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The California Prenatal Screening (PNS) Program is a comprehensive public health service that makes prenatal screening available to all pregnant individuals in the state who want it. Each year approximately 340,000 pregnant individuals choose prenatal screening through the PNS Program. The PNS Program is administered by the Genetic Disease Screening Program (GDSP) of the California Department of Public Health (CDPH).

Pregnant individuals decide if they want to participate in the PNS Program. If they do participate, Medi-Cal or private health insurance must cover all program fees, with only a few exceptions (for self-insured employers or out-of-state health plans). In addition to screening, for patients with positive screening results, the program fee includes high-quality follow-up services, such as genetic counseling, ultrasound, and diagnostic tests at state-approved Prenatal Diagnosis Centers (PDCs).

The PNS Program also provides patient and provider educational materials focused on the benefits and limitations of screening. A program e-newsletter with important updates is published on an as-needed basis. The PNS Program additionally maintains contracts and provides quality control monitoring for all state-approved PDCs.

Providers should understand the PNS Program and be able to explain it easily to pregnant individuals. This Prenatal Screening Provider Handbook is a tool to help providers understand the PNS Program.
California Prenatal Screening Program benefits

- The online CalGenetic Portal (https://calgenetic.cdph.ca.gov/) that allows expedited screening test orders and access to screening results;
- Patient and provider education booklets at no cost, including the Prenatal Screening Patient Booklet, Provider Handbook, screen-positive and prenatal diagnosis booklets;
- Patient and provider education videos at no cost;
- Patient Consent and refusal documents at no cost;
- PNS Program label stationery to use when printing the cfDNA “Consent and Electronic Order Confirmation” and the Maternal Serum Alpha-Fetoprotein (MSAFP) screening test “Consent and Electronic Order Confirmation” from the CalGenetic Portal;
- Supplies to draw and mail MSAFP serum samples at no cost; and
- Follow-up services at state-approved PDCs. The PDCs are independent perinatal clinics that are authorized to see referred, screen-positive patients. See current list of state-approved PDCs on the PNS Program Information for Providers web page (https://bit.ly/PNS4Providers).

Summary: Prenatal screening tests offered

The PNS Program offers two screening blood tests to pregnant individuals with either singleton or twin gestations, to identify those who are at increased risk for carrying a fetus with certain fetal chromosomal and structural anomalies. These blood tests are drawn in the first and second trimester. Because screening does not diagnose fetal anomalies, but only estimates the risk for them, diagnostic testing is needed to confirm fetal anomalies.

Cell-free DNA (cfDNA) screening can be ordered on or after 10 weeks 0 days through term. The PNS Program recommends screening from 10 weeks 0 days through 21 weeks 0 days since some follow-up diagnostic services may not be available after 24 weeks 0 days. However, patients will be able to get cfDNA screening through the end of the pregnancy.

cfDNA screening identifies pregnancies at risk of the following chromosomal anomalies:

- Trisomy 21 (Down syndrome)
- Trisomy 18 (Edwards syndrome)
- Trisomy 13 (Patau syndrome)

Maternal serum alpha-fetoprotein (MSAFP) screening is completed from 15 weeks 0 days to 21 weeks 0 days. MSAFP screening identifies the risk of the following type of structural anomalies:

- Open neural tube defects
Summary: Prenatal care provider roles and responsibilities
Prenatal screening providers play an important role in prenatal screening for fetal chromosomal and structural anomalies. California law\(^1\) requires providers to offer pregnant individuals prenatal screening to identify those who are at increased risk for carrying a fetus with one of the fetal chromosomal and structural anomalies screened by the PNS Program.

Providers are also legally required to provide the Prenatal Screening Patient Booklet to every pregnant individual to whom they provide care. Whether a patient decides to participate in the PNS Program or not, providers should get a signed form confirming their choice. Provider responsibilities within the PNS Program include the following:

1. **Deliver patient education.** The provider’s responsibility is to ensure that pregnant individuals understand the basics of prenatal screening when it is offered, as well as to provide the Prenatal Screening Patient Booklet at the first prenatal care visit. Providers should use plain language and answer all patient questions when discussing it.

2. **Offer screening.** Providers are obligated to offer the PNS Program screening tests to all pregnant patients. They must discuss the types of screening tests available and appropriate with the patient, considering their unique medical, pregnancy, and family histories.

\(^1\)California Health and Safety Code (Division 106, Part 5, Chapter 1: Article 4, Sections 125050-125070; Article 1, Sections 124975-124980)
3. Get patient consent or refusal to participate. The provider must have the patient provide a signature on the printed order confirmation when an order is placed on the CalGenetic Portal. If the patient declines participation, they should sign a decline form, found on the PNS Program Information for Providers web page at (https://bit.ly/PNS4Providers), as well as in the online appendices of this handbook. The PNS Program will maintain a copy of the patient signature of consent to screening. For patients that decline cfDNA or MSAFP screening, a copy of the signed decline form must be placed in the patient’s medical record.

4. Offer Sexual Orientation and Gender Identity (SOGI) information survey. California Health and Safety Code Title 2, Division 1, Chapter 5, section 8310.8 – (a) (1,) requires various state agencies that provide health and human services to members of the LGBT community to collect voluntarily provided information about sexual orientation and gender identity (SOGI) in the regular course of collecting other types of demographic data. The Prenatal Screening Patient Booklet contains a URL and QR code on Page 9 that links to the PNS Program’s Sexual Orientation and Gender Identity (SOGI) information survey (https://forms.office.com/g/LRUWGVE7Xx).

The provider should specifically mention the links and indicate that the confidential SOGI information survey is available for the patient to provide unidentifiable information for data collection purposes. Providers should stress that patient submission of the survey is helpful but voluntary and will not affect any prenatal risk assessment or results interpretation.

5. Order screening tests. Providers must order screening tests using the CalGenetic Portal.

6. Order supplies. Providers must order and distribute to patients the free patient education booklets. They are also responsible for ordering in advance MSAFP and cfDNA screening blood collection kits if blood is drawn in their office. Providers can order supplies and MSAFP blood kits on the PNS Program Supplies Ordering web page (https://bit.ly/PNSSupplies).

7. Collect blood samples.

- **cfDNA:** If providers prefer to send their patients to an outside draw station, detailed instructions for each respective cfDNA screening laboratory is available on the PNS Program Information for Providers web page (https://bit.ly/PNS4Providers), or the CalGenetic Portal resource web page.
- **MSAFP:** Providers may send their patient to any draw station in California that collects blood for the PNS Program. If providers prefer to send their patients to an outside draw station, detailed instructions for MSAFP specimen collection is available through the links above.

8. Notify patients of screening results. Providers must notify patients about screening test results, whether positive or negative. For screen-positive results, the PNS case coordinator will help the provider refer a patient to a state-approved Prenatal Diagnosis Center if that is the patient’s decision.
PNS case coordinators support prenatal screening providers with program implementation and case management. PNS case coordinator offices are located throughout California. Each prenatal screening provider is assigned a PNS case coordinator by zip code. The prenatal screening provider should rely on the PNS case coordinator as the primary source of information regarding the PNS Program. The PNS case coordinator’s phone number is included on every result mailer. A PNS case coordinator will contact a provider office when there is missing or incomplete information on a screening test order or to verify information for certain results such as positives, samples drawn too early or too late, or any non-negative screening test result.

PRENATAL SCREENING TESTS

In 2022, the PNS Program simplified its screening test choices to include cfDNA screening and MSAFP screening tests. Providers should encourage eligible patients who opt for prenatal screening to get both screening tests since each screens for different fetal chromosomal and structural anomalies.

Cell-free DNA (cfDNA) screening test

The cfDNA screening test, also known as a noninvasive prenatal screening test (NIPT), is used to screen for certain chromosomal anomalies in a fetus: trisomy 21 (Down syndrome), trisomy 18 (Edwards syndrome); and trisomy 13 (Patau syndrome).

Benefits using cfDNA screening include the following:

- cfDNA provides higher sensitivity and lower false-positive rates than the previous biochemical methodology, resulting in fewer screen-positive cases unnecessarily referred for follow-up diagnostic services;
- The gestational age window will allow screening as early as 10 weeks of gestation;
- cfDNA can report results for trisomy 13.

Prenatal cfDNA screening detects small fragments of fetal DNA released by placental cells into the bloodstream of a pregnant individual. cfDNA screening looks for a relative increase or decrease in specific regions of the fetal DNA that would suggest the presence of a chromosome anomaly.

While the sensitivity and specificity of cfDNA screening is high, it is not 100% accurate. False-positive and false-negative cfDNA screening results have been reported in peer reviewed articles.

Results can assess the fetus’s increased or decreased risk for a chromosomal anomaly. If the results suggest an increased risk, additional diagnostic testing is needed.
**cfDNA analysis explained**

Both false negatives and false positives occur with cfDNA testing. False negatives can occur because of low levels of fetal DNA in the maternal serum related to early fetal age, maternal obesity, or because of a failure to collect a sufficient volume of cfDNA. Some reasons for false positive results include maternal malignancies, maternal mosaicism for aneuploidy, or placental mosaicism.

**Fetal fraction**

The fetal fraction is the percentage of total cfDNA in a sample derived from the fetus. The fetal fraction can affect the ability of cfDNA to screen for fetal aneuploidy. The majority of cfDNA in maternal blood originates from the pregnant individual; however, about 10 to 20% is composed of fetal DNA originating from the placenta and freely circulating in maternal plasma.

Prenatal cfDNA screening has been shown to be less effective if the pregnant individual has the following attributes:

- Is pregnant with multiples
- Has a body mass index of 30 or higher (obesity)
- Is pregnant via in vitro fertilization (IVF), (either the pregnant individual’s egg or a donor egg)
- Is pregnant as a gestational carrier
- Is less than 10 weeks pregnant
- Is taking certain blood thinners

About 1 to 5% of prenatal cfDNA screening tests do not yield any result, possibly due to the sample not having enough of the fetal DNA or other material necessary for the test.

Low fetal fraction could also be indicative of an aneuploidy.

There are no guidelines from scientific societies recommending an optimal gestational age for cfDNA sampling in cases with obesity.

cfDNA does not replace the need for invasive diagnostic testing (e.g., chorionic villus sampling [CVS] or amniocentesis) in high-risk pregnancies with a higher risk of aneuploidy.
Screening for fetal sex
The PNS Program includes screening for fetal sex on all samples. Patients decide if they want to know the fetal sex, and this choice is indicated when ordering the cfDNA screening test. Fetal sex is predicted based on chromosomes detected in the blood sample. The cfDNA screening is not 100% predictive of fetal sex. Discrepancies do arise, and there could be technical reasons why sex is reported incorrectly.

These reasons could include sample contamination, sex chromosome aneuploidy, and low fetal fraction. Discrepancies could lead to discordant results between ultrasound results and the cfDNA fetal sex results. A fetal sex indeterminant result can happen when there is not enough fetal DNA to examine.

Sex chromosome anomalies
The PNS Program, currently, does not include screening for sex chromosome anomalies or microdeletions. Providers can order these screens, if they choose, as an additional screening through a private laboratory. Information about which state-contracted cfDNA laboratories offer additional testing and how to obtain additional tests is available on the CalGenetics Portal resource web page and on the PNS Program Information for Providers web page (https://bit.ly/PNS4Providers). Additional screens will require separate billing to the patient or their third-party payor, and any necessary follow-up services will not be provided or paid for by the PNS Program.

Maternal serum alpha-fetoprotein (MSAFP) screening test
AFP is a protein produced mainly in the fetal liver and released into the maternal serum (MSAFP) and amniotic fluid. A small amount crosses the placenta and becomes measurable in the maternal serum towards the end of the first trimester. Levels rise steadily through the second trimester. In most fetuses affected with open spina bifida or anencephaly, an increased amount of MSAFP enters the amniotic fluid. It subsequently causes a higher-than-expected level of MSAFP in the maternal serum.

Multiple of the Median (MoM) for MSAFP
The PNS Program has established medians for MSAFP for each day from 15 weeks 0 days through 21 weeks 0 days gestation. For each blood sample received, the analytic value for the serum marker tested is converted to a multiple of the median (MoM) based on the gestational age at blood collection. The median level for each day equals a MoM of 1.00. For example, an MSAFP result of 1.50 MoM means the patient has one and a half times the median level of MSAFP.

A MoM may be adjusted for patient race/ethnicity, weight, and diabetic status to give a more accurate risk assessment.
Increased risk for an open neural tube defect

The PNS Program uses only the second trimester MSAFP analyte for an assessment of increased risk for an open neural tube defect (NTD). A patient is classified as screen positive for a fetus with an open NTD when the MSAFP value is elevated over the selected cutoff. This is currently > 2.50 MoM for a pregnancy with a single fetus, or > 4.50 MoM for a pregnancy with two fetuses (see Appendix B for more information on NTDs).

Screen-positive rate with MSAFP

Among program participants, 1% are initially screen-positive for NTD. Among individuals who have prenatal screening and diagnostic services, the PNS Program identifies approximately 97% of fetuses with anencephaly, 80% with open spina bifida, and 85% of abdominal wall defects (AWDs, gastroschisis, and omphalocele). Other reasons for this screen-positive result are underestimation of gestational age, multiple gestation, and fetal demise.

Some apparently normal pregnancies have MSAFP levels over the selected cutoff of 2.50 MoM. Elevation of MSAFP is frequently associated with a high-risk pregnancy even if no NTD is found. An increased risk for low birthweight, preterm delivery, and fetal demise is associated with otherwise unexplained high mid-trimester MSAFP values. Early identification of these high-risk pregnancies may facilitate better obstetrical management. The PNS Program does not cover costs associated with obstetrical management, additional testing, or treatment beyond prenatal diagnosis.
USING THE ONLINE CALGENETIC PORTAL
The online CalGenetic Portal (https://calgenetic.cdph.ca.gov/) is a web-based portal available to prenatal care providers to place screening test orders and access real-time patient results for the PNS Program.

Providers should use the CalGenetic Portal to register, log in, or set up delegates to an account. In the CalGenetic Portal, providers will be able to:

- Save draft orders
- Submit orders
- Return to their orders inventory list
- View test status
- View prenatal screening results and messages from the PNS Program
- View any follow-up actions authorized
- Submit a “Confidential Report of an Outcome of Pregnancy”

ORDERING PRENATAL SCREENING TESTS
Electronic Order Confirmations and Back-up Order Forms
The usual procedure is that providers will electronically fill out the details of the screening test ordered using the CalGenetic Portal. They then print out the “Consent and Electronic Order Confirmation,” which includes a line for a written patient signature and date. This is in place of the previously used Test Request Form (TRF).

If the cfDNA screening order is placed through the CalGenetic Portal, the provider will be able to independently change whether fetal sex screening results are displayed without further assistance from the PNS case coordinator. (There is no additional charge for revealing fetal sex.) In case of temporary loss of internet access, providers can pre-print a different form called the “Consent and Order Form.” The “Consent and Order Forms” are posted on the PNS Program Information for Providers web page (https://bit.ly/PNS4Providers). When needed, the patient can sign and date the back-up “Consent and Order Form” instead of the “Consent and Electronic Order Confirmation.”

The original, signed copy of the “Consent and Order Form” must accompany the patient’s blood sample when shipped to the analysis laboratory, and a copy should be placed in the patient's medical record. The analysis laboratory performs the test and sends the lab results electronically to the PNS Program.
Providers who use the pre-printed “Consent and Order Form” PDF will be unable to view patient results on the CalGenetic Portal. A PNS form number is needed to access results electronically, which is only obtained when placing an order online. PNS case coordinators will not share PNS form numbers with providers or fax result copies directly to provider offices. Paper mailers of results will continue to be sent to the ordering provider’s office.

If the cfDNA screening order is placed using a pre-printed “Consent and Order Form,” the provider must notify the PNS case coordinator for assistance when changing whether fetal sex screening results are revealed.

**Note that ordering screening tests involves one of two types of documents:**

1. Confirmations generated from the online CalGenetic Portal:
   - cfDNA Consent and Electronic Order Confirmation
   - MSAFP Consent and Electronic Order Confirmation

2. Back-up, fillable, PDF consent and order forms to be downloaded and printed from the PNS Program website:
   - cfDNA Consent and Order Form
   - MSAFP Consent and Order Form

**Placing Screening Orders**

As stated above, providers must place orders online through the CalGenetic Portal in most cases. The provider or their delegates must print the patient’s “Consent and Electronic Order Confirmation” from the CalGenetic Portal for the patient signature on to the PNS Program label stationery. The PNS Program label stationery includes four barcode labels. One will remain on the confirmation form, one will be placed on the photocopy of the insurance card, and one is placed vertically on the requested vial(s) of blood. (Note: one of the cfDNA laboratories currently requires two vials of blood to be drawn.)

For details see the PNS Program cfDNA blood collection and order instructions for each respective laboratory on the [PNS Program Information for Providers web page](https://bit.ly/PNS4Providers). The free [PNS Program label stationery is available to order online](https://bit.ly/PNSSupplies). Order requirements and clinician instructions for the cfDNA screening is slightly different than for the MSAFP screening. See the requirements and instructions for each one below.

**cfDNA order requirements and clinician instructions**

- Review the Prenatal Screening Patient Booklet with the patient. If they decline prenatal screening, obtain and signature and date on the patient decline form found on the PNS Program website and file it in the medical record.
- If the patient wants prenatal screening, complete the screening order in the CalGenetic Portal. Use the [gestational age calculator](calgenetic.cdph.ca.gov/resources) (calgenetic.cdph.ca.gov/resources) for the estimated due date.
If the patient wants to know the fetal sex, the provider will need to indicate on the order that the fetal sex should be displayed in the results.

Print the cfDNA “Consent and Electronic Order Confirmation” on to the PNS Program label stationery.

The provider must confirm the patient (or a patient’s representative) signs and dates the cfDNA “Consent and Electronic Order Confirmation.”

Make a copy of the patient’s insurance card. Apply one barcode label from the cfDNA “Consent and Electronic Order Confirmation” to the copy of the insurance card.

Assemble a packet for the patient, including the following:
- cfDNA Order Patient & Blood Draw Instructions
- cfDNA “Consent and Electronic Order Confirmation,” signed and dated by the patient
- Copy of insurance card (with barcode label attached)

Instruct the patient to bring the above packet when they get their blood drawn.

Unless they are collecting blood samples directly in their office using a pre-ordered blood collection kit, providers should give information on the closest blood collection facility to the patient for the requested PNS screening (each cfDNA laboratory has their own specific instructions about how to locate an appropriate blood collection draw location).

**MSAFP order requirements and clinician instructions**

- Review the Prenatal Screening Patient Booklet with the patient
- If the patient wants prenatal screening, complete the screening order in the CalGenetic Portal. Use the [gestational age calculator](calgenetic.cdph.ca.gov/resources) for the estimated due date.
- A patient may decline to have their MSAFP blood sample used for research by signing and checking the box on the MSAFP ‘Consent and Electronic Order Confirmation” marked, “I decline to use my sample for research.”
- Print the MSAFP “Consent and Electronic Order Confirmation” on to the PNS Program label stationery.
- The provider must confirm that the patient signs and dates the MSAFP “Consent and Electronic Order Confirmation.”
- Make a copy of the patient’s insurance card. Apply the barcode label from the MSAFP “Consent and Electronic Order Confirmation” to the copy of the insurance card.
- Assemble packet for the patient, which includes:
  - MSAFP Order Patient & Blood Draw Instructions
  - MSAFP “Consent and Electronic Order Confirmation” signed and dated by the patient
  - Copy of insurance card (with barcode label attached)
  - USPS prepaid MSAFP mailing label

Note: A USPS prepaid MSAFP mailing label can only be generated through the online CalGenetic Portal order submission page. The USPS prepaid MSAFP mailing label generated is dependent on the provider’s facility zip code used to place the order.

Instruct the patient to bring the above packet when they get their blood drawn. Unless they are collecting blood samples, providers should give information on the closest blood collection facility to the patient.
Filling out the screening test order for an accurate result
Providers must fully and accurately complete the screening test order, filling out all fields. Erroneous or missing information may lead to an incorrect screening result. Please note the following details.

**Date of birth:** A patient’s biological age is used to determine an individualized risk for carrying a fetus with trisomy 21, trisomy 18, and trisomy 13.

**Gestational age:** The median level of MSAFP changes each day during pregnancy. It is important to choose the most accurate gestational dating method available. Providers should use only one method of gestational dating. Dating by Nuchal Translucency (NT) ultrasound is the most accurate. If an NT ultrasound was not performed, ultrasound dating by Crown Rump Length (CRL), Last Menstrual Period (LMP), or physical exam may be used. NT CRL is the most accurate dating method, followed by ultrasound dating based on biparietal diameter (BPD).

**Weight:** Heavier pregnant individuals have lower median values for MSAFP, while lighter pregnant individuals have higher median values.

**Race:** Some races have different median values. For example, Black pregnant individuals have higher medians for MSAFP. For cfDNA and MSAFP screening, the PNS Program will allow up to four races/ethnicities entered into the CalGenetic Portal when filling out the screening test “Consent and Electronic Order Confirmation.” Providers should use the dominant races/ethnicities as self-identified by the pregnant individual. The PNS Program recommends including only the races that best represent 50% or more of the patient’s genetic background. The PNS Program allows up to four races/ethnicities for those individuals whose genetic background is less than 50% of any one race or ethnicity, however.

**Number of fetuses:** cfDNA and MSAFP screening cannot be used in a pregnancy with more than two fetuses. There is not enough verifiable data on the accuracy of cfDNA and multiple gestations of more than two fetuses. The level of MSAFP is usually increased with a multiple gestation, and levels are approximately double for twins. There are no established MSAFP median values for more than two fetuses.

**Diabetic status:** The amount of MSAFP is usually lower in patients diagnosed with diabetes prior to and during pregnancy. “Diabetes alone does not seem to alter levels of fetal fraction or results of cfDNA; however, increasing rates of type 2 diabetes are found in women with obesity.”

**Ovum donor:** The ovum donor age at egg retrieval must be provided for both MSAFP and cfDNA screenings for risk assessment. It is important when ordering screening tests to provide the age of the egg(s) at the time of donation or retrieval if the patient is using their own retrieved egg(s).

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Fetal sex: Sex of the fetus will not be displayed within the results to the provider as a default. If the pregnant individual wants to know the fetal sex, then the provider will need to indicate on the order that the fetal sex should be displayed in the cfDNA screening results. If the pregnant individual changes their decision to know the fetal sex after the cfDNA order has been placed the provider can change the fetal sex check box from “no” to “yes” in the online order and instantly generate a new result. The provider must contact their PNS case coordinator for assistance if the order was placed with a paper form.

Fetal sex will be interpreted as:
- Consistent with female
- Consistent with male
- Fetal sex not requested
- No result on fetal sex

Always include critical prenatal screening provider information on the screening test order: Provider name, California license number, National Provider Identifier (NPI), address, zip code, phone, and fax numbers.

Collecting blood samples
Some providers collect blood samples in their office rather than sending patients to a blood collection facility. The following is how to collect blood samples for the two blood screening tests:


- MSAFP: Read the instructions on how to collect, prepare, and mail the MSAFP blood sample to the designated lab found on the CalGenetic Portal.

RESEARCH
GDSP’s California Birth Defects Monitoring Program (CBDMP) is mandated to conduct public health surveillance for chromosomal and structural anomalies. CBDMP helps researchers to identify the causes of these anomalies and other health problems. After MSAFP screening is completed, the PNS Program saves samples from certain counties and stores them. Available data includes patient demographic data, analyte values for the screening tests as performed, and determination of screen positive or screen negative status.

Using de-identified (anonymous) samples for research is very important. The use of these samples has let GDSP develop new tests. Using the information (including race/ethnicity) of California pregnancies helps researchers and allows GDSP to improve prenatal screening for all pregnant individuals in California.
Researchers must sign a "use agreement" that prevents them from using or sharing the blood sample and associated data beyond scope of the approved research project. When the research project has been completed, any remaining blood must be destroyed. It is illegal for the blood samples to be used for any other purpose.

GDSP adheres to all rules regarding human subjects’ research as described by state and federal laws. The PNS Program does not store the sample to gather DNA on pregnancies. The samples are not provided to any state or national forensic DNA data banks. Patients can decline the use of their MSAFP sample for research without affecting their test results in any way.

**SCREENING TEST RESULTS**

Viewing screening test results on the CalGenetic Portal is faster and easier than waiting for results mailers. CalGenetic Portal users will need the PNS form number that was generated at the time of online order submission, and the patient’s date of birth as submitted to the PNS Program or updated by a PNS case coordinator to view a result.

When providers must use a back-up “Consent and Order Form,” they will be unable to view patient results on the CalGenetic Portal. Results will be sent by mail within 7-10 days of sample collection. If no results are received by 10 days after blood draw for a cfDNA or MSAFP test, providers should call their regional PNS case coordinator center.

**Results and interpretations for screening tests**

**Table 1:** Findings, results, and actions authorized

<table>
<thead>
<tr>
<th>Finding</th>
<th>Result</th>
<th>Action Authorized</th>
</tr>
</thead>
<tbody>
<tr>
<td>cfDNA, Screen Positive</td>
<td>Increased risk for trisomy 21, trisomy 18, or trisomy 13</td>
<td>Refer patient to a state-approved PDC</td>
</tr>
<tr>
<td>MSAFP, Screen Positive</td>
<td>Increased risk for neural tube defects</td>
<td>Refer patient to a PDC</td>
</tr>
<tr>
<td>cfDNA or MSAFP, Screen Negative</td>
<td>No increased risk for trisomy 21, trisomy 18, trisomy 13 or neural tube defects</td>
<td>No follow-up authorized by the PNS Program. Do not draw another sample</td>
</tr>
<tr>
<td>cfDNA no call (usually due to low fetal fraction)</td>
<td>Sample unable to be analyzed</td>
<td>Contact PNS case coordinator to refer patient to a PDC or draw another cfDNA sample</td>
</tr>
<tr>
<td>Invalid screening test results (see table below for specific possible results for invalid samples)</td>
<td>Sample unable to be analyzed</td>
<td>See Table 2 on page 20</td>
</tr>
</tbody>
</table>
Screen Negative: No follow-up services are authorized. A negative result means that the patient’s individual risk for the screened fetal chromosomal or structural anomalies is low enough that follow-up services are not covered by the PNS Program. No screening test can detect all fetal chromosomal and structural anomalies, and there is still a chance that the fetus has a chromosomal or structural anomaly. Clinicians always have the option of ordering follow-up services outside the PNS Program.

Screen Positive: Follow-up services are authorized. A “screen-positive” result indicates that the patient is at increased risk for one or more of the screened fetal chromosomal or structural anomalies, and the PNS Program will cover follow-up services as part of the prenatal screening fee. All screen-positive results are calculated for a pregnancy with a single or twin gestation.

Invalid screening test results. Sometimes the screening results are invalid for a variety of reasons. Please see Table 2 on page 20 for the possible result categories for invalid samples.

No Call Result
- Before 18 weeks 0 days, a “no call” result due to low fetal fraction would make the patient eligible for a redraw. After a second draw with a “no call” result (even if the result comes in after 21 weeks 0 days), then the patient is eligible for referral services. The eligible follow-up services for pregnant Individuals with two “no call” results for cfDNA testing are the same as a cfDNA positive result.
- After and including 18 weeks 0 days, a “no call” result due to low fetal fraction, the patient is eligible for a redraw or referral services.
- After 21 weeks 0 day, a “no call” result due to low fetal fraction makes the patient eligible for referral services.
- If the “no result” is due to an inadequate specimen for laboratory processing, it is not considered a high-risk result, and it does not count as a “no call due to low fetal fraction” result.

The PNS case coordinator contacts the provider if their patient requires or is eligible for a re-draw, depending on the PNS Program’s case management protocols. Providers must place a new order and print a new “Consent and Electronic Order Confirmation.” The PNS Form number and sample ID barcode will be new, but the case ID for the patient will be linked to all samples. An automated computerized matching process will identify all samples for a single pregnancy.

Additional screening results correspondence
New result mailer (modified mailer): This mailer is sent to the provider whenever the screening result changes. For example, a corrected date or the date of birth of the patient can change a result from screen positive to screen negative or vice versa.

Confirmation of contact letter: The confirmation of contact letter is now a feature of the result mailer and is not separate. This letter is sent to the provider to officially document verbal or fax communication between the PNS case coordinator and the provider or their staff. For example, the provider agreed to a referral for prenatal diagnosis.
Table 2: Possible results for invalid samples

<table>
<thead>
<tr>
<th>Interpretation</th>
<th>Cause</th>
<th>Action required</th>
</tr>
</thead>
<tbody>
<tr>
<td>cfDNA, too early</td>
<td>Blood sample was drawn prior to 10 weeks 0 days of gestation</td>
<td>Draw another sample in the correct date range for cfDNA</td>
</tr>
<tr>
<td>MSAFP, too early</td>
<td>Blood sample drawn before 15 weeks 0 days</td>
<td>Draw another sample in the correct date range 15 weeks 0 days through 21 weeks 0 days</td>
</tr>
<tr>
<td>MSAFP, too late</td>
<td>Blood sample was drawn after 21 weeks 0 days</td>
<td>None. Do not draw another sample</td>
</tr>
<tr>
<td>Unexpected sample</td>
<td>A second sample was received after a valid sample for the same screening type</td>
<td>No action authorized. The results of an unauthorized screening of the same type are not statistically valid</td>
</tr>
<tr>
<td>MSAFP or cfDNA, inadequate sample</td>
<td>Sample could not be analyzed (i.e., hemolyzed, broken tube) or as indicated by your PNS case coordinator</td>
<td>Draw another sample before 21 weeks 0 days</td>
</tr>
<tr>
<td>Pregnancy not screenable</td>
<td>Reasons include fetal reduction, fetal demise &gt;8 weeks of gestation, or more than two fetuses. No action authorized.</td>
<td>Only submit another sample if instructed by the PNS case coordinator</td>
</tr>
<tr>
<td>cfDNA, pregnancy not screenable</td>
<td>Fetal demise of any gestation</td>
<td>Sample is not valid. No action authorized. Only submit another sample if instructed by your PNS case coordinator.</td>
</tr>
<tr>
<td>Values inconsistent with pregnancy</td>
<td>This result indicates the analyte levels appear to be inconsistent with pregnancy</td>
<td>The clinician is asked to verify pregnancy status. Only submit another sample if instructed by the PNS case coordinator</td>
</tr>
</tbody>
</table>

Sharing test results with patients

Only a licensed health care professional should explain a patient’s result to them, whether screen negative or screen positive, and should help the patient decide what action to take after a screen-positive result. Licensed health care professionals’ staff who discuss screening results with patients must understand the scope and purpose of the PNS Program.
Please note that the Prenatal Screening Patient Booklet refers to screening results as “no increased chance” of a “genetic condition” or “neural tube defect” (screen negative) or an “increased chance” of a “genetic condition” or “neural tube defect” (screen positive). Invalid samples are called, “no call.” These terms are appropriate to use with patients.

**Sharing screen-negative results.** A screen-negative result does not guarantee the fetus will not have a chromosomal or structural anomaly. No screening test is 100% accurate or screens for all chromosomal or structural anomalies.

**Sharing screen-positive results.** Prenatal screens are not diagnostic tests. They provide the risk or chance of carrying an affected fetus. A diagnostic test can give a definite answer about whether the fetus has a chromosomal or structural anomaly. A screen-positive result does not mean that there is a problem, only that there is an increased risk for a problem.

**Sharing a no call result.** There are a few possible reasons why prenatal cfDNA screening may not provide a result. It could be due to poor DNA quality, a problem with the quality of the specimen due to shipping of the sample, or a low fetal fraction. Fetal fraction represents the percentage of placental DNA that is in the pregnant individual’s blood. “No-call” results can happen when there is not enough fetal DNA to examine, or the sample did not pass all the quality control steps at the lab. Many pregnant individuals will get a valid result if they have the cfDNA screening repeated with a second blood draw. The program will authorize a referral to the PDC if the first “no-call” result is associated with a gestational age that is 18 weeks 0 days or greater. If less than 18 weeks 0 days, a second specimen will be required. Another reason for a “no-call” result is for an atypical finding. In this case a result for the autosomal trisomies (trisomy 21, trisomy 18, and trisomy 13) could not be completed due to a biological condition that will persist even with a redrawn specimen. Conditions that cause an atypical result could be fetal or maternal in origin. Follow-up services for atypical findings are outside the scope of the PNS Program and are, therefore, not authorized by nor paid for by the PNS Program. However, it is recommended that these patients be referred directly for prenatal diagnosis.

**Best practices when speaking to a patient with a screen-positive test result include the following:**

- Be sensitive when speaking to the patient. Do not leave anxiety-producing news of screen-positive results on a patient’s voicemail.
- Avoid calling screen-positive results late on Friday or before holidays unless you have someone available to respond to questions.
- Do not use the inappropriate term “abnormal” result. Instead, say “increased chance of a genetic condition or neural tube defect.”
- Tell the patient that all follow-up services are voluntary.
- Inform them that these follow-up services are offered at no additional cost at a state-approved PDC.
FOLLOW-UP DIAGNOSTIC SERVICES
Follow-up services authorized by the PNS Program are only provided at state-approved Prenatal Diagnosis Centers (PDCs). Please see the current list of state-approved Prenatal Diagnosis Centers by County (https://bit.ly/PDC_List).

When follow-up services are authorized by the PNS Program, the clinician is notified by a PNS case coordinator. The clinician should contact the patient and offer a referral to a state-approved PDC for authorized services (at no additional cost). Some follow-up services may not be available after 24 weeks gestation.

Genetic Counseling
All patients receive counseling by a state-licensed genetic counselor. The counseling includes a family history and explanations of possible reasons for a screen-positive result, as well as the risks, limitations, and benefits of diagnostic procedures.

Comprehensive Ultrasound
At state-approved PDCs, ultrasound examinations are performed by consultative sonologists. The ultrasound exam meets American College of Obstetricians and Gynecologists (ACOG), American Institute of Ultrasound in Medicine (AIUM), and American College of Radiology (ACR) standards. With services after 15 weeks, a comprehensive survey of fetal anatomy is performed to detect fetal structural anomalies.

Prenatal screening cases with gestational age dating by NT CRL, including second trimester cases, will not be re-dated after an ultrasound.

Amniocentesis
If the ultrasound findings do not explain a screen-positive result, or the findings suggest a chromosomal anomaly or open NTD, amniocentesis is usually offered to the patient. The amniotic fluid is used to determine fetal karyotype, amniotic fluid AFP levels, and the presence of acetylcholinesterase, if appropriate.

Chorionic villus sampling
CVS may be offered to the patient after screen-positive results, depending on the patient’s gestational age and the availability of CVS practitioners.

Results
CVS or amniocentesis results are usually available within two weeks after the procedure.

Risk of Miscarriage
The risk of miscarriage associated with CVS or amniocentesis is less than 1% at state-approved PDCs.
UNIQUE PREGNANCIES

Is the pregnancy the result of a donated ovum?
If the pregnancy is the result of a donated ovum, indicate this on the order, including the age of the donor at time of donation or the age at which the patient preserved their own egg(s). For cfDNA screening: fetal fraction is significantly lower in singleton IVF conceptions compared to spontaneous conceptions and is a major factor in a no call result.

Is the pregnancy at risk of Zika virus infection?
Zika virus infection in the pregnant individual can cause structural anomalies such as microcephaly in the fetus. If a pregnant individual has signs and symptoms of Zika virus disease, a travel history to an area with risk of Zika virus transmission, or a sexual partner's potential exposure, clinicians should follow guidelines in Zika Guidance for HCPs Caring for Pregnant Individuals and Newborns, Information for California Birthing Hospitals: Assessment and Testing for Zika Virus Infection in Pregnant Women and their Newborns.

Blood transfusions
A blood transfusion does not exclude a patient from cfDNA or MSAFP screening since the assay targets are specific to pregnancy. There are no restrictions on cfDNA or MSAFP screening and transfusions.

WHEN TO RECOMMEND GENETIC COUNSELING

Providers should ask patients some important questions about medical history before offering prenatal screening as it may be more appropriate to forgo prenatal screening and go directly to genetic testing.

First, providers should review a patient’s medical and family history to determine if any of the following situations apply.

- Does the patient have a history of any of the following:
  - Multiple miscarriages
  - Teratogen exposure
  - Suspected fetal anomaly
  - Diabetes

- Does the patient or their partner have a family history of structural anomalies or genetic anomalies?
Are they known carriers of genetic traits for conditions such as Tay-Sachs, sickle cell, or cystic fibrosis?

Is there a family history of neural tube defects?
Has the patient taken certain teratogens (one month prior to conception or during the first trimester)? Teratogens include the following:

- Carbamazepine (Tegretol, Carbatrol, Atretol),
- Valproic acid/valproate/divalproex (Depakene, Depakote)
- Isotretinoin (Accutane)

Note: This is not a complete list.

What to do if family history indicates a cause for concern?

- The patient should be referred directly to a genetic counselor for genetic counseling. This pre-screening-test genetic counseling is not covered by the PNS Program. Many health plans and Medi-Cal cover genetic counseling for these indications.
- Do not order prenatal screening if the patient is scheduled for or has already had amniocentesis.

Important Note: The PNS Program will pay for follow-up services only if the prenatal screening result provided by the PNS Program is screen-positive, a no-call cfDNA result, or an indication of a fetal abnormality.

Large Nuchal Translucency
The PNS Program is no longer collecting nuchal translucency (NT) measurements and will no longer refer patients to a state-approved PDCs solely based on a large NT measurement. ACOG and American College of Medical Genetics and Genomics (ACMG) guidelines recommend that patients with a 3.0 mm or larger NT measurement should be referred directly for prenatal diagnosis.
SOME PREGNANCIES ARE NOT ELIGIBLE FOR SCREENING

**Fetal reduction.** Do not order cfDNA or MSAFP screenings for individuals who have undergone procedures to reduce the number of fetuses. Pregnancies with terminated fetuses usually have very high serum MSAFP levels and cfDNA may persist in the blood for a long time after fetal reduction. Rapid increases in the fetal fractions in the deceased cotwin can continue until 7–9 weeks after reduction. This prevents an accurate risk assessment. If a blood sample is submitted, the results are considered invalid, and no follow-up services are authorized.

**Fetal loss.** Fetal demise (e.g., demise of one fetus of twin pregnancy, vanishing twin, molar pregnancy, fetal pole no longer present or ectopic fetus) is an exclusionary factor for cfDNA screening. In the case of cfDNA screening, if the PNS Program is made aware of a fetal demise at any gestation, the results will be considered invalid if the patient has already undergone screening. For MSAFP screening, a fetal loss at or after 8 weeks 0 days gestation makes the pregnancy ineligible for screening.

**Diagnosis of a fetal chromosomal or structural anomaly.** If a patient had diagnostic testing or has a positive test for fetal anomaly, they are not eligible for cfDNA or MSAFP screening through the PNS Program. If a patient has a structural anomaly, they are not a good candidate for the PNS Program. The patient should be advised to go for diagnostic testing.

**Solid organ transplant for the pregnant individual.** It is possible that small fragment DNA originating from the transplanted organ and used to test for common autosomal aneuploidy (trisomy 13, 18, or 21) may contribute to abnormal results when diagnostic testing finds no chromosomal anomaly. Fetal sex discrepancy after cfDNA screening compared with sonographic findings has been reported due to the contribution of DNA from a donor solid organ and marrow transplant.

**Multiple gestation of three or more fetuses.** Triplets or higher order gestation pregnancies are an exclusion factor for both cfDNA and MSAFP screening. Do not order prenatal screening for individuals who are carrying three or more fetuses. If a blood sample is submitted, the results are considered invalid, and no follow-up services are authorized.

**Malignancy.** The presence of a malignancy in the pregnant individual is an exclusion factor for cfDNA screening. Nearly all cancers have genetic changes that can be found in cfDNA screening. A high prevalence of cancer is seen in pregnant individuals with more than one aneuploidy detected through cfDNA screening contradictory to fetal karyotype.

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4 Bianchi DW. Cherchez la femme: maternal incidental findings can explain discordant prenatal cell-free DNA sequencing results. Genetics in Medicine. 2018; 20 (9): 910-917

Several instances will invalidate the cfDNA result, including previous positive, negative, or atypical cfDNA screening result. Screen-positive results outside of the PNS Program do not make a patient eligible for follow-up services with the PNS Program. However, if a patient had a previous diagnostic test outside the PNS Program that was inconclusive, they are still a candidate for the PNS Program, as long as they meet all other eligibility screening criteria. An atypical finding causes a cfDNA test failure that could not produce a valid result due to a biological condition that will persist even with a redrawn specimen. Conditions that cause atypical results could be fetal or maternal in origin. These patients should be referred directly for prenatal diagnosis outside of the PNS Program.

ULTRASOUND AND PREGNANCY DATING

Providers should conduct a first trimester ultrasound for gestational dating and to rule out major structural anomalies like a large NT, heart defects, cleft lip, and spina bifida. This list is not a complete list. If structural anomalies are found, the provider may want to discuss with the patient diagnostic testing at a state-approved PDC for follow-up services instead of prenatal screening.

Dating with ultrasound available: Although the PNS Program is no longer accepting NT measurements, gestational age by NT CRL is the best dating method for screening when the NT ultrasound is done by a credentialed NT practitioner. If NT ultrasound dating is not available, a transvaginal or transabdominal ultrasound can be used as a method of dating for prenatal screening.

Dating with last menstrual period (LMP): If no ultrasound dating is available, LMP can be used as a method of dating for prenatal screening.

Exam dating: Exam dating is the least reliable dating for screening.

Estimated due date calculator (EDD): The PNS Program has an online calculator available to clinicians at no cost to calculate a patient’s gestational age and estimated due date (EDD). Use the gestational age calculator (calgenetic.cdph.ca.gov/resources). The EDD value will be calculated for orders placed on the online CalGenetic Portal automatically based on ultrasound, LMP, or PE dating entered, but EDD must be provided and written in for orders using “Consent and Order Form” PDFs.

Corrections and updates to pregnancy dating: An individual might have different results for NTDs whether ultrasound, LMP, or PE dating is used, even if the gestational ages are the same by all methods. Redating an LMP-dated or physical exam-dated pregnancy by ultrasound or NT CRL may significantly change an individual’s NTD risk estimate, and the new estimate provides a better risk assessment.

cfDNA screening may be affected if redating makes the sample receipt too early.

If ultrasound dating information becomes available after the prenatal screening sample has been submitted, providers are encouraged to call the PNS case coordinator’s phone number printed on the results mailer and request that a new screening result be issued using the new dating.
Dating with twins: The CRL and second trimester fetal biometry from the larger fetus are most accurate in determining the estimated due date for a twin gestation by ultrasound. Twin pregnancies should be dated by CRL when CRL measurement is between 45 and 84 mm (11 weeks, 0 days to 13 weeks 6 days of gestation). If the woman presents after 14 weeks' gestation, BPD and/or head circumference of the larger twin should be used.

Sexual Orientation and Gender Identity Information

Effective July 1, 2018, the PNS Program must comply with Government Code Section 8310.8. Section 8310.8 states that all California state agencies collecting personal information must also include a questionnaire about sexual orientation and gender identity.

Remind the patient that the Prenatal Screening Patient Booklet contains a URL and QR code on Page 9 that links to the PNS Program’s Sexual Orientation and Gender Identity (SOGI) information survey (https://forms.office.com/g/LRUWGVE7Xx).

Advise the patient that submission of this information is anonymous, voluntary and will not affect any prenatal risk assessment or results interpretation. This information is collected in aggregate and will not be traceable to individual patients and/or prenatal screening test results. Patients only need to submit a survey once per pregnancy.

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8 The Association for Medical Ultrasound (aium), Society for Maternal Fetal Medicine (SMFM), Methods for Estimating the Due Date. Committee Opinion. 2017 May No. 700.

REPORTING FETAL CHROMOSOMAL AND NEURAL TUBE DEFECTS

State regulations (CCR, Title 17, Section 6532) require the reporting of all cases of Down syndrome, other chromosomal anomalies, and neural tube defects in a fetus, or an infant under one year of age. California cytogenetic laboratories are responsible for this reporting. However, clinicians become responsible for this reporting if they send the sample to a laboratory outside of California.

The report should be made within 30 calendar days of the initial diagnosis on the form, “A Confidential Case Report of a Chromosomal Defect in a Fetus, or an Infant Less Than One Year of Age,” provided by GDSP. Contact the PNS Outcomes email at: CDPH_GDSP_PNS_Outcomes@cdph.ca.gov

Registry reporting form is available online (www.cdph.ca.gov/Programs/CFH/DGDS/Pages/pde/Registry-Reporting-Forms.aspx).

Reporting outcomes of pregnancy

The California Code of Regulations (Title 17, Section 6527) requires prenatal screening providers to fill out a Confidential Report of an Outcome of Pregnancy (CDPH 4452 form) for the ongoing analysis of the effectiveness of the policies and practices adopted by the California Prenatal Screening Program. We request that the confidential report be completed for screened individuals who had a screen-positive prenatal screening test result, who have a pregnancy with a twin gestation, or who were selected into a comparison group with screen-negative test results. The confidential report is automatically sent to the provider who ordered the prenatal screening test approximately 60 days after the patient’s due date. Providers should complete the form online via the secure CalGenetic Portal (https://calgenetic.cdph.ca.gov/) as soon as possible. If a confidential report is not submitted online within a period of 60 days after the initial request, a second request will be sent to the provider. Please email us at CDPH_GDSP_PNS_Outcomes@cdph.ca.gov for any questions or requests.
Table 3: Acronym/Abbreviation

<table>
<thead>
<tr>
<th>Acronym/Abbreviation</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACMG</td>
<td>American College of Medical Genetics</td>
</tr>
<tr>
<td>ACR</td>
<td>American College of Radiology</td>
</tr>
<tr>
<td>ACOG</td>
<td>American College of Obstetricians and Gynecologists</td>
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<tr>
<td>AIUM</td>
<td>American Institute of Ultrasound in Medicine</td>
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<tr>
<td>BPD</td>
<td>Biparietal diameter</td>
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<tr>
<td>cfDNA</td>
<td>Cell-free DNA</td>
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<tr>
<td>CRL</td>
<td>Crown-rump length</td>
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<tr>
<td>CVS</td>
<td>Chorionic villus sampling</td>
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<tr>
<td>GDSP</td>
<td>Genetic Disease Screening Program</td>
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<tr>
<td>GLS</td>
<td>General Logistics Systems</td>
</tr>
<tr>
<td>LMP</td>
<td>Last menstrual period</td>
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<tr>
<td>MSAFP</td>
<td>Maternal serum alpha-fetoprotein</td>
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<tr>
<td>NT</td>
<td>Nuchal translucency</td>
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<tr>
<td>PDC</td>
<td>Prenatal Diagnosis Center</td>
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<tr>
<td>NTD</td>
<td>Neural tube defect</td>
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</table>
Prenatal Screening Provider Handbook Appendices

The appendices can be accessed on the PNS Program Information for Providers web page (https://bit.ly/PNS4Providers)

- **Appendix A**: Chromosomal or Structural Anomalies Detected
- **Appendix B**: Prevention of Neural Tube Defects
- **Appendix C**: Ultrasound Dating and Down Syndrome Screening
- **Appendix D**: Mid-trimester Risk for Chromosome Abnormalities by Maternal Age at Term
- **Appendix E**: cfDNA Order Patient & Blood Draw Instructions
- **Appendix F**: MSAFP Order Patient & Blood Draw Instructions
- **Appendix G**: cfDNA Back-up Consent & Order Form
- **Appendix H**: MSAFP Back-up Consent & Order Form
- **Appendix I**: cfDNA Patient Decline Form
- **Appendix J**: MSAFP Patient Decline Form
- **Appendix K**: Consent & cfDNA Order Confirmation Sample
- **Appendix L**: Consent & MSAFP Order Confirmation Sample
California Prenatal Screening Program
www.cdph.ca.gov/PNS

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