,700,000)	(3,700,000)	(3,700,000)
Total Expenditures and Expenditure Adjustments	\$ 87,684,000	\$133,632,000	\$144,083,000
FUND BALANCE	\$ 67,193,000	\$ 24,593,000	\$ 3,226,000

Appendix F (Continued)

Fund Condition Statements

3151 - Internal Health Information Integrity Quality Improvement Account	2014-15	2015-16	2016-17
BEGINNING BALANCE	\$ 6,000	\$ 3,000	\$ -
Prior year adjustments	(3,000)		
Adjusted Beginning Balance	\$ 3,000	\$ 3,000	\$ -
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
416300 - Investment Income - Surplus Money Investments (1503, 2503)	-	-	-
Transfers and Other Adjustments:			
Total Revenues, Transfers, and Other Adjustments	\$ -	\$ -	\$ -
Total Resources	\$ 3,000	\$ 3,000	\$ -
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4265 - Department of Public Health (State Operations)	-	3,000	-
Total Expenditures and Expenditure Adjustments	\$ -	\$ 3,000	\$ -
FUND BALANCE	\$ 3,000	\$ -	\$ -

Appendix F (Continued)

Fund Condition Statements

094200222 Internal Department Quality Improvement Account	2014-15	2015-16	2016-17
BEGINNING BALANCE	\$ 14,188,000	\$ 15,945,000	\$17,859,000
Prior year adjustments	3,000		
Adjusted Beginning Balance	\$ 14,191,000	\$ 15,945,000	\$17,859,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172220 Fines and Penalties - External - Private Sector	4,264,000	4,172,000	4,172,000
4163000 Investment Income - Surplus Money Investment	42,000	45,000	45,000
Transfers and Other Adjustments:			
Total Revenues, Transfers, and Other Adjustments	\$ 4,306,000	\$ 4,217,000	\$ 4,217,000
Total Resources	\$ 18,497,000	\$ 20,162,000	\$22,076,000
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4265 Department of Public Health (State Operations)	2,552,000	2,303,000	2,304,000
Total Expenditures and Expenditure Adjustments	\$ 2,552,000	\$ 2,303,000	\$ 2,304,000
FUND BALANCE	\$ 15,945,000	\$ 17,859,000	\$19,772,000

Appendix F (Continued)

Fund Condition Statements

094200248 - Skilled Nursing Facility Minimum Staffing Penalty Account	2014-15	2015-16	2016-17
BEGINNING BALANCE	\$ 28,000	\$ -	\$ -
Prior year adjustments*	19,000		
Adjusted Beginning Balance	\$ 47,000	\$ -	\$ -
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172220 Fines and Penalties - External - Private Sector	439,000	630,000	630,000
Transfers and Other Adjustments:			
Revenue Transfer from Special Deposit Fund (0942) to Skilled Nursing Facility Quality and Accountability Special Fund (3167) per Welfare and Institutions Code 14126.022 (g)	(486,000)	(630,000)	(630,000)
Total Revenues, Transfers, and Other Adjustments	\$ (47,000)	\$ -	\$ -
Total Resources	\$ -	\$ -	\$ -
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4265 Department of Public Health			
State Operations			
Total Expenditures and Expenditure Adjustments	\$ -	\$ -	\$ -
FUND BALANCE	\$ -	\$ -	\$ -

* Prior year adjustments changed to correct rounding discrepancy so that fund balance remains zero

Appendix F (Continued)

Fund Condition Statements

094200601 State Health Facilities Citation Penalties Account	2014-15	2015-16	2016-17
BEGINNING BALANCE	\$11,961,000	\$ 9,869,000	\$ 7,957,000
Prior year adjustments	20,000		
Adjusted Beginning Balance	\$11,981,000	\$ 9,869,000	\$ 7,957,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172220 Fines and Penalties - External - Private Sector	2,115,000	2,391,000	2,391,000
4163000 Investment Income - Surplus Money Investment	32,000	34,000	34,000
Transfers and Other Adjustments:			
Transfer from Special Fund (0942) to General Fund (0001), per Health and Safety Code Section 1417.2 (b) and GC 16300	(3,072,000)		
Total Revenues, Transfers, and Other Adjustments	\$ (925,000)	\$ 2,425,000	\$ 2,425,000
Total Resources	\$11,056,000	\$12,294,000	\$10,382,000
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4170 Department of Aging			
State Operations	93,000	99,000	99,000
Local Assistance	1,094,000	2,094,000	1,094,000
4265 Department of Public Health			
State Operations	-	2,144,000	2,144,000
Total Expenditures and Expenditure Adjustments	\$ 1,187,000	\$ 4,337,000	\$ 3,337,000
FUND BALANCE	\$ 9,869,000	\$ 7,957,000	\$ 7,045,000

Appendix F (Continued)

Fund Condition Statements

094200605 Federal Health Facilities Citation Penalties Account	2014-15	2015-16	2016-17
BEGINNING BALANCE	\$ 3,848,000	\$ 6,456,000	\$ 7,487,000
Prior year adjustments	12,000		
Adjusted Beginning Balance	\$ 3,860,000	\$ 6,456,000	\$ 7,487,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172240 Fines and Penalties - External - Federal	2,847,000	1,987,000	1,987,000
4163000 Investment Income - Surplus Money Investment	14,000	17,000	17,000
Transfers and Other Adjustments:			
Total Revenues, Transfers, and Other Adjustments	\$ 2,861,000	\$ 2,004,000	\$ 2,004,000
Total Resources	\$ 6,721,000	\$ 8,460,000	\$ 9,491,000
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4265 Department of Public Health			
State Operations	265,000	973,000	398,000
Local Assistance			575,000
Total Expenditures and Expenditure Adjustments	\$ 265,000	\$ 973,000	\$ 973,000
FUND BALANCE	\$ 6,456,000	\$ 7,487,000	\$ 8,518,000