



California Department of Public Health  
 Center for Health Care Quality  
 Licensing and Certification Program



ARNOLD SCHWARZENEGGER  
 Governor

State Health Facilities Citation Penalties Account (Fund 0942-601)

SPECIAL DEPOSIT FUND 0942-601 STATE HEALTH FACILITIES CITATION PENALTIES ACCOUNT	FUND CONDITION STATEMENT	FUNDING FISCAL YEAR	BUDGET ACT APPROPRIATION *	EXPENDITURES *	BUDGET ACT APPROPRIATION BALANCE *	REVENUES *
STATE CITATION PENALTY ACCOUNTS SUMMARY (Info pending for updates)		SFY 2009-2010**	2,149	189	1,974	1,905
		SFY 2008-2009	2,111	1,277	834	2,044
		SFY 2007-2008	3,573	0	3,573	1,808

\* Figures in thousand dollars

\*\* SFY 2009-2010 Expenditures and Revenues reflect data from quarter ending June 30, 2010.



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**Expenditures**  
**SFY 2008-2009**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
SYCAMORE ASSET MANAGEMENT	APPLEWOOD INN	418
SYCAMORE ASSET MANAGEMENT	VILLA MARIA ELENA HEALTH CARE CENTER	272
SYCAMORE ASSET MANAGEMENT	REHAB SPECIALTIES INN	228
SYCAMORE ASSET MANAGEMENT	HUNTINGTON HEALTH CARE CENTER	192
SYCAMORE ASSET MANAGEMENT	ST. ERNE HEALTH CARE CENTER	78
SYCAMORE ASSET MANAGEMENT	CASA MARIA HEALTH CARE CENTER	15
HFS CONSULTANTS	GOLDEN CROSS HEALTH CARE OF FRESNO	74
	<b>TOTAL</b>	<b>1,277</b>



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**Expenditures**  
**SFY 2009-2010**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GOLDEN CROSS HEALTH CARE OF FRESNO	180
HFS CONSULTANTS	STATE OF CALIFORNIA	9
	<b>TOTAL</b>	<b>189</b>



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**Fund Condition Statement – ACTUAL  
 SFY 2008-2009**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2008</b>	4,053
Prior Year Adjustment	-5
<b>Adjusted Fund Beginning Balance</b>	<b>4,048</b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
<b>Revenue SFY 2008-2009:</b>	
217600 Fines and Penalties - External - Private Sector	2,045
<b>Total Revenues, Transfers and Other Adjustments</b>	<b>2,045</b>
<b>Total Resources</b>	<b>6,093</b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
<b>Expenditures:</b>	
4265 Department of Public Health (State Operations)	1,276
<b>Total Expenditures and Expenditure Adjustments</b>	<b>1,276</b>
<b>FUND BALANCE, END - JUNE 30, 2009</b>	<b>4,817</b>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Fund Condition Statement – PROJECTED**

**SFY 2009-2010**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2009</b>	4,817
Prior Year Adjustment	15
<b>Adjusted Fund Beginning Balance</b>	<b>4,802</b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
<b>Revenue SFY 2009-2010:</b>	
217600 Fines and Penalties - External - Private Sector	1,905
<b>Total Revenues, Transfers and Other Adjustments</b>	<b>1,905</b>
<b>Total Resources</b>	<b>6,707</b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
<b>Expenditures:</b>	
4265 Department of Public Health (State Operations)	189
<b>Total Expenditures and Expenditure Adjustments</b>	<b>189</b>
<b>FUND BALANCE, END - JUNE 30, 2010</b>	<b>6,518</b>



# California Department of Public Health

## Center for Health Care Quality Licensing and Certification Program



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### State Health Facilities Citation Penalties Account (Fund 0942-601)

Citation Summary Report  
Fiscal Years<sup>1</sup> 09-10 (2<sup>nd</sup> Qtr), 08-09, 07-08, 06-07, 05-06, 04-05  
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	Fiscal Year 09-10 (7/1/09-6/30/10)		Fiscal Year 08-09		Fiscal Year 07-08		Fiscal Year 06-07		Fiscal Year 05-06		Fiscal Year 04-05	
	Total Amount Assessed	# of Citations Issued	Total Amount Assessed	# of Citations Issued	Total Amount Assessed	# of Citations Issued	Total Amount Assessed	# of Citations Issued	Total Amount Assessed	# of Citations Issued	Total Amount Assessed	# of Citations Issued
<b>TOTAL AMOUNT ASSESSED</b>	\$4,084,250.00	674	\$4,695,550.00	784	\$5,030,550.00	880	\$4,623,950.00	752	\$2,430,975.00	547	\$3,068,700.00	585
<b>ALLOWABLE ADJUSTMENTS<sup>2</sup></b>	\$404,102.00	3	\$1,113,651.00	37	\$1,068,091.00	74	\$2,186,850.00	134	\$817,795.00	70	\$1,008,092.00	84
Reduced	\$60,000.00	1	\$361,000.00	17	\$390,830.00	50	\$1,134,175.00	75	\$446,927.00	51	\$670,249.00	57
Dismissed	\$0.00	0	\$220,000.00	11	\$75,000.00	1	\$46,000.00	3	\$10,000.00	1	\$6,500.00	3
35% payment offset	\$342,352.00	(168)	\$414,838.00	(208)	\$421,680.00	(232)	\$400,225.00	(195)	\$295,776.00	(185)	\$308,193.00	(191)
Uncollectable Other	\$0.00	0	\$105,813.00	5	\$178,031.00	21	\$577,450.00	43	\$65,092.00	18	\$14,550.00	16
Withdrawn	\$1,750.00	2	\$12,000.00	4	\$2,550.00	2	\$29,000.00	13	\$0.00	0	\$8,600.00	8
<b>NET AMOUNT SUBJECT TO COLLECTION<sup>3</sup></b>	\$3,680,148.00		\$3,581,899.00		\$3,962,459.00		\$2,437,100.00		\$1,613,180.00		\$2,060,608.00	
<b>AMOUNT COLLECTED TO DATE<sup>4</sup></b>	\$843,747.00	406	\$1,330,449.00	529	\$1,614,659.00	610	\$1,798,601.00	501	\$1,204,830.00	407	\$1,878,008.00	441
65% payment	\$635,797.00	317	\$770,412.00	386	\$783,120.00	452	\$743,275.00	377	\$549,299.00	330	\$572,357.00	355
Full payment	\$158,650.00	89	\$306,500.00	143	\$402,450.00	158	\$268,150.00	124	\$190,325.00	77	\$369,300.00	86
Installment payment	\$7,500.00	(1)	\$21,550.00	(3)	\$25,200.00	(2)	\$88,167.00	(4)	\$0.00	(0)	\$154,500.00	(4)
Continuing Penalty payment	\$6,200.00	(19)	\$7,700.00	(26)	\$16,800.00	(41)	\$15,900.00	(24)	\$37,800.00	(21)	\$28,350.00	(21)
Medi-Cal Offset payment	\$3,200.00	(5)	\$14,950.00	(3)	\$12,400.00	(11)	\$9,950.00	(10)	\$80,615.00	(17)	\$85,450.00	(9)
Other payment	\$27,400.00	(4)	\$6,500.00	(3)	\$11,417.00	(15)	\$1,650.00	(2)	\$14,585.00	(5)	\$2,600.00	(4)
Settlement payment	\$5,000.00	(1)	\$209,737.00	(12)	\$372,089.00	(59)	\$677,559.00	(80)	\$364,956.00	(46)	\$668,901.00	(54)
<b>PENDING RESOLUTION<sup>5</sup></b>	\$2,836,401.00	265	\$2,251,450.00	218	\$2,347,800.00	196	\$638,499.00	117	\$408,350.00	70	\$182,600.00	60
<b>Litigation</b>												
Citation Review Conference	\$2,015,501.00	190	\$874,725.00	126	\$1,494,700.00	132	\$536,049.00	100	\$349,700.00	64	\$170,150.00	56
Administrative Law Judge	\$28,850.00	26	\$67,075.00	54	\$33,250.00	33	\$14,800.00	11	\$1,900.00	2	\$2,450.00	3
Superior/Municipal Court	\$649,500.00	22	\$1,302,850.00	32	\$783,750.00	23	\$85,450.00	4	\$56,750.00	4	\$10,000.00	1
Binding Arbitration	\$17,250.00	13	\$4,700.00	5	\$5,000.00	5	\$2,200.00	2	\$0.00	0	\$0.00	0
Collection in Process	\$125,300.00	14	\$2,100.00	1	\$31,100.00	3	\$0.00	0	\$0.00	0	\$0.00	0

Footnotes:

- Fiscal Year dates refer to the date the citation was issued and is not reflective of the date the data was updated.
- Allowable Adjustments include reduction amount of citations paid @ 65% discount rate per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced in Appeals and/or Settlements, Uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawal by the Department.
- Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.
- Amount Collected to Date - Note: Citation numbers reflected in brackets are non-add figures for purposes of this report only. The collections that result in a closed citation are counted in the total.
- Pending Resolution includes citations in litigation/appealed to a citation review conference, administrative law judge, binding arbitration or court. Collection in Process includes payment demands, Medi-Cal offset, settlement money pending

Source: ELMS Disposition of Civil Money Penalty Assessments 6/30/10