



State of California – Health and Human Services Agency  
**California Department of Public Health**  
 Center for Health Care Quality  
 Licensing and Certification



EDMUND G. BROWN JR.  
 Governor

State Health Facilities Citation Penalties Account (Fund 0942-601)

<b>SPECIAL DEPOSIT FUND 0942-601</b>	<b>FUND CONDITION STATEMENT</b>	<b>FUNDING FISCAL YEAR</b>	<b>BUDGET ACT APPROPRIATION *</b>	<b>EXPENDITURES *</b>	<b>BUDGET ACT APPROPRIATION BALANCE *</b>	<b>REVENUES *</b>
<b>STATE HEALTH FACILITIES CITATION PENALTIES ACCOUNT</b>		SFY 2013-2014**	2,144	0	2,144	2,335
<b>STATE CITATION PENALTY ACCOUNTS SUMMARY</b>		SFY 2012-2013***	2,141	216	1,925	2,630
		SFY 2011-2012	2,137	828	1,309	3,085

\*Figures in thousand dollars

\*\* SFY 2013-14 Reflects projections from Proposed Governor's Budget and Revised 10Rs.

\*\*\* SFY 2012-2013 Reflects actual data from quarter ending June 30, 2013.



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**Fund Condition Statement – PROJECTED**

**SFY 2013-2014**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2013</b>	10,443
Prior Year Adjustment	<u>0</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>10,443</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
217600 Fines and Penalties - External - Private Sector	2,301
250300 Income from Surplus Money	<u>34</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,335</u></b>
<b>Total Resources</b>	<b><u>12,778</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,189
4265 Department of Public Health (State Operations)	<u>2,144</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>3,333</u></b>
<b>FUND BALANCE, END - JUNE 30, 2014</b>	<b><u>9,445</u></b>



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**Fund Condition Statement – ACTUAL**  
**SFY 2012-2013**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
<i>* Figures in thousand dollars</i>	
<b>FUND BEGINNING BALANCE - JULY 1, 2012</b>	9,902
Prior Year Adjustment (Reversal of revenue accrual)	( 685)
<b>Adjusted Fund Beginning Balance</b>	<b><u>9,217</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
217600 Fines and Penalties - External - Private Sector	2,601
250300 Income from Surplus Money	<u>29</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>2,630</u></b>
<b>Total Resources</b>	<b><u>11,847</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
4170 Department of Aging	1,188
4265 Department of Public Health (State Operations)	<u>216</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>1,404</u></b>
<b>FUND BALANCE, END - JUNE 30, 2013</b>	<b><u>10,443</u></b>



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**Fund Condition Statement – ACTUAL**

**SFY 2011-2012**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>	
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>	
* Figures in thousand dollars	
<b>FUND BEGINNING BALANCE - JULY 1, 2011</b>	10,319
Prior Year Adjustment	<u>-1,487</u>
<b>Adjusted Fund Beginning Balance</b>	<b><u>8,832</u></b>
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	
<b>Revenues:</b>	
217600 Fines and Penalties - External - Private Sector	3,049
250300 Income from Surplus Money	<u>35</u>
<b>Total Revenues, Transfers and Other Adjustments</b>	<b><u>3,084</u></b>
<b>Total Resources</b>	<b><u>11,916</u></b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	
<b>Expenditures:</b>	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>828</u>
<b>Total Expenditures and Expenditure Adjustments</b>	<b><u>2,014</u></b>
<b>FUND BALANCE, END - JUNE 30, 2012</b>	<b><u>9,902</u></b>



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Expenditures – ACTUAL**  
**SFY 2012-2013 Quarter Ending June 30, 2013**  
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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS CONVALESCENT HOSPITAL	<u>216</u>
	<b>TOTAL</b>	<b><u>216</u></b>

\* Figures in thousand dollars  
 \* SFY 2012-13 Expenditures reflects actual data from quarter ending June 30, 2013.



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State Health Facilities Citation Penalties Account (Fund 0942-601)

**Expenditures - ACTUAL**  
**SFY 2011-2012**

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<b>SPECIAL DEPOSIT FUND 0942-601</b>		
<b>STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT</b>		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS, LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	<b>TOTAL</b>	<b><u>828</u></b>

\* Figures in thousand dollars

\* SFY 2011-12 Expenditures reflects actual data from quarter ending June 30, 2012.



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**State Health Facilities Citation Penalties Account (Fund 0942-601)**

STATE CITATION DETAILED REPORT  
 4<sup>th</sup> Quarter of Fiscal Year 12-13  
 Fiscal Years<sup>1</sup> 12-13, 11-12, 10-11, 09-10

	Fiscal Year 12-13			Fiscal Year 11-12			Fiscal Year 10-11			Fiscal Year 09-10		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>	Total Amount Assessed	% of \$ Assessed	# of Citations Issued <sup>6</sup>
<b>TOTAL AMOUNT ASSESSED</b>	<b>\$2,084,850.00</b>	<b>100%</b>	<b>335</b>	<b>\$2,518,750.00</b>	<b>100%</b>	<b>591</b>	<b>\$3,038,450.00</b>	<b>100%</b>	<b>611</b>	<b>\$4,142,000.00</b>	<b>100%</b>	<b>700</b>
<b>ALLOWABLE ADJUSTMENTS<sup>2</sup></b>	<b>\$315,090.00</b>	<b>16%</b>	<b>3</b>	<b>\$513,827.50</b>	<b>20%</b>	<b>62</b>	<b>\$517,840.00</b>	<b>17%</b>	<b>104</b>	<b>\$1,164,082.50</b>	<b>28%</b>	<b>136</b>
Reduced	\$0.00	0%	0	\$81,052.50	16%	42	\$151,385.00	29%	93	\$626,017.50	54%	115
Dismissed	\$0.00	0%	0	\$1,000.00	1%	1	\$33,500.00	6%	4	\$85,450.00	7%	16
35% payment offset	\$265,090.00	84%	(217)	\$355,775.00	69%	(311)	\$329,332.00	63%	(307)	\$370,615.00	32%	(329)
Uncollectable Other	\$10,000.00	3%	1	\$58,000.00	11%	15	\$2,623.00	1%	6	\$81,050.00	5%	3
Withdrawn	\$40,000.00	15%	2	\$18,000.00	3%	4	\$1,000.00	1%	1	\$1,750.00	1%	2
Reverse offset payment	\$0.00	0%	(0)	\$0.00	0%	(0)	\$0.00	0%	(0)	(\$800.00)	1%	(2)
<b>NET AMOUNT SUBJECT TO COLLECTION<sup>3</sup></b>	<b>\$1,769,760.00</b>	<b>85%</b>		<b>\$2,004,922.50</b>	<b>80%</b>		<b>\$2,520,610.00</b>	<b>83%</b>		<b>\$2,977,917.50</b>	<b>72%</b>	
<b>AMOUNT COLLECTED TO DATE<sup>4</sup></b>	<b>\$601,110.00</b>	<b>29%</b>	<b>272</b>	<b>\$1,258,422.50</b>	<b>50%</b>	<b>442</b>	<b>\$1,363,560.00</b>	<b>45%</b>	<b>407</b>	<b>\$1,907,667.50</b>	<b>46%</b>	<b>461</b>
65% payment	\$492,310.00	80%	217	\$660,725.00	53%	311	\$611,617.50	45%	307	\$688,285.00	36%	329
Full payment	\$105,175.00	17%	55	\$263,500.00	21%	131	\$415,250.00	30%	100	\$421,200.00	22%	132
Installment payment	\$0.00	0%	(0)	\$35,000.00	3%	(2)	\$0.00	0%	0	\$16,950.00	1%	(4)
Continuing Penalty payment	\$4,300.00	1%	(11)	\$44,100.00	4%	(23)	\$5,627.50	1%	(18)	\$6,200.00	1%	(19)
Medi-Cal Offset payment	\$0.00	0%	(0)	\$15,300.00	1%	(6)	\$27,696.96	2%	(8)	\$43,900.00	2%	(14)
Other payment	\$325.00	1%	(1)	\$7,700.00	1%	(9)	\$3,450.00	1%	(4)	\$54,000.00	3%	(3)
Settlement payment	\$0.00	0%	(0)	\$236,697.50	16%	(43)	\$316,640.00	20%	(94)	\$677,732.50	34%	(112)
Reverse payment	(\$1,000.00)	1%	(1)	(\$4,600.00)	1%	(7)	(\$16,721.96)	1%	(6)	(\$600.00)	1%	(1)
<b>PENDING RESOLUTION<sup>5</sup></b>	<b>\$1,168,650.00</b>	<b>56%</b>	<b>60</b>	<b>\$746,500.00</b>	<b>30%</b>	<b>87</b>	<b>\$1,157,050.00</b>	<b>38%</b>	<b>100</b>	<b>\$1,070,250.00</b>	<b>26%</b>	<b>103</b>
Litigation												
Citation Review Conference	\$0.00	0%	0	\$104,650.00	14%	28	\$303,200.00	26%	68	\$190,105.00	18%	66
Administrative Law Judge	\$22,400.00	2%	19	\$45,400.00	6%	34	\$9,500.00	1%	10	\$9,000.00	1%	11
Superior/Municipal Court	\$1,111,350.00	95%	32	\$509,550.00	68%	16	\$839,350.00	73%	21	\$869,145.00	80%	24
Binding Arbitration	\$2,000.00	0%	1	\$1,000.00	1%	1	\$0.00	0%	0	\$0.00	0%	0
Collection in Process	\$32,900.00	3%	8	\$85,900.00	11%	8	\$5,000.00	0%	1	\$2,000.00	1%	2

Footnotes:

- Fiscal Year dates refer to the date the citation was issued.
- Allowable Adjustments include reduction amount of citations paid @ 65% discount rate per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced or dismissed in Appeals and/or Settlements, Uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.
- Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.
- Amount Collected to Date - Reflects collections that result in a closed citation.
- Pending Resolution includes citations in litigation/appealed to a citation review conference, administrative law judge, binding arbitration or court. Collection in Process includes active payment demands, Medi-Cal offset, settlement money pending
- Citation numbers reflected in parenthesis are non-add figures.

Source: ELMS Disposition of Civil Money Penalty Assessments, 6/30/2013