Preventing Healthcare-Associated Infections:

Do You Know if Your Health Care Providers are Doing the Most Important Things Consistently?

20-CITY EDUCATIONAL ROADSHOW APRIL-MAY, 2018

Healthcare-Associated Infections Program
Center for Health Care Quality
California Department of Public Health



Objectives

- Review evidence-based practices known to prevent healthcare-associated infections (HAI)
- Discuss observed gaps in infection prevention practices
- Review recommendations for monitoring adherence to infection prevention practices
- Review CDPH Adherence Monitoring Tools
- Discuss how to establish a facility-wide adherence monitoring program



HAI Prevention – What works?

Vendor-promoted High priority Promising New study shows... The newest thing Kills bacteria Ritual Saves time "I heard it's effective" **Process change** Eliminates germs Technology solutions Saves money Device upgrade REDUCES COLONY COUNTS **BEST PRACTICE**

"If everything is important, then nothing is"



HAI Prevention – What works?

- Evidence-based practice recommendations are based on science
 - If studied systematically, does a practice result in <u>reduced</u> <u>infection rates</u>?
 - To be considered an infection prevention "best practice," is the practice associated with <u>sustained</u> low HAI rates?
 - Careful evaluation of available studies, including risk/benefit, determines recommended practices
 - Where scientifically valid studies are lacking, consensus expert opinion also considered but never alone



HAI Prevention – What works?

- Best sources for evidence-based HAI prevention practice recommendations
 - Centers for Disease Control and Prevention (CDC)
 - Healthcare Infection Control Practices Advisory Committee (HICPAC)
 - Infectious Diseases Society of America (IDSA) / Society for Healthcare Epidemiology of America (SHEA)



HAI Prevention Practice Terms

Core / Basic Care Practices

- Standard of practice
- Based on higher levels of scientific evidence
- Demonstrated feasibility
- Effectiveness depends on consistency

Special Approaches

- Used <u>in addition to</u>
 Core/Basic care practices
 when HAI rates remain high or during outbreaks
- Based on some scientific evidence
- May not be feasible in all settings



Core Infection Prevention Practices

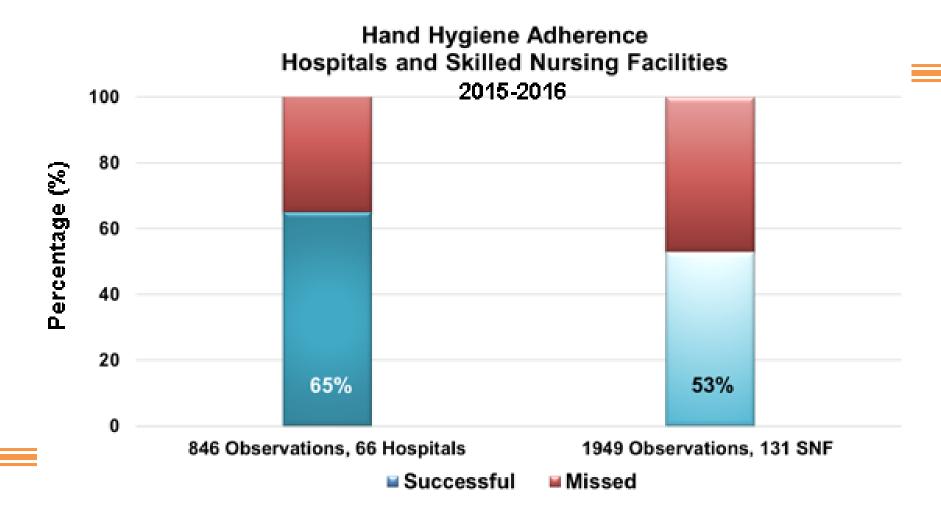
For Use in <u>All</u> Health Care Settings at All Times						
Visible, tangible leadership support for infection control	Standard precautionsHand hygiene					
Infection prevention training for all HCP	Environmental cleaning and disinfection					
Patient, family, caregiver HAI prevention education	 Injection safety, medication safety Assess risk, use PPE appropriately 					
Performance monitoring and feedback	Minimize potential exposuresClean and reprocess reusable					
Early, prompt removal of invasive devicesOccupational health	medical equipment Transmission-based precautions as necessary					
	,					



Are Core Infection Prevention Care Practices Performed Routinely?

Results of CDPH HAI Program Liaison IP Observations







Are Core Infection Prevention Care Practices Used Routinely in YOUR facility?

You won't know if you don't monitor!



Monitoring Hand Hygiene

Discip line						✓ Successful
N	☐ entering room* ☐ befo	ore task	☐ after body fluids	☐ after care*	☑ leaving room	~
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CNA	☐ entering room* ☐ befo	ore task	☐ after body fluids	☐ after care*	☑ leaving room	~
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MD	☑ entering room* ☐ befo	ore task	☐ after body fluids	☐ after care*	☐ leaving room	0
N	☑ entering room* ☐ befo	ore task	☐ after body fluids	☐ after care*	☐ leaving room	~
N	☑ entering room* ☐ befo	ore task	☐ after body fluids	☐ after care*	☐ leaving room	0
Т	otal # HH Successful ("# ✔ "): 4		HH Opportunities bserved: 10	(Total # HH S	rence: <mark>40</mark> _ uccessful ÷Total s Observed x 100	



Adherence Monitoring Tools for Core Practices

- Hand hygiene
- Safe injection practices
- Blood glucose meter
- Environmental cleaning and disinfection
- Device reprocessing
- High level disinfection of reusable devices
- Contact precautions



Reducing *Clostridium difficile*Infection (CDI) Rates



2020 CDI Prevention Goal

- Target set by National Action Plan to Prevent HAI
 - Recommended by the CDPH HAI Advisory Committee for all California hospitals
- 30% CDI reduction from 2015 baseline = Standardized Infection Ratio (SIR) of 0.70 in 2020
 - On track to achieve 2020 target if <u>SIR 0.88</u> in 2017
 - →SIR 0.82 in 2018
 - →SIR 0.76 in 2019



Healthcare-Associated CDI in California

CDI reported frequently by California hospitals

2016

- Over 10,000 hospital-onset CDI (60% of reported HAI)
- 40 hospitals high CDI for 3-4 years, 2013-2016

2017 (unpublished data)

- 59% of hospitals on track to reach 2020 goal, SIR ≤ 0.88 (41% not on track)
- 26 hospitals still significantly higher than 2015 baseline



Ask these questions about CDI incidence in your hospital:

Do the numbers of CDI reported represent true infection or asymptomatic colonization?

Are our providers testing only those patients with signs and symptoms of CDI?



Accuracy of CDI Diagnosis / Surveillance Data

- Sensitive diagnostic testing methods allow for rapid identification of patients with CDI
 - Prompt initiation of CDI therapy improves patient outcomes
 - Prompt initiation of Contact precautions minimizes transmission risk to others
- Sensitive diagnostic tests sometimes used inappropriately
 - Detect asymptomatic C. difficile colonization
 - Initiate unnecessary CDI therapy
 - Report inaccurate surveillance data



CDI Testing

- CDI testing should be limited to symptomatic patients with unformed stool
 - Presence of unexplained and new-onset diarrhea
 - >3 unformed stools over 24 hours
- Implement pre-agreed hospital-wide criteria for CDI testing
 - Algorithm to direct proper testing
 - Discontinue laxatives 24-48 hours prior to testing
 - Laboratory rejects testing if formed stool (does not conform to shape of container)



CDI Testing

- If no pre-agreed institutional criteria for CDI testing, perform positive stool toxin test as part of a multi-step algorithm*
 - Glutamate dehydrogenase (GDH) plus toxin, or
 - GDH plus toxin arbitrated by nucleic acid amplification test (NAAT) such as polymerase chair reaction (PCR), or
 - NAAT plus toxin



CDI Testing

- Use laboratory-based system for immediate notification of positive CDI test results
- Do not repeat testing within 7 days during same episode of diarrhea
 - "Test of cure" not recommended



CDI Testing in Children

- Do not test neonates or infants <12 months of age
- Do not test children 1-2 years of age unless other infectious or non infectious causes have been excluded
- Test children ≥2 years of age only if prolonged or worsening diarrhea and risk factors or relevant exposures (exposure to healthcare system or recent antibiotics)



Reducing CDI Rate/SIR: The Most Important Things

Improve CDI Surveillance - Improve Diagnosis/Treatment

- Initiate institutional criteria for optimal CDI testing -OR-
- Perform toxin-test as part of multi-step algorithm

- ☐ Test only symptomatic patients
- Do not repeat testing within 7 days of same diarrhea episode
- Do not test for cure



Clostridium difficile Infection (CDI) Prevention



CDI Prevention – What works?

Best sources for evidence-based CDI prevention practice recommendations

- CDC CDI Prevention Primer
 - Slide set
 - Summarizes CDC guideline recommendations
- IDSA/SHEA Clinical Practice Guidelines for Clostridium difficile
 - **NEW**, Feb 2018
 - Lead author, Cliff McDonald /CDC



CDI is a 2-Step Process

The following events may occur separately and in any order, but **both** are required for infection to occur:

- The normal <u>intestinal flora must be compromised</u> (primarily due to antibiotics) allowing for *C.difficile* to establish itself and proliferate
- 2. C.difficile bacteria or spores must be ingested



CDI Risk Factors

- Antimicrobial exposure (Modifiable risk factor)
- Acquisition of C. difficile bacteria (Modifiable risk factor)
- Advanced age
- Immunosuppression
- Tube feedings
- Gastric acid suppression
- Prolonged stay in healthcare facility
- Inflammatory bowel disease
- Gl surgery



CDI-Targeted Antimicrobial Stewardship

- Implement an antimicrobial stewardship program
 - Minimize frequency and duration of high-risk antimicrobials and numbers of antimicrobials prescribed
- Target antimicrobials based on local epidemiology
 - Restricting fluoroquinolones, cephalosporin, and clindamycin found most useful (may still be used for surgical prophylaxis)
- Reduce use of broad-spectrum antibiotics
 - Enforcing narrow-spectrum antibiotic policy with feedback to prescribing physicians resulted in significant CDI reduction in 3 hospital geriatric medical wards (Fowler, 2007)



CDI-Targeted Antimicrobial Stewardship

- When patient diagnosed with CDI, review recent and current antimicrobials
 - Stop the inciting antibiotic ASAP
- Start CDI antibiotic therapy empirically for lab delay or fulminant CDI



CDPH Antimicrobial Stewardship Interventions for CDI Prevention

Improve overall antimicrobial prescribing →

Fewer patients on antimicrobials →

Fewer patients develop CDI →

Fewer CDI patients contribute to transmission

Stop unnecessary antibiotics in patients with new CDI diagnoses ->

Improve clinical response to treatment and reduce risk of recurrent CDI →

Fewer CDI patients contribute to transmission



CDPH Antimicrobial Stewardship Interventions for CDI Prevention

• Restrict antimicrobials with high risk for CDI \rightarrow

Promote use of lower risk antimicrobials \rightarrow

Fewer patients susceptible for CDI

High Risk	Medium Risk	Low Risk
Aminopenicillins	Beta-lactam/beta- lactamase inhibitors	Macrolides
Clindamycin	Carbapenems	Trimethoprim/ sulfamethoxazole
Cephalosporins		Tetracyclines
Fluoroquinolones		



Examples of CDI-Targeted ASP Interventions

- Formulary restriction and prospective audit with feedback
 - Target antibiotic(s) most associated with CDI at <u>your</u> facility
 - Recommend lower-risk alternatives, and optimizing dosing, route and duration of therapy
- Target patients with CDI diagnoses for medication review to identify and discontinue unnecessary antibiotics

See CDPH HAI Program **Antimicrobial Stewardship Program Initiative** for more examples and toolkits at www.cdph.ca.gov/HAI



Contact Precautions for CDI

- Assign private room with dedicated toilet
 - Cohort only with other CDI colonized or infected patients
- Place on Contact Precautions for duration of diarrhea
- Continue Contact precautions for at least 48 hours after diarrhea resolved



Contact Precautions for CDI

- Use gloves and gowns upon room entry and for patient care
 - Gloves are effective at preventing *C. difficile* contamination of hands (*Dubberke*, 2014)
 - Adherence to glove use critical to preventing C. difficile transmission via hands of HCP
- Remove gloves prior to exiting room
 - Emphasize hand hygiene compliance



Contact Precautions Special Approaches

When CDI rates remain high or during outbreak

- Place patients with diarrhea on Contact precautions pending CDI confirmation
 - Also called "preemptive" Contact precautions
 - Rationale: Patients with CDI may contaminate environment and hands of healthcare personnel before results of testing known
- For patient with possible recurrent CDI, isolate and test following first unformed stool



Hand Hygiene for CDI

- Perform hand hygiene before and after contact with CDI patient and after removing gloves
 - Follow CDC or WHO guidelines
- Routinely use alcohol hand rub or soap and water
 - C. difficile spores are resistant to alcohol However, clinical studies have not found increase in CDI with alcohol-based hand hygiene products, but several did find reductions in MRSA or VRE
- Use soap and water during CDI outbreak, "hyper-endemic setting," or fecal hand contamination
- Encourage <u>patient</u> hand hygiene



Hand Washing and Gloves Special Approaches

When CDI rates remain high or during outbreak

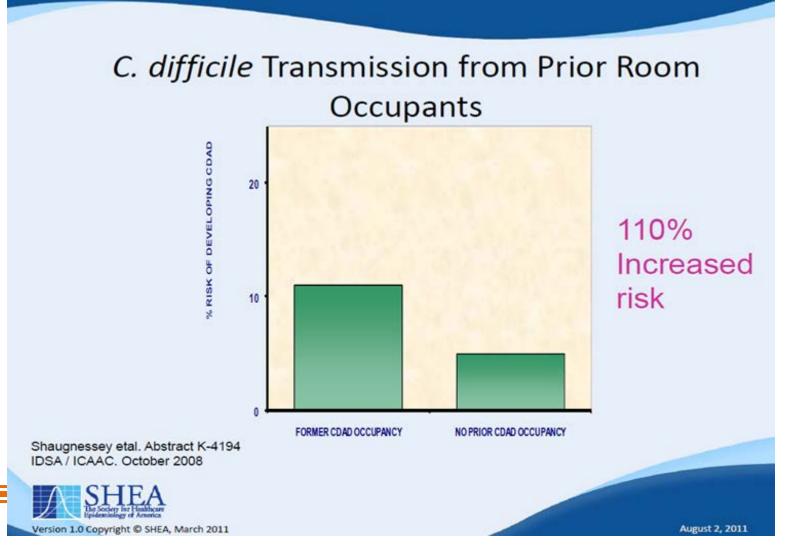
- Hand hygiene with soap and water
 - Be aware: Hand hygiene adherence may decrease when soap and water is only option provided

Universal glove use

- Asymptomatic carriers play a role in transmission (though magnitude of contribution unknown)
- Practical CDI screening tests not available



CDI in the Hospital Environment





Equipment

- Use disposable equipment when possible
 - Replace electronic thermometers with single use disposable
- Identify and **remove unnecessary** equipment that can be environmental sources of *C. difficile* transmission
- Ensure reusable equipment is cleaned with a sporicidal disinfectant



Preventing CDI: The MOST Important Things

Prevent C. difficile Acquisition)	' R	educe Antimicrobial Exposure
Isolate patients with diarrhea pending CDI confirmation		Disposable equipment Sporicidal disinfectant for
Lab alert system for immediate notification of positive CDI tests		cleaning reusable equipment Sporicidal disinfectant for terminal
Contact precautions for duration of diarrhea plus 48 hours		cleaning Quality cleaning, daily & terminal
Private room, dedicated toiletGloves/gown to enter room		CDI-targeted antimicrobial stewardship program
Remove gloves, perform hand hygiene prior to room exit		Improve overall prescribing, stop unnecessary antibiotics
Hand hygiene before/after patient contact & after glove removal		Restrict high-risk antibiotics based on local epidemiology
Patient hand hygiene		Stop inciting antibiotic

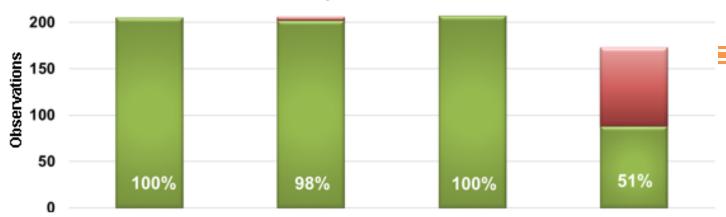


Are CDI Prevention Care Practices Performed Routinely?

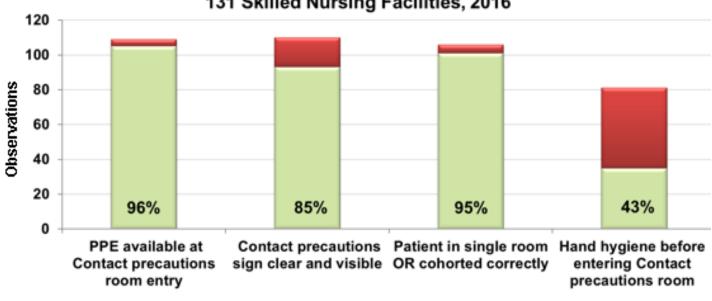
Results of CDPH HAI Program Liaison IP Observations







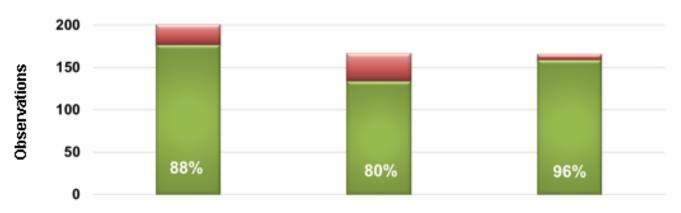
Contact Precautions Adherence 131 Skilled Nursing Facilities, 2016



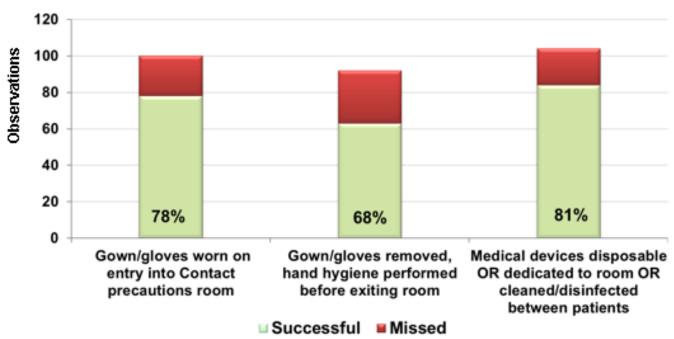




Contact Precautions Adherence 66 Hospitals, 2015

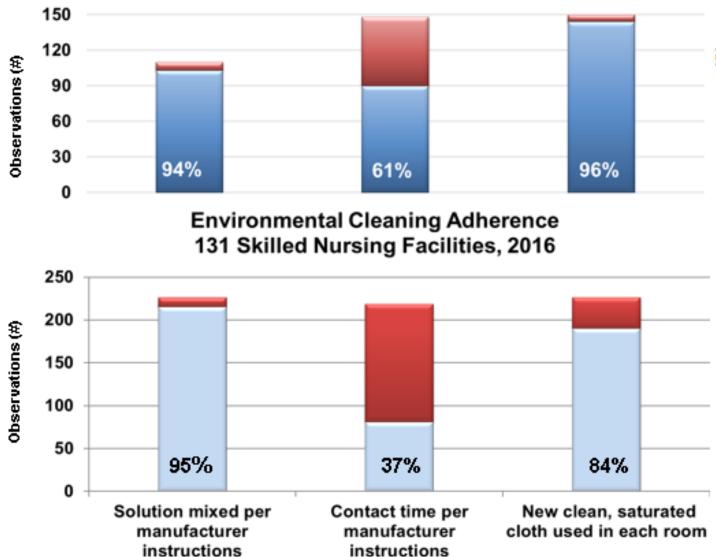


Contact Precautions Adherence 131 Skilled Nursing Facilities, 2016





Environmental Cleaning Adherence 66 Hospitals, 2015



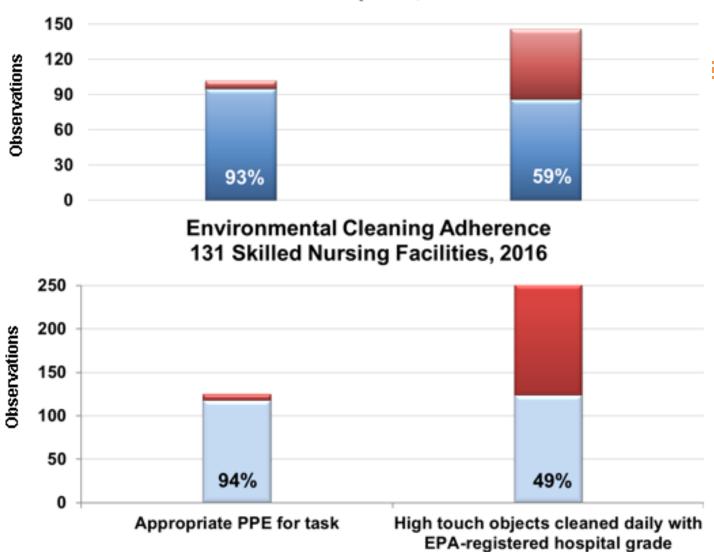
■ Successful

■ Missed



disinfectant

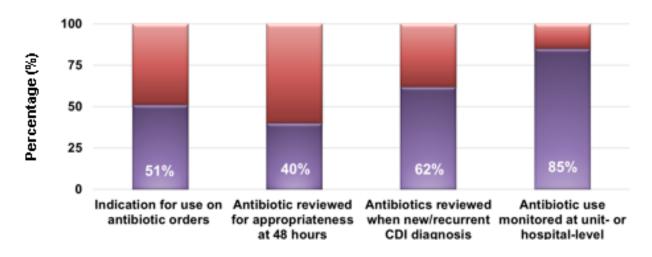
Environmental Cleaning Adherence 66 Hospitals, 2015

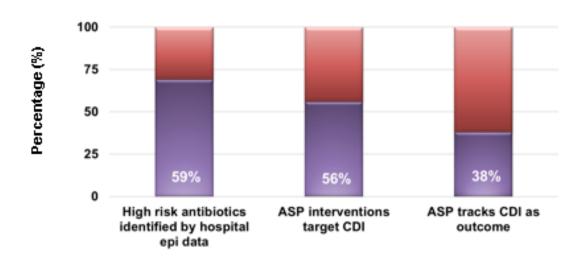




■ Successful ■ Missed

Antimicrobial Stewardship Adherence 117 Hospitals, 2015-2016







■ Successful ■ Missed

Are CDI Prevention Care Practices Used Routinely in YOUR facility?

You won't know if you don't monitor!



Monitoring Contact Precautions

Contact Precautions Practices	Pt/Res	Pt/Res	Adherence by Task		
	1	2	#Yes	#Obs	
Gloves and gowns are available near point of use.	Yes No	Yes No	2	2	
Signs indicating the patient/resident is on contact precautions are clear and visible.	Yes No	Yes No	2	2	
The patient/resident housed in single-room or cohorted based on a clinical risk assessment.	Yes No	Ves No	2	2	
Hand hygiene is performed before entering the patient/resident care environment.	Yes No	Yes No	1	2	
Gloves and gowns are donned before entering the patient/resident care environment.	Yes No	Yes No	2	2	
Gloves and gowns are removed and discarded, and hand hygiene is performed before leaving the patient/resident care environment. Soap & water if C. difficile infection.	Yes No	Yes No	0	2	
Dedicated or disposable noncritical patient-care equipment (e.g. blood pressure cuffs) is used	Yes No	Yes No	2	2	
Total #Yes 11 Total #Observed 14 Total #Yes/Total #Observed = % Adherence 79 %					



Monitoring Environmental Cleaning

	EVS Staff		EVS Staff			ence by isk
Environmental Cleaning Practices	1		2		# Yes	# Obs
Detergent/disinfectant solution is mixed according to manufacturer's instructions.	Yes	No	Yes	No		
Solution remains in wet contact with surfaces according to manufacturer's instructions.	Yes	No	Yes	No		
A new clean, saturated cloth is used in each room. The cloth is also changed when visibly soiled and after cleaning the bathroom.	Yes	No	Yes	No		
Environmental Services staff use appropriate personal protective equipment (e.g. Gowns and gloves are used for patients/residents on contact precautions upon entry to the contact precautions room.)	Yes	No	Yes	No		
Objects and environmental surfaces in patient care areas that are touched frequently* are cleaned and then disinfected when visibly contaminated or at least daily with an EPA-registered disinfectant.	Yes	No	Yes	No		
# Yes # Observed #Yes/#Obse	erved	= %	Adhe	erenc	e	%



Adherence Monitoring Tools for CDI Prevention

- Contact precautions
- Environmental cleaning and disinfection
- Hand hygiene
- Device reprocessing
- High level disinfection of reusable devices
- CDI-targeted ASP practices (coming soon)



Central Line Associated Blood Stream Infection (CLABSI) Prevention



CLABSI Prevention – What works?

Best sources for **evidence-based CLABSI prevention practice** recommendations

- CDC Guidelines for the Prevention of Intravascular Catheter-Related Infections, 2011
- CDC Checklist for CLABSI Prevention of CLABSI
- SHEA/IDSA Strategies to Prevent Central Line-Associated BSI Acute Care Hospitals, 2014



2020 CLABSI Prevention Goal

- Target set by National Action Plan to Prevent HAI
 - Recommended by the CDPH HAI Advisory
 Committee for California hospitals
- 50% CLABSI reduction from 2015 baseline = SIR
 0.50 in 2020
 - On track if <u>SIR 0.80</u> in <u>2017</u>
 - →SIR 0.70 in 2018
 - →SIR 0.60 in 2019



CLABSI in California

CLABSI continues to be a prevention priority

2016

- 2,594 reported in 2016
- Need to prevent ~1,200 annually to meet 2020 goal

2017 (unpublished data)

- 62% of hospitals on track to reach 2020 goal, SIR ≤ 0.80 (38% not on track)
- 20 hospitals still significantly higher than 2015 baseline



CLABSI Pathogenesis

Common mechanisms

- Pathogens migrate on external surface of catheter
 - CLABSI in early period following insertion (less than 7 days)
- Pathogens migrate along internal surface of catheter
 - CLABSI more common after 7 days
 - Access port contamination

Less common mechanisms

- Seeding from another source
- Example: contaminated infusates



CLABSI Risk Factors

- Multiple catheters
- Catheters with multiple lumens
- Emergency insertion
- Prolonged duration
- Prolonged hospital stay prior to line insertion
- Excessive line manipulation
- Neutropenia
- Prematurity
- Total parenteral nutrition
- Hemodialysis





Hemodialysis

- Catheters (specifically, central lines) are the most common cause of BSI in dialysis patients
 - 7X higher CLABSI risk than arteriovenous fistulas or grafts

Vascular Access Type	Rate (per 100 patient-months)		
AV fistula	0.26		
AV graft	0.39		
Other vascular access type	0.67		
Central venous catheter	2.16		

Include hemodialysis providers and contractors in CLABSI prevention education and competency programs



Can You Modify CLABSI Risk?

Modifiable Risk Factors	Higher CLABSI Risk	Lower CLABSI Risk
Insertion circumstances	Emergency insertion	Elective insertion
Skill of inserter	General clinician	Specialized (e.g., PICC team)
Insertion site	Femoral	Subclavian
Skin antisepsis	Alcohol (and povidone iodine)	Chlorhexidine (lowest risk)
Catheter lumens	Multilumen	Single lumen
Duration of use	Temporary (non- tunneled) catheters (including PICC) left in place long-term	Dialysis fistula (lowest risk) or permanent (tunneled) catheter when long-term use expected
Barriers for insertion	Anything less than maximal	Maximal



Central Line Insertion Practices (CLIP)

<u>Prepare</u>

- All-inclusive catheter cart/kit
- Choose low risk insertion site avoid femoral
- Ultrasound guidance for insertion

Insert

- Hand hygiene
- Maximal sterile barriers
 - Mask, cap, gown, sterile gloves on HCP
 - Sterile full body drape on patient
- Prepare insertion site with alcoholic CHG



Central Line Insertion Practice (CLIP)

Cover

- **Sterile** gauze or transparent, semipermeable dressing
 - CHG-impregnated dressing for patients >18 years old



Handle and Maintain Central Lines

- Hand hygiene compliance
- Bathe ICU patients daily with CHG daily
 - Unless younger than 2 months
- Scrub access port prior to each access with antiseptic
 - Use CHG, providone iodine, iodophor, or 70% alcohol
- Use only **sterile devices** to access catheters
- Apply antimicrobial ointment to hemodialysis catheter insertion sites



Handle and Maintain Central Lines

- Immediately replace dressings that are soiled or dislodged
- Change dressings regularly
 - Gauze dressings every 2 days
 - Semipermeable dressings at least every 7 days
- Use CHG-impregnated dressing if >18 years of age
- Change administration sets
 - Not more frequently than every 4 days
 - At least every 7 days
 - If blood or fat emulsion, change every 24 hours



Minimize Line Duration

- Perform daily audits to assess line necessity
- Promptly remove unnecessary central lines



Hand Hygiene

- Before and after
 - Palpating catheter insertion site
 - Do not palpate insertion site after applying antiseptic unless aseptic technique maintained
 - Inserting catheter
 - Accessing catheter
 - Repairing or replacing dressing
 - Invasive procedures
- Before donning and after removing gloves
- Between patients
- When hands obviously soiled or contamination suspected



Organizational Prevention Practices

- Educate HCP on line indications, insertion, maintenance
 - Reeducate at regular intervals
- Document competency for line insertion and maintenance
 - Periodically assess knowledge and competency of line care
- Provide line insertion checklist to ensure adherence
- Empower staff to stop insertion for improper technique
- Provide efficient access to supplies (cart or kit)
- Measure performance
 - Including adherence monitoring, feedback)
- Ensure appropriate nurse-patient ratio



Special Approaches

When CLABSI rates remain high

- Use antiseptic or antimicrobial impregnated catheters
- Use CHG containing dressings in patients over 2 months of age
- Use antiseptic containing hub/connector cap
- Use silver zeolite-impregnated umbilical catheter in preterm infants
- Use antimicrobial locks for central lines
- Use recombinant tissue plasminogen activating factor once weekly after hemodialysis



Preventing CLABSI: The MOST Important Things

Prevent Early- and Late-Onset CLABSI							
Provide list of indications for central line	☐ Disinfect hub before accessing central line						
Education of HCP inserting or caring for central lineBathe ICU patients with CHG daily	 □ Remove nonessential catheters □ Change transparent dressings and site care with CHG every 5-7 days 						
 □ Adhere to infection prevention practices at insertion (CLIP) □ Use all-inclusive catheter cart/kit 	or if soiled Replace administration sets not used for blood product or lipids no longer than every 4 days (96 hours)						
 □ Use Ultrasound guidance for insertion □ Use alcoholic CHG skin prep □ Ensure appropriate nurse patient ratio 	 □ Use antimicrobial ointment for hemodialysis catheter insertion sites □ Perform CLABSI surveillance 						

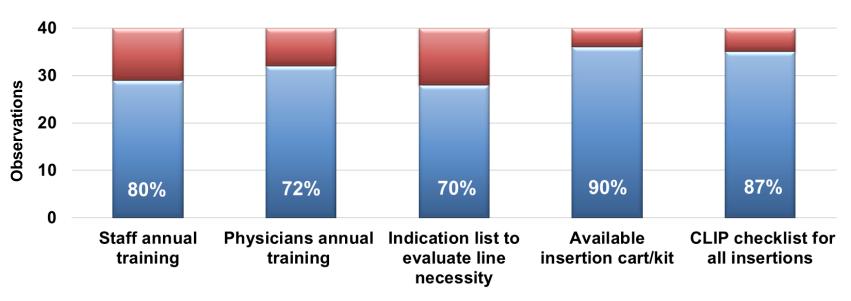


Are CLABSI Prevention Care Practices Performed Routinely?

Results of CDPH HAI Program Liaison IP Observations



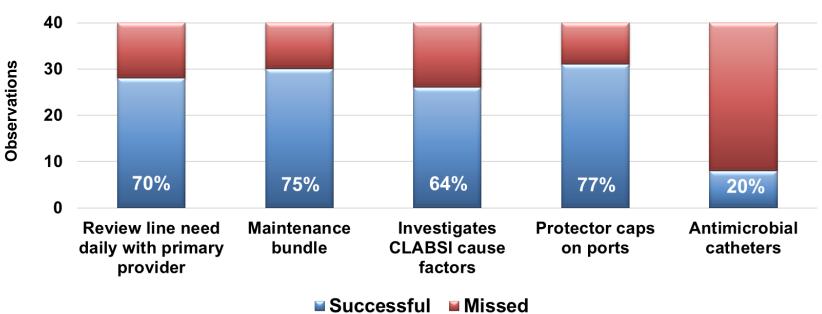




■ Successful
■ Missed









CLABSI Practice Observations 40 Hospitals with High Rates, 2015-2016

	# Observations	Adherence
Line insertion	8	93%
Line maintenance	206	
Insertion date documented		81%
Hand hygiene before/after eve	n if gloves worn	70%
Clean, dry, intact dressing		93%
Daily line assessment, prompt	removal	99%
Avoid femoral site		95%
CHG sponge at insertion site		96%
Daily CHG bath if hospital police	У	55%



Are CLABSI Prevention Care Practices Used Routinely in YOUR facility?

You won't know if you don't monitor!



Monitoring Central Line Insertion

- Assess CLIP adherence for early-onset CLABSI (<7 days)
- If CLABSI rates remain high, monitor CLIP in all locations where lines are inserted, including OR and interventional radiology

NHSN Nativers Central Line Inse	ertion Pract	ices Adhei	rence	Ехр	Form App MB No. 0920 . Date: 11/30 www.cdc.gor Oring
Page 1 of 2 Trequired for saving					
Facility ID:		Event #:			
Fallenii ID.		Social Security #			
Secondary ID: Patient Name, Last:	— Fix-t	Medicare #:		Midallas	
I	FIFSL				
*Gender: F M Other Ethnicity (specify):		*Date of Birth: Race (specify): _			
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*Person recording insertion practice da					
Central line inserter ID:			First:	:	
*Occupation of inserter:					
□ Fellow	□ Medical student	□ Othe	er student		Other me
□ Physician assistant	□ Attending physic	cian □ Inter	n/resident		Registere
☐ Advanced practice nurse	☐ Other (specify):				
*Was inserter a member of PICC/IV Te					
*Reason for insertion:					
□ New indication for central li	ine (e.g., hemodyna	mic monitoring, flo	uid/medica	tion admi	inistration,
□ Replace malfunctioning ce	ntral line				
☐ Suspected central line-ass	ociated infection				
□ Other (specify):					
If Suspected central line-associa			nanged ov	er a guide	ewire? 🗆



Monitoring Central Line Access Maintenance

					Adhe	rence
	I		l		by ⁻	Task
Observation	Patient 1		Patient 2		# Yes	# Obs
Supply kit is used for central line dressing changes.	Yes	No	Yes	No	2	2
Hand hygiene performed before and after manipulating the catheter (regardless of glove use).	Yes	No	Yes	No	0	2
Wet, soiled, or dislodged dressings are changed promptly.	Yes	No	Yes	No	2	2
Need for line assessed daily by a practitioner, with prompt removal of unnecessary lines.	Yes	No	Yes	No)	1	2
Scrubbing method is used during dressing change when applying CHG to the insertion site.	Yes	No	Yes	No	1	1
Dressing is changed with aseptic technique, using clean gloves to remove the old dressing and sterile gloves when applying the new dressing.	Yes	No	Yes	No	1	1
The access port or hub is scrubbed immediately prior to each use with the appropriate antiseptic.	Yes	No	Yes	No	1	1
Antiseptic-containing protector caps are utilized for all line connectors if it is facility policy.	Yes	No	Yes	No	Not	Policy
The catheter is accessed with only sterile devices.	Yes	No	Yes	No	1	1
Daily bathing with a 2% CHG solution is done if facility policy.	Yes	No	Yes	No	2	2

Total # Yes 11 To

Total # Observations 14

#Yes/#observations x 100= 79% Adherence



Monitoring Central Line Dressing Maintenance

Widilitaring Central Line Dressing	IAIC	<u> </u>	LCII			
Central Line Maintenance Practices	Patie	Patient 1 Patient 2		Adherer	nce by Task	
Central Line Wallitenance Fractices	Tatie			ratient 2		# Observed
Central line insertion date is documented.	Yes	No	Yes	No	2	2
Dressings wet, soiled, or dislodged are changed promptly.	Yes	No	Yes	No	2	2
Need for the line assessed daily by a practitioner, with prompt removal of unnecessary lines	Yes	No	Yes(No	0	2
Optimal site selected, avoid femoral site in adult patients.	Yes	No	Yes	No	2	2
Sterile gauze, sterile transparent or sterile semi-permeable dressing used to cover the catheter site is in place for ≤ 7 days (Mark "No" if no date on the dressing.)	Yes	No	Yes (No) 0	2
Antiseptic-containing protector caps are utilized for all line connectors if facility policy.	Yes	No	Yes	No	Q	2
A CHG-impregnated sponge applied at insertion site	Yes	No	Yes	No	2	2
Tubing and administration set have been in place for ≤ 7 days. (Mark "No" if no date on dressing.)	Yes	No	Yes	No) 0	2
TPN/Lipids: tubing dated to ensure change every 24 hours.	Yes	No	Yes	No	None	Today
Daily bathing with a 2% CHG solution is done if facility policy.	Yes	No	Yes (No	1	2

Total # Yes 11 Total # Observations 18

#Yes/#observations x 100= 61 % Adherence



Adherence Monitoring Tools for CLABSI Prevention

- Central line insertion practices (CLIP)
- Central line maintenance
- Central line access and dressing changes
- Hand hygiene



Surgical Site Infection (SSI) Prevention



SSI Prevention – What works?

Best sources for **evidence-based SSI prevention practice** recommendations

- CDC/HICPAC SSI Prevention Guideline, 2017
- CDC SSI Prevention Guideline, 1999



2020 SSI Prevention Goal

- Target set by National Action Plan to Prevent HAI
 - Recommended by the CDPH HAI Advisory
 Committee for California hospitals
- 30% SSI reduction from 2015 baseline = SIR 0.70 in 2020
 - On track if <u>SIR 0.88</u> in <u>2017</u>
 - →SIR 0.82 in 2018
 - →SIR 0.76 in 2019



SSI in California

SSI can be devastating

2016

 3,788 deep incisional and organ space SSI reported by California hospitals

2017 (unpublished data)

- 64 hospitals had SSI SIR >2.0 for one or more procedures (double the number predicted)
 - 9 of those hospitals had SIR >4.0 (4x the number predicted)



SSI Epidemiology

- SSI generally occur within 30 days following surgery
 - 8 California-mandated procedures monitored to 90 days
- 2% of hospitalized surgical patients acquire SSI
 - 3% die (75% attributable to the SSI)
 - Many result in long term disability
- SSI increase hospital length of stay by 7-10 days



Source of SSI Pathogens

- Patient's flora
 - From skin, GI tract, mucous membranes
 - Due to inadequate skip prep
 - Seeding from pre-existing sites of infection
- Surgical personnel flora
 - Inadequate hand hygiene
 - Breaks in aseptic techniques
- Contaminated equipment (less common)
 - Surgical instruments
 - Medical devices in operating room
 - Ventilation





Antimicrobial Prophylaxis

- Administer antimicrobial prophylaxis in accordance with evidence-based standards and guidelines
 - Administer such that bactericidal concentration is highest in serum and tissues at time of incision
 - Administer before skin incision in all Cesarean sections
 - For all clean and clean/contaminated procedures, STOP antibiotics after incision is closed in the OR, even in the presence of a drain
- Topical antimicrobial agents (such as ointments, solutions, or powders) should not be applied to the surgical incision



Antiseptic Prophylaxis

- Before surgery, patients should shower/bathe (full body)
 - Soap or an antiseptic agent
 - At least the night before the operative day
- Skin preparation in the operating room should be performed with an alcohol-based antiseptic



Perioperative Care

- During surgery, control blood glucose level in all patients (<200mg/dl)
- Maintain perioperative normothermia in all patients
- Administer increased fraction of inspired oxygen (FiO₂)
 during surgery and after extubation in the immediate
 postoperative period
 for patients with normal pulmonary function undergoing
 anesthesia with endotracheal intubation



Prosthetic Joint Arthroplasty

- Transfusion of blood products should not be withheld from surgical patients as a means to prevent SSI
- For prosthetic joint patients receiving systemic corticosteroid or other immunosuppressive therapy, in clean and clean-contaminated procedures, do not administer additional antimicrobial prophylaxis doses after the surgical incision is closed in the operating room, even in the presence of a drain



Preparation of Surgical Patient

- Identify and treat remote infections before elective operation
 - Postpone elective operation until infection resolved
- Do not remove hair unless will interfere with the operation
 - If necessary, remove hair immediately before the operation with clippers immediately prior to procedure
- Encourage tobacco cessation for minimum of 30 days prior to surgery
- Ensure skin around incision site is free of gross contamination prior to antiseptic skin preparation



Hand and Forearm Antisepsis for Surgical Team

- Perform preoperative hand and forearm antisepsis according to manufacturer's recommendations for the product being used
- Refer to additional recommendations in CDC Guidelines for Hand Hygiene in Healthcare Setting, 2002 (summarized on next slide)



Surgical Hand Antisepsis

- Remove rings, watches, and bracelets before beginning the surgical hand scrub
- Remove debris from underneath fingernails using a nail cleaner under running water
- Perform surgical hand antisepsis using either an antimicrobial soap or an alcohol-based hand rub with persistent activity before donning sterile gloves
- When using an alcohol-based surgical hand-scrub product with persistent activity, allow hands and forearms to dry thoroughly before donning sterile gloves



Operating Room Ventilation

- Maintain positive pressure ventilation in the operating room and adjoining spaces
- Maintain the number of air exchanges, airflow patterns, temperature, humidity, location of vents, and use of filters in accordance with recommendations from the most recent version of the Facilities Guidelines Institute – Guidelines for Design and Construction of Hospitals and Outpatient Facilities (current version – 2014)



Cleaning and Disinfection of Environmental Surfaces

 Do not perform special cleaning or closing of OR after contaminated or dirty operations



Reprocessing Surgical Instruments

- Sterilize all surgical instruments according to published guidelines and manufacturer's recommendations
- Immediate-use steam sterilization should never be used for reasons of convenience, as an alternative to purchasing additional instrument sets, or to save time.
 - This practice should be reserved only for patient care items that will be used immediately in emergency situations when no other options are available.
- Refer to CDC HICPAC 2008 Guideline for Disinfection and Sterilization in Healthcare Facilities for additional recommendations.



Surgical Attire and Drapes

- Wear a surgical mask that fully covers the mouth and nose
 - When entering the operating room if an operation is about to begin or already under way
 - If sterile instruments are exposed
 - Wear the mask throughout the operation
- Wear a new disposable or hospital-laundered head covering for each case
 - Whenever entering the operating room
 - Ensure it fully covers all hair on the head and all facial hair not covered by the surgical mask
- Wear sterile gloves if serving as a member of the scrubbed surgical team
 - Put on sterile gloves after donning a sterile gown



Surgical Attire and Drapes

- Use surgical gowns and drapes that are effective barriers when wet
 - Materials that resist liquid penetration
- Change scrub suits that are visibly soiled, contaminated, and/or penetrated by blood or other potentially infectious materials



Post-Op Incision Care

 Protect primarily closed incisions with a sterile dressing for 24-48 hours postoperatively



Sterile and Surgical Technique

- Adhere to principles of sterile technique when performing all invasive procedures
- If drainage is necessary, use a closed suction drain
 - Place drain in a separate incision distant from the operative incision
 - Remove drain as soon as possible



Preventing SSI: The MOST Important Things

Prevent the Devastating Effects of Deep/Organ Space SSI						
☐ Prophylactic antibiotics		No hair removal; if must, clippers				
Right drug, right dose, right time		Maintain positive pressure				
No doses after incision closed		ventilation				
Alcohol-based skin prep		Hand hygiene				
Blood glucose control, all patients		Surgical attire worn entire time				
Normothermia, all patients		including mask and head cover				
☐ Increased Fi02, if normal function		(covering all head and facial hair)				
Pre-night shower or bath		Clean and disinfect all surfaces				
☐ Treat other infections		between cases				
☐ Smoking cessation at least 30 days		Flash sterilization only if emergency				
- Smoking cessation at least 50 days		Sterile dressing for 24-48 hours				



Are SSI Prevention Care Practices Performed Routinely?

Results of CDPH HAI Program Liaison IP Observations



SSI Prevention Practice Observations 41 hospitals with High SSI, 2015-16

	# Observations	Adherence
62 Operating Rooms (OR)	641	72%
OR clean		69%
Appropriate surgical attire		48%
Timely, appropriate antibiotic a	administration	94%
Alcohol-based skin prep		91%
Door closed to maintain positive	e air pressure	72%
Safe injection practices observe	ed	72%
Hand hygiene adherence		48%



Are SSI Prevention Care Practices Used Routinely in YOUR facility?

You won't know if you don't monitor!



Monitoring in the Operating Room



Healthcare-Associated Infections Program Adherence Monitoring

Operating Room Observations

Regular monitoring with feedback of results to staff can maintain or improve adherence to SSI preve tool to identify gaps and opportunities for improvement. Monitoring may be performed in any type

Instructions: Observe each practice in the operating room and check a box if adherent, Yes or No. In t of "Yes" for adherent practices observed and the total number of observations ("Yes" + "No"). Calculat

Ш.								
Surgical Site Practice		OR Observations 1			R ration 2	OR Observation 3		
	SS1.	Pre-operative hand antisepsis following manufacturer's recommendations. No long or artificial nails, no jewelry worn.	Yes	□No	Yes	□No	Yes	□No
	SS2.	Hair not removed. If necessary, removed just prior to surgery with clippers.	Yes	□No	Yes	□No	Yes	□No
	SS3.	Skin prep in OR with alcohol-based agent	□Yes	П	□Yes	П	□Yes	Пио

Monitoring Device Reprocessing



Healthcare-Associated Infections Program Adherence Monitoring

Device Reprocessing

Regular monitoring with feedback of results to staff can maintain or improve adherence to device repro opportunities for improvement. Monitoring may be performed in any type of location where device rep

Instructions: Observe each practice in the reprocessing area and check a box if adherent, Yes or No. In the for adherent practices observed and the total number of observations ("Yes" + "No"). Calculate adherence

•‡•					
		Device Reprocessing Practices			
	DR1.	Policies, procedures, and manufacturer reprocessing instructions for reusable medical devices used in the facility are available in the reprocessing area(s).	Yes	□No	
	DR2.	Reusable medical devices are cleaned, reprocessed (disinfection or sterilization) and maintained according to the manufacturer instructions. Note: If the manufacturer does not provide such instructions, the device may not be suitable for multi-patient use.	□Yes	□No	
	DR3.	Single-use devices are discarded after use and not used for more than one patient. Note: If the facility elects to reuse single-use devices, these devices must be reprocessed prior to reuse by a third-party reprocessor that it is registered with the FDA as a third-party reprocessor and cleared by the FDA to reprocess the specific device in question. The facility should have documentation from the third party reprocessor confirming this is the case.	∐Yes	□No	

Yes

Monitoring High Level Disinfection



Healthcare-Associated Infections Program Adherence Monitoring

High-Level Disinfection of Reusable Devices

Regular monitoring with feedback of results to staff can maintain or improve adherence to high-level disposition opportunities for improvement. Monitoring may be performed in any type of location where high-level

Instructions: Observe each practice in the high-level disinfection area and check a box if adherent, Yes or number of "Yes" for adherent practices observed and the total number of observations ("Yes" + "No"). Ca

High-Level Disinfection Practices			Device Observation 1		
HL1.	Pre-cleaning is performed at the point of use to prevent the bioburden from drying and then the soiled endoscope is promptly transported to the reprocessing area.	Yes	□No		
HL2.	Flexible endoscopes are inspected for damage and leak tested as part of each reprocessing cycle. Any device that fails the leak test is removed from clinical use and repaired.	Yes	□No		
	Devices are thoroughly cleaned according to manufacturer instructions and visually inspected for residual soil prior to high-level disinfection.				

Note: Cleaning may be manual or automated. Ensure model specific cleaning

Monitoring Sterilization



Healthcare-Associated Infections Program Adherence Monitoring

Sterilization of Reusable Devices

Regular monitoring with feedback of results to staff can maintain or improve adherence to sterilization gaps and opportunities for improvement. Monitoring may be performed in any type of location where

Instructions: Observe each practice in the sterilization area and check a box if adherent, Yes or No. In the for adherent practices observed and the total number of observations ("Yes" + "No"). Calculate adherent

	Observation 1	
RD1.	Devices are thoroughly cleaned according to manufacturer instructions and visually inspected for residual soil prior to sterilization. Note: Cleaning may be manual (i.e., using friction) and/or mechanical (e.g., with ultrasonic cleaners, washer-disinfector, washer-sterilizers). Ensure appropriately sized cleaning brushes are selected for cleaning device channels and lumens.	□Yes □ No
RD2.	Cleaning is performed as soon as practical after use (e.g., at the point of use) to prevent soiled materials from becoming dried onto devices.	☐Yes ☐ No
RD3.	Enzymatic cleaner or detergent is used for cleaning and discarded according to manufacturer's instructions (typically after each use)	☐Yes ☐ No

Adherence Monitoring Tools for SSI Prevention

- OR observations
- Hand hygiene
- Safe injection practices
- Environmental cleaning and disinfection
- Device reprocessing
- High level disinfection of reusable devices
- Sterilization of reusable devices



Facility-wide Adherence Monitoring Program Who, What, When, Where, Why, and How?



Who Recommends Adherence Monitoring?

- Society of Healthcare Epidemiologists of America (SHEA)
- Centers for Disease Control and Epidemiology (CDC)
- Healthcare Infection Control Practices Advisory Committee (HICPAC)
- The Joint Commission (TJC)
- Institute for Healthcare Improvement (IHI)



SHEA Compendium of Strategies to Prevent HAI in Acute Care Hospitals, 2014 Updates

"Continued progress in healthcare epidemiology and implementation science research has led to improvements in our understanding of effective HAI prevention strategies.

Despite these advancements, HAIs continue to affect about 1 out of every 25 hospitalized patients, leading to substantial morbidity, mortality, and excess healthcare expenditures, and there are persistent gaps between recommendations and practice." (Magill, 2014)



CDC/HICPAC Core Practices, 2017

- Monitor adherence to infection prevention practices and infection control requirements
- Provide prompt, regular feedback on adherence and related outcomes to healthcare personnel and facility leadership
- Train performance monitoring personnel and use standardized tools and definitions





TJC National Patient Safety Goals (NPSG)

Goal 7 - Reduce the risk of healthcare—associated infections

- Monitor compliance with best practices or evidence-based guidelines
 - NPSG 07.03.01 MDRO
 - NPSG 07.04.01 CLABSI
 - NPSG 07.05.01 SSI
 - NPSG 07.06.01 CAUTI



The Joint

Commission

Institute for Healthcare Improvement

"Measuring the results of process changes will tell you if the changes are leading to an improved, safer system. Examples include percent of patient encounters in compliance with hand hygiene procedure and percent of environmental cleanings completed appropriately."





CDC Elements of Infection Prevention Programs

"The basic elements of an infection prevention program are designed to prevent the spread of infection in healthcare settings. When these elements are present and practiced consistently, the risk of infection among patients and healthcare personnel is reduced."





What is Adherence Monitoring?

CDC definition

- Audit tools may be used by healthcare facilities to conduct internal quality improvement audits
 - Audit (adherence monitoring): Direct observation or monitoring of healthcare personnel adherence to jobspecific infection prevention measures
 - Feedback: A summary of audit findings that is used to target performance improvement



Feedback Results

- Share with unit staff
 - Adherence monitoring results
 - HAI incidence (rates or SIR)
- Present to managers and leadership
 - Use data to focus prevention efforts
 - Use data to get needed resources



When Should Adherence Monitoring Be Performed?

- Decide how often to <u>regularly</u> conduct adherence monitoring as an <u>Adherence Monitoring Program</u>
- Consider monthly adherence monitoring or more often if a unit has high HAI incidence
- Decrease adherence monitoring to quarterly if HAI are low and previous adherence results were high
- Include all shifts



Where is the Best Place to Begin?

- Review Targeted Assessment for Prevention (TAP) reports to focus on units with higher incidence of HAI (CLABSI, CDI)
 - Engage/train staff on these units to use adherence monitoring tools
- Analyze quarterly SSI data and focus on specific procedures with high SSI incidence (such as hip prosthesis, colon surgery, C-section, abdominal hysterectomy, or appendectomy)
 - Include perioperative staff in the Adherence Monitoring Program



Why is Adherence Monitoring Important?

- Infection prevention policies are most likely in place
- Preventable HAI continue to occur in hospitals
- Even if you have implemented evidence-based recommendations, start monitoring infection prevention care practices to assess if adherence is consistent

You won't know if you don't monitor!



How to Establish an Adherence Monitoring **Program**

- Engage leadership at the beginning
 - Administration champion and physician champion
- Establish the Adherence Monitoring Program as a hospital policy – not an IP Policy
 - NOT the responsibility of the IP or IP department alone
 - Multidisciplinary buy-in and involvement necessary for success
 - Education department, nursing, respiratory therapists, physical therapists, radiology department
 - Make it part of the hospital culture



How to Establish an Adherence Monitoring **Program**

- Include adherence monitoring in manager performance evaluations
- Train all staff performing adherence monitoring using consistent training materials
- Make the Adherence Monitoring Program sustainable by
 - Training staff from every department
 - Require pre-determined scheduled adherence monitoring
 - Feedback results to staff, leadership, and committees
- Validate the adherence monitoring program by having different departments periodically monitor each other



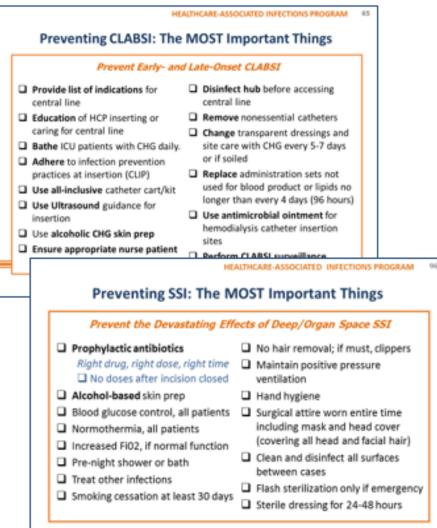
Adherence Monitoring Program Checklist

	Initiate meeting for ongoing participation and support
	☐ Include chief-level executives and multidisciplinary team members
	Establish as a hospital-wide program
	Develop the hospital Adherence Monitoring Program policy
	☐ Include all patient care departments
	Decide where and how often to be performed
	Compile adherence monitoring tools to be used*
	☐ Decide how feedback of results will be delivered to staff
	Develop formal training for staff performing adherence monitoring
	Hold a kick-off event to inform staff of program
	Develop a plan for feedback and remediation of identified practice gaps
	Develop a plan to celebrate successes
1	



Simplify the Message – Focus on the Most Important Things





Summary

HAI can only be prevented if every HCP adheres to evidence-based practices

You need to know the gaps to correct the gaps

Every care giver needs to own HAI, know how to prevent them, and practice consistently



Questions?

For more information,
please contact any
HAI Liaison IP Team member

Or email
HAIProgram@cdph.ca.gov">HAIProgram@cdph.ca.gov

