



State of California – Health and Human Services Agency
California Department of Public Health
 Center for Health Care Quality
 Licensing and Certification



State Health Facilities Citation Penalties Account (Fund 0942-601)

<u>SPECIAL DEPOSIT FUND</u> <u>0942-601</u>	<u>FUND</u> <u>CONDITION</u> <u>STATEMENT</u>	<u>FUNDING FISCAL</u> <u>YEAR</u>	<u>BUDGET ACT</u> <u>APPROPRIATION *</u>	<u>EXPENDITURES *</u>	<u>BUDGET ACT</u> <u>APPROPRIATION</u> <u>BALANCE *</u>	<u>REVENUES *</u>
<u>STATE HEALTH FACILITIES</u> <u>CITATION PENALTIES</u> <u>ACCOUNT</u>		SFY 2013-2014**	2,144	0	2,144	1,917
<u>STATE CITATION PENALTY</u> <u>ACCOUNTS SUMMARY</u>		SFY 2012-2013	2,141	216	1,925	2,630
		SFY 2011-2012	2,137	828	1,309	3,085

*Figures in thousand dollars

** SFY 2013-2014 reflects actual data from quarter ending March 31, 2014.



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EDMUND G. BROWN JR.
 Governor

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Fund Condition Statement – PROJECTED

SFY 2013-2014

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SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2013	10,445
Prior Year Adjustment	<u>0</u>
Adjusted Fund Beginning Balance	<u>10,445</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	2,559
250300 Income from Surplus Money	<u>29</u>
Total Revenues, Transfers and Other Adjustments	<u>2,588</u>
Total Resources	<u>13,033</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,189
4265 Department of Public Health (State Operations)	<u>2,144</u>
Total Expenditures and Expenditure Adjustments	<u>3,333</u>
FUND BALANCE, END - JUNE 30, 2014	<u>9,700</u>



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**Fund Condition Statement – ACTUAL
 SFY 2012-2013**

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SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
<i>* Figures in thousand dollars</i>	
FUND BEGINNING BALANCE - JULY 1, 2012	9,902
Prior Year Adjustment (Reversal of revenue accrual)	(685)
Adjusted Fund Beginning Balance	<u>9,217</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	2,601
250300 Income from Surplus Money	<u>29</u>
Total Revenues, Transfers and Other Adjustments	<u>2,630</u>
Total Resources	<u>11,847</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>216</u>
Total Expenditures and Expenditure Adjustments	<u>1,402</u>
FUND BALANCE, END - JUNE 30, 2013	<u>10,445</u>



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Fund Condition Statement – ACTUAL

SFY 2011-2012

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SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2011	10,319
Prior Year Adjustment	<u>-1,487</u>
Adjusted Fund Beginning Balance	<u>8,832</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	3,049
250300 Income from Surplus Money	<u>35</u>
Total Revenues, Transfers and Other Adjustments	<u>3,084</u>
Total Resources	<u>11,916</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
Expenditures:	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>828</u>
Total Expenditures and Expenditure Adjustments	<u>2,014</u>
FUND BALANCE, END - JUNE 30, 2012	<u>9,902</u>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

Expenditures – ACTUAL
SFY 2012-2013 Quarter Ending June 30, 2013
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SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS CONVALESCENT HOSPITAL	<u>216</u>
	TOTAL	<u>216</u>

* Figures in thousand dollars
* SFY 2012-13 Expenditures reflect actual data from quarter ending June 30, 2013.



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Expenditures - ACTUAL
SFY 2011-2012

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SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS, LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	TOTAL	<u>828</u>

* Figures in thousand dollars

* SFY 2011-12 Expenditures reflect actual data from quarter ending June 30, 2012.



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State Health Facilities Citation Penalties Account (Fund 0942-601)

STATE CITATION DETAILED REPORT
 3rd Quarter of Fiscal Year 13-14
 Fiscal Years¹ 13-14, 12-13, 11-12 and 10-11

	Fiscal Year 13-14 (7/1/13-03/31/14)			Fiscal Year 12-13			Fiscal Year 11-12			Fiscal Year 10-11		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶
TOTAL AMOUNT ASSESSED	\$1,918,700	100%	329	\$2,141,300	100%	342	\$2,818,750	100%	595	\$3,115,750	101%	611
ALLOWABLE ADJUSTMENTS²	\$317,745	17%	3	\$377,400	18%	11	\$649,553	23%	81	\$762,040	24%	111
Reduced	\$700	1%	1	\$50,900	13%	8	\$202,778	31%	58	\$370,585	49%	99
Dismissed	\$36,000	10%	18	\$0	0%	0	\$11,000	2%	2	\$33,500	4%	4
35% payment offset	\$279,545	88%	(176)	\$276,500	73%	(221)	\$355,775	55%	(311)	\$329,333	43%	(307)
Uncollectable Other	\$0	0%	0	\$10,000	3%	1	\$61,000	9%	16	\$27,623	3%	7
Withdrawn	\$1,500	1%	2	\$40,000	11%	2	\$19,000	3%	5	\$1,000	1%	1
Reverse offset payment	\$0	0%	0	\$0	0%	0	\$0	0%	0	\$0	0%	0
NET AMOUNT SUBJECT TO COLLECTION³	\$1,600,955	83%		\$1,763,900	82%		\$2,169,198	77%		\$2,353,710	76%	
AMOUNT COLLECTED TO DATE⁴	\$712,743	37%	228	\$780,483	36%	290	\$1,527,698	54%	443	\$1,629,960	53%	420
65% payment	\$518,505	73%	176	\$513,500	66%	221	\$660,725	43%	311	\$611,618	38%	307
Full payment	\$184,950	21%	52	\$186,275	24%	69	\$283,400	19%	132	\$486,450	30%	113
Installment payment	\$0	0%	0	\$24,333	3%	(2)	\$75,250	5%	(2)	\$5,628	1%	(18)
Continuing Penalty payment	\$4,200	1%	(8)	\$9,750	1%	(13)	\$44,100	3%	(23)	\$60,697	4%	(11)
Medi-Cal Offset payment	\$2,000	1%	(1)	\$0	0%	0	\$15,300	1%	(6)	\$3,450	1%	(4)
Other payment	\$15,438	2%	(5)	\$325	1%	(1)	\$7,700	1%	(9)	\$455,320	22%	(5)
Settlement payment	\$1,300	1%	(1)	\$48,300	4%	(9)	\$445,823	27%	(59)	\$42,520	3%	(97)
Reverse payment	-\$13,650	1%	(2)	-\$2,000	1%	(2)	-\$4,600	1%	(7)	-\$35,722	1%	(7)
PENDING RESOLUTION⁵	\$888,213	46%	98	\$983,417	46%	41	\$641,500	23%	71	\$723,750	23%	80
Litigation												
Citation Review Conference	\$0	0%	0	\$0	0%	0	\$66,650	10%	23	\$239,050	33%	55
Administrative Law Judge	\$26,400	2%	16	\$16,800	2%	14	\$38,900	6%	28	\$6,850	1%	7
Superior/Municipal Court	\$649,250	73%	30	\$948,683	96%	25	\$529,950	83%	14	\$449,950	62%	12
Binding Arbitration	\$1,000	1%	1	\$0	0%	0	\$0	0%	0	\$0	0%	0
Collection in Process	\$211,563	24%	51	\$17,933	2%	2	\$6,000	1%	6	\$27,900	4%	6

Footnotes:
 1. Fiscal Year dates refer to the date the citation was issued.
 2. Allowable Adjustments include reduction amount of citations paid @ 65% per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced or dismissed in appeals and/or settlements, uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.
 3. Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.
 4. Amount Collected to Date - Reflects collections that result in a closed citation.
 5. Pending Resolution includes citations in litigation/appealed to a citation review conference (appeal option available for citations issued prior to 1/1/12), administrative law judge, binding arbitration or court. Collection in Process includes active payment demands, Medi-Cal offset, settlement money pending
 6. Citation numbers reflected in parenthesis are non-add figures.