

State Health Facilities Citation Penalties Account (Fund 0942-601)

<a href="#">SPECIAL DEPOSIT FUND 0942-601</a> STATE HEALTH FACILITIES CITATION PENALTIES ACCOUNT	<a href="#">FUND CONDITION STATEMENT</a>	FUNDING FISCAL YEAR	BUDGET ACT APPROPRIATION *	EXPENDITURES *	BUDGET ACT APPROPRIATION BALANCE *	REVENUES *
<a href="#">STATE CITATION PENALTY ACCOUNTS SUMMARY</a>		SFY 2015-16**	3,337	0	3,337	2,661
		SFY 2014-15 FM09***	3,337	1,150	2,187	1,465
		SFY 2013-14	3,337	1,187	2,150	2,693
		SFY 2012-13	3,337	1,402	1,935	2,630
		SFY 2011-12	3,337	2,014	1,323	3,084

\*Figures in thousand dollars

\*\*SFY 2015-16 reflects projections from Governor’s Budget including Department of Aging (Org. 4170).

\*\*\* SFY 2014-2015 FM09 reflects actual expenditures and revenues from quarter ending March 31, 2015.



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**Fund Condition Statement – Projected**

**SFY 2015-16**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2015</b>	11,272
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>11,272</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	2,632
4163000 Income from Surplus Money Investment	<u>29</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,661</u></b>
<b>Total Resources</b>	<b><u>13,933</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,193
4265 Department of Public Health (State Operations)	<u>2,144</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>3,337</u></b>
<b>FUND BALANCE, END - JUNE 30, 2016</b>	<b><u>10,596</u></b>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Fund Condition Statement – Projected**

**SFY 2014-15**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2014</b>	11,948
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>11,948</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	2,632
4163000 Income from Surplus Money Investment	<u>29</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,661</u></b>
<b>Total Resources</b>	<b><u>14,609</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,193
4265 Department of Public Health (State Operations)	<u>2,144</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>3,337</u></b>
<b>FUND BALANCE, END - JUNE 30, 2015</b>	<b><u>11,272</u></b>



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**Fund Condition Statement – Actual**  
**SFY 2014-15 FM09**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2014</b>	11,948
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>11,948</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	1,450
4163000 Income from Surplus Money Investment	<u>15</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>1,465</u></b>
<b>Total Resources</b>	<b><u>13,413</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,150
4265 Department of Public Health (State Operations)	<u>0</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>1,150</u></b>
<b>FUND BALANCE, END - MARCH 31, 2015</b>	<b><u>12,263</u></b>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Fund Condition Statement – Actual  
 SFY 2013-14**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2013</b>	10,445
Prior Year Adjustment	(    3)
<b>Adjusted Fund Beginning Balance</b>	<b><u>10,442</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	2,667
4163000 Income from Surplus Money Investment	26
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,693</u></b>
<b>Total Resources</b>	<b><u>13,135</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,187
4265 Department of Public Health (State Operations)	0
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>1,187</u></b>
<b>FUND BALANCE, END - JUNE 30, 2014</b>	<b><u>11,948</u></b>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Fund Condition Statement – Actual**

**SFY 2012-13**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2012</b>	9,902
Prior Year Adjustment	( 685)
<b>Adjusted Fund Beginning Balance</b>	<b><u>9,217</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	2,601
4163000 Income from Surplus Money Investment	<u>29</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,630</u></b>
<b>Total Resources</b>	<b><u>11,847</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>216</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>1,402</u></b>
<b>FUND BALANCE, END - JUNE 30, 2013</b>	<b><u>10,445</u></b>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Fund Condition Statement – Actual**

**SFY 2011-12**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
<i>* Figures in thousand dollars</i>	
<b>FUND BEGINNING BALANCE - JULY 1, 2011</b>	10,319
Prior Year Adjustment	-1,487
<b>Adjusted Fund Beginning Balance</b>	<b><u>8,832</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
4172220 Fines and Penalties - External - Private Sector	3,049
4163000 Income from Surplus Money	<u>35</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>3,084</u></b>
<b>Total Resources</b>	<b><u>11,916</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
<b>Expenditures:</b>	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>828</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>2,014</u></b>
<b>FUND BALANCE, END - JUNE 30, 2012</b>	<b><u>9,902</u></b>

State Health Facilities Citation Penalties Account (Fund 0942-601)

**Expenditures – Actual**

**SFY 2012-13**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES</u> *
HFS CONSULTANTS	GRANITE HILLS CONVALESCENT HOSPITAL	<u>216</u>
	<b>TOTAL</b>	<b><u>216</u></b>

\* Figures in thousand dollars

\* SFY 2012-13 Expenditures reflect actual data from quarter ending June 30, 2013.

**State Health Facilities Citation Penalties Account (Fund 0942-601)**

**Expenditures – Actual**  
**SFY 2011-12**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES</u> *
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS, LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	<b>TOTAL</b>	<b><u>828</u></b>

\* Figures in thousand dollars  
 \* SFY 2011-12 Expenditures reflect actual data from quarter ending June 30, 2012.



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**State Health Facilities Citation Penalties Account (Fund 0942-601)**

STATE CITATION DETAILED REPORT  
 3rd Quarter of Fiscal Year 2014-15  
 Fiscal Years 2014-15, 2013-14, 2012-13 and 2011-12

	Fiscal Year 2014-15 (7/1/14-03/31/15)			Fiscal Year 2013-14			Fiscal Year 2012-13			Fiscal Year 2011-12		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>
<b>TOTAL AMOUNT ASSESSED</b>	\$1,572,200	100%	209	\$2,641,850	100%	523	\$2,141,300	101%	343	\$2,818,700	100%	595
<b>ALLOWABLE ADJUSTMENTS<sup>2</sup></b>	\$248,048	16%	3	\$592,090	22%	34	\$515,725	24%	27	\$732,103	26%	103
Reduced	\$0	0%	0	\$125,200	21%	11	\$188,225	36%	22	\$260,528	36%	71
Dismissed	\$0	0%	0	\$36,000	6%	18	\$0	0%	0	\$11,600	2%	3
35% payment offset	\$226,048	91%	(116)	\$425,740	71%	(275)	\$276,500	54%	(221)	\$355,775	49%	(311)
Uncollectable Other	\$0	0%	0	\$1,150	1%	1	\$10,000	2%	2	\$85,200	12%	24
Withdrawn	\$22,000	9%	3	\$4,000	1%	4	\$41,000	8%	3	\$19,000	1%	5
Reverse offset payment	\$0	0%	(0)	\$0	0%	(0)	\$0	0%	(0)	\$0	0%	(0)
<b>NET AMOUNT SUBJECT TO COLLECTION<sup>3</sup></b>	\$1,324,153	84%		\$2,049,760	78%		\$1,625,575	76%		\$2,086,598	74%	
<b>AMOUNT COLLECTED TO DATE<sup>4</sup></b>	\$606,003	39%	151	\$1,166,610	45%	364	\$1,080,075	51%	294	\$1,732,498	61%	463
65% payment	\$419,803	69%	116	\$790,660	68%	275	\$513,500	48%	221	\$660,725	38%	311
Full payment	\$180,300	30%	35	\$275,300	24%	89	\$207,200	19%	73	\$323,650	19%	152
Installment payment	\$1,500	1%	(1)	\$7,800	1%	(1)	\$62,000	6%	(2)	\$75,250	4%	(2)
Continuing Penalty payment	\$4,400	1%	(8)	\$6,750	1%	(14)	\$10,650	1%	(14)	\$44,100	3%	(23)
Medi-Cal Offset payment	\$0	0%	(0)	\$3,500	1%	(2)	\$0	0%	(0)	\$15,300	1%	(6)
Other payment	\$0	0%	(0)	\$13,650	1%	(2)	\$0	0%	0	\$2,700	1%	(4)
Settlement payment	\$0	0%	(0)	\$82,600	3%	(10)	\$288,725	25%	(23)	\$615,373	33%	(73)
Reverse payment	\$0	0%	(0)	-\$13,650	1%	(2)	-\$2,000	1%	(2)	-\$4,600	1%	(7)
<b>PENDING RESOLUTION<sup>5</sup></b>	\$718,150	45%	55	\$883,150	33%	125	\$545,500	25%	22	\$354,100	13%	29
Litigation												
Citation Review Conference	\$0	0%	0	\$0	0%	0	\$0	0%	0	\$0	0%	0
Administrative Law Judge	\$50,250	7%	16	\$31,600	4%	18	\$8,550	2%	7	\$32,100	9%	21
Superior/Municipal Court	\$644,150	90%	29	\$851,550	96%	107	\$441,350	81%	12	\$310,000	90%	5
Binding Arbitration	\$2,000	1%	1	\$0	0%	0	\$0	0%	0	\$0	0%	0
Collection in Process	\$21,750	2%	9	\$0	0%	0	\$95,600	17%	3	\$12,000	1%	3

Footnotes:  
 1. Fiscal Year dates refer to the date the citation was issued.  
 2. Allowable Adjustments include reduction amount of citations paid @ 65% per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced or dismissed in appeals and/or settlements, uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.  
 3. Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.  
 4. Amount Collected to Date - Reflects collections that result in a closed citation.  
 5. Pending Resolution includes citations in litigation/appealed to a citation review conference (appeal option available for citations issued prior to 1/1/12), administrative law judge, binding arbitration or court. Collection in Process includes active payment demands, Medi-Cal offset, settlement money pending  
 6. Citation numbers reflected in parenthesis are non-add figures.