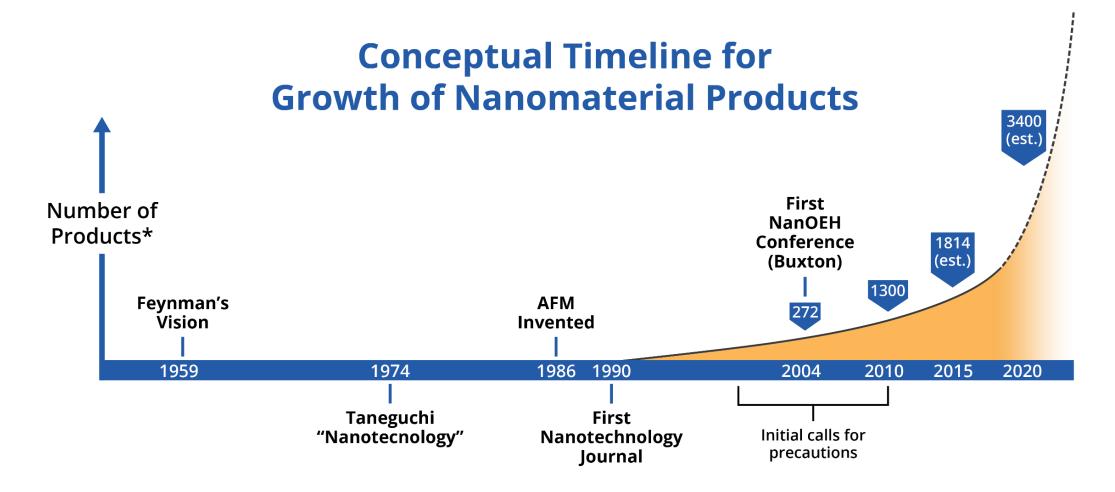
Hazards, Exposures, and Risks Associated with Engineered Nanomaterials

P.A. Schulte, Ph.D.

National Institute for Occupational Safety and Health

Disclaimer: The findings and conclusions in this report are those of the author and do not necessarily represent the views of the National Institute for Occupational Safety and Health.



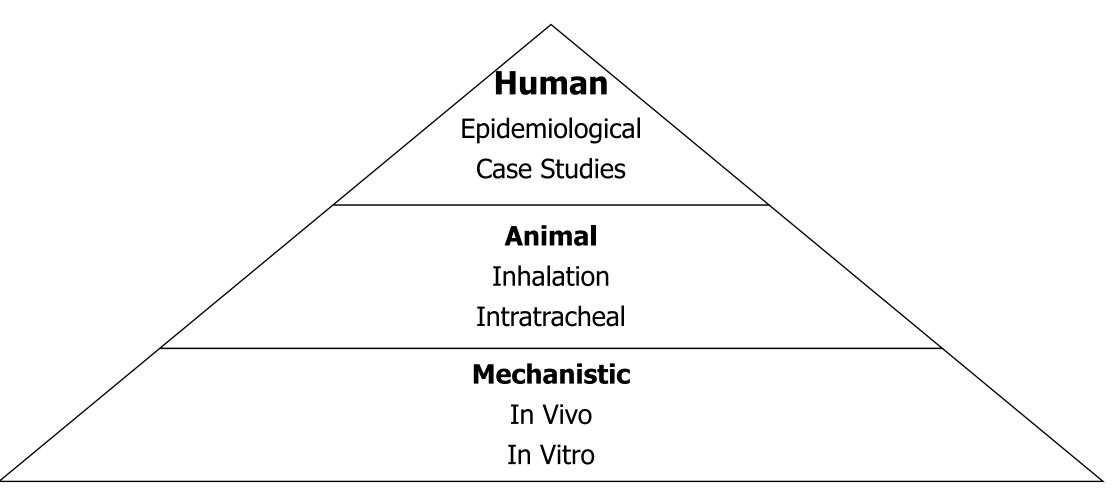
^{*}Number of Products on the Wilson Center Project Emerging Nanotechnologies Inventory

Hazards

Identification of adverse effects engineered nanomaterials (ENM) is a function of

- Whether these effects exist
- Whether they have been studied

Hierarchy of information related to human health effects from nanomaterial exposures



Summary of Epidemiological and Animal Data for ENMs by Commercial Volume

| Nanomaterial | Commercial Tonnage (Tons) ¹ | Epidemiologic findings pathologic effects in workers | Potential biomarkers of adverse effects in epidemiological studies of workers | Adverse effects in animals ² |
|-----------------------------|---|--|---|---|
| Carbon black | 9,600,000 | | | |
| Synthetic amorphous silica | 1,500,000 | | | |
| Aluminum oxide | 200,000 | | | |
| Barium titanate | 15,000 | | | |
| Titanium dioxide | 10,000 | | | |
| Cerium dioxide | 10,000 | | | |
| Zinc oxide | 8,000 | | | |
| Carbon nanotubes/nanofibers | 100-3000 | | | |
| Silver nanoparticles | 20 | | | |

^{1.} Based on WHO report (2017)

Figure 2. Flow chart for inclusion of epidemiologic/human case studies

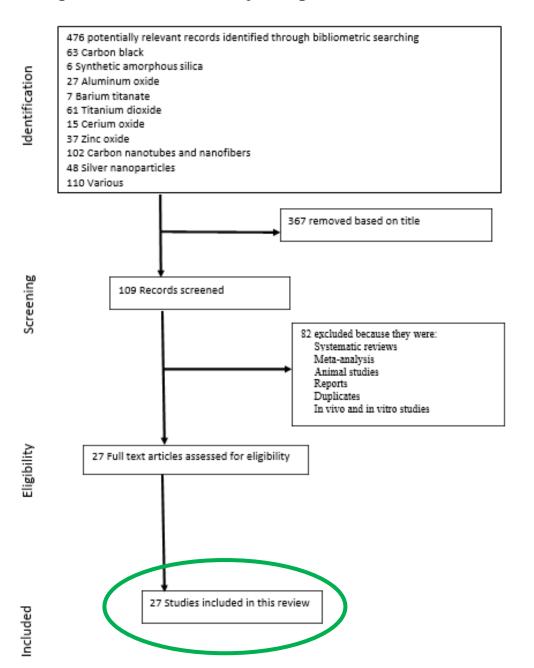
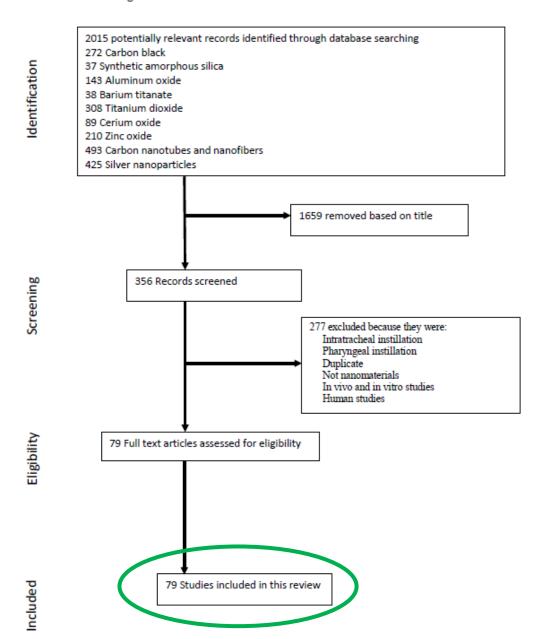


Figure 3. Flow chart for inclusion of animal studies



Summary of Epidemiological and Animal Data for ENMs by Commercial Volume

| Nanomaterial ^a | Commercial Tonnage (Tons) | Epidemiologic findings pathologic effects in workers | Potential biomarkers of adverse effects in epidemiological studies of workers | Adverse effects in animals |
|-------------------------------------|---------------------------|--|--|---|
| Carbon black | 9,600,000 | ++++ Nonmalignant respiratory disease | + + Pulmonary function; +++Pulmonary inflammation | + + +Lung cancer; + + ++ Pulmonary inflammation |
| Synthetic amorphous silica | 1,500,000 | n.a. | +++ Oxidative stress ++ DNA methylation | ++ NMRD +++ Fumed silica |
| Aluminum oxide | 200,000 | n.a. | n.a | +++ Pulmonary inflammation |
| Barium titanate Titanium dioxide | 15,000 10,000 | n.a. + Lung cancer + NMRD | n.a. +++ Inflammatory and oxidative stress ++ Pulmonary disease +++ Cardiovascular disease | n.a + + + + ROS and pulmonary inflammation ++ Genotoxicity +++ Lung cancer |
| Cerium dioxide | 10,000 | n.a. | n.a. | +++ Pulmonary inflammation; fibrosis |
| Zinc oxide | 8,000 | +++ Metal fume fever | n.a | + + + Acute inflammatory change |
| Carbon nanotubes/nanofibers | 100-3000 | n.a. | + + + Pulmonary Immunological, Cardiovascular ++ Gene-specific DNA methylation | + + + + Pulmonary inflammation + + + + Fibrosis + + + Cardiovascular +++/+++ Cancer (MW-CNTs7) |
| Silver n.a. = not available | 20 | n.a. | n.a. | + + + Pulmonary inflammation + + + Liver effects including bile duct hyperplasia |

Major types of adverse effects found in epidemiologic studies

- Decrement of pulmonary function
- Pulmonary Inflammation
- Oxidative stress
- Cardiovascular changes

What do these epidemiologic findings mean?

- Limited evidence of adverse health effects
- However biomarker identification may be indicative of preclinical or subclinical changes that could be linked to future disease or dysfunction
- Need for robust longitudinal epidemiological studies
 - Clear exposure assessment
 - Use of same core group of biomarker

Exposures



REVIEW

A Systematic Review of Reported Exposure to Engineered Nanomaterials

Maximilien Debia^{1,2*}, Bouchra Bakhiyi¹, Claude Ostiguy^{1,2}, Jos H. Verbeek³, Derk H. Brouwer⁴ and Vladimir Murashov⁵

 Department of Environmental and Occupational Health, School of Public Health, Institut de recherche en santé publique de l'Université de Montréal (IRSPUM), CP 6128 Succursale Centre-Ville, Montreal, Québec H3C 3J7, Canada;
 Institut de recherche Robert-Sauvé en santé et en sécurité du travail (IRSST), 505, Boul. de Maisonneuve Ouest, Montreal, Québec H3A 3C2, Canada;

 Department of Research and Development in Occupational Health Services, Finnish Institute of Occupational Health, PO Box 93, FIN-70701 Kuopio, Finland;

4.School of Public Health, Faculty of Health Sciences, University of the Witwatersrand, 27 St Andrews Road, Parktown 2193, Johannesburg, South Africa;

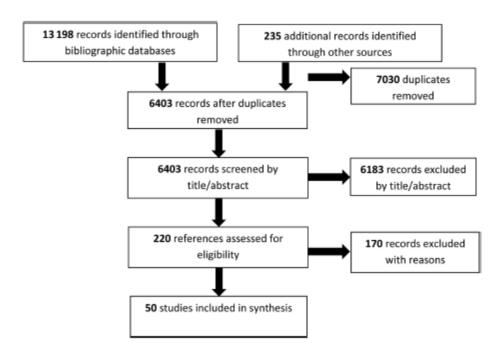
5.Department of Health and Human Services, National Institute for Occupational Safety and Health (NIOSH), 395 E Street, SW, Patriots Plaza 1, Suite 9200, Washington, DC 20201, USA

*Author to whom correspondence should be addressed. Tel: +1-514-343-6111 ext 3782; fax: +1-514-343-2200;

e-mail: maximilien.debia@umontreal.ca

Submitted 5 December 2015; revised 27 May 2016; revised version accepted 6 June 2016.

Literature Strategy for Systematic Review of Exposure to ENM



(Debia et al 2016)

• High quality evidence

- Single-walled CNTs
- CNFs
- Aluminum oxide
- Titanium dioxide
- Silver NPs

• Moderate quality evidence

- Silicon dioxide NPs
- Non-classified CNTs
- Nanoclays
- Iron

Low quality evidence

- Fullerence C60
- Double-walled CNTs
- Zinc oxide NPs
- No evidence
 - Cerium oxide NP

(Debia et al 2016)

High quality evidence

- Potential exposure is most frequently due to handling tasks
- Workers mostly exposed to micro-sized agglomerated NP.
- Engineering control, considerably reduce workers' exposure
- Multiwalled carbon nanotubes (CNTs)
- Moderate quality evidence
 - That workers are exposed in secondary manufacturing industrial scale plants
- Low quality evidence
 - That workers are exposed to airborne particles with a size <100 nm

(Debia et al 2016)

Risks

Risk assessment

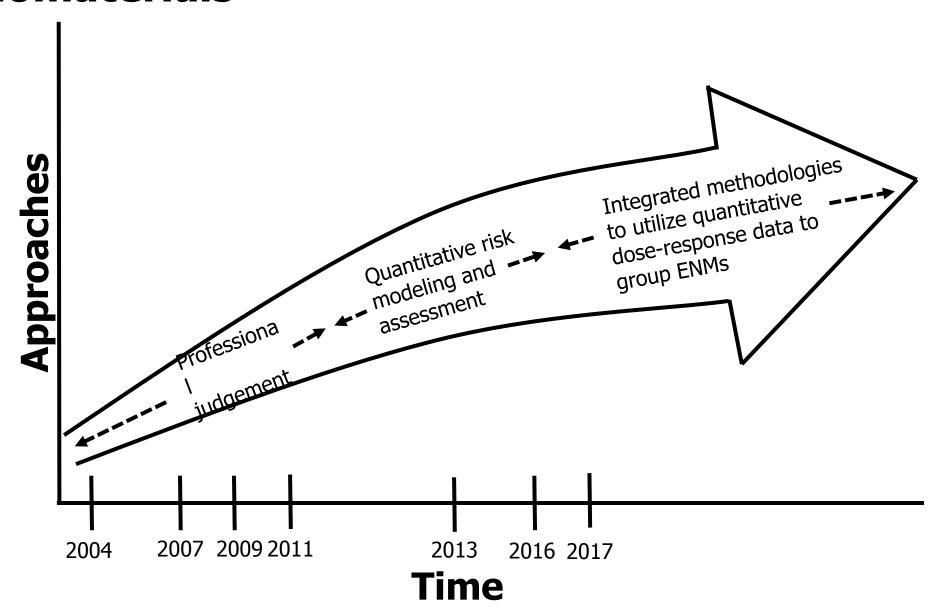
- ☐ Tool for society and decision-makers when **complete** information on risk is **not known**
 - When social policy decisions are in dispute
 - When consequences of options are not subject to direct measurement
 - When scientific analysis of a hazard is incomplete

(Hattis and Silver 1993)

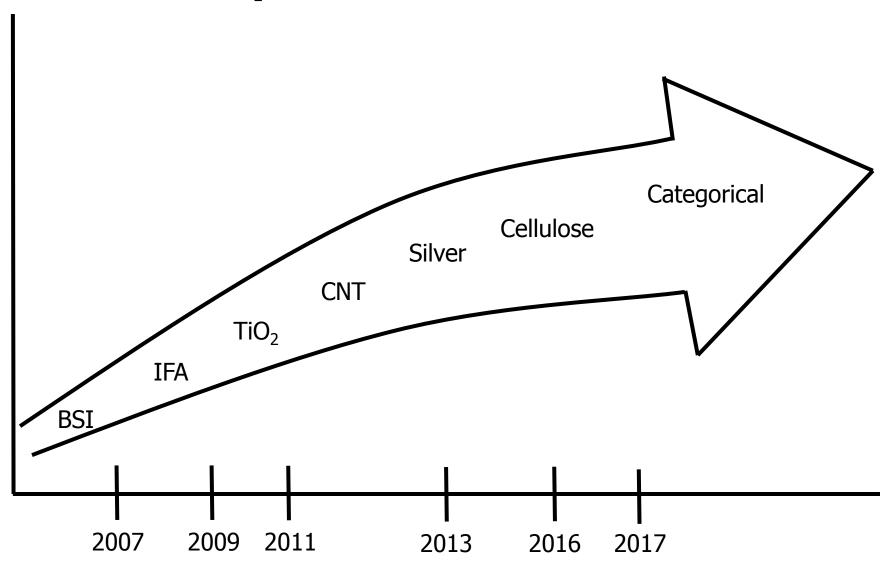
Protection of Workers

- Responsible development of a technology, such as nanotechnology requires that workers be protected from harm.
- ☐ There is a need to assess the risks of harm to workers.
- ☐ There is a need to use that risk assessment to be the basis for occupational exposure limits (OELs) and other risk management efforts to protect workers.

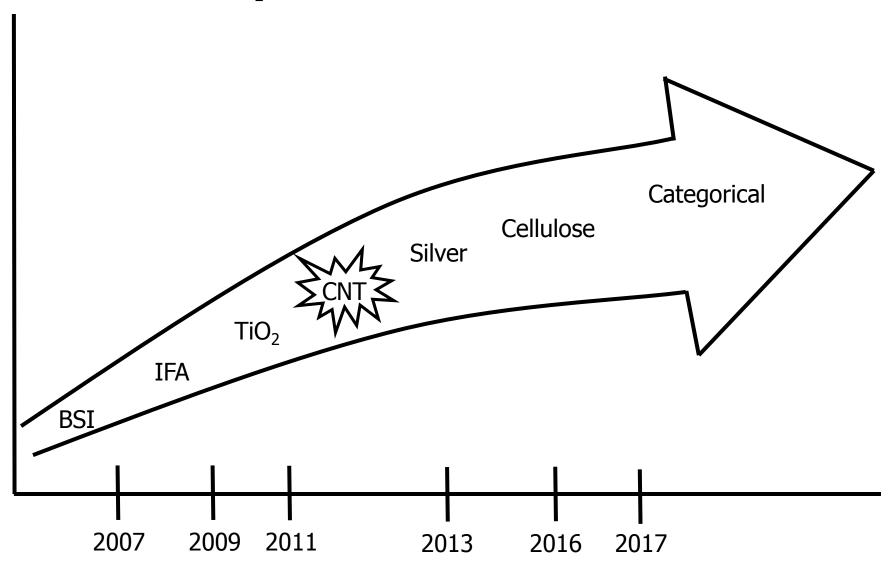
Eras of risk assessments and OEL development for nanomaterials



Trajectory of risk assessments and OEL development for nanomaterials

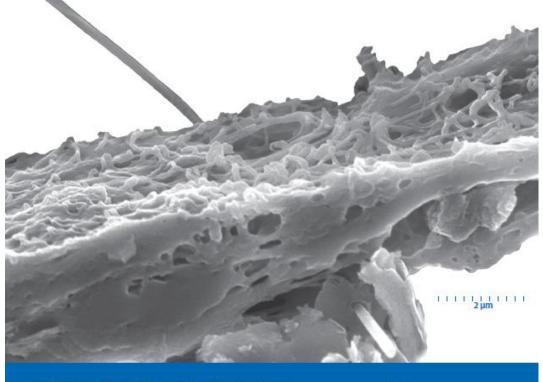


Trajectory of risk assessments and OEL development for nanomaterials



CURRENT INTELLIGENCE BULLETIN 65

Occupational Exposure to Carbon Nanotubes and Nanofibers



DEPARTMENT OF HEALTH AND HUMAN SERVICES Centers for Disease Control and Prevention National Institute for Occupational Safety and Health

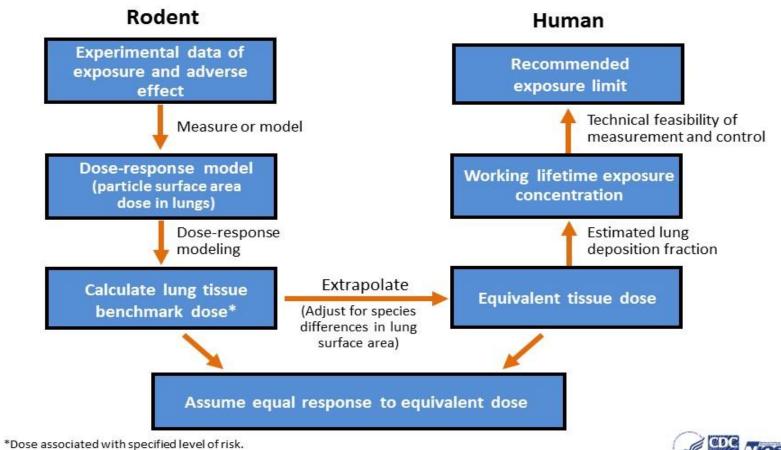


Rationale for Development of CIB

- Several animal studies showed pulmonary fibrosis (early onset, persistent) and granulomatous inflammation from carbon nanotube (CNT) exposure
- Associated with both unpurified and purified CNT (raw metal contaminated)
- Effects occurring at relatively low mass dose
- Some of CNT shown to persist and migrate to pleura
- Genotoxic effects include aneoploidy



Quantitative Risk Assessment in Developing Recommended Exposure Limits for Inhaled Particles

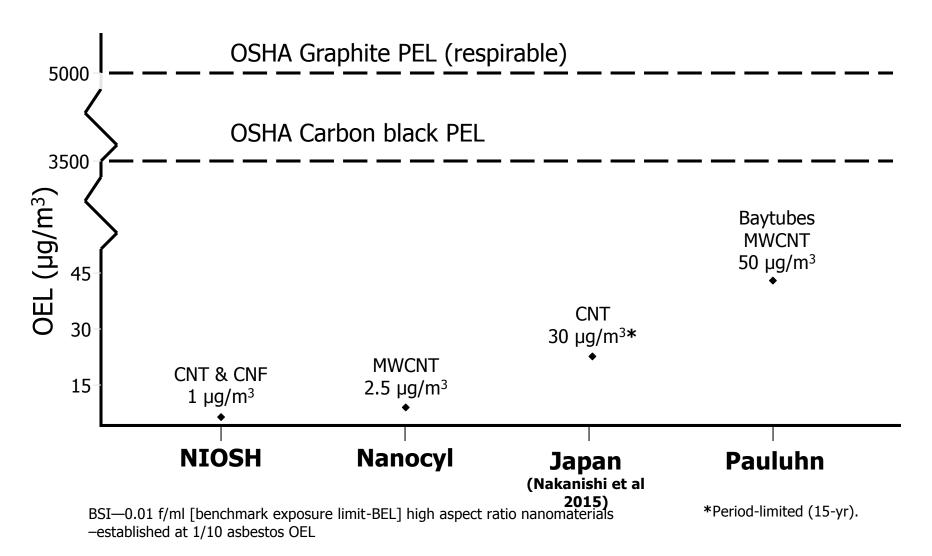


bose associated with specified leveror in

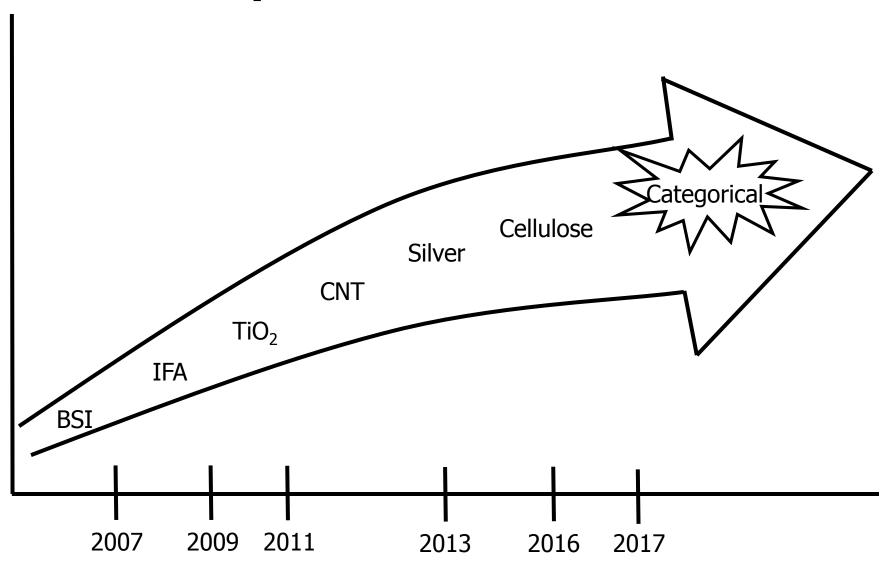
[Oberdörster 1989; Kuempel 2011; NIOSH 2011]



Perspective on OELs for Carbon Nanotubes



Trajectory of risk assessments and OEL development for nanomaterials



Frontier of Risk Assessment For ENM OEL Development

modeling

Standard Approach Dose/response Untested ENM ———— Hazard Info — OEL modeling <u>Innovative Approaches</u> Untested ENM **OEL** Dosimetry Comparative **QSAR** Read Across Potency Intelligent Grouping testing Dose/ In vitro In vivo **OEL** Phys-chem properties response testing testing modeling In vitro Phys-chem properties **OEL** testing Phys-chem properties **OEL** Dose/ In vivo **OEL** Phys-chem properties response testing

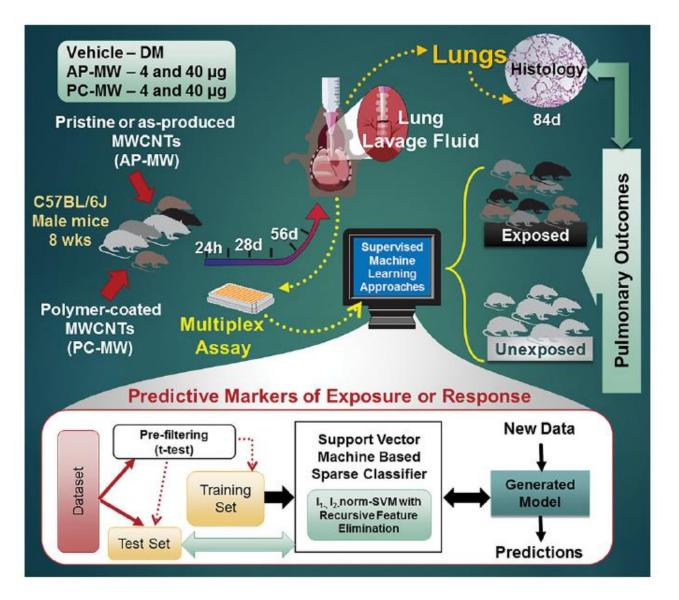


Figure 1. Schematic representation of the overall objective and study design.

Note. DM = dispersion medium; MWCNT = multiwalled carbon nanotube; AP-MW = as-produced MWCNT; PC-MW polymer-coated MWCNT.

Submersion vs. Air-liquid Interface Culture Systems

Traditional

Submerged Cells

Uncertain Delivery Dose

Usually Non-confluent Cell Layer

Medium-Particle Interactions

Promotes Dissolution*

Dose deposited within 24h Alveolar cells Plate

Air-Liquid Interface

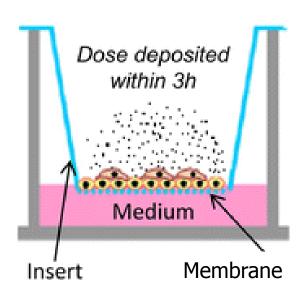
Physiological Interface

"Dry" Deposition

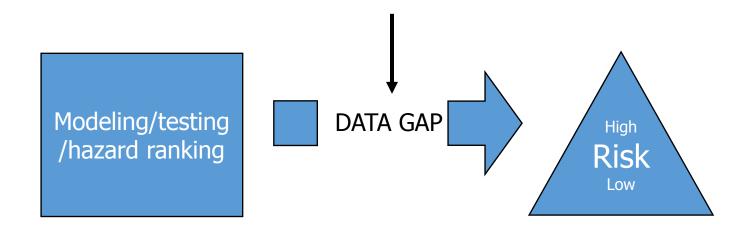
Dose Characterization

Usually Confluent Cell Layer

Minimal Dissolution Potential*



Gap between modeling/testing and risk level



Data Gap Issues

- ☐ Heterogeneity of the data
 - e.g. methodologic differences of tests and assays
- ☐ Uncertainty about relevance of early response endpoints to human health risk assessment
- ☐ Limited chronic exposure data
- ☐ Lack of minimum data reporting requirements
- ☐ In vitro to in vivo dose-response extrapolation

Thank You! pas4@cdc.gov