



California Department of Public Health
 Center for Health Care Quality
 Licensing and Certification Program



EDMUND G. BROWN JR.
 Governor

State Health Facilities Citation Penalties Account (Fund 0942-601)

SPECIAL DEPOSIT FUND 0942-601 STATE HEALTH FACILITIES CITATION PENALTIES ACCOUNT	FUND CONDITION STATEMENT	FUNDING FISCAL YEAR	BUDGET ACT APPROPRIATION *	EXPENDITURES *	BUDGET ACT APPROPRIATION BALANCE *	REVENUES *
STATE CITATION PENALTY ACCOUNTS SUMMARY (Info pending for updates)		SFY 2010-2011**	2,149	34	2,115	1,519
		SFY 2009-2010	2,149	189	1,960	1,905
		SFY 2008-2009	2,111	1,276	835	2,045

* Figures in thousand dollars

** SFY 2010-2011 Expenditures and Revenues reflect actual data from quarter ending March 31, 2011.



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Expenditures
SFY 2009-2010
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SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GOLDEN CROSS HEALTH CARE OF FRESNO	185
HFS CONSULTANTS	STATE OF CALIFORNIA	<u>4</u>
	TOTAL	<u>189</u>



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Expenditures
SFY 2010-2011
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SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GOLDEN CROSS HEALTH CARE OF FRESNO	<u>34</u>
	TOTAL	<u>34</u>



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**Fund Condition Statement – ACTUAL
 SFY 2009-2010**

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SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2009	4,817
Prior Year Adjustment	-15
Adjusted Fund Beginning Balance	<u>4,802</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	1,904
250300 Income from Surplus Money	1
Total Revenues, Transfers and Other Adjustments	<u>1,905</u>
Total Resources	<u>6,707</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
Expenditures:	
4265 Department of Public Health (State Operations)	189
Total Expenditures and Expenditure Adjustments	<u>189</u>
FUND BALANCE, END - JUNE 30, 2010	<u>6,518</u>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

Fund Condition Statement – PROJECTED
SFY 2010-2011

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SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2010	6,518
Prior Year Adjustment	<u>0</u>
Adjusted Fund Beginning Balance	<u>6,518</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External – State Licensing	1,904
250300 Income from Surplus Money	<u>1</u>
Total Revenues, Transfers and Other Adjustments	<u>1,905</u>
Total Resources	<u>8,423</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
Expenditures:	
4265 Department of Public Health (State Operations)	<u>2,149</u>
Total Expenditures and Expenditure Adjustments	<u>2,149</u>
FUND BALANCE, END - JUNE 30, 2011	<u>6,274</u>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

Citation Summary Report
Fiscal Years¹ 10-11 (3rd Qtr), 09-10, 08-09, 07-08
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	Fiscal Year 10-11				Fiscal Year 09-10				Fiscal Year 08-09				Fiscal Year 07-08			
	# of Citations	Total Amount Assessed	% of \$ Assessed	# of Citations	# of Citations	Total Amount Assessed	% of \$ Assessed	# of Citations	# of Citations	Total Amount Assessed	% of \$ Assessed	# of Citations	# of Citations	Total Amount Assessed	% of \$ Assessed	# of Citations
I TOTAL AMOUNT ASSESSED		\$1,888,600	100%	403		\$4,115,350	100%	692		\$4,691,250	100%	784		\$5,018,664	100%	880
footnote																
II ALLOWABLE ADJUSTMENTS	1	\$182,973	10%	205		\$455,183	11%	359		\$1,560,102	33%	509		\$1,207,256	24%	592
A. Reduction Amount for Citations Paid at 35% discount Rate (In Lieu of Appeal).....	2	204	\$182,123	99%	328	\$370,265	80%	386	\$414,837	27%	452	\$421,680	35%			
B. Amount Reduced in Appeal/or Settlements	3	0	\$0	0%	30	\$84,518	19%	111	\$1,021,852	65%	114	\$604,495	50%			
C. Allowable Adjustments Due to Change of Ownership (CHOW), Bankruptcy, Facility Closure, ..	4	1	\$850	1%	1	\$400	1%	12	\$123,413	8%	26	\$181,081	15%			
III AMOUNT COLLECTED TO-DATE		\$528,927	28%	45		\$937,592	23%	91		\$1,709,122	37%	133		\$1,899,344	38%	157
IV TOTAL AMOUNT PENDING RESOLUTION		\$1,176,700	62%	153		\$2,722,575	66%	242		\$1,422,026	30%	142		\$1,912,064	38%	131
A. In Litigation.....	5	135	\$1,113,450	95%	236	\$2,713,600	99%	126	\$1,358,451	99%	113	\$1,769,564	99%			
B. Collection in Process.....	6	18	\$63,250	5%	6	\$8,975	1%	16	\$63,575	1%	18	\$142,500	1%			
C. Pending Resolution.....	7	0	\$0	0%	0	\$0	0%	0	\$0	0%	0	\$0	0%			

LICENSING AND CERTIFICATION, Grant and Fiscal Assessment Unit

Footnotes:

- 1 "Allowable Adjustments" are divided into "A", "B", "C"
- 2 "Reduction Amount for Citations Paid @ 65% Discount Rate": per H & S Code Section 1428.1(a), citations paid within 15 days are reduced by 35% if violation occurred after 1/1/1999.
- 3 "Amount Reduced in Appeals and /or Settlements".
- 4 Uncollectable due to Change of Ownership, Bankruptcy (discharged), Closure.
- 5 "In Litigation" indicates citations in appeal (Citation Review Conference, Administrative Law Judge, Binding Arbitration, Court) or Bankruptcy Court.
- 6 "Collection in Process" (Includes Medi-Cal Offsets, payment demands, and/or settlement collection in process).
- 7 "Pending Resolution".

Source: ELMS Disposition of Civil Money Penalty Assessments, 3/31/2011