



Center for Health Care Quality
Licensing and Certification Program
FY 2011-12 and 2012-13

NOVEMBER ESTIMATE

Department of Public Health
Center for Health Care Quality
Licensing and Certification Program

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Introduction

Program Overview:

Health care facilities in California are licensed, regulated, inspected, and/or certified by a number of public and private agencies at the state and federal levels, including the California Department of Public Health (CDPH) Licensing and Certification Program (L&C) and the United States Department of Health and Human Services' Centers for Medicare and Medicaid Services (CMS). These agencies have separate -- yet sometimes overlapping -- jurisdictions. L&C is responsible for ensuring health care facilities comply with state laws and regulations. In addition, L&C cooperates with CMS to ensure that facilities accepting Medicare and Medi-Cal (in California, Medicaid is referred to as Medi-Cal) payments meet federal requirements. L&C also oversees the certification of nurse assistants, home health aides, hemodialysis technicians, and the licensing of nursing home administrators.

This level of responsibility has necessitated the L&C Administration Branch to transition the reporting of the program into an estimates-based fiscal process for quantifying workload, staffing and its relative expenditures.

Estimates Overview:

In December 2009, based on legislative directive, the L&C Administrative Services Branch, Fiscal Operations Section, staff began working on a Fiscal Year (FY) 2011/12 Estimate to be submitted in November 2010 to the Department of Finance. This was the first Estimate for the L&C program.

L&C program staff notified the Department of Finance of the limited scope of L&C's first estimate to direct surveyor workload activities including the Los Angeles County (LAC) contract surveyor activities. For Phase One, the FY 2011/12 November Estimate and May Revision, the L&C Estimate provided information on the program's Field Operations, where the direct survey workload is performed by the program, fiscal and staffing needs, which includes workload for the State Facilities Unit. The Estimate reflected Field Operations costs for the fourteen L&C District Offices and LAC. Now the L&C program is working on FY 2012/13 November Estimate which includes Phase Two, inclusion of the Administrative functions of the Los Angeles County (LAC) contract. Future phases consist of Phase Three: Field Operations Administrative Costs including the Headquarters Operations costs for the Policy and Enforcement Branch, Administrative Services Branch, and Systems Technology and Research Branch (to be added in the 2012/13 May Revise Estimate); and, Phase Four: Professional Certification Branch, the Life Safety Emergency Preparedness, the Disaster Response Branch Operations, and the Health Care Associated Infections Program (to be added in the 2013/14 November Estimate).

The goal of moving into an estimates process is to streamline L&C's current budgeting process into one comprehensive and transparent system that will consider all programmatic fiscal and workload factors at one time and incorporate all working documents and analyses into the estimate package via detailed and major assumptions. Each assumption addresses a separate issue and can be individually and fully assessed and evaluated in a means that is transparent to all. The collective assumptions form the basis of the Estimate package, which identifies and determines the funding and staffing needed to accomplish L&C's overall workload.

Executive Summary

L&C FY Budget Act Authority

	Federal	Special	Reimbursement	NHAP	Special Deposit	TOTAL
L&C Field Ops Appropriation	\$ 47,768,000	\$ 48,264,000	\$ 2,427,000	\$ -	\$ -	\$ 98,459,000
LAC Field Ops Appropriation	\$ 14,678,000	\$ 10,105,000	\$ 1,321,000	\$ -	\$ -	\$ 26,104,000
SFU Field Ops Appropriation	\$ 615,000	\$ 4,607,000	\$ 16,000	\$ -	\$ -	\$ 5,238,000
TOTAL May Rev. Field Ops Appropriation	\$ 63,061,000	\$ 62,976,000	\$ 3,764,000	\$ -	\$ -	\$ 129,801,000
L&C Field Ops Appropriation Adjustment	\$ (603,000)	\$ (239,000)	\$ 65,000	\$ -	\$ -	\$ (777,000)
LAC Field Ops Appropriation Adjustment	\$ (44,000)	\$ (452,000)	\$ 66,000	\$ -	\$ -	\$ (430,000)
SFU Field Ops Appropriation Adjustment	\$ 1,834,000	\$ (1,450,000)	\$ (9,000)	\$ -	\$ -	\$ 375,000
LAC Contract Admin Appropriation (Phase 2)	\$ 728,000	\$ 480,000	\$ 69,000	\$ -	\$ -	\$ 1,277,000
TOTAL Adjusted Field Ops Appropriation	\$ 64,976,000	\$ 61,315,000	\$ 3,955,000	\$ -	\$ -	\$ 130,246,000
L&C Non-Field Ops Appropriation	\$ 9,743,000	\$ 28,049,000	\$ 652,000	\$ 366,000	\$ 3,517,000	\$ 42,327,000
SFU Non-Field Ops Appropriation	\$ 507,000	\$ 1,843,000	\$ 1,000	\$ -	\$ -	\$ 2,351,000
TOTAL Non-Field Ops Appropriation	\$ 10,250,000	\$ 29,892,000	\$ 653,000	\$ 366,000	\$ 3,517,000	\$ 44,678,000
L&C FY 2011/12 Budget Act	\$ 75,226,000	\$ 91,207,000	\$ 4,608,000	\$ 366,000	\$ 3,517,000	\$ 174,924,000

Current Year 2011/12 Requested Authority

	Federal	Special	Reimbursement	NHAP	Special Deposit	TOTAL
Budget Act Appropriation for FY 2012/13 Nov. Estimate	\$ 64,976,000	\$ 61,315,000	\$ 3,955,000			\$ 130,246,000
Section 1.50	\$ -	\$ -	\$ 936,000			\$ 936,000
Total Estimate Appropriation	\$ 64,976,000	\$ 61,315,000	\$ 4,891,000			\$ 131,182,000
Total November Estimate	\$ 64,976,000	\$ 61,315,000	\$ 4,891,000			\$ 131,182,000
CY Requested Authority	\$ -	\$ -	\$ -			\$ -
CY Requested PY Authority	-	-	-			-

Budget Year 2012/13 Requested Authority

	Federal	Special	Reimbursement	NHAP	Special Deposit	TOTAL
Budget Act Appropriation for FY 2012/13 Nov. Estimate	\$ 64,976,000	\$ 61,315,000	\$ 3,955,000			\$ 130,246,000
Total Estimate Appropriation	\$ 64,976,000	\$ 61,315,000	\$ 3,955,000			\$ 130,246,000
Total November Estimate	\$ 64,976,000	\$ 61,315,000	\$ 4,891,000			\$ 131,182,000
BY Requested Authority	\$ -	\$ -	\$ 936,000			\$ 936,000
BY Requested PY Authority	-	-	-			-

Field Operations
Licensing & Certification, Los Angeles County & State Facilities Unit
Field Operations Summary
Comparison of 2011/12 Budget Act to 2012/13 November Estimate
Fiscal Year 2011/12

FACILITY TYPE	2011/12 BUDGET ACT				2012/13 NOVEMBER ESTIMATE				DIFFERENCE			
	FOR FY 2011/12				FOR FY 2011/12							
	Total Funds	Federal Fund	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund	Special Fund	Reimbursement (Title 19 NLTC)
	Total				Total				Total			
Alternative Birthing Centers	12,000	-	12,000	-	11,000	-	11,000	-	(1,000)	-	(1,000)	-
Adult Day Health Centers	233,000	-	233,000	-	-	-	-	-	(233,000)	-	(233,000)	-
Acute Psychiatric Hospitals	1,043,000	192,000	755,000	96,000	1,752,000	451,000	1,075,000	226,000	709,000	259,000	320,000	130,000
Chronic Dialysis Clinics	5,051,000	2,295,000	1,609,000	1,147,000	5,200,000	2,262,000	1,807,000	1,131,000	149,000	(33,000)	198,000	(16,000)
Chemical Dependency Recovery	58,000	-	58,000	-	56,000	-	56,000	-	(2,000)	-	(2,000)	-
Congregate Living Health Facilities	430,000	-	430,000	-	243,000	-	243,000	-	(187,000)	-	(187,000)	-
Community Clinic/Free Clinic	896,000	172,000	638,000	86,000	639,000	131,000	442,000	66,000	(257,000)	(41,000)	(196,000)	(20,000)
Correctional Treatment Centers	281,000	-	281,000	-	229,000	-	229,000	-	(52,000)	-	(52,000)	-
General Acute Care Hospitals	16,343,000	2,506,000	12,584,000	1,253,000	25,867,000	7,228,000	15,025,000	3,614,000	9,524,000	4,722,000	2,441,000	2,361,000
Home Health Agencies	11,184,000	2,915,000	6,812,000	1,457,000	9,148,000	4,126,000	2,960,000	2,062,000	(2,036,000)	1,211,000	(3,852,000)	605,000
Hospice	2,062,000	910,000	697,000	455,000	2,129,000	920,000	750,000	459,000	67,000	10,000	53,000	4,000
Intermediate Care Facilities (ICF)	740,000	-	740,000	-	665,000	-	665,000	-	(75,000)	-	(75,000)	-
ICF-DD/DDH/DDN	14,238,000	7,105,000	7,133,000	-	15,058,000	6,736,000	8,322,000	-	820,000	(369,000)	1,189,000	-
Pediatric Day Health/Respite	84,000	-	84,000	-	15,000	-	15,000	-	(69,000)	-	(69,000)	-
Psychology Clinics	62,000	-	62,000	-	49,000	-	49,000	-	(13,000)	-	(13,000)	-
Referral Agencies	43,000	-	43,000	-	15,000	-	15,000	-	(28,000)	-	(28,000)	-
Rehabilitation Clinics	341,000	148,000	119,000	74,000	183,000	81,000	61,000	41,000	(158,000)	(67,000)	(58,000)	(33,000)
Skilled Nursing Facilities	77,545,000	46,033,000	31,512,000	-	69,546,000	39,772,000	29,774,000	-	(7,999,000)	(6,261,000)	(1,738,000)	-
Surgical Clinics	3,534,000	1,749,000	911,000	874,000	5,705,000	2,850,000	1,430,000	1,425,000	2,171,000	1,101,000	519,000	551,000
TOTAL	\$ 134,180,000	\$ 64,025,000	\$ 64,713,000	\$ 5,442,000	\$ 136,510,000	\$ 64,557,000	\$ 62,929,000	\$ 9,024,000	\$ 2,330,000	\$ 532,000	\$ (1,784,000)	\$ 3,582,000
May Revision Estimate Adjustment	(4,379,000)	(964,000)	(1,737,000)	(1,678,000)	-	-	-	-	4,379,000	964,000	1,737,000	1,678,000
May Revision Estimate TOTAL	129,801,000	63,061,000	62,976,000	3,764,000	136,510,000	64,557,000	62,929,000	9,024,000	6,709,000	1,496,000	(47,000)	5,260,000
Field Operations Appropriation Adj.	(832,000)	1,187,000	(2,141,000)	122,000	-	-	-	-	832,000	(1,187,000)	2,141,000	(122,000)
LAC Contract Admin Staff (Phase 2)	1,277,000	728,000	480,000	69,000	1,277,000	728,000	480,000	69,000	-	-	-	-
Adj. to Not Request Additional Funding	-	-	-	-	(7,541,000)	(309,000)	(2,094,000)	(5,138,000)	(7,541,000)	(309,000)	(2,094,000)	(5,138,000)
Section 1.50 Field Operations	742,000	-	-	742,000	742,000	-	-	742,000	-	-	-	-
Section 1.50 Non-Field Operations	194,000	-	-	194,000	194,000	-	-	194,000	-	-	-	-
Adjusted TOTAL	\$ 131,182,000	\$ 64,976,000	\$ 61,315,000	\$ 4,891,000	\$ 131,182,000	\$ 64,976,000	\$ 61,315,000	\$ 4,891,000	\$ -	\$ -	\$ -	\$ -
POSITIONS	Total	Adj.		Adj. Total	Total	Adj.		Adj. Total	Total			
HFEN	629.82	-15.32		614.50	683.10	-68.60		614.50	0.00			
Consultants	62.88	-1.88		61.00	61.96	-0.96		61.00	0.00			
HFE II Supervisors	93.39	1.61		95.00	111.47	-16.47		95.00	0.00			
Support Staff	123.62	-13.62		110.00	135.56	-25.56		110.00	0.00			
LSC - HFE I	30.75	2.00		32.75	39.39	-6.64		32.75	0.00			
LSC - HFE II Supervisors	6.00	0.00		6.00	6.62	-0.62		6.00	0.00			
LSC - Support Staff	7.00	-1.00		6.00	7.89	-1.89		6.00	0.00			
Administrative Staff (LAC Phase 2)	0.00	7.00		7.00	7.00	0.00		7.00	0.00			
Total Positions	953.46	-21.21		932.25	1052.99	-120.74		932.25	0.00			

**Field Operations
Licensing & Certification
Field Operations Summary
Comparison of 2011/12 Budget Act to 2012/13 November Estimate
Fiscal Year 2011/12**

FACILITY TYPE	2011/12 BUDGET ACT				2012/13 NOVEMBER ESTIMATE				DIFFERENCE			
	FOR FY 2011/12				FOR FY 2011/12							
	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)
Alternative Birthing Centers	\$ 10,000	\$ -	\$ 10,000	\$ -	\$ 9,000	\$ -	\$ 9,000	\$ -	\$ (1,000)	\$ -	\$ (1,000)	\$ -
Adult Day Health Centers	233,000	-	233,000	-	-	-	-	-	(233,000)	-	(233,000)	-
Acute Psychiatric Hospitals	384,000	156,000	150,000	78,000	1,097,000	361,000	555,000	181,000	713,000	205,000	405,000	103,000
Chronic Dialysis Clinics	3,445,000	1,566,000	1,096,000	783,000	3,594,000	1,566,000	1,245,000	783,000	149,000	-	149,000	-
Chemical Dependency Recovery	40,000	-	40,000	-	42,000	-	42,000	-	2,000	-	2,000	-
Congregate Living Health Facilities	263,000	-	263,000	-	156,000	-	156,000	-	(107,000)	-	(107,000)	-
Community Clinic/Free Clinic	685,000	124,000	499,000	62,000	509,000	95,000	366,000	48,000	(176,000)	(29,000)	(133,000)	(14,000)
Correctional Treatment Centers	-	-	-	-	9,000	-	9,000	-	9,000	-	9,000	-
General Acute Care Hospitals	14,127,000	2,048,000	11,055,000	1,024,000	23,210,000	6,822,000	12,977,000	3,411,000	9,083,000	4,774,000	1,922,000	2,387,000
Home Health Agencies	4,618,000	1,145,000	2,901,000	572,000	5,734,000	2,632,000	1,786,000	1,316,000	1,116,000	1,487,000	(1,115,000)	744,000
Hospice	1,329,000	586,000	450,000	293,000	1,383,000	595,000	491,000	297,000	54,000	9,000	41,000	4,000
Intermediate Care Facilities (ICF)	-	-	-	-	-	-	-	-	-	-	-	-
ICF-DD/DDH/DDN	9,811,000	5,012,000	4,799,000	-	10,786,000	4,862,000	5,924,000	-	975,000	(150,000)	1,125,000	-
Pediatric Day Health/Respite	84,000	-	84,000	-	15,000	-	15,000	-	(69,000)	-	(69,000)	-
Psychology Clinics	44,000	-	44,000	-	31,000	-	31,000	-	(13,000)	-	(13,000)	-
Referral Agencies	4,000	-	4,000	-	1,000	-	1,000	-	(3,000)	-	(3,000)	-
Rehabilitation Clinics	270,000	116,000	96,000	58,000	145,000	66,000	46,000	33,000	(125,000)	(50,000)	(50,000)	(25,000)
Skilled Nursing Facilities	54,158,000	29,773,000	24,385,000	-	46,662,000	23,562,000	23,100,000	-	(7,496,000)	(6,211,000)	(1,285,000)	-
Surgical Clinics	2,337,000	1,150,000	612,000	575,000	3,809,000	1,902,000	956,000	951,000	1,472,000	752,000	344,000	376,000
TOTAL	\$ 91,842,000	\$ 41,676,000	\$ 46,721,000	\$ 3,445,000	\$ 97,192,000	\$ 42,463,000	\$ 47,709,000	\$ 7,020,000	\$ 5,350,000	\$ 787,000	\$ 988,000	\$ 3,575,000
May Revision Estimate Adjustment	6,617,000	6,092,000	1,543,000	(1,018,000)	-	-	-	-	(6,617,000)	(6,092,000)	(1,543,000)	1,018,000
May Revision Estimate TOTAL	98,459,000	47,768,000	48,264,000	2,427,000	97,192,000	42,463,000	47,709,000	7,020,000	(1,267,000)	(5,305,000)	(555,000)	4,593,000
Field Operations Appropriation Adj.	(777,000)	(603,000)	(239,000)	65,000	-	-	-	-	777,000	603,000	239,000	(65,000)
Adj. to Not Request Additional Funding	-	-	-	-	490,000	4,702,000	316,000	(4,528,000)	490,000	4,702,000	316,000	(4,528,000)
Section 1.50 Field Operations	740,000	-	-	740,000	740,000	-	-	740,000	-	-	-	-
Section 1.50 Non-Field Operations	194,000	-	-	194,000	194,000	-	-	194,000	-	-	-	-
Adjusted TOTAL	\$ 98,616,000	\$ 47,165,000	\$ 48,025,000	\$ 3,426,000	\$ 98,616,000	\$ 47,165,000	\$ 48,025,000	\$ 3,426,000	\$ -	\$ -	\$ -	\$ -
POSITIONS	Total	Adj.		Adj. Total	Total	Adj.		Adj. Total	Total			
HFEN	483.32	-14.82		468.50	495.17	-26.67		468.50	0.00			
Consultants	54.88	-1.88		53.00	51.66	1.34		53.00	0.00			
HFE II Supervisors	85.39	1.61		87.00	92.78	-5.78		87.00	0.00			
Support Staff	93.62	-14.62		79.00	98.02	-19.02		79.00	0.00			
LSC - HFE I	20.75	1.00		21.75	28.74	-6.99		21.75	0.00			
LSC - HFE II Supervisors	5.00	0.00		5.00	5.75	-0.75		5.00	0.00			
LSC - Support Staff	5.00	-1.00		4.00	5.75	-1.75		4.00	0.00			
	0.00	0.00		0.00	0.00	0.00		0.00	0.00			
Total Positions	747.96	-29.71		718.25	777.87	-59.62		718.25	0.00			

**Field Operations
Los Angeles County
Field Operations Summary
Comparison of 2011/12 Budget Act to 2012/13 November Estimate
Fiscal Year 2011/12**

FACILITY TYPE	2011/12 BUDGET ACT				2012/13 NOVEMBER ESTIMATE				DIFFERENCE			
	FOR FY 2011/12				FOR FY 2011/12							
	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)
Alternative Birthing Centers	2,000	-	2,000	-	2,000	-	2,000	-	-	-	-	-
Adult Day Health Centers	-	-	-	-	-	-	-	-	-	-	-	-
Acute Psychiatric Hospitals	121,000	36,000	67,000	18,000	386,000	58,000	299,000	29,000	265,000	22,000	232,000	11,000
Chronic Dialysis Clinics	1,591,000	729,000	498,000	364,000	1,581,000	688,000	549,000	344,000	(10,000)	(41,000)	51,000	(20,000)
Chemical Dependency Recovery	18,000	-	18,000	-	14,000	-	14,000	-	(4,000)	-	(4,000)	-
Congregate Living Health Facilities	167,000	-	167,000	-	87,000	-	87,000	-	(80,000)	-	(80,000)	-
Community Clinic/Free Clinic	211,000	48,000	139,000	24,000	130,000	36,000	76,000	18,000	(81,000)	(12,000)	(63,000)	(6,000)
Correctional Treatment Centers	-	-	-	-	-	-	-	-	-	-	-	-
General Acute Care Hospitals	2,072,000	420,000	1,442,000	210,000	2,537,000	391,000	1,950,000	196,000	465,000	(29,000)	508,000	(14,000)
Home Health Agencies	6,563,000	1,770,000	3,908,000	885,000	3,413,000	1,493,000	1,174,000	746,000	(3,150,000)	(277,000)	(2,734,000)	(139,000)
Hospice	733,000	324,000	247,000	162,000	746,000	325,000	259,000	162,000	13,000	1,000	12,000	-
Intermediate Care Facilities (ICF)	-	-	-	-	-	-	-	-	-	-	-	-
ICF-DD/DDH/DDN	3,243,000	1,921,000	1,322,000	-	3,385,000	1,776,000	1,609,000	-	142,000	(145,000)	287,000	-
Pediatric Day Health/Respite	-	-	-	-	-	-	-	-	-	-	-	-
Psychology Clinics	18,000	-	18,000	-	18,000	-	18,000	-	-	-	-	-
Referral Agencies	39,000	-	39,000	-	14,000	-	14,000	-	(25,000)	-	(25,000)	-
Rehabilitation Clinics	71,000	32,000	23,000	16,000	38,000	15,000	15,000	8,000	(33,000)	(17,000)	(8,000)	(8,000)
Skilled Nursing Facilities	22,414,000	15,919,000	6,495,000	-	19,579,000	13,463,000	6,116,000	-	(2,835,000)	(2,456,000)	(379,000)	-
Surgical Clinics	1,197,000	599,000	299,000	299,000	1,888,000	944,000	472,000	472,000	691,000	345,000	173,000	173,000
TOTAL	\$ 38,460,000	\$ 21,798,000	\$ 14,684,000	\$ 1,978,000	\$ 33,818,000	\$ 19,189,000	\$ 12,654,000	\$ 1,975,000	\$ (4,642,000)	\$ (2,609,000)	\$ (2,030,000)	\$ (3,000)
May Revision Estimate Adjustment	(12,356,000)	(7,120,000)	(4,579,000)	(657,000)	-	-	-	-	12,356,000	7,120,000	4,579,000	657,000
May Revision Estimate TOTAL	26,104,000	14,678,000	10,105,000	1,321,000	33,818,000	19,189,000	12,654,000	1,975,000	7,714,000	4,511,000	2,549,000	654,000
Field Operations Appropriation Adj.	\$ (430,000)	(44,000)	(452,000)	66,000	-	-	-	-	430,000	44,000	452,000	(66,000)
LAC Contract Admin Staff (Phase 2)	\$ 1,277,000	\$ 728,000	\$ 480,000	\$ 69,000	1,277,000	728,000	480,000	69,000	-	-	-	-
Adj. to Not Request Additional Funding	-	-	-	-	(8,144,000)	(4,555,000)	(3,001,000)	(588,000)	(8,144,000)	(4,555,000)	(3,001,000)	(588,000)
	-	-	-	-	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-	-	-	-	-
Adjusted TOTAL	\$ 26,951,000	\$ 15,362,000	\$ 10,133,000	\$ 1,456,000	\$ 26,951,000	\$ 15,362,000	\$ 10,133,000	\$ 1,456,000	\$ -	\$ -	\$ -	\$ -
POSITIONS	Total	Adj.		Adj. Total	Total	Adj.		Adj. Total	Total			
HFEN	121.00	-1.00		120.00	158.74	-38.74		120.00	0.00			
Consultants	8.00	0.00		8.00	7.26	0.74		8.00	0.00			
HFE II Supervisors	4.00	0.00		4.00	13.21	-9.21		4.00	0.00			
Support Staff	25.00	0.00		25.00	31.74	-6.74		25.00	0.00			
LSC - HFE I	10.00	1.00		11.00	10.65	0.35		11.00	0.00			
LSC - HFE II Supervisors	1.00	0.00		1.00	0.87	0.13		1.00	0.00			
LSC - Support Staff	2.00	0.00		2.00	2.14	-0.14		2.00	0.00			
Administrative Staff (LAC Phase 2)	0.00	7.00		7.00	7.00	0.00		7.00	0.00			
Total Positions	171.00	7.00		178.00	231.61	-53.61		178.00	0.00			

**Field Operations
State Facilities Unit
Field Operations Summary
Comparison of 2011/12 Budget Act to 2012/13 November Estimate
Fiscal Year 2011/12**

FACILITY TYPE	2011/12 BUDGET ACT				2012/13 NOVEMBER ESTIMATE				DIFFERENCE			
	FOR FY 2011/12				FOR FY 2011/12							
	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)
Alternative Birthing Centers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Adult Day Health Centers	-	-	-	-	-	-	-	-	-	-	-	-
Acute Psychiatric Hospitals	538,000	-	538,000	-	269,000	32,000	221,000	16,000	(269,000)	32,000	(317,000)	16,000
Chronic Dialysis Clinics	15,000	-	15,000	-	25,000	8,000	13,000	4,000	10,000	8,000	(2,000)	4,000
Chemical Dependency Recovery	-	-	-	-	-	-	-	-	-	-	-	-
Congregate Living Health Facilities	-	-	-	-	-	-	-	-	-	-	-	-
Community Clinic/Free Clinic	-	-	-	-	-	-	-	-	-	-	-	-
Correctional Treatment Centers	281,000	-	281,000	-	220,000	-	220,000	-	(61,000)	-	(61,000)	-
General Acute Care Hospitals	144,000	38,000	87,000	19,000	120,000	15,000	98,000	7,000	(24,000)	(23,000)	11,000	(12,000)
Home Health Agencies	3,000	-	3,000	-	1,000	1,000	-	-	(2,000)	1,000	(3,000)	-
Hospice	-	-	-	-	-	-	-	-	-	-	-	-
Intermediate Care Facilities (ICF)	740,000	-	740,000	-	665,000	-	665,000	-	(75,000)	-	(75,000)	-
ICF-DD/DDH/DDN	1,184,000	172,000	1,012,000	-	887,000	98,000	789,000	-	(297,000)	(74,000)	(223,000)	-
Pediatric Day Health/Respite	-	-	-	-	-	-	-	-	-	-	-	-
Psychology Clinics	-	-	-	-	-	-	-	-	-	-	-	-
Referral Agencies	-	-	-	-	-	-	-	-	-	-	-	-
Rehabilitation Clinics	-	-	-	-	-	-	-	-	-	-	-	-
Skilled Nursing Facilities	973,000	341,000	632,000	-	3,305,000	2,747,000	558,000	-	2,332,000	2,406,000	(74,000)	-
Surgical Clinics	-	-	-	-	8,000	4,000	2,000	2,000	8,000	4,000	2,000	2,000
TOTAL	\$ 3,878,000	\$ 551,000	\$ 3,308,000	\$ 19,000	\$ 5,500,000	\$ 2,905,000	\$ 2,566,000	\$ 29,000	\$ 1,622,000	\$ 2,354,000	\$ (742,000)	\$ 10,000
May Revision Estimate Adjustment	1,360,000	64,000	1,299,000	(3,000)	-	-	-	-	(1,360,000)	(64,000)	(1,299,000)	3,000
May Revision Estimate TOTAL	5,238,000	615,000	4,607,000	16,000	5,500,000	2,905,000	2,566,000	29,000	262,000	2,290,000	(2,041,000)	13,000
Field Operations Appropriation Adj.	375,000	1,834,000	(1,450,000)	(9,000)	-	-	-	-	(375,000)	(1,834,000)	1,450,000	9,000
Adj. to Not Request Additional Funding	-	-	-	-	113,000	(456,000)	591,000	(22,000)	113,000	(456,000)	591,000	(22,000)
Section 1.50 Field Operations	2,000	-	-	2,000	2,000	-	-	2,000	-	-	-	-
Section 1.50 Non-Field Operations	-	-	-	-	-	-	-	-	-	-	-	-
Adjusted TOTAL	\$ 5,615,000	\$ 2,449,000	\$ 3,157,000	\$ 9,000	\$ 5,615,000	\$ 2,449,000	\$ 3,157,000	\$ 9,000	\$ -	\$ -	\$ -	\$ -
POSITIONS	Total	Adj.		Adj. Total	Total	Adj.		Adj. Total	Total			
HFEN	25.50	0.50		26.00	29.19	-3.19		26.00	0.00			
Consultants	0.00	0.00		0.00	3.04	-3.04		0.00	0.00			
HFE II Supervisors	4.00	0.00		4.00	5.48	-1.48		4.00	0.00			
Support Staff	5.00	1.00		6.00	5.80	0.20		6.00	0.00			
LSC - HFE I	0.00	0.00		0.00	0.00	0.00		0.00	0.00			
LSC - HFE II Supervisors	0.00	0.00		0.00	0.00	0.00		0.00	0.00			
LSC - Support Staff	0.00	0.00		0.00	0.00	0.00		0.00	0.00			
	0.00	0.00		0.00	0.00	0.00		0.00	0.00			
Total Positions	34.50	1.50		36.00	43.51	-7.51		36.00	0.00			

Field Operations
Licensing & Certification, Los Angeles County & State Facilities Unit
Field Operations Summary
Comparison of 2011/12 Budget Act to 2012/13 November Estimate
Fiscal Year 2012/13

FACILITY TYPE	2011/12 BUDGET ACT				2012/13 NOVEMBER ESTIMATE				DIFFERENCE			
	FOR FY 2011/12				FOR FY 2012/13							
	Total Funds	Federal Fund	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund	Special Fund	Reimbursement (Title 19 NLTC)
	Total				Total				Total			
Alternative Birthing Centers	12,000	-	12,000	-	11,000	-	11,000	-	(1,000)	-	(1,000)	-
Adult Day Health Centers	233,000	-	233,000	-	-	-	-	-	(233,000)	-	(233,000)	-
Acute Psychiatric Hospitals	1,043,000	192,000	755,000	96,000	1,752,000	451,000	1,075,000	226,000	709,000	259,000	320,000	130,000
Chronic Dialysis Clinics	5,051,000	2,295,000	1,609,000	1,147,000	5,200,000	2,262,000	1,807,000	1,131,000	149,000	(33,000)	198,000	(16,000)
Chemical Dependency Recovery	58,000	-	58,000	-	56,000	-	56,000	-	(2,000)	-	(2,000)	-
Congregate Living Health Facilities	430,000	-	430,000	-	243,000	-	243,000	-	(187,000)	-	(187,000)	-
Community Clinic/Free Clinic	896,000	172,000	638,000	86,000	639,000	131,000	442,000	66,000	(257,000)	(41,000)	(196,000)	(20,000)
Correctional Treatment Centers	281,000	-	281,000	-	229,000	-	229,000	-	(52,000)	-	(52,000)	-
General Acute Care Hospitals	16,343,000	2,506,000	12,584,000	1,253,000	25,867,000	7,228,000	15,025,000	3,614,000	9,524,000	4,722,000	2,441,000	2,361,000
Home Health Agencies	11,184,000	2,915,000	6,812,000	1,457,000	9,148,000	4,126,000	2,960,000	2,062,000	(2,036,000)	1,211,000	(3,852,000)	605,000
Hospice	2,062,000	910,000	697,000	455,000	2,129,000	920,000	750,000	459,000	67,000	10,000	53,000	4,000
Intermediate Care Facilities (ICF)	740,000	-	740,000	-	665,000	-	665,000	-	(75,000)	-	(75,000)	-
ICF-DD/DDH/DDN	14,238,000	7,105,000	7,133,000	-	15,058,000	6,736,000	8,322,000	-	820,000	(369,000)	1,189,000	-
Pediatric Day Health/Respite	84,000	-	84,000	-	15,000	-	15,000	-	(69,000)	-	(69,000)	-
Psychology Clinics	62,000	-	62,000	-	49,000	-	49,000	-	(13,000)	-	(13,000)	-
Referral Agencies	43,000	-	43,000	-	15,000	-	15,000	-	(28,000)	-	(28,000)	-
Rehabilitation Clinics	341,000	148,000	119,000	74,000	183,000	81,000	61,000	41,000	(158,000)	(67,000)	(58,000)	(33,000)
Skilled Nursing Facilities	77,545,000	46,033,000	31,512,000	-	69,546,000	39,772,000	29,774,000	-	(7,999,000)	(6,261,000)	(1,738,000)	-
Surgical Clinics	3,534,000	1,749,000	911,000	874,000	5,705,000	2,850,000	1,430,000	1,425,000	2,171,000	1,101,000	519,000	551,000
TOTAL	\$ 134,180,000	\$ 64,025,000	\$ 64,713,000	\$ 5,442,000	\$ 136,510,000	\$ 64,557,000	\$ 62,929,000	\$ 9,024,000	\$ 2,330,000	\$ 532,000	\$ (1,784,000)	\$ 3,582,000
May Revision Estimate Adjustment	(4,379,000)	(964,000)	(1,737,000)	(1,678,000)	-	-	-	-	4,379,000	964,000	1,737,000	1,678,000
May Revision Estimate TOTAL	129,801,000	63,061,000	62,976,000	3,764,000	136,510,000	64,557,000	62,929,000	9,024,000	6,709,000	1,496,000	(47,000)	5,260,000
Field Operations Appropriation Adj.	(832,000)	1,187,000	(2,141,000)	122,000	-	-	-	-	832,000	(1,187,000)	2,141,000	(122,000)
LAC Contract Admin Staff (Phase 2)	1,277,000	728,000	480,000	69,000	1,277,000	728,000	480,000	69,000	-	-	-	-
Adj. to Not Request Additional Funding	-	-	-	-	(7,541,000)	(309,000)	(2,094,000)	(5,138,000)	(7,541,000)	(309,000)	(2,094,000)	(5,138,000)
Adj. to Reimbursement: Field Ops	-	-	-	-	742,000	-	-	742,000	742,000	-	-	742,000
Adj. to Reimbursement: Non-Field Ops	-	-	-	-	194,000	-	-	194,000	194,000	-	-	194,000
Adjusted TOTAL	\$ 130,246,000	\$ 64,976,000	\$ 61,315,000	\$ 3,955,000	\$ 131,182,000	\$ 64,976,000	\$ 61,315,000	\$ 4,891,000	\$ 936,000	\$ -	\$ -	\$ 936,000
POSITIONS	Total	Adj.		Adj. Total	Total	Adj.		Adj. Total	Total			
HFEN	629.82	-15.32		614.50	683.10	-68.60		614.50	0.00			
Consultants	62.88	-1.88		61.00	61.96	-0.96		61.00	0.00			
HFE II Supervisors	93.39	1.61		95.00	111.47	-16.47		95.00	0.00			
Support Staff	123.62	-13.62		110.00	135.56	-25.56		110.00	0.00			
LSC - HFE I	30.75	2.00		32.75	39.39	-6.64		32.75	0.00			
LSC - HFE II Supervisors	6.00	0.00		6.00	6.62	-0.62		6.00	0.00			
LSC - Support Staff	7.00	-1.00		6.00	7.89	-1.89		6.00	0.00			
Administrative Staff (LAC Phase 2)	0.00	7.00		7.00	7.00	0.00		7.00	0.00			
Total Positions	953.46	-21.21		932.25	1052.99	-120.74		932.25	0.00			

**Field Operations
Licensing & Certification
Field Operations Summary
Comparison of 2011/12 Budget Act to 2012/13 November Estimate
Fiscal Year 2012/13**

FACILITY TYPE	2011/12 BUDGET ACT				2012/13 NOVEMBER ESTIMATE				DIFFERENCE			
	FOR FY 2011/12				FOR FY 2012/13							
	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)
Alternative Birthing Centers	\$ 10,000	\$ -	\$ 10,000	\$ -	\$ 9,000	\$ -	\$ 9,000	\$ -	\$ (1,000)	\$ -	\$ (1,000)	\$ -
Adult Day Health Centers	233,000	-	233,000	-	-	-	-	-	(233,000)	-	(233,000)	-
Acute Psychiatric Hospitals	384,000	156,000	150,000	78,000	1,097,000	361,000	555,000	181,000	713,000	205,000	405,000	103,000
Chronic Dialysis Clinics	3,445,000	1,566,000	1,096,000	783,000	3,594,000	1,566,000	1,245,000	783,000	149,000	-	149,000	-
Chemical Dependency Recovery	40,000	-	40,000	-	42,000	-	42,000	-	2,000	-	2,000	-
Congregate Living Health Facilities	263,000	-	263,000	-	156,000	-	156,000	-	(107,000)	-	(107,000)	-
Community Clinic/Free Clinic	685,000	124,000	499,000	62,000	509,000	95,000	366,000	48,000	(176,000)	(29,000)	(133,000)	(14,000)
Correctional Treatment Centers	-	-	-	-	9,000	-	9,000	-	9,000	-	9,000	-
General Acute Care Hospitals	14,127,000	2,048,000	11,055,000	1,024,000	23,210,000	6,822,000	12,977,000	3,411,000	9,083,000	4,774,000	1,922,000	2,387,000
Home Health Agencies	4,618,000	1,145,000	2,901,000	572,000	5,734,000	2,632,000	1,786,000	1,316,000	1,116,000	1,487,000	(1,115,000)	744,000
Hospice	1,329,000	586,000	450,000	293,000	1,383,000	595,000	491,000	297,000	54,000	9,000	41,000	4,000
Intermediate Care Facilities (ICF)	-	-	-	-	-	-	-	-	-	-	-	-
ICF-DD/DDH/DDN	9,811,000	5,012,000	4,799,000	-	10,786,000	4,862,000	5,924,000	-	975,000	(150,000)	1,125,000	-
Pediatric Day Health/Respite	84,000	-	84,000	-	15,000	-	15,000	-	(69,000)	-	(69,000)	-
Psychology Clinics	44,000	-	44,000	-	31,000	-	31,000	-	(13,000)	-	(13,000)	-
Referral Agencies	4,000	-	4,000	-	1,000	-	1,000	-	(3,000)	-	(3,000)	-
Rehabilitation Clinics	270,000	116,000	96,000	58,000	145,000	66,000	46,000	33,000	(125,000)	(50,000)	(50,000)	(25,000)
Skilled Nursing Facilities	54,158,000	29,773,000	24,385,000	-	46,662,000	23,562,000	23,100,000	-	(7,496,000)	(6,211,000)	(1,285,000)	-
Surgical Clinics	2,337,000	1,150,000	612,000	575,000	3,809,000	1,902,000	956,000	951,000	1,472,000	752,000	344,000	376,000
TOTAL	\$ 91,842,000	\$ 41,676,000	\$ 46,721,000	\$ 3,445,000	\$ 97,192,000	\$ 42,463,000	\$ 47,709,000	\$ 7,020,000	\$ 5,350,000	\$ 787,000	\$ 988,000	\$ 3,575,000
May Revision Estimate Adjustment	6,617,000	6,092,000	1,543,000	(1,018,000)	-	-	-	-	(6,617,000)	(6,092,000)	(1,543,000)	1,018,000
May Revision Estimate TOTAL	98,459,000	47,768,000	48,264,000	2,427,000	97,192,000	42,463,000	47,709,000	7,020,000	(1,267,000)	(5,305,000)	(555,000)	4,593,000
Field Operations Appropriation Adj.	(777,000)	(603,000)	(239,000)	65,000	-	-	-	-	777,000	603,000	239,000	(65,000)
Adj. to Not Request Additional Funding	-	-	-	-	490,000	4,702,000	316,000	(4,528,000)	490,000	4,702,000	316,000	(4,528,000)
Adj. to Reimbursement: Field Ops	-	-	-	-	740,000	-	-	740,000	740,000	-	-	740,000
Adj. to Reimbursement: Non-Field Ops	-	-	-	-	194,000	-	-	194,000	194,000	-	-	194,000
Adjusted TOTAL	\$ 97,682,000	\$ 47,165,000	\$ 48,025,000	\$ 2,492,000	\$ 98,616,000	\$ 47,165,000	\$ 48,025,000	\$ 3,426,000	\$ 934,000	\$ -	\$ -	\$ 934,000
POSITIONS	Total	Adj.		Adj. Total	Total	Adj.		Adj. Total	Total			
HFEN	483.32	-14.82		468.50	495.17	-26.67		468.50	0.00			
Consultants	54.88	-1.88		53.00	51.66	1.34		53.00	0.00			
HFE II Supervisors	85.39	1.61		87.00	92.78	-5.78		87.00	0.00			
Support Staff	93.62	-14.62		79.00	98.02	-19.02		79.00	0.00			
LSC - HFE I	20.75	1.00		21.75	28.74	-6.99		21.75	0.00			
LSC - HFE II Supervisors	5.00	0.00		5.00	5.75	-0.75		5.00	0.00			
LSC - Support Staff	5.00	-1.00		4.00	5.75	-1.75		4.00	0.00			
	0.00	0.00		0.00	0.00	0.00		0.00	0.00			
Total Positions	747.96	-29.71		718.25	777.87	-59.62		718.25	0.00			

**Field Operations
Los Angeles County
Field Operations Summary
Comparison of 2011/12 Budget Act to 2012/13 November Estimate
Fiscal Year 2012/13**

FACILITY TYPE	2011/12 BUDGET ACT				2012/13 NOVEMBER ESTIMATE				DIFFERENCE			
	FOR FY 2011/12				FOR FY 2012/13							
	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)
Alternative Birthing Centers	2,000	-	2,000	-	2,000	-	2,000	-	-	-	-	-
Adult Day Health Centers	-	-	-	-	-	-	-	-	-	-	-	-
Acute Psychiatric Hospitals	121,000	36,000	67,000	18,000	386,000	58,000	299,000	29,000	265,000	22,000	232,000	11,000
Chronic Dialysis Clinics	1,591,000	729,000	498,000	364,000	1,581,000	688,000	549,000	344,000	(10,000)	(41,000)	51,000	(20,000)
Chemical Dependency Recovery	18,000	-	18,000	-	14,000	-	14,000	-	(4,000)	-	(4,000)	-
Congregate Living Health Facilities	167,000	-	167,000	-	87,000	-	87,000	-	(80,000)	-	(80,000)	-
Community Clinic/Free Clinic	211,000	48,000	139,000	24,000	130,000	36,000	76,000	18,000	(81,000)	(12,000)	(63,000)	(6,000)
Correctional Treatment Centers	-	-	-	-	-	-	-	-	-	-	-	-
General Acute Care Hospitals	2,072,000	420,000	1,442,000	210,000	2,537,000	391,000	1,950,000	196,000	465,000	(29,000)	508,000	(14,000)
Home Health Agencies	6,563,000	1,770,000	3,908,000	885,000	3,413,000	1,493,000	1,174,000	746,000	(3,150,000)	(277,000)	(2,734,000)	(139,000)
Hospice	733,000	324,000	247,000	162,000	746,000	325,000	259,000	162,000	13,000	1,000	12,000	-
Intermediate Care Facilities (ICF)	-	-	-	-	-	-	-	-	-	-	-	-
ICF-DD/DDH/DDN	3,243,000	1,921,000	1,322,000	-	3,385,000	1,776,000	1,609,000	-	142,000	(145,000)	287,000	-
Pediatric Day Health/Respite	-	-	-	-	-	-	-	-	-	-	-	-
Psychology Clinics	18,000	-	18,000	-	18,000	-	18,000	-	-	-	-	-
Referral Agencies	39,000	-	39,000	-	14,000	-	14,000	-	(25,000)	-	(25,000)	-
Rehabilitation Clinics	71,000	32,000	23,000	16,000	38,000	15,000	15,000	8,000	(33,000)	(17,000)	(8,000)	(8,000)
Skilled Nursing Facilities	22,414,000	15,919,000	6,495,000	-	19,579,000	13,463,000	6,116,000	-	(2,835,000)	(2,456,000)	(379,000)	-
Surgical Clinics	1,197,000	599,000	299,000	299,000	1,888,000	944,000	472,000	472,000	691,000	345,000	173,000	173,000
TOTAL	\$ 38,460,000	\$ 21,798,000	\$ 14,684,000	\$ 1,978,000	\$ 33,818,000	\$ 19,189,000	\$ 12,654,000	\$ 1,975,000	\$ (4,642,000)	\$ (2,609,000)	\$ (2,030,000)	\$ (3,000)
May Revision Estimate Adjustment	(12,356,000)	(7,120,000)	(4,579,000)	(657,000)	-	-	-	-	12,356,000	7,120,000	4,579,000	657,000
May Revision Estimate TOTAL	26,104,000	14,678,000	10,105,000	1,321,000	33,818,000	19,189,000	12,654,000	1,975,000	7,714,000	4,511,000	2,549,000	654,000
Field Operations Appropriation Adj.	(430,000)	(44,000)	(452,000)	66,000	-	-	-	-	430,000	44,000	452,000	(66,000)
LAC Contract Admin Staff (Phase 2)	1,277,000	728,000	480,000	69,000	1,277,000	728,000	480,000	69,000	-	-	-	-
Adj. to Not Request Additional Funding	-	-	-	-	(8,144,000)	(4,555,000)	(3,001,000)	(588,000)	(8,144,000)	(4,555,000)	(3,001,000)	(588,000)
	-	-	-	-	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-	-	-	-	-
Adjusted TOTAL	\$ 26,951,000	\$ 15,362,000	\$ 10,133,000	\$ 1,456,000	\$ 26,951,000	\$ 15,362,000	\$ 10,133,000	\$ 1,456,000	\$ -	\$ -	\$ -	\$ -
POSITIONS	Total	Adj.		Adj. Total	Total	Adj.		Adj. Total	Total			
HFEN	121.00	-1.00		120.00	158.74	-38.74		120.00	0.00			
Consultants	8.00	0.00		8.00	7.26	0.74		8.00	0.00			
HFE II Supervisors	4.00	0.00		4.00	13.21	-9.21		4.00	0.00			
Support Staff	25.00	0.00		25.00	31.74	-6.74		25.00	0.00			
LSC - HFE I	10.00	1.00		11.00	10.65	0.35		11.00	0.00			
LSC - HFE II Supervisors	1.00	0.00		1.00	0.87	0.13		1.00	0.00			
LSC - Support Staff	2.00	0.00		2.00	2.14	-0.14		2.00	0.00			
Administrative Staff (LAC Phase 2)	0.00	7.00		7.00	7.00	0.00		7.00	0.00			
Total Positions	171.00	7.00		178.00	231.61	-53.61		178.00	0.00			

**Field Operations
State Facilities Unit
Field Operations Summary
Comparison of 2011/12 Budget Act to 2012/13 November Estimate
Fiscal Year 2012/13**

FACILITY TYPE	2011/12 BUDGET ACT				2012/13 NOVEMBER ESTIMATE				DIFFERENCE			
	FOR FY 2011/12				FOR FY 2012/13							
	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)	Total Funds	Federal Fund Total	Special Fund	Reimbursement (Title 19 NLTC)
Alternative Birthing Centers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Adult Day Health Centers	-	-	-	-	-	-	-	-	-	-	-	-
Acute Psychiatric Hospitals	538,000	-	538,000	-	269,000	32,000	221,000	16,000	(269,000)	32,000	(317,000)	16,000
Chronic Dialysis Clinics	15,000	-	15,000	-	25,000	8,000	13,000	4,000	10,000	8,000	(2,000)	4,000
Chemical Dependency Recovery	-	-	-	-	-	-	-	-	-	-	-	-
Congregate Living Health Facilities	-	-	-	-	-	-	-	-	-	-	-	-
Community Clinic/Free Clinic	-	-	-	-	-	-	-	-	-	-	-	-
Correctional Treatment Centers	281,000	-	281,000	-	220,000	-	220,000	-	(61,000)	-	(61,000)	-
General Acute Care Hospitals	144,000	38,000	87,000	19,000	120,000	15,000	98,000	7,000	(24,000)	(23,000)	11,000	(12,000)
Home Health Agencies	3,000	-	3,000	-	1,000	1,000	-	-	(2,000)	1,000	(3,000)	-
Hospice	-	-	-	-	-	-	-	-	-	-	-	-
Intermediate Care Facilities (ICF)	740,000	-	740,000	-	665,000	-	665,000	-	(75,000)	-	(75,000)	-
ICF-DD/DDH/DDN	1,184,000	172,000	1,012,000	-	887,000	98,000	789,000	-	(297,000)	(74,000)	(223,000)	-
Pediatric Day Health/Respite	-	-	-	-	-	-	-	-	-	-	-	-
Psychology Clinics	-	-	-	-	-	-	-	-	-	-	-	-
Referral Agencies	-	-	-	-	-	-	-	-	-	-	-	-
Rehabilitation Clinics	-	-	-	-	-	-	-	-	-	-	-	-
Skilled Nursing Facilities	973,000	341,000	632,000	-	3,305,000	2,747,000	558,000	-	2,332,000	2,406,000	(74,000)	-
Surgical Clinics	-	-	-	-	8,000	4,000	2,000	2,000	8,000	4,000	2,000	2,000
TOTAL	\$ 3,878,000	\$ 551,000	\$ 3,308,000	\$ 19,000	\$ 5,500,000	\$ 2,905,000	\$ 2,566,000	\$ 29,000	\$ 1,622,000	\$ 2,354,000	\$ (742,000)	\$ 10,000
May Revision Estimate Adjustment	1,360,000	64,000	1,299,000	(3,000)	-	-	-	-	(1,360,000)	(64,000)	(1,299,000)	3,000
May Revision Estimate TOTAL	5,238,000	615,000	4,607,000	16,000	5,500,000	2,905,000	2,566,000	29,000	262,000	2,290,000	(2,041,000)	13,000
Field Operations Appropriation Adj.	375,000	1,834,000	(1,450,000)	(9,000)	-	-	-	-	(375,000)	(1,834,000)	1,450,000	9,000
Adj. to Not Request Additional Funding	-	-	-	-	113,000	(456,000)	591,000	(22,000)	113,000	(456,000)	591,000	(22,000)
Adj. to Reimbursement: Field Ops	-	-	-	-	2,000	-	-	2,000	2,000	-	-	2,000
Adj. to Reimbursement: Non-Field Ops	-	-	-	-	-	-	-	-	-	-	-	-
Adjusted TOTAL	\$ 5,613,000	\$ 2,449,000	\$ 3,157,000	\$ 7,000	\$ 5,615,000	\$ 2,449,000	\$ 3,157,000	\$ 9,000	\$ 2,000	\$ -	\$ -	\$ 2,000
POSITIONS	Total	Adj.		Adj. Total	Total	Adj.		Adj. Total	Total			
HFEN	25.50	0.50		26.00	29.19	-3.19		26.00	0.00			0.00
Consultants	0.00	0.00		0.00	3.04	-3.04		0.00	0.00			0.00
HFE II Supervisors	4.00	0.00		4.00	5.48	-1.48		4.00	0.00			0.00
Support Staff	5.00	1.00		6.00	5.80	0.20		6.00	0.00			0.00
LSC - HFE I	0.00	0.00		0.00	0.00	0.00		0.00	0.00			0.00
LSC - HFE II Supervisors	0.00	0.00		0.00	0.00	0.00		0.00	0.00			0.00
LSC - Support Staff	0.00	0.00		0.00	0.00	0.00		0.00	0.00			0.00
	0.00	0.00		0.00	0.00	0.00		0.00	0.00			0.00
Total Positions	34.50	1.50		36.00	43.51	-7.51		36.00	0.00			0.00

FUTURE FISCAL ISSUES AND MAJOR ASSUMPTIONS

INTRODUCTION

The Licensing and Certification (L&C) Division Estimate is being implemented in four phases: Phase One: Field Operations (completed in the 2011/12 November Estimate); Phase Two: Administrative functions of the Los Angeles County (LAC) contract (added in the 2012/13 November Estimate); Phase Three: Field Operations Administrative Costs including the Headquarters Operations costs for the Policy and Enforcement Branch, Administrative Services Branch, and Systems Technology and Research Branch (to be added in the 2012/13 May Revise Estimate); and, Phase Four: Professional Certification Branch, the Life Safety/Emergency Preparedness, the Disaster Response Branch Operations, and the Health Care Associated Infections Program (to be added in the 2013/14 November Estimate).

Field Operations

Field Operations is comprised of three main components: (1) field operational costs for the L&C District Offices and field and administrative costs for the LAC contract, (2) the base, and (3) the adjustments to the base. The base estimate is the anticipated level of expenditures assuming there will be no changes in direction. The adjustments to the base are the estimated fiscal impacts of normal growth or any program changes which are either anticipated to occur at some point in the future, or have occurred so recently that they are not yet fully reflected in the historical data base.

For Current Year (CY) 2011/12 no change in funding is being proposed; however, L&C has submitted a Section 1.50 requesting \$936,000 in reimbursement authority for CY. For Budget Year (BY) 2012/13 L&C is requesting the \$936,000 in reimbursement authority through the 2012/13 November Estimate. The increase in reimbursement authority is associated with the amount in the current Title 19 Non-Long-Term Care contract with the Department of Health Care Services. The CY and BY workload estimate indicates additional state and federal funding would be needed to complete the projected workload. However, federal fund amounts are subject to the funding allocation provided by the Centers for Medicare and Medicaid Services (CMS) grant award, and workload is prioritized according to Tier. Since no additional federal funding is available at this time, only Tier 1 & 2 workload will be completed during CY and BY. The costs to fully fund the CY and BY workload are presented for display purposes only in the Executive Summary tables and in the estimate detail. Bottom-line adjustments in the Executive Summary tables offset the increases for which federal funding is not available. The LAC contract is also subject to the same federal funding thresholds in addition to the contracting amounts negotiated between L&C and LAC. The estimate is based on funding all federal workload however adjustments are made to assume only Tier 1 and 2 workload will be completed, to coincide with the funding allocation provided by CMS.

FUTURE FISCAL ISSUES

1. Phase Three Implementation: Field Operations Administrative Costs including the Headquarters Operations costs for the Policy and Enforcement Branch; Administrative Services Branch; and Systems Technology and Research Branch: L&C is analyzing its Phase Three Estimate workload to determine whether its authorized positions are sufficient to meet the mandated workload. The results of this analysis will be reflected in the 2012/13 May Revise Estimate.
2. The FY 2013/14 November Estimate (Phase Four, final phase) will include the Professional Certification Branch and the Life Safety/Emergency Preparedness, the Disaster Response Branch Operations and the Healthcare Associated Infections Program.

NEW MAJOR ASSUMPTIONS

1. LAC Contract Administrative Costs:

The LAC contract administrative costs are added in the 2012/13 November Estimate as Phase Two of the L&C Estimate.

DISCONTINUED MAJOR ASSUMPTIONS

There are no discontinued major assumptions to report at this time.

DETAILED ASSUMPTIONS

Fiscal Years 2011/12 and 2012/13

1. Authority Methodology:

- Licensing Authority- The provisions of Health & Safety (H&S) Code Sections 1254, 1282 and 1417 require the L&C program to license health facilities that do business in California.
- Certification Authority- CDPH's contract with the federal Centers for Medicare and Medicaid Services (CMS), as well as provisions of California's Medicaid State Plan, requires L&C to certify facilities for participation in Medicare (Title XVIII) and /or Medi-Cal (Title XIX). In conducting these activities, L&C develops and enforces state licensure standards, conducts inspections to assure compliance with federal standards for facility participation in Medicare and /or Medi-Cal, and responds to complaints against providers licensed by CDPH.

2. Facility Counts:

- A health facility means any facility, place, or building that is organized, maintained, and operated for the diagnosis, care, prevention, and treatment of human illness, physical or mental, including convalescence and rehabilitation and including care during and after pregnancy, or for any one or more of these purposes, for one or more persons, to which the persons are admitted for a 24-hour stay or longer.
 - a. Facilities are counted by facility type i.e. SNF (Skilled Nursing Facility), General Acute Care Hospital (GACH), Home Health Agency (HHA), etc.
 - b. Only active and open main facilities are counted for purposes of this Estimate.
 - c. For some facility types, there may be a difference in the number of licensed facilities versus the number of certified facilities. This is due to the use of a different data source required by CMS and/or timing of the data.

3. Survey Activities:

- Licensing survey activities are based on state mandated requirements. Only licensed and licensed/certified facilities are covered in the state survey requirements. State licensing survey includes the following activities performed by surveyors:
 - a. Re-licensure
 - b. Re-licensure - Follow-up
 - c. Initial Licensure
 - d. Initial Licensure - Follow-up
 - e. Complaint Investigations/Entity Reported Investigation (ERI)
 - f. Field Visits
- Certification survey activities are based on federal CMS Tiered activity requirements. Only certified facilities and licensed/certified facilities are covered

in the federal CMS survey requirement. Federal certification survey includes the following activities performed by surveyors:

- a. Re-certification
- b. Re-certification – Follow-up
- c. Initial Certification
- d. Initial Certification – Follow-up
- e. Life Safety Code
- f. Life Safety Code – Follow-up
- g. Complaint/ERI Investigation – Certification
- h. Complaint Validation
- i. Validation
- j. Validation – Follow-up
- k. Informal Dispute Resolution
- l. Federal Hearings
- m. Pre-Referral Hearings
- n. Monitoring Visits

4. Surveyor Timekeeping System

- The provisions of H&S Code Section 1266(d) require L&C to capture and report workload data by category (survey activity and facility type) which prompted the development and implementation of the Surveyor Timekeeping System (STS) In June 2011 the STS system was automated and renamed to Time Entry and Activity Management (TEAM). The TEAM system captures data on the number of survey counts and the total hours spent for each survey activity to determine the standard average hours it takes to accomplish specific workload. The L&C Program used TEAM FY 2010/11 data to develop the Estimate workload for FYs 2011/12 and 2012/13.

5. Workload Survey:

- Survey workload is either state mandated (licensing survey) or federal CMS mandated (certification survey).
- The annual workload is calculated based on a methodology that includes facility count, annualized survey frequency rate, and the standard average hours for each activity.

6. Standard Average Hours (SAH):

- SAH are the annualized average hours each activity type takes to complete. The SAH are derived from the L&C Surveyor Timekeeping System.

7. Workload Hours:

- Annualized survey frequency rate is determined by the corresponding State or Federal mandated survey requirements multiplied by the standard average hours.

8. Surveyor Personnel Years

- It is assumed there are 1,800 hours per personnel year (PY). In FY 2010/11 an average of 78.88%, or 1,420 hours per PY, were associated with direct survey

activities. The remaining 21.12%, or 380 hours per PY, were used for administrative activities. Therefore, total estimated survey workload hours were divided by the direct surveyor hours per position of 1,420, and the relative percentage of administrative hours (21.12%) were added before dividing total estimated workload hours by 1,800 hours (standard annual hours per personnel year).

9. Position Classification Costing

- Salaries for L&C surveyor position classifications [Health Facilities Evaluator Nurse (HFEN), Health Facility Evaluator (HFE) I and Consultants] costs are based on the maximum salary range. Operating Expense and Equipment (OE&E) costs are based on standard costs for the Department and include high travel for all surveyors and additional training costs of \$2,217 per HFEN Surveyor. Salaries for supervisory and clerical staff are based on the mid-step salary range and have high travel and no travel respectively. Attached below is the Classification Costs Chart used for this estimate.

Classification Costs						
CODE	CLASS		Mid	R&R	Annual Salary	OE&E
8011	HEALTH FACILITIES EVALUATOR NURSE	*	\$ 6,269	\$ 200	\$ 77,628	\$29,800
8051	HEALTH FACILITIES EVALUATOR II (Supervisor)		\$ 5,355	\$ 950	\$ 75,660	\$29,500
9928	PROG TECHN II		\$ 2,924		\$ 35,082	\$16,800
8001	Health Facility Evaluator I**		\$ 4,264		\$ 51,168	\$29,500
	CONSULTANT WEIGHTED AVERAGE		\$ 8,423	\$ 846	\$ 111,229	\$29,500
7787	MEDICAL CONSULTANT I	*	\$ 12,280		\$ 147,360	
8181	NURSE CONSULTANT III-SPEC	*	\$ 10,756		\$ 129,072	
8195	NURSE CONSULTANT II	*	\$ 10,653		\$ 127,836	
7994	PHARMACEUTICAL CONSULTANT II-SPEC	*	\$ 7,815	\$2,000	\$ 117,780	
2166	PUBLIC HEALTH NUTRITION CONSULTANT III (SPEC)	*	\$ 6,164		\$ 73,968	
8281	OCCUPATIONAL THERAPY CONSULTANT		\$ 4,850		\$ 58,200	
1863	MEDICAL RECD CONSULTANT		\$ 5,066		\$ 60,792	
Note:						
(*) Positions are filled at the maximum salary range.						
(**) Positions are for Life Safety Code Activities Only						

Operating Expenses and Equipment

STANDARD COSTS	2011/12	2012/13
General Expense	\$ 3,300	\$ 3,300
Printing	1,700	1,700
Communications	1,200	1,200
Travel - Light	2,900	2,900
Travel - Medium	7,300	7,300
Travel - High	12,700	12,700
Training	300	300
Facilities	10,000	10,000
Data Center	300	300
Office Automation		
HFEN Training	\$ 2,217	\$ 2,217

- The base salaries used in the Estimate are calculated using the annual salary (per Cost Classification above) less 5% salary savings (see Detailed Data Assumptions, Attachment B).
- Cost factors for Los Angeles County (LAC) surveyor and supervisory classifications are based on the current salary levels for FY2011/12 as listed in agreement #11-10670.

10. Staffing Ratios:

State Ratios:

- L&C: The allocation of the HFE II Supervisor positions is computed using a (1:6) ratio: 1 HFE II Supervisor for every 6 HFENs.
- L&C: The allocation of the Program Technician (PTII) is computed using a (1:6) ratio: 1 PTII for every 6 of the combined HFENs/HFE II Supervisor.

Federal Ratios:

- L&C: The allocation of the HFE II Supervisor positions is computed using a (1:5) ratio: 1 HFE II Supervisor for every 5 HFENs.
- L&C: The allocation of the Program Technician (PTII) is computed using a (1:5) ratio: 1 PTII for every 5 HFENs.

LAC Ratios:

- Position classification ratios are based on the compliment of current staff percentages as listed in the current LAC agreement. Current levels are (12:1) for HFENs to Supervising Health Facilities Evaluator Nursing, and (5:1) for HFENs to Word Processor II positions.

11. OE&E Training Turnover:
Turnover for HFENs in FY 2010/11 was 15%. This percentage was applied to the OE&E costs of \$2,217 per HFEN and divided by all HFENs being requested. This amounts to a \$300 adjustment for training per HFEN.
12. This Estimate reflects the costs of HFENs, HFE Is, Consultants, HFEN Supervisors, Program Technicians and Word Processors in the 14 L&C district offices and LA County (Field Operations). It does not reflect the costs of managers (the only other position classifications) in L&C Field Operations, LAC, and State Facilities Unit (SFU).
13. All surveyor workload and related administrative costs through LAC Contract #11-10670 is displayed separately. The current amount of \$26,951,252 serves as the base for LAC. See Attachment A for detail.
14. The FY 2011/12 complaint workload consists of complaints investigated in FY 2009/10 plus a 5% increase. It is assumed the FY 2012/13 complaint workload will be the same as the projected 2011/12 complaint workload.
15. FY 2011/12 and 2012/13 Federal Grant amounts are estimated. The FY 2011/12 Federal Grant will be approved in the Spring of 2012, and the FY 2012/13 May Revise will reflect the approved Federal Grant amount.
16. For appropriation amounts and percentages used in the November Estimates, see Attachment A, "Appropriation Analysis," for L&C, LAC and SFU.
17. Workload calculations and costs are displayed by each facility type, and footnotes are entered throughout the displays and summaries. For footnote legend information, see Attachment B, "Footnote Legend."

Changes to Detailed Assumptions from 2011/12 Budget Act to 2012/13 November Estimate

- Administrative costs associated with the Los Angeles County contract are being added to this Estimate and referenced in Item 13 above.
- The proportion of surveyor hours associated with direct survey activities was updated in item 8 above, based on actual 2010/11 data.
- The FY 2011/12 estimate reflects a 5% increase in the complaint workload. It is assumed the FY 2012/13 complaint workload will be the same as the 2011/12 complaint workload (no 5% increase in 2012/13) (Item 14 above).
- Federal Grant amounts are estimated and will be updated in the 2012/13 May Revise to reflect federally approved amounts (Item 15 above).

APPROPRIATION ANALYSIS**Attachment A**

November Estimate
FY 2011/12 & 2012/13

Summary Table

	Federal	Special	Reimbursement	TOTAL
L&C Field Ops Appropriation	\$ 47,165,000	\$ 48,025,000	\$ 2,492,000	\$ 97,682,000
LAC Field Ops Appropriation	\$ 14,634,000	\$ 9,653,000	\$ 1,387,000	\$ 25,674,000
LAC Contract Admin Appropriation	\$ 728,000	\$ 480,000	\$ 69,000	\$ 1,277,000
SFU Field Ops Appropriation	\$ 2,449,000	\$ 3,157,000	\$ 7,000	\$ 5,613,000
TOTAL Field Ops Appropriation	\$ 64,976,000	\$ 61,315,000	\$ 3,955,000	\$ 130,246,000

L&C Field Ops Appropriation

	Federal	Special	Reimbursement	TOTAL
L&C Appropriation (BUDS)	\$ 75,225,747	\$ 91,207,000	\$ 4,608,000	\$ 171,040,747
Less Total LAC Total Contract Portion	(15,362,214)	(10,133,670)	(1,455,368)	(26,951,252)
Less Total SFU Portion	(2,955,423)	(5,000,000)	(8,353)	(7,963,776)
Adjusted L&C Appropriation	\$ 56,908,110	\$ 76,073,330	\$ 3,144,279	\$ 136,125,719
Percentage of L&C Field Operations Surveyors and Support Staff	82.88%	63.13%	79.26%	
L&C Field Ops Appropriation	\$ 47,165,442	\$ 48,025,093	\$ 2,492,156	\$ 97,682,690

LAC Field Ops Appropriation

	Federal	Special	Reimbursement	TOTAL
LAC Contract Amount	15,362,214	10,133,670	1,455,368	26,951,252
LAC Field Ops Surveyors & Support St.	14,634,045	9,653,334	1,386,384	25,673,763
LAC Admin Per Contract	728,169	480,336	68,984	1,277,489

SFU Field Ops Appropriation

	Federal	Special	Reimbursement	TOTAL
2010/11 General Fund Transfer *	\$ -	\$ 5,000,000	\$ -	5,000,000
SFU Portion of the Federal Grant	2,955,423	-	8,353	2,963,776
SFU Total	\$ 2,955,423	\$ 5,000,000	\$ 8,353	7,963,776
Percentage of SFU Field Operations Surveyors and Support Staff	82.88%	63.13%	79.26%	
SFU Field Ops Appropriation	\$ 2,449,455	\$ 3,156,500	\$ 6,621	\$ 5,612,575

* Includes SFU portion of the federal grant special fund state match.

FOOTNOTE LEGEND

Attachment B

Footnote to the Field Operations Detail by Facility Type.

a /	Based on ELMS open and active list of licensed only, certified only, and licensed/certified main facilities as of 07-01-2011.		
b /	Number of activities are based on mandated and projected workloads for both licensing and certification.		
c /	Workload hours are calculated based on surveyor activities multiplied by the Standard Average Hours (SAH). SAH is based on average total hours per activity item and is determined by dividing the total activity hours (i.e., direct activity hours including facility admin hours) by the number of survey activities or complaints.		
d /	Surveyor personnel years (PY) are calculated based on 1,800 hrs. per PY.		
e /	HFE I positions are used to perform life safety code surveys only.		
f /	Annual Base Salary, based on FY 2011/12 salary range less 5% salary savings.		<u>Salary Range Level</u>
	8011 HFEN	\$ 73,747.00	Maximum
	Consultants **** (see Table A below for calculation details)	\$ 105,668.00	Various
	8051 HFE II Sup	\$ 71,877.00	Middle
	9928 PT II	\$ 33,328.00	Middle
	8001 HFE I (life safety code activities only)	\$ 48,610.00	Middle
g /	Benefit rate per annual salary for FYs 11/12 & 12/13	39.88%	
h /	OE&E based on standard cost per position for FY 11/12 & 12/13		
	8011 HFEN (heavy travel)	\$ 29,800.00	
	Consultants (heavy travel)	\$ 29,500.00	
	8051 HFE II Sup (heavy travel)	\$ 29,500.00	
	9928 PT II (no travel)	\$ 16,800.00	
	8001 HFE I (heavy travel, life safety code activities only)	\$ 29,500.00	
i /	Annual salary per position based on 11/12 contract		
	HFEN	\$ 85,285	
	Consultant	\$ 124,020	
	HFEN Sup	\$ 100,812	
	Word Processor II	\$ 43,793	
j /	Benefit rate based on 11/12 contract cost	47.74%	
k /	OE&E rate is per position based on 11/12 contract		
	HFEN	\$ 31,471	
	Consultant	\$ 40,543	
	HFEN Sup	\$ 35,107	
	Word Processor II	\$ 21,753	

Table A

PY's	Code	Consultant Classification	Annual Base Salary (less 5% salary savings)	Salary Range Level
12	7787	MEDICAL CONSULTANT I	\$ 139,992	Maximum
2	8181	NURSE CONSULTANT III-SPEC	\$ 122,618	Maximum
1	8195	NURSE CONSULTANT II	\$ 121,444	Maximum
22	7994	PHARMACEUTICAL CONSULTANT II-SPEC	\$ 111,891	Maximum
10	2166	PUBLIC HEALTH NUTRITION CONSULTANT III (SPEC)	\$ 70,269	Maximum
2	8281	OCCUPATIONAL THERAPY CONSULTANT	\$ 55,290	Middle
3	1863	MEDICAL RECD CONSULTANT	\$ 57,752	Middle
52	****	Weighted Average	\$ 105,668	