

# California Antimicrobial Resistance Laboratory Network:

## Carbapenemase Testing at the CDPH Microbial Diseases Laboratory: New Tests and Submission Options

Webinar

November 8<sup>th</sup>, 2017

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Microbial Diseases Laboratory  
Healthcare-Associated Infections Program  
California Department of Public Health

# Presenters

- **Sam Horwich-Scholefield, MPH CIC**  
Antimicrobial Use and Resistance Coordinator  
Healthcare-Associated Infections Program
- **Stephanie Abromaitis, Ph.D.**  
Foodborne & Waterborne Diseases Section  
Microbial Diseases Laboratory
- **Peng Zhang, Ph.D.**  
Bacterial Diseases Section  
Microbial Diseases Laboratory
- **Hillary Berman Watson, Ph.D. MPH**  
Core Laboratory  
Microbial Diseases Laboratory
- **Robin Hogue, CLS PHM**  
Bacterial Diseases Section  
Microbial Diseases Laboratory
- **Erin Epton, MD**  
Assistant Chief/ Public Health Medical Officer  
Healthcare-Associated Infections Program

# Objectives

- Review the role of laboratory testing in preventing the spread of carbapenemase-producing organisms
- Describe phenotypic and molecular tests for carbapenemase detection available at MDL
- Present different carbapenemase submission and testing scenarios
- Provide detailed instructions on specimen submission

# Enterobacteriaceae

- Gram negative bacteria - normal human gut flora
  - *Citrobacter* spp.
  - *E. coli*
  - *Enterobacter* spp.
  - *Klebsiella* spp
  - *Morganella* spp.
  - *Proteus* spp.
  - *Salmonella* spp.
  - *Serratia* spp.
- Causative agents of various types of infections
  - UTI, wound infections, pneumonia, bacteremia
- Transmission, outbreaks in healthcare settings

# Carbapenem-Resistant Enterobacteriaceae

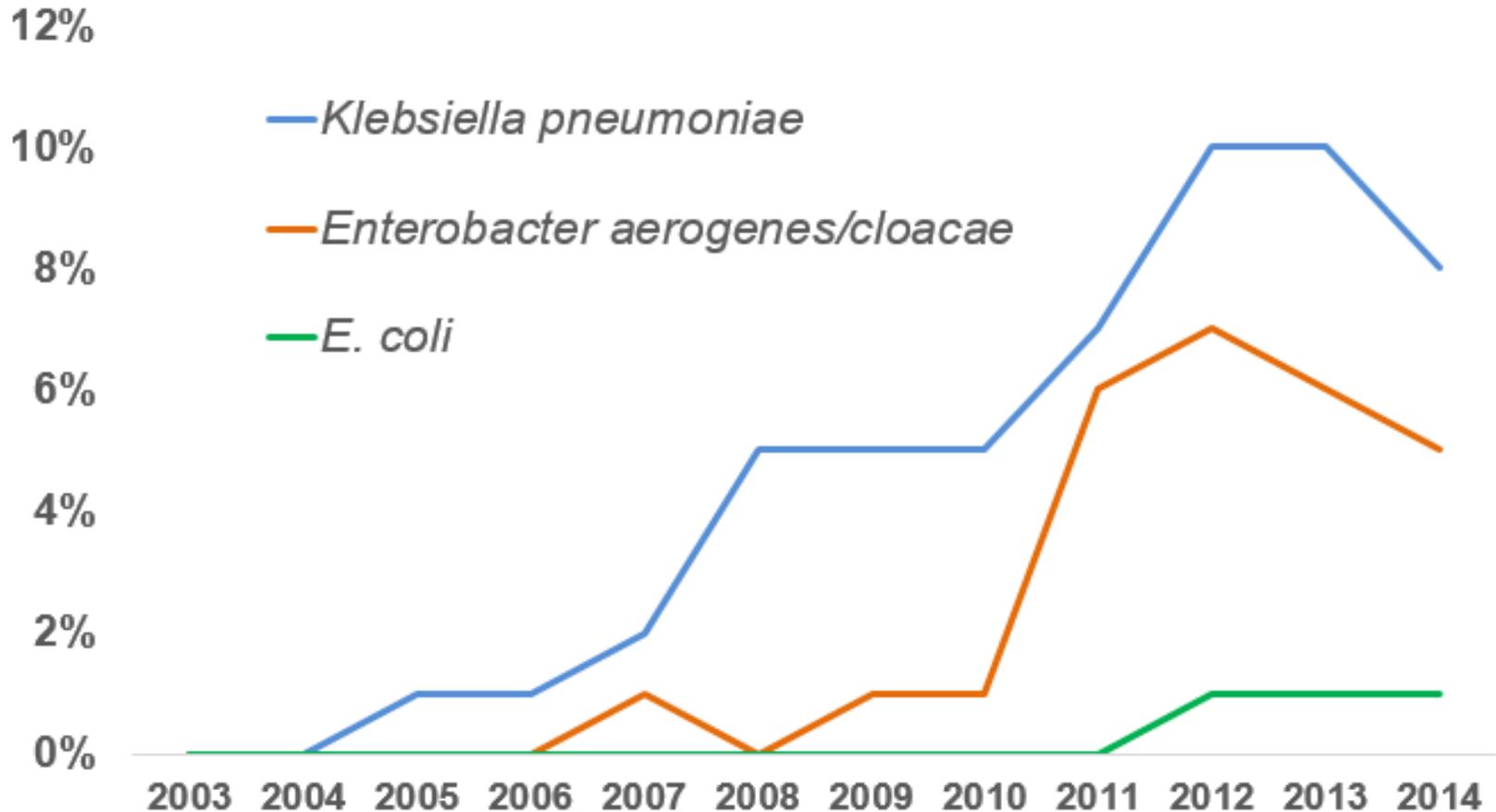
- Carbapenem antibiotics generally reserved for Enterobacteriaceae that are resistant to other antibiotics
- Infections caused by carbapenem-resistant Enterobacteriaceae (CRE) are more difficult to treat and associated with high mortality
- Risk factors for CRE include healthcare exposures, medical devices and antibiotic use

# Carbapenem-Resistant Enterobacteriaceae (CRE)

## CDC 2015 Surveillance Definition of CRE

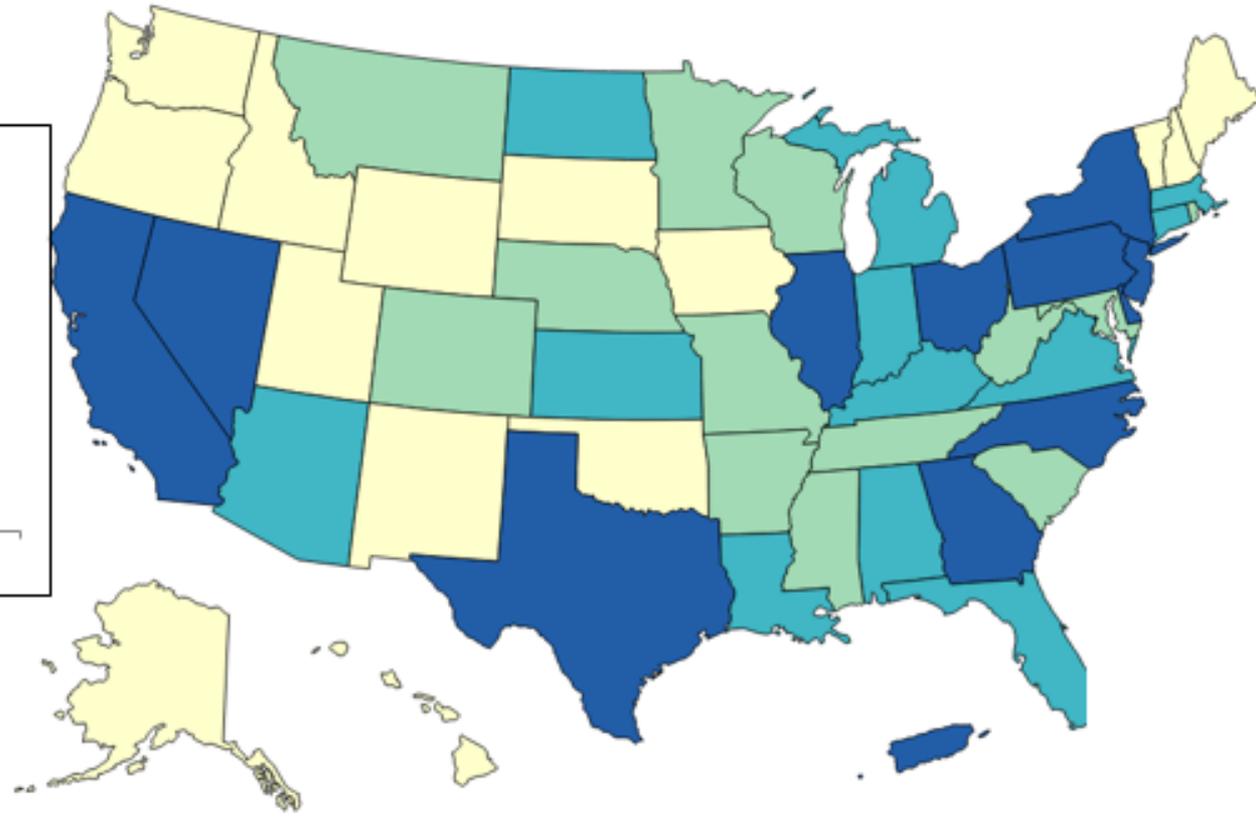
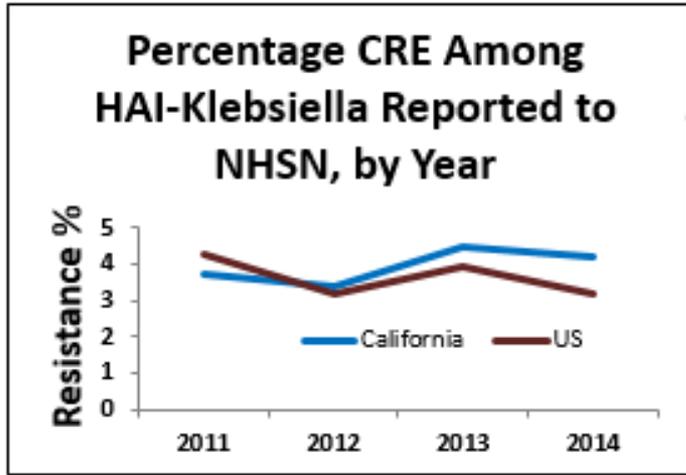
- Any Enterobacteriaceae that is either:
  - Resistant to at least one carbapenem antibiotic
  - OR -
  - Demonstrated to produce carbapenemase (e.g., KPC, NDM, OXA, VIM, IMP)

# Carbapenem Resistance in the US



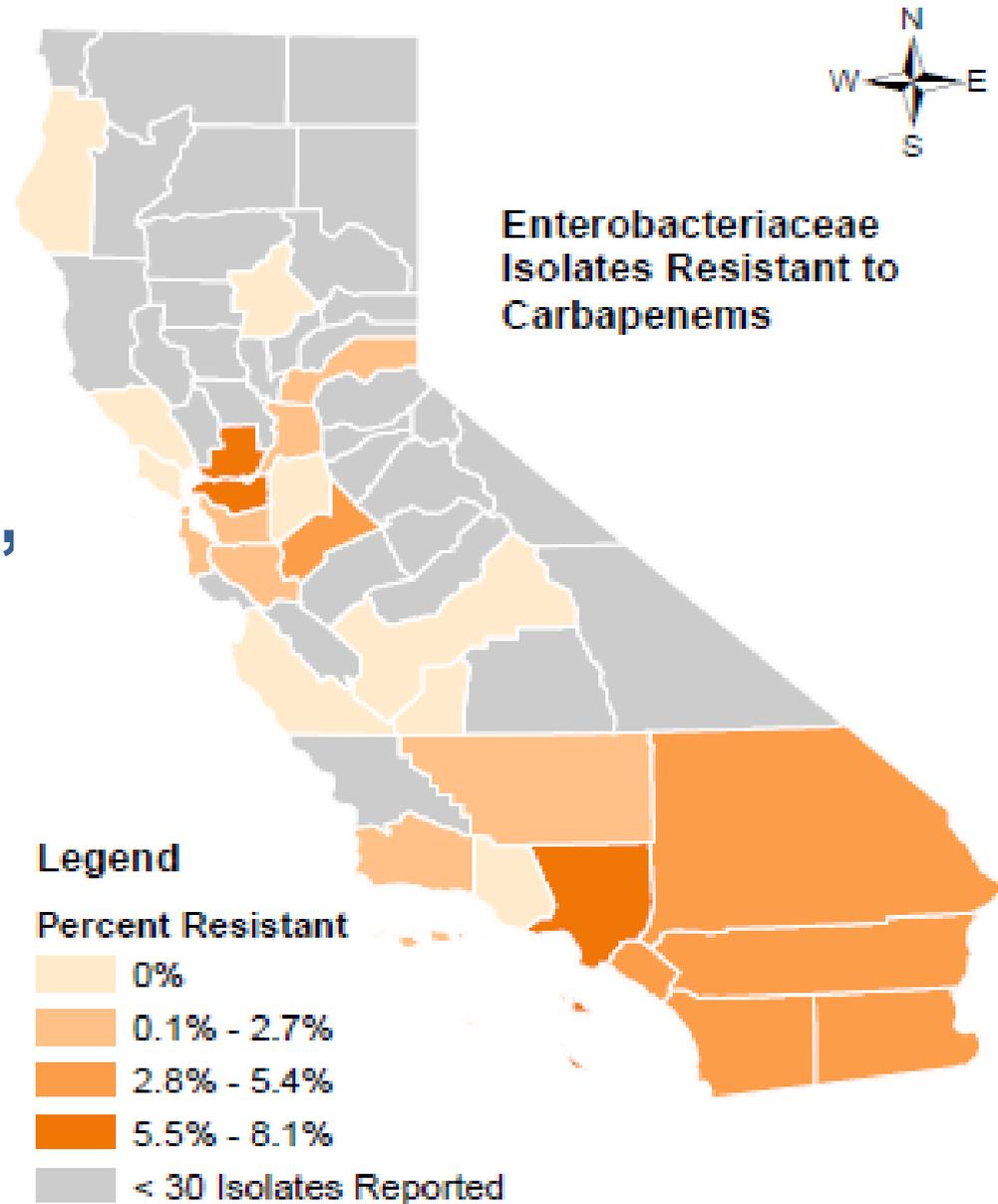
Source: Center for Disease Dynamics, Economics, and Policy (CDDEP)  
Isolate level data was obtained from The Surveillance Network (TSN)

# CRE Among Healthcare-Associated Infections



Source: Antibiotic Resistance Patient Safety Atlas  
 Data from National Healthcare Safety Network (NHSN), 2011-2014

# Percentage CRE Among HAI Reported to NHSN, 2014-2015, California Acute Care Hospitals (N=342)



# Different Types of CRE

- **Carbapenemase-producing CRE (CP-CRE)**
  - produce enzymes that make carbapenems ineffective (e.g., KPC, NDM, OXA, VIM, IMP)
- **Non-carbapenemase producing CRE (non CP-CRE)**
  - resistant by other mechanisms (e.g., ESBL or AmpC combined with porin loss mutation)

# CRE Iceberg

Patients test  
'CRE'-positive by  
antimicrobial  
susceptibility testing

6 Permutations of Nosocomial CRE

CRE  
Resistance  
Mechanism

CP

Non-  
CP

CP

Non-  
CP

CP

Non-  
CP

CRE  
Acquisition  
Route

Transmission-  
mediated  
exogenous  
acquisition

Dual:  
antibiotic  
pressure and  
exogenous  
exposure

Antibiotic  
selective pressure-  
induced  
endogenous  
acquisition or  
enrichment

Patients enter hospital CRE-negative

# CP-CRE are a Public Health Threat

- Carbapenemases can be transmitted between bacteria; increased incidence of CRE in the US is due to CP-CRE
- Higher mortality with invasive CP-CRE infections
  - Adjusted odds of dying more than 4 times greater for CP-CRE compared with non-CP-CRE
- CDC identifies CRE as urgent public health threat

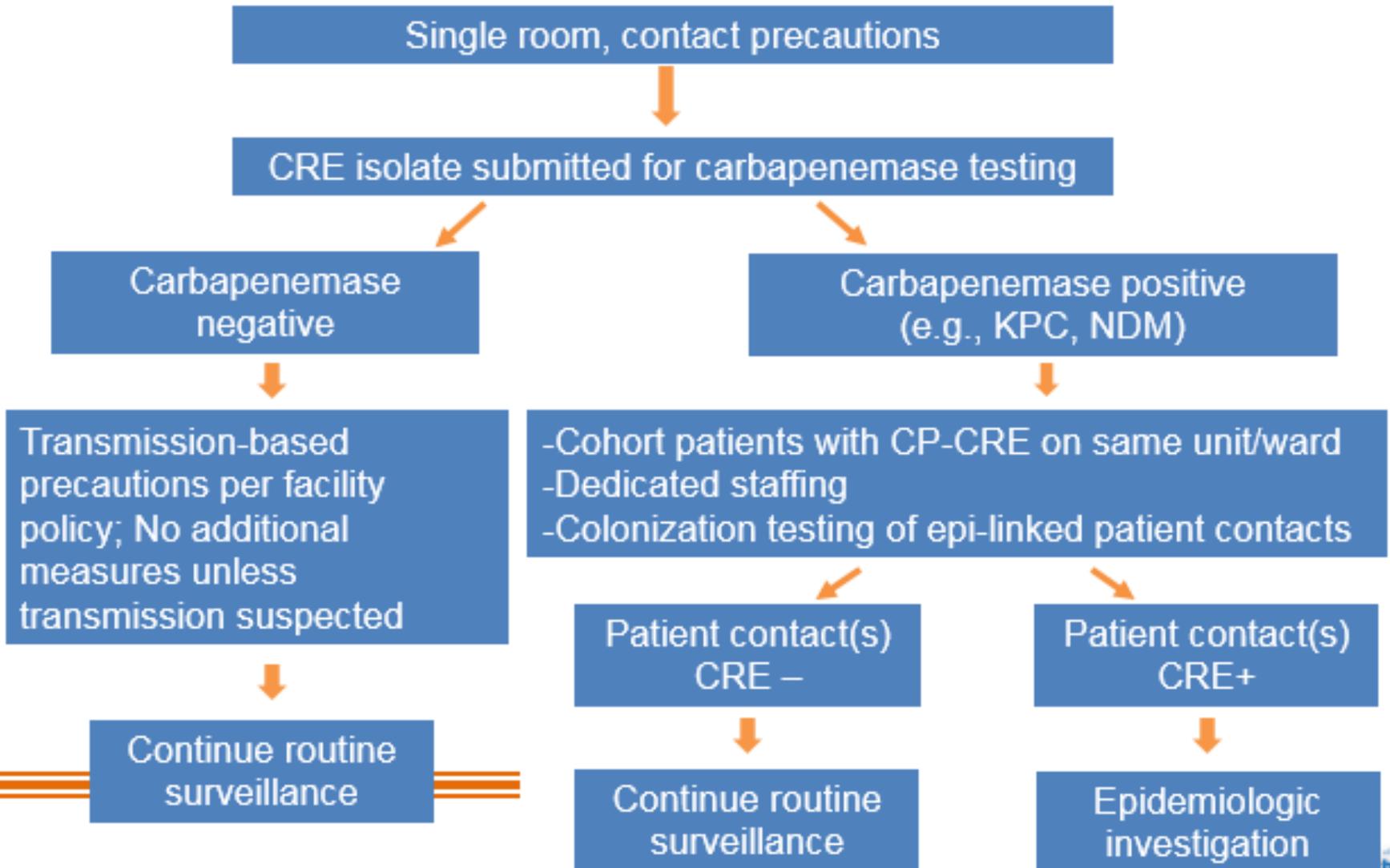
Source: Guh et al Epidemiology of CRE, 2012-2013 JAMA 2015

Source: Tamma et Mortality with CP-CRE bacteremia CID 2017

# Carbapenemase Testing

- CP-CRE warrant measures to assess and prevent further transmission in healthcare settings
- Carbapenemase testing to distinguish CP-CRE from non-CP CRE informs
  - Better understanding of your hospital's CRE epidemiology
  - Immediate infection control interventions
  - Epidemiologic investigation
  - Public health response actions

# Scenario: Hospitalized Patient Identified with CRE



# Different Types of Carbapenemase Testing

- **Phenotypic testing:** identifies whether or not an isolate is a carbapenemase producer
  - Modified carbapenem inactivation method (mCIM)
- **Molecular testing:** identifies the specific type of carbapenemase present
  - Real-time PCR testing using Cepheid Xpert® Carba-R, Whole Genome Sequencing
- Discrepant results, e.g., positive phenotypic test and negative genotypic test, might represent a novel carbapenemase

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# Carbapenemase Gene Detection by Cepheid Xpert<sup>®</sup> Carba-R Assay

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**Stephanie Abromaitis, Ph.D.**

Section Chief - Foodborne & Waterborne Diseases Section  
Microbial Diseases Laboratory Program



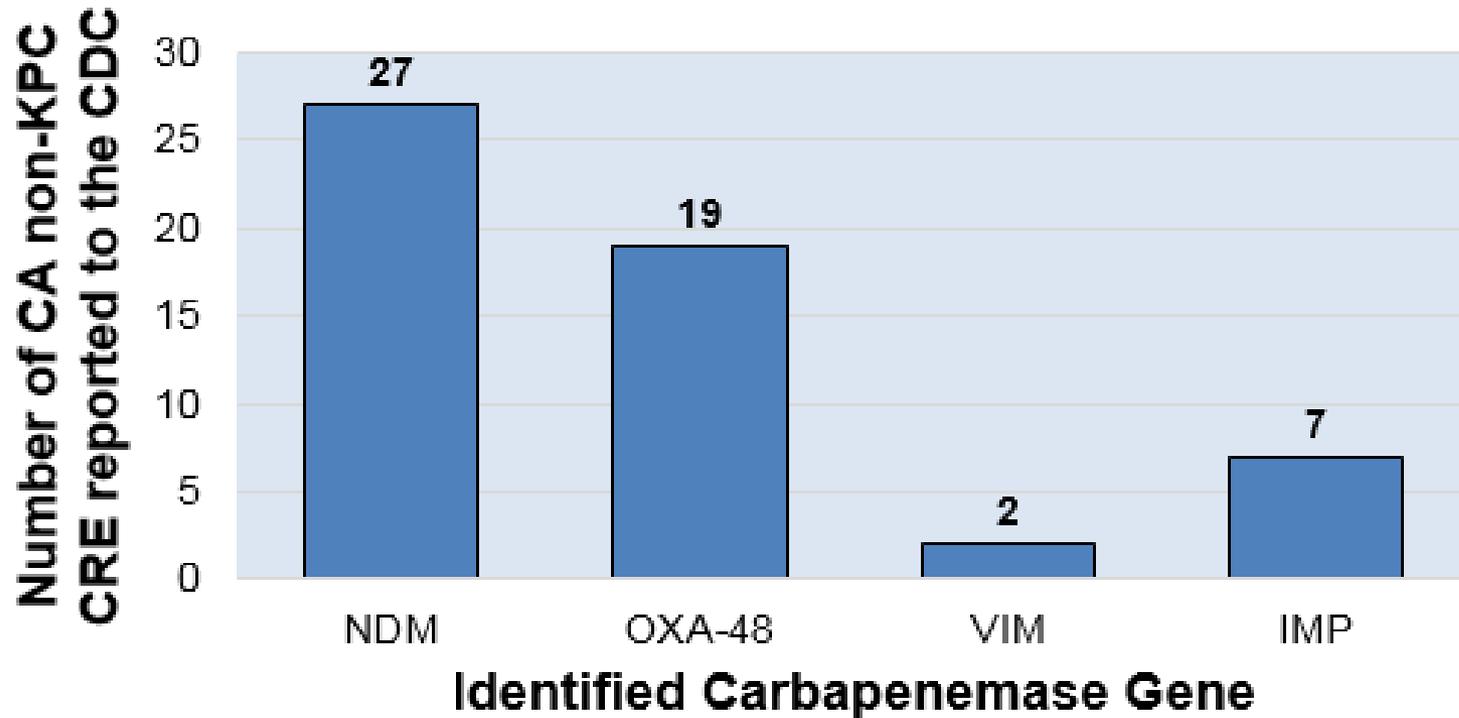
# Overview:

- What the Xpert® Carba-R detects
- How the Xpert® Carba-R works
- MDL verification summary

# What the Xpert<sup>®</sup> Carba-R Detects

- The Xpert<sup>®</sup> Carba-R detects and differentiates gene sequences for the carbapenemase resistance genes
  - *bla*KPC (**KPC**)
  - *bla*NDM (**NDM**)
  - *bla*VIM (**VIM**)
  - *bla*IMP (**IMP**)
  - *bla*OXA-48 like (**OXA-48**)

# California non-KPC CRE **Reported** to the Centers for Disease Control and Prevention (CDC)



Numbers reflect totals as of June 2017

# What the Xpert® Carba-R Detects

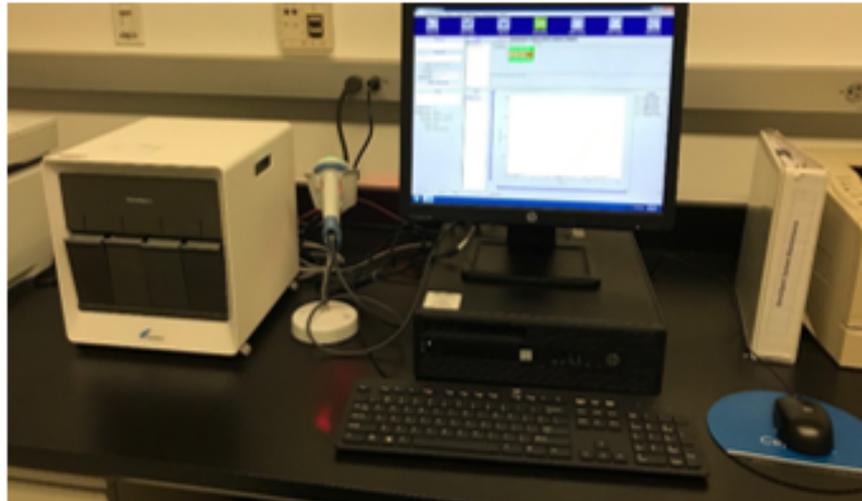
- There are multiple variants of each carbapenemase gene
- Not all variants of each of the “Big Five” carbapenemases are detected by the Xpert® Carba-R

Carbapenemase	Variants Detected by Xpert® Carba-R	Variants Not Detected by Xpert® Carba-R	Untested Variants (partial list)
IMP	IMP-1, 2, 6, 10, 11	IMP-7, 13, 14	IMP-3, 8, 9, 19, 20, 21, 22, 24, 25, 27, 30, 31, 33, 37, 40, 42

Adapted from Cepheid Xpert® Carba-R 510(k) Substantially Equivalent documents

# How the Xpert<sup>®</sup> Carba-R Works

- Automated system for
  - DNA extraction
  - Template amplification
  - Target sequence detection via real-time PCR



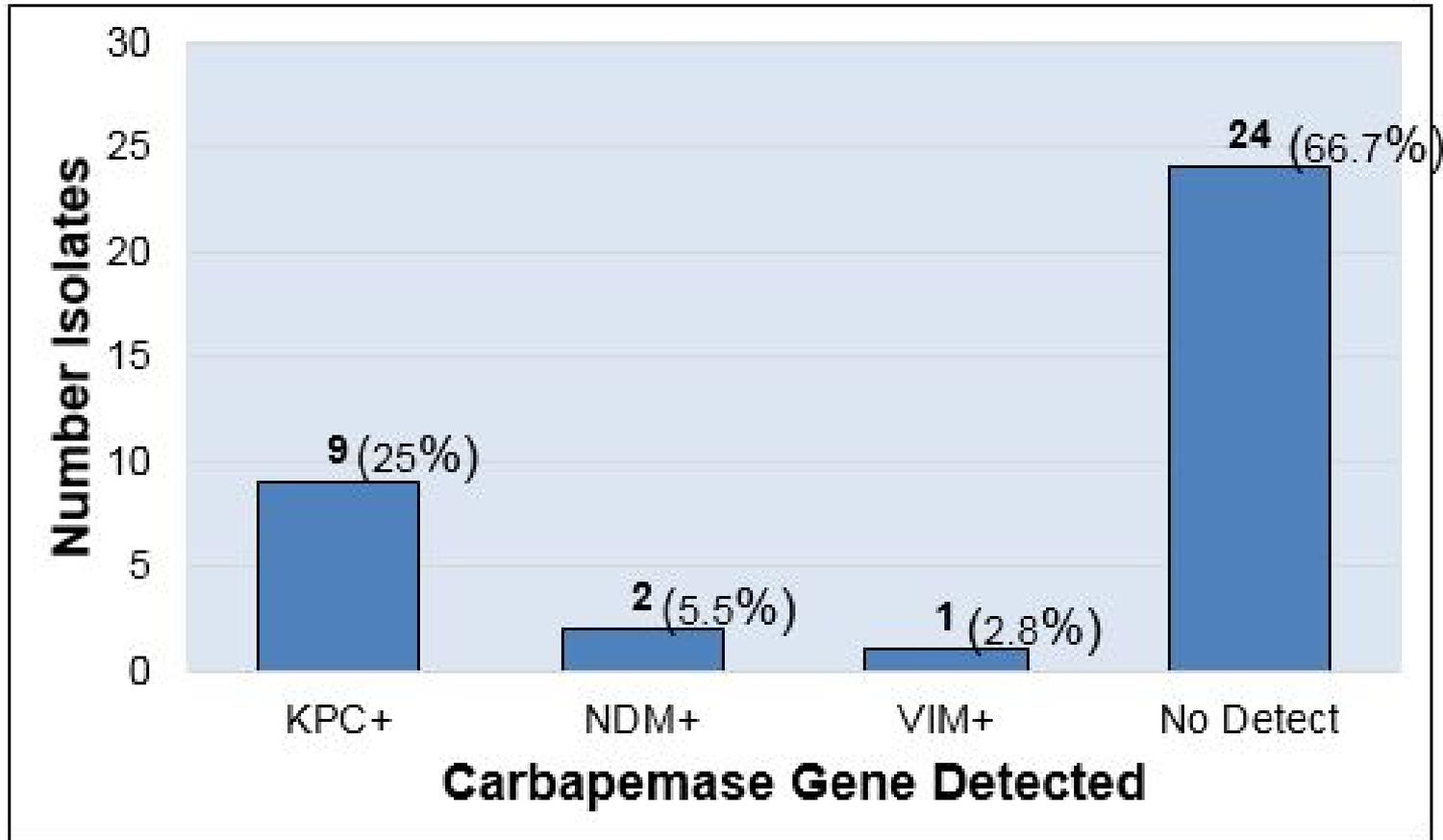
# MDL Verification Summary

- Tested isolates grown on blood agar
  - 32 carbapenemase-positive
  - 10 carbapenemase-negative
- Included:
  - 13 species
  - 19 carbapenemase gene variants
  - Isolates encoding non-carbapenemase beta-lactamases

Verification Results	
Accuracy = 97.6%	Sensitivity = 96.9%
Reproducibility = 100%	Specificity = 100%

# MDL Xpert® Carba-R Testing Thus Far

36 isolates received 08/30/2017 to 10/31/2017



# Carbapenemase Production Detection by Modified Carbapenem Inactivation Method (mCIM)

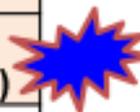
**Peng Zhang, Ph.D.**

Section Chief – Bacterial Diseases Section  
Microbial Diseases Laboratory Program



# Phenotypic Testing for Carbapenemase Production

	Phenotypic Test Used for Epidemiological or Infection Control-Related Testing		
	Modified Hodge Test (MHT)	Carba NP	Modified Carbapenem Inactivation Method (mCIM)
<b>Organisms</b>	* Only applies to <i>Enterobacteriaceae</i>	* <i>Enterobacteriaceae</i> * <i>P. aeruginosa</i> * <i>Acinetobacter</i> spp.	* Currently applies to <i>Enterobacteriaceae</i>
<b>Strengths</b>	* Simple to perform * No special reagents or media necessary	* Rapid	* Simple to perform * No special reagents or media necessary
<b>Limitations</b>	* False positives with some <i>Enterobacter</i> spp. possessing AmpC enzymes and porin alterations * False negatives with NDM-1 carbapenemases	* Special reagents are needed * Poor sensitivity for detection of OXA-48 carbapenemases.	* Poor sensitivity and specificity for carbapenemases in <i>Acinetobacter</i>



**New in 2017!**

CLSI M100 27<sup>th</sup> ed.  
CLSI AST News Update, Vol 2(1), June 2017

# Phenotypic Testing for Carbapenemase Production

- How does mCIM work?

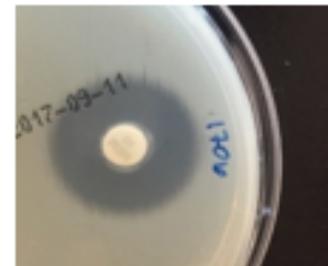
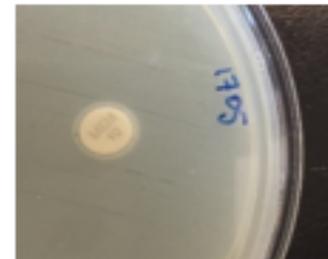
- Meropenem in a disk is inactivated (hydrolyzed) by the carbapenemase produced by bacteria in a bacterial suspension.
- The inactivation of meropenem is determined by transferring and incubating the disk on a plate with meropenem-susceptible indicator *E. coli*.

- **Carbapenemase producer**

Meropenem in the disk is inactivated and allows indicator *E. coli* to grow. No zone or very small zone of inhibition around the disk.

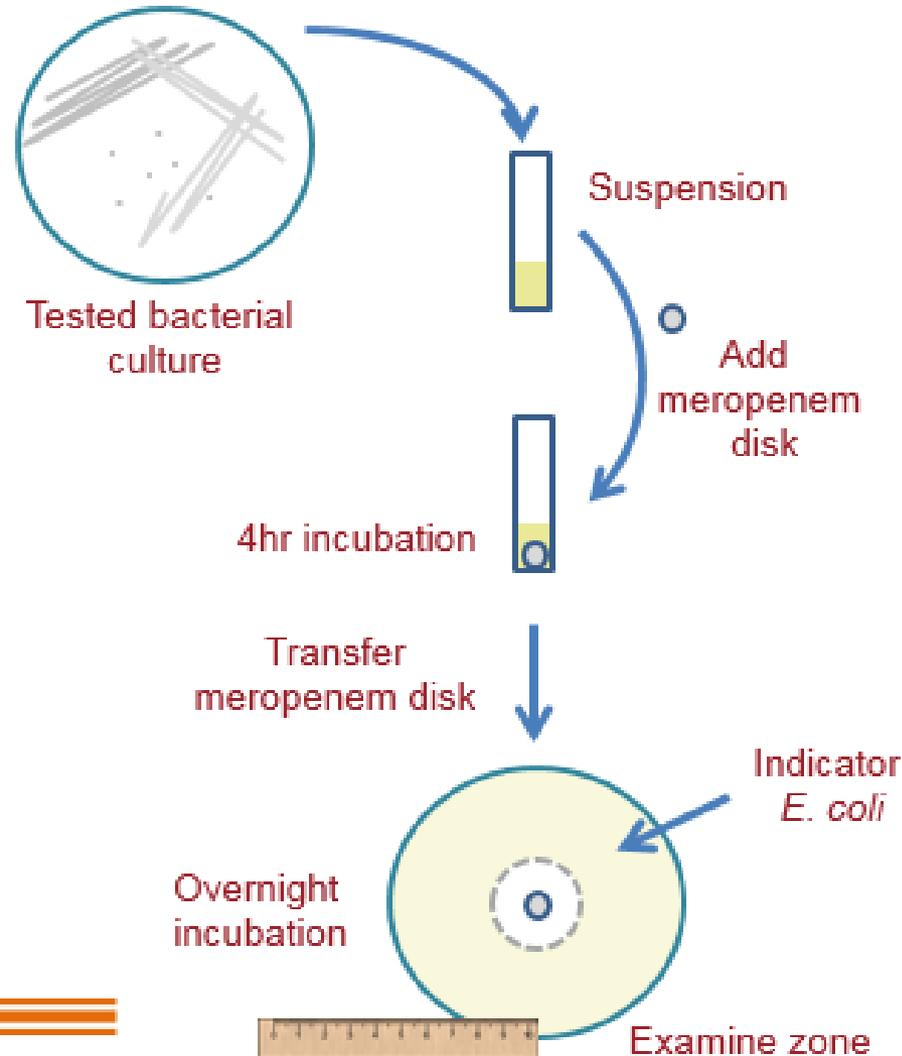
- **Non-carbapenemase producer**

Meropenem in the disk retains its activity and inhibits the growth of indicator *E. coli*. A zone of inhibition around the disk.



# Phenotypic Testing for Carbapenemase Production

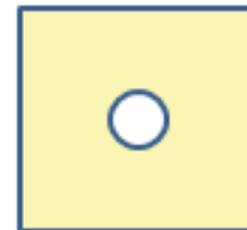
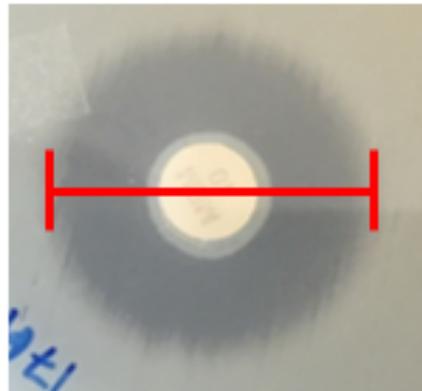
- How is mCIM Performed?



# Phenotypic Testing for Carbapenemase Production

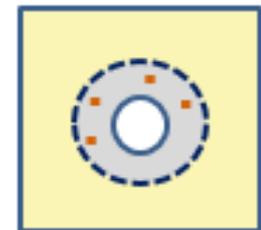
- How is mCIM Result Interpreted?

- Carbapenemase positive:  
zone 6-15 mm or presence of colonies within a 16-18 mm zone.
- Carbapenemase negative:  
zone  $\geq 19$  mm.
- Indeterminate:  
zone 16-18 mm.



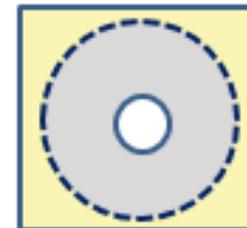
Positive

6 – 15 mm zone



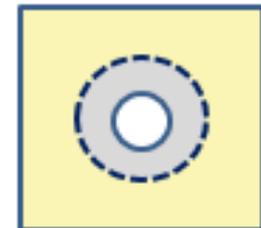
Positive

Colonies in  
16-18 mm zone



Negative

$\geq 19$  mm zone



Indeterminate

16-18 mm zone

CLSI M100 27<sup>th</sup> ed.

# Phenotypic Testing for Carbapenemase Production

- **Validation of mCIM for CRE and carbapenem-resistant *Pseudomonas aeruginosa* (CRPA)**
  - **CRE**
    - FDA-CDC AR bank isolates: 80
    - Carbapenemase type: KPC, NDM, VIM, IMP, OXA, SME, IMI
  - **CRPA**
    - FDA-CDC AR bank isolates: 30
    - Carbapenemase type: KPC, NDM, VIM, IMP, SPM
- **Validation Results**

	Accuracy	Sensitivity	Specificity	Reproducibility
CRE	100.0%	100%	100.0%	96%
CRPA	96.7%	100%	93.3%	100%

# Carbapenemase Gene Detection and Genetic Relatedness by WGS

Hillary Berman Watson, Ph.D. MPH  
Research Scientist - Core Laboratory  
Microbial Diseases Laboratory



# Bacterial Whole Genome Sequencing and CRE

- MDL offers a CLIA validated WGS assay on the Illumina MiSeq sequencing platform
- In some cases this additional genetic testing may be useful.
  - In potential outbreak or cluster investigations, WGS genotyping can help clarify routes of transmission.
- In consultation with your Local Public Health department, MDL and the HAI program epidemiologists, isolates received by MDL may be tested for genetic relatedness.

# Bacterial Whole Genome Sequencing and CRE

- WGS assay can be used for
  - Species identification and Multilocus Sequence Typing

## Center for Genomic Epidemiology

Home Services Instructions Output

### MLST-1.8 Server - Typing Results

Sequence Type: *ST-2613*

Locus	% Identity	HSP Length	Allele Length	Gaps	Allele
<i>acs</i>	100.00	390	390	0	<i>acs_172</i>
<i>aro</i>	100.00	498	498	0	<i>aro_11</i>
<i>gua</i>	100.00	373	373	0	<i>gua_3</i>
<i>mut</i>	100.00	442	442	0	<i>mut_13</i>
<i>nuo</i>	100.00	366	366	0	<i>nuo_1</i>
<i>pps</i>	100.00	370	370	0	<i>pps_2</i>
<i>trp</i>	100.00	443	443	0	<i>trp_4</i>

[extended output](#)

MLST Profile: *paeruginosa*

Organism: *Pseudomonas aeruginosa*

# Bacterial Whole Genome Sequencing and CRE

- WGS assay can be used for:
  - Antimicrobial Resistance Gene Detection and Identification

Center for Genomic Epidemiology

## ResFinder-2.1 Server - Results

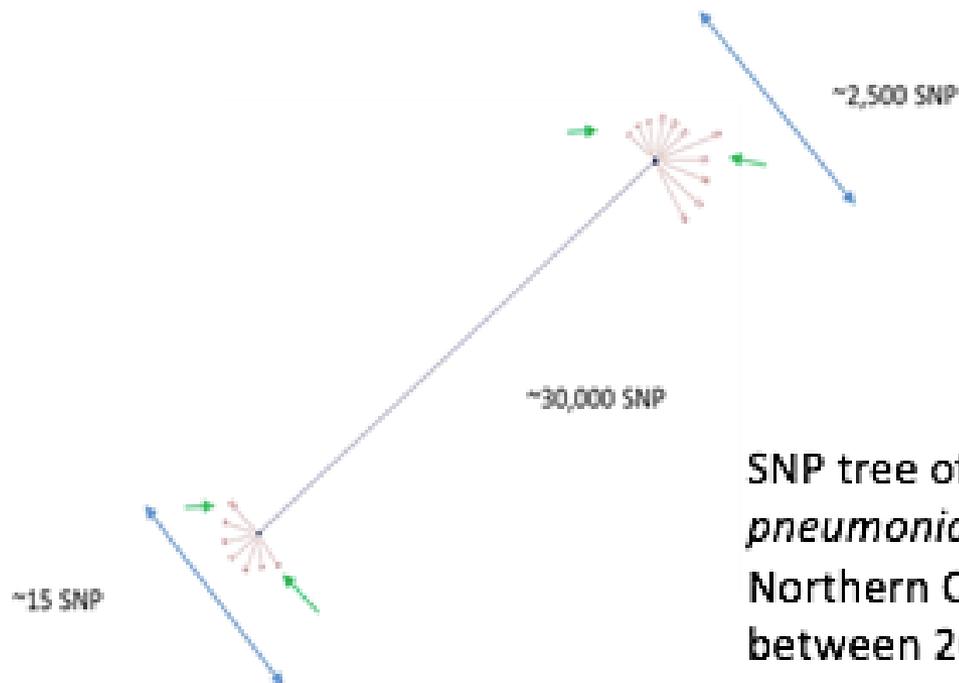
Beta-lactam Resistance gene	% Identity	HSP length/Query	Contig	Position in contig	Predicted phenotype	Accession number
<i>blaNDM-1</i>	100.00	813 / 813	17C00071_S14_L001_R1_001_14_(paired)_trimmed_(paired)_contig_57	5152..5964	Beta-lactam resistance	<a href="#">FN396876</a>

Colistin

No resistance genes found.

# Bacterial Whole Genome Sequencing and CRE

- WGS assay can be used for:
  - Phylogenetic Analysis including Phylogenetic Trees



SNP tree of NDM+ *Klebsiella pneumoniae* isolates from Northern California collected between 2015-2017

# Submission Instructions

**Robin Hogue, CLS, PHM**

Section Supervisor – Bacterial Diseases Section  
Microbial Diseases Laboratory Program

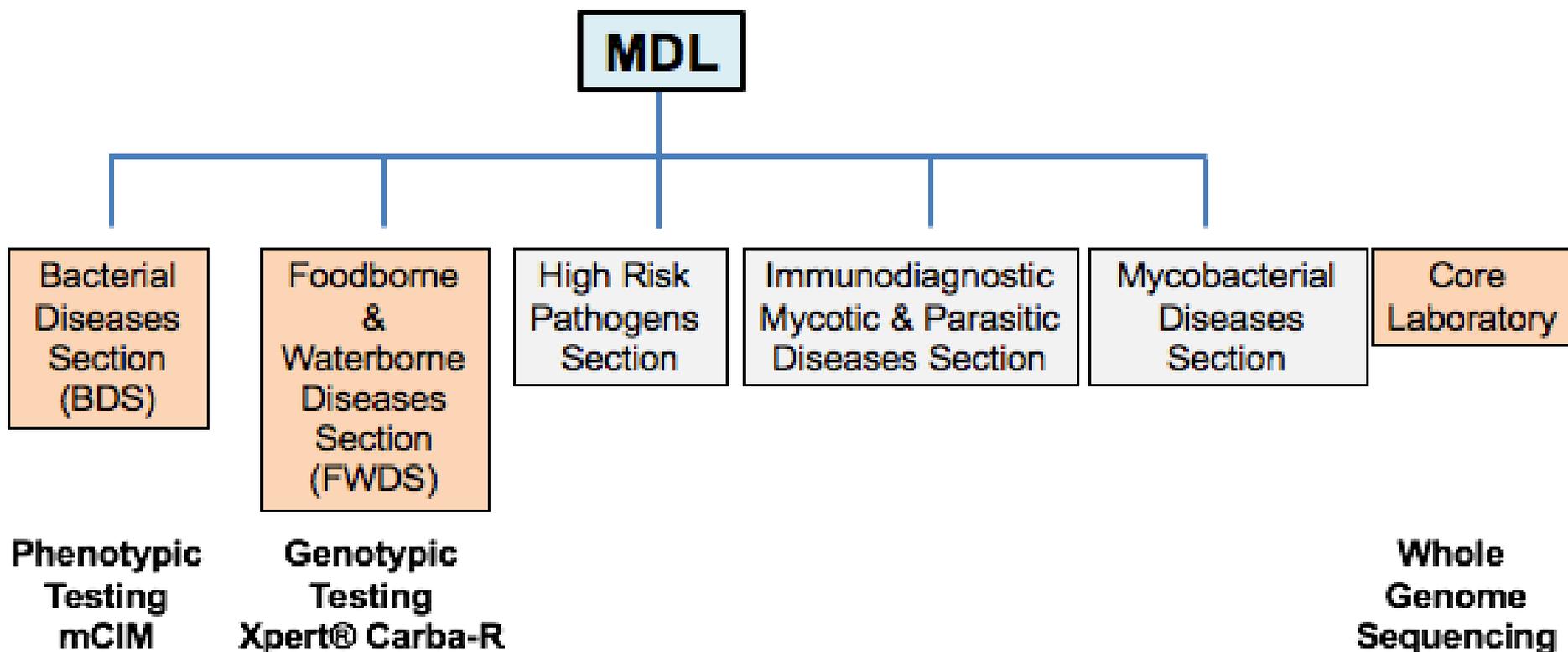


# Submission Instructions

- **Specimen/Isolate Requirements**
  - Identified to at least the genus level
  - Confirmed as *Escherichia coli*, *Klebsiella oxytoca*, *Klebsiella pneumoniae*, *Enterobacter* species or *Pseudomonas aeruginosa*
  - Resistant to at least one carbapenem, i.e., imipenem, ertapenem, doripenem, or meropenem
  - Pure culture
  - Other *Enterobacteriaceae* organisms are on a case by case basis after consultation with HAI program

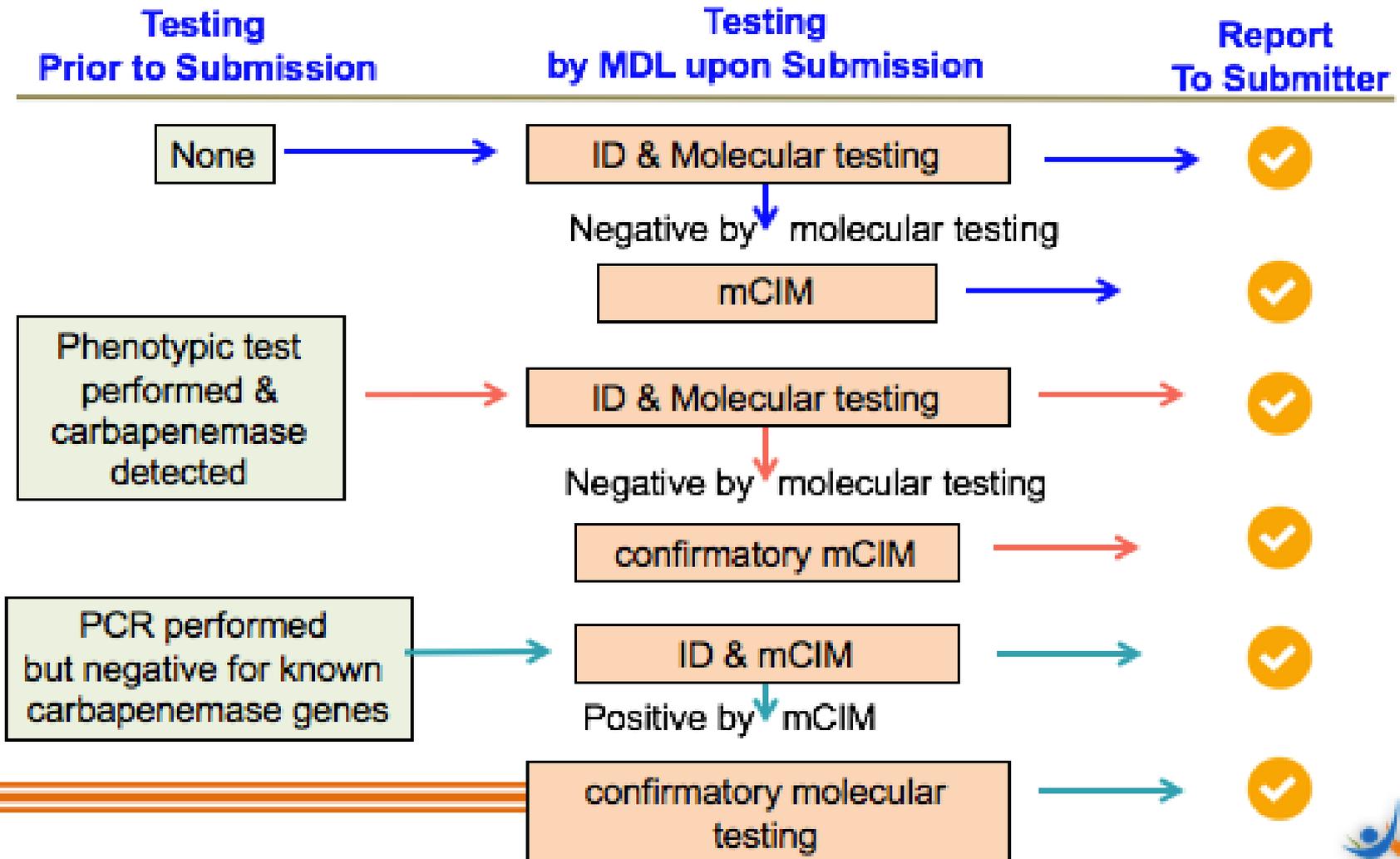
# Submission Instructions

## CRE/CRPA Antimicrobial Susceptibility Testing Performed in Microbial Diseases Laboratory (MDL)



# Submission Instructions

## Different Isolate Submission and Testing Scenarios





# Submission Instructions

Submittal Form: MDLB Lab Form AST-R

State of California - California Department of Public Health

Specimens of Human Origin

Microbial Diagnostic Laboratory

Select Test Requisition: <b>Antimicrobial Susceptibility Testing</b>						State MDL ACCESSION LABEL HERE					
Patient - Last Name <input type="text"/>		First Name <input type="text"/>		MI <input type="text"/>	DOB <input type="text"/>	Age <input type="text"/>	Units <input type="text"/>	Gender <input type="text"/>	Description of Specimen Date Collected <input type="text"/>		Time <input type="text"/>
Patient Residence Street Address <input type="text"/>						City <input type="text"/>		County <input type="text"/>	State <input type="text"/>	Zip <input type="text"/>	
Suspected Disease <input type="text"/>						Onset Date <input type="text"/>		Onset Date Modifier <input type="text"/>		Material Submitted <input type="text"/>	
Original Submitting Physician <input type="text"/>						Phone <input type="text"/>		Material Type Modifier <input type="text"/>		Source <input type="text"/>	
<b>Original Submitting Facility</b> <input type="text"/>						Submitter Specimen # <input type="text"/>		Patient Medical Record # <input type="text"/>		Travel History <input type="text"/>	
Public Health Lab <input type="text"/>						Submitting Facility <input type="text"/>		<b>Test(s) Requested:</b> <input type="checkbox"/> modified CIM <input type="checkbox"/> carbapenemase gene detection		Submitter's Identification of Organism <input type="text"/>	
Return Report To: Name <input type="text"/>						Phone <input type="text"/>		Fax <input type="text"/>		Important: Enter specific lab findings on 2nd page	
Address <input type="text"/>						Brief clinical history, symptoms, therapy (e.g. treatment received), treatment outcome <input type="text"/>					

# Submission Instructions

## Antimicrobial Susceptibility Testing - Submitter's Laboratory Findings

Submittal Form: MDLB Lab Form AST-R

Culture made from original clinical sample were:  Pure  Mixed

If mixed list other organisms:

Laboratory colony counts where applicable (e.g., urine):

Number of times this organism was isolated from patient:

Medium on which primary growth was obtained:

Medium on which organisms is being submitted:  Date Inoculated:

Condition of incubation prior to mailing: Temperature  Atmosphere  Length

### Method used for Identification:

*Please attach a copy of molecular identification test results if it is available*

### Method used for Antimicrobial Susceptibility Testing:

*Please attach a copy of antimicrobial susceptibility test results*

### Other Test or Comments:

*Please attach a copy of all additional test results, including molecular test results*

# Key Points for Specimen Submission

- Submission forms available by contacting your local public health department
- All isolate submissions should be coordinated and sent through local public health department
  - Comply with local reporting and submission requirements
- Ensure facility information and AST results are communicated with specimen submission

# Conclusions

- Carbapenemase testing informs actions to prevent the spread of carbapenemase-producing organisms
- Phenotypic and molecular carbapenemase testing services are available at CDPH MDL and should be coordinated through your local public health department
- CRE isolate submission is encouraged, and may supplement or complement locally available testing

# Key Contact Information

## **mCIM testing:**

MDL Bacterial Diseases Section (BDS) Laboratory: (510) 412-3903

Robin Hogue, BDS Reference Bacteriology Unit Supervisor

[Robin.Hogue@cdph.ca.gov](mailto:Robin.Hogue@cdph.ca.gov)

Peng Zhang, BDS Section Chief [Peng.Zhang@cdph.ca.gov](mailto:Peng.Zhang@cdph.ca.gov)

## **Xpert® Carba-R**

MDL Foodborne and Waterborne Diseases Section (FWDS) Laboratory: (510) 412-3796

Greg Inami, FWDS Detection Unit Supervisor [Greg.Inami@cdph.ca.gov](mailto:Greg.Inami@cdph.ca.gov)

Stephanie Abromaitis, FWDS Section Chief [Stephanie.Abromaitis@cdph.ca.gov](mailto:Stephanie.Abromaitis@cdph.ca.gov)

## **Whole genome sequencing:**

MDL Core Laboratory: (510) 412-3940

Hillary Berman-Watson, Research Scientist [Hillary.Berman-Watson@cdph.ca.gov](mailto:Hillary.Berman-Watson@cdph.ca.gov)

Core Laboratory General Email Address [MDLwgs@cdph.ca.gov](mailto:MDLwgs@cdph.ca.gov)

Vishnu Chaturvedi, MDL Lab Director [Vishnu.Chaturvedi@cdph.ca.gov](mailto:Vishnu.Chaturvedi@cdph.ca.gov)

## **CDPH Healthcare-Associated Infections (HAI) Program:**

HAI Program: (510) 412-6060

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Erin Epon, Assistant Chief, Public Health Medical Officer [Erin.Epon@cdph.ca.gov](mailto:Erin.Epon@cdph.ca.gov)