



Center for Health Care Quality
Licensing and Certification Program

NOVEMBER ESTIMATE

for
Fiscal Years
2013-14 and 2014-15

Department of Public Health
Center for Health Care Quality
Licensing and Certification Program

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Headquarters and Field Operations Administration Detail – Available on CD

Field Operations Detail – Available on CD

Executive Summary

Program Overview

Health care facilities in California are licensed, regulated, inspected, and/or certified by the California Department of Public Health (CDPH) Licensing and Certification Program (L&C). The United States Department of Health and Human Services' Centers for Medicare and Medicaid Services (CMS) contracts with L&C to evaluate facilities accepting Medicare and Medicaid (Medi-Cal) payments to determine if they meet federal requirements. L&C evaluates health care facilities for compliance with state and federal laws and regulations and contracts with Los Angeles County (LAC) to license and certify health care facilities located in LAC. In addition, L&C oversees the certification of nurse assistants, home health aides, hemodialysis technicians, and the licensing of nursing home administrators. These activities are funded by the L&C Special Fund, by Federal Funds (Title 18 and Title 19 Grants) and from Reimbursement Funds associated with interagency agreements with the Department of Health Care Services.

Estimate Overview

The Estimate considers all L&C programmatic, fiscal and workload factors and incorporates all working documents and analyses via detailed and major assumptions. Each assumption addresses a separate workload issue and is fully assessed and evaluated.

Budget Changes Summary

The CDPH L&C Program has a total estimated budget of \$188.8 million for Budget Year (BY) 2014-15 which is an increase of \$1.9 million (1% increase) from Current Year (CY) 2013-14. This increase is associated with the Major Assumptions proposed in the 2014-15 November Estimate as follows: \$1.4 million from the Internal Departmental Quality Improvement Account (IDQIA) for the L&C Program Evaluation; \$251,000 from the L&C Special Fund for the Medical Privacy Breach Enforcement; and \$201,000 from the IDQIA for Legislative Budget Change Proposal (BCP) related to Senate Bill 534 (Chapter 722, Statutes of 2013) – State Licensing Standards for Chronic Dialysis Clinics, Rehabilitation Clinics, and Surgical Clinics.

Major Workload Changes

The Estimate assumes the costs to conduct 100% of mandated state licensing and federal certification workload. Field Operations workload drivers, which consist of facility counts, standard average hours (SAHs) and workload activities, were updated using current data and a new three-year average for calculating SAHs (the detailed list of workload drivers by facility type is provided on Pages 39-59).

Major Changes in Facility Counts:

- Federal certified facilities increased by 2% due to an increased number of facilities meeting federal certification standards (this change increased the number of activities that are required based on mandated survey frequencies).
- State licensed facilities requiring periodic re-licensure surveys decreased by 11% due to the changes in state requirements:
 - Periodic re-licensure workload was reduced pursuant to Health and Safety Code (HSC) Section 1228(c). Re-licensure surveys are not mandated for the following certified facility types:

- Chronic Dialysis Clinic/End Stage Renal Disease (ESRD): 99% decrease in facility counts for re-licensure surveys, which equates to a 98% decrease in activity hours.
- Community Clinic/Rural Health Center (RHC): 4% decrease in facility counts for re-licensure surveys, which equates to a 42% decrease in activity hours. The greater decrease in activity hours is primarily due to a 39% decrease in the SAHs for re-licensure surveys.
- Surgical Clinic/Ambulatory Surgery Center (ASC): 90% decrease in facility counts for re-licensure surveys, which equates to a 74% decrease in activity hours.

Detailed facility count information by facility type is available in Appendix B Chart 1.

Major Changes in Activity Counts:

- Federal certification activities increased overall by 7%, which is primarily due to performing Skilled Nursing Facility (SNF) complaints using the federal process at the request of CMS (see Detailed Assumptions, Page 31 for a complete list of certification activities).
- State licensure activities decreased by 25%, which is primarily due to fewer SNF complaints and fewer activities as a result of the changes in state requirements. This change resulted in a decrease in total workload hours (see Detailed Assumptions, Page 30 for a complete list of licensure activities).

Detailed activity count information by activity type is available in Appendix B Chart 3.

Major Changes in Standard Average Hours:

- Overall, State and Federal SAH (using a three year average to provide more reliable metrics) for activities decreased.
- General Acute Care Hospital (GACH): There was an error in the GACH SAH for federal complaint surveys in the FY 2012-13 and FY 2013-14 Estimates, resulting in a 94% decrease in activity hours for federal complaint surveys when coupled with the 35% decrease in estimated activity counts.
- Community Clinic/RHC: 39% decrease in SAH for re-licensure surveys.

Detailed SAH information by facility type and activity is available in Appendix B Chart 4.

Workload Summary:

The updated workload drivers show a decrease of overall surveyor workload hours and staffing needs. Last year, the calculations overestimated the staffing needs partially due to an error in calculation. To address these fluctuations, L&C is undergoing a high-level comprehensive program evaluation with additional scopes of work, reflected in Major Assumption HQ-01, L&C Program Evaluation (Page 27). In addition, to ensure the most accurate Estimate, L&C is moving forward with an evaluation and assessment of the estimate process and data derived from the Time Entry and Accounting Management (TEAM) system. This will improve the reliability of the Estimate. Until this evaluation is complete, L&C proposes to keep staffing levels constant (other than the 3 positions requested in the BCP).

Request**Current Year 2013-14: No requests.**

	Current Year 2013-14						
	2013-14 Budget Act	2014-15 November Estimate	Difference	Adjustment*	Adjusted November Estimate	Percent Change	CY 2013-14 Request
Total Budget**	\$ 186.9	\$ 177.7	\$ (9.2)	\$ 9.2	\$ 186.9	0.0%	\$ -
General Fund	3.7	3.7	-	-	3.7	0.0%	-
Federal Funds	76.2	74.7	(1.5)	1.5	76.2	0.0%	-
L&C Special Fund	89.0	79.4	(9.6)	9.6	89.0	0.0%	-
Reimbursement Funds	13.1	14.9	1.8	(1.8)	13.1	0.0%	-
Special Deposit Fund	4.9	4.9	-	-	4.9	0.0%	-
L&C - Positions***	1,059.3	989.3	(70.0)	70.0	1,059.3	0.0%	-
Field Positions - HFEN	451.2	411.6	(39.6)	39.6	451.2	0.0%	-
Field Positions - Other	387.1	356.7	(30.4)	30.4	387.1	0.0%	-
Headquarters Positions	221.0	221.0	-	-	221.0	0.0%	-

* Adjustment to not request a change in funding or positions pending a comprehensive program evaluation.

** Funding detail is provided on Pages 7-19.

*** See Page 20 for the complete position summary.

Budget Year 2014-15:

- Special Deposit Fund: \$1.6 million for BCPs HQ-01(Program Evaluation) and HQ-04 (SB534).
- L&C Special Fund: \$251,000 for the transfer of 3 CalOHII positions to CDPH, including 2 Special Investigators (classification 8612) and 1 Supervising Special Investigator (classification 8548).

	Budget Year 2014-15						
	2013-14 Budget Act	2014-15 November Estimate	Difference	Adjustment*	Adjusted November Estimate	Percent Change	BY 2014-15 Request
Total Budget**	\$ 186.9	\$ 179.6	\$ (7.3)	\$ 9.2	\$ 188.8	1.0%	\$ 1.9
General Fund	3.7	3.7	-	-	3.7	0.0%	-
Federal Funds	76.2	74.7	(1.5)	1.5	76.2	0.0%	-
L&C Special Fund	89.0	79.6	(9.3)	9.6	89.2	0.3%	0.3
Reimbursement Funds	13.1	14.9	1.8	(1.8)	13.1	0.0%	-
Special Deposit Fund	4.9	6.6	1.6	-	6.6	33.3%	1.6
L&C - Positions***	1,059.3	992.3	(67.0)	70.0	1,062.3	0.3%	3.0
Field Positions - HFEN	451.2	411.6	(39.6)	39.6	451.2	0.0%	-
Field Positions - Other	387.1	359.7	(27.4)	30.4	390.1	0.8%	3.0
Headquarters Positions	221.0	221.0	-	-	221.0	0.0%	-

* Adjustment to not request a change in funding or positions (other than what has been requested in the Major Assumptions, see Pages 23-29), pending a comprehensive program evaluation.

** Funding detail is provided on Pages 7-19.

*** See Page 20-21 for the complete position summary.

Appropriation Summary by Fund

Current Year 2013-14

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C Budget Act	\$ 3,700,000	\$ 76,182,000	\$ 88,979,000	\$ 13,116,000	\$ 4,925,000	\$186,902,000

L&C CY 2013-14 Enacted Budget Authority

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C Headquarters Allocation	\$ -	\$ 6,765,000	\$ 18,339,000	\$ 7,554,000	\$ 4,925,000	\$ 37,583,000
L&C Field Ops Allocation	-	48,504,000	56,781,000	4,094,000	-	109,379,000
LAC Contract Allocation	-	15,362,000	10,133,000	1,456,000	-	26,951,000
SFU Allocation	3,700,000	737,000	-	12,000	-	4,449,000
ProRata and SWCAP Allocation	-	4,814,000	3,726,000	-	-	8,540,000
TOTAL FY 2013-14 May Revision Estimate	\$ 3,700,000	\$ 76,182,000	\$ 88,979,000	\$ 13,116,000	\$ 4,925,000	\$ 186,902,000
L&C Headquarters Allocation Adj.	-	994,000	(1,606,000)	369,000	-	(243,000)
L&C Field Ops Allocation Adj.	-	(368,000)	1,211,000	(477,000)	-	366,000
LAC Field Ops Allocation Adj.	-	-	-	-	-	-
SFU Allocation Adjustment ProRata, SWCAP and Debt	-	710,000	-	107,000	-	817,000
Service Allocation Adjustment	-	(1,336,000)	395,000	1,000	-	(940,000)
TOTAL Appropriation	\$ 3,700,000	\$ 76,182,000	\$ 88,979,000	\$ 13,116,000	\$ 4,925,000	\$ 186,902,000

Current Year 2013-14 Request

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C CY 2013-14 Budget Act Authority	\$ 3,700,000	\$ 76,182,000	\$ 88,979,000	\$ 13,116,000	\$ 4,925,000	\$ 186,902,000
Total CY 2013-14 November Estimate Required Funding	3,700,000	76,182,000	88,979,000	13,116,000	4,925,000	186,902,000
CY 2013-14 Request	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Budget Year 2014-15 Request

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C CY 2013-14 Budget Act Authority	\$ 3,700,000	\$ 76,182,000	\$ 88,979,000	\$ 13,116,000	\$ 4,925,000	\$ 186,902,000
Total BY 2014-15 November Estimate Required Funding	3,700,000	76,182,000	89,230,000	13,116,000	6,526,000	188,754,000
BY 2014-15 Request	\$ -	\$ -	\$ 251,000	\$ -	\$ 1,601,000	\$ 1,852,000

Fiscal Summary
2013-14 Budget Act to 2014-15 November Estimate
Current Year 2013-14

	C Budget Act CY 2013-14 *	D November Estimate CY 2013-14	E Request CY 2013-14
I. BUDGET ITEMS:			
A. Headquarters			
1. Administrative Services Branch (ASB)	\$ 3,184,000	\$ 3,184,000	\$ -
2. Policy & Enforcement Branch (PEB)	3,624,000	3,624,000	-
3. System Technology and Research Branch (STAR)	5,829,000	5,829,000	-
4. Professional Certification Branch	12,603,000	12,603,000	-
5. Deputy Director's Office	677,000	677,000	-
6. Citation Review Unit	238,000	238,000	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244,000	244,000	-
8. Healthcare Associated Infections (HAI) Program	3,306,000	3,306,000	-
9. Temporary Manager Activities	3,117,000	3,117,000	-
10. Quality Improvement Activities	275,000	608,000	333,000
11. Contracts	4,243,000	3,910,000	(333,000)
12. Major Assumptions	-	-	-
13. Headquarters Sub-total (Items 1 thru 11)	37,340,000	37,340,000	-
B. Field Operations			
1. Licensing & Certification (L&C)			
a. Administrative Staff	14,575,000	14,575,000	-
b. Direct Surveyors	95,170,000	85,926,000	(9,244,000)
c. Major Assumptions			
d. Adjustment to Not Request Change in Funding	-	9,244,000	9,244,000
L&C Sub-total	109,745,000	109,745,000	-
2. Los Angeles County (LAC) Contract	26,951,000	26,951,000	-
3. State Facilities Unit (SFU)			
a. Administrative Staff	645,000	679,000	34,000
b. Direct Surveyors	4,621,000	4,587,000	(34,000)
SFU Sub-total	5,266,000	5,266,000	-
4. Field Operations Sub-total (Items 1 thru 3)	141,962,000	141,962,000	-
D. ProRata and SWCAP	7,600,000	7,600,000	-
E. Grand Total	\$ 186,902,000	\$ 186,902,000	\$ -
II. FUND SOURCES:			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700,000	\$ 3,700,000	\$ -
B. Federal Trust Fund (0890)	76,182,000	76,182,000	-
C. Special Deposit Fund (0942)			
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	1,808,000	1,808,000	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	2,144,000	2,144,000	-
4. Federal Citation Penalty Account (605)	973,000	973,000	-
D. Reimbursement (0001R)	13,116,000	13,116,000	-
E. L&C Special Fund (3098)	88,979,000	88,979,000	-
F. Grand Total	\$ 186,902,000	\$ 186,902,000	\$ -
III. TOTAL STATE POSITIONS:			
A. Headquarters	221.00	221.00	-
B. Field Operations - L&C	799.25	799.25	-
C. Field Operations - SFU	39.00	39.00	-
D. Grand Total	1,059.25	1,059.25	-

* See the following page: columns A, B, & C for detail.

Fiscal Summary
Comparison of Enacted CY 2013-14 Budget to the 2014-15 November Estimate

Current Year 2013-14

A	B	C	D	E	F
May Revision Estimate FY 2013-14	Budget Allocation Adjustments CY 2013-14	Budget Act CY 2013-14 (A + B)	November Estimate CY 2013-14	Request CY 2013-14 (D - C)	Change from May Revision Estimate FY 2013-14 (D - A)

I. BUDGET ITEMS:**A. Headquarters**

1. Administrative Services Branch (ASB)	\$ 3,012,000	\$ 172,000 ¹	\$ 3,184,000	\$ 3,184,000	\$ -	\$ 172,000
2. Policy & Enforcement Branch (PEB)	3,190,000	434,000 ¹	3,624,000	3,624,000	-	434,000
3. System Technology and Research Branch (STAR)	8,685,000	(2,856,000) ¹	5,829,000	5,829,000	-	(2,856,000)
4. Professional Certification Branch	11,846,000	757,000 ¹	12,603,000	12,603,000	-	757,000
5. Deputy Director's Office	833,000	(156,000) ¹	677,000	677,000	-	(156,000)
6. Citation Review Unit	222,000	16,000 ¹	238,000	238,000	-	16,000
7. Life Safety/Emergency Prep. & Disaster Response Branch	225,000	19,000 ¹	244,000	244,000	-	19,000
8. Healthcare Associated Infections (HAI) Program	3,322,000	(16,000) ¹	3,306,000	3,306,000	-	(16,000)
9. Temporary Manager Activities	3,117,000	-	3,117,000	3,117,000	-	-
10. Quality Improvement Activities	608,000	(333,000) ¹	275,000	608,000	333,000	-
11. Contracts	2,523,000	1,720,000 ¹	4,243,000	3,910,000	(333,000)	1,387,000
12. Major Assumptions	-	-	-	-	-	-
13. Headquarters Sub-total (Items 1 thru 11)	37,583,000	(243,000)	37,340,000	37,340,000	-	(243,000)

B. Field Operations

1. Licensing & Certification (L&C)						
a. Administrative Staff	12,796,000	1,779,000 ¹	14,575,000	14,575,000	-	1,779,000
b. Direct Surveyors	103,235,000	(8,065,000) ²	95,170,000	85,926,000	(9,244,000)	(17,309,000)
c. Major Assumptions						
d. Adjustment to Not Request Change in Funding	(6,652,000)	6,652,000 ³	-	9,244,000	9,244,000	15,896,000
L&C Sub-total	109,379,000	366,000	109,745,000	109,745,000	-	366,000
2. Los Angeles County (LAC) Contract	26,951,000	-	26,951,000	26,951,000	-	-
3. State Facilities Unit (SFU)						
a. Administrative Staff	645,000	-	645,000	679,000	34,000	34,000
b. Direct Surveyors	5,337,000	(716,000)	4,621,000	4,587,000	(34,000)	(750,000)
c. Adjustment to Not Request Change in Funding	(1,533,000)	1,533,000	-	-	-	1,533,000
SFU Sub-total	4,449,000	817,000	5,266,000	5,266,000	-	817,000
4. Field Operations Sub-total (Items 1 thru 3)	140,779,000	1,183,000	141,962,000	141,962,000	-	1,183,000
D. ProRata and SWCAP	8,540,000	(940,000) ¹	7,600,000	7,600,000	-	(940,000)
E. Grand Total	\$ 186,902,000	\$ -	\$ 186,902,000	\$ 186,902,000	\$ -	\$ -

II. FUND SOURCES:

A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700,000	\$ -	\$ 3,700,000	\$ 3,700,000	\$ -	\$ -
B. Federal Trust Fund (0890)	76,182,000	-	76,182,000	76,182,000	-	-
C. Special Deposit Fund (0942)						
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	1,808,000	-	1,808,000	1,808,000	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-	-	-	-
3. State Citation Penalty Account (601)	2,144,000	-	2,144,000	2,144,000	-	-
4. Federal Citation Penalty Account (605)	973,000	-	973,000	973,000	-	-
D. Reimbursement (0001R)	13,116,000	-	13,116,000	13,116,000	-	-
E. L&C Special Fund (3098)	88,979,000	-	88,979,000	88,979,000	-	-
F. Grand Total	\$ 186,902,000	\$ -	\$ 186,902,000	\$ 186,902,000	\$ -	\$ -

See page 22 for endnotes.

Fiscal Summary
2013-14 Budget Act to 2014-15 November Estimate
Budget Year 2014-15

	C Budget Act CY 2013-14 *	D November Estimate BY 2014-15	E Request BY 2014-15
I. BUDGET ITEMS:			
A. Headquarters			
1. Administrative Services Branch (ASB)	\$ 3,184,000	\$ 3,184,000	\$ -
2. Policy & Enforcement Branch (PEB)	3,624,000	3,624,000	-
3. System Technology and Research Branch (STAR)	5,829,000	5,829,000	-
4. Professional Certification Branch	12,603,000	12,603,000	-
5. Deputy Director's Office	677,000	677,000	-
6. Citation Review Unit	238,000	238,000	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244,000	244,000	-
8. Healthcare Associated Infections (HAI) Program	3,306,000	3,306,000	-
9. Temporary Manager Activities	3,117,000	3,117,000	-
10. Quality Improvement Activities	275,000	608,000	333,000
11. Contracts	4,243,000	3,910,000	(333,000)
12. Major Assumption HQ-01	-	1,601,000	1,601,000
13. Headquarters Sub-total (Items 1 thru 11)	37,340,000	38,941,000	1,601,000
B. Field Operations			
1. Licensing & Certification (L&C)			
a. Administrative Staff	14,575,000	14,575,000	-
b. Direct Surveyors	95,170,000	85,926,000	(9,244,000)
c. Major Assumption HQ-03	-	251,000	251,000
d. Adjustment to Not Request Change in Funding	-	9,244,000	9,244,000
L&C Sub-total	109,745,000	109,996,000	251,000
2. Los Angeles County (LAC) Contract	26,951,000	26,951,000	-
3. State Facilities Unit (SFU)			
a. Administrative Staff	645,000	679,000	34,000
b. Direct Surveyors	4,621,000	4,587,000	(34,000)
SFU Sub-total	5,266,000	5,266,000	-
4. Field Operations Sub-total (Items 1 thru 3)	141,962,000	142,213,000	251,000
D. ProRata and SWCAP	7,600,000	7,600,000	-
E. Grand Total	\$ 186,902,000	\$ 188,754,000	\$ 1,852,000
II. FUND SOURCES:			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700,000	\$ 3,700,000	\$ -
B. Federal Trust Fund (0890)	76,182,000	76,182,000	-
C. Special Deposit Fund (0942)			
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	1,808,000	3,409,000	1,601,000
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	2,144,000	2,144,000	-
4. Federal Citation Penalty Account (605)	973,000	973,000	-
D. Reimbursement (0001R)	13,116,000	13,116,000	-
E. L&C Special Fund (3098)	88,979,000	89,230,000	251,000
F. Grand Total	\$ 186,902,000	\$ 188,754,000	\$ 1,852,000
III. TOTAL STATE POSITIONS:			
A. Headquarters	221.00	221.00	-
B. Field Operations - L&C	799.25	802.25	3.00
C. Field Operations - SFU	39.00	39.00	-
D. Grand Total	1,059.25	1,062.25	3.00

* See the following page: columns A, B, & C for detail.

Fiscal Summary
Comparison of Enacted CY 2013-14 Budget to the 2014-15 November Estimate
Budget Year 2014-15

A	B	C	D	E	F
May Revision Estimate FY 2013-14	Budget Allocation Adjustments CY 2013-14	Budget Act CY 2013-14 (A + B)	November Estimate BY 2014-15	Request BY 2014-15 (D - C)	Change from May Revision Estimate FY 2013-14 (D - A)

I. BUDGET ITEMS:**A. Headquarters**

1. Administrative Services Branch (ASB)	\$ 3,012,000	\$ 172,000 ¹	\$ 3,184,000	\$ 3,184,000	\$ -	\$ 172,000
2. Policy & Enforcement Branch (PEB)	3,190,000	434,000 ¹	3,624,000	3,624,000	-	434,000
3. System Technology and Research Branch (STAR)	8,685,000	(2,856,000) ¹	5,829,000	5,829,000	-	(2,856,000)
4. Professional Certification Branch	11,846,000	757,000 ¹	12,603,000	12,603,000	-	757,000
5. Deputy Director's Office	833,000	(156,000) ¹	677,000	677,000	-	(156,000)
6. Citation Review Unit	222,000	16,000 ¹	238,000	238,000	-	16,000
7. Life Safety/Emergency Prep. & Disaster Response Branch	225,000	19,000 ¹	244,000	244,000	-	19,000
8. Healthcare Associated Infections (HAI) Program	3,322,000	(16,000) ¹	3,306,000	3,306,000	-	(16,000)
9. Temporary Manager Activities	3,117,000	-	3,117,000	3,117,000	-	-
10. Quality Improvement Activities	608,000	(333,000) ¹	275,000	608,000	333,000	-
11. Contracts	2,523,000	1,720,000 ¹	4,243,000	3,910,000	(333,000)	1,387,000
12. Major Assumption HQ-01	-	-	-	1,601,000	1,601,000	1,601,000
13. Headquarters Sub-total (Items 1 thru 11)	37,583,000	(243,000)	37,340,000	38,941,000	1,601,000	1,358,000

B. Field Operations

1. Licensing & Certification (L&C)						
a. Administrative Staff	12,796,000	1,779,000 ¹	14,575,000	14,575,000	-	1,779,000
b. Direct Surveyors	103,235,000	(8,065,000) ²	95,170,000	85,926,000	(9,244,000)	(17,309,000)
c. Major Assumption HQ-03	-	-	-	251,000	251,000	251,000
d. Adjustment to Not Request Change in Funding	(6,652,000)	6,652,000 ³	-	9,244,000	9,244,000	15,896,000
L&C Sub-total	109,379,000	366,000	109,745,000	109,996,000	251,000	617,000
2. Los Angeles County (LAC) Contract	26,951,000	-	26,951,000	26,951,000	-	-
3. State Facilities Unit (SFU)						
a. Administrative Staff	645,000	-	645,000	679,000	34,000	34,000
b. Direct Surveyors	5,337,000	(716,000)	4,621,000	4,587,000	(34,000)	(750,000)
c. Adjustment to Not Request Change in Funding	(1,533,000)	1,533,000	-	-	-	1,533,000
SFU Sub-total	4,449,000	817,000	5,266,000	5,266,000	-	817,000
4. Field Operations Sub-total (Items 1 thru 3)	140,779,000	1,183,000	141,962,000	142,213,000	251,000	1,434,000
D. ProRata and SWCAP	8,540,000	(940,000) ¹	7,600,000	7,600,000	-	(940,000)
E. Grand Total	\$ 186,902,000	\$ -	\$ 186,902,000	\$ 188,754,000	\$ 1,852,000	\$ 1,852,000

II. FUND SOURCES:

A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700,000	\$ -	\$ 3,700,000	\$ 3,700,000	\$ -	\$ -
B. Federal Trust Fund (0890)	76,182,000	-	76,182,000	76,182,000	-	-
C. Special Deposit Fund (0942)						
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	1,808,000	-	1,808,000	3,409,000	1,601,000	1,601,000
3. State Citation Penalty Account (601)	2,144,000	-	2,144,000	2,144,000	-	-
4. Federal Citation Penalty Account (605)	973,000	-	973,000	973,000	-	-
D. Reimbursement (0001R)	13,116,000	-	13,116,000	13,116,000	-	-
E. L&C Special Fund (3098)	88,979,000	-	88,979,000	89,230,000	251,000	251,000
F. Grand Total	\$ 186,902,000	\$ -	\$ 186,902,000	\$ 188,754,000	\$ 1,852,000	\$ 1,852,000

See page 22 for endnotes.

Fiscal Summary
FY 2014-15 November Estimate
Current Year 2013-14 to Budget Year 2014-15

	2014-15 Estimate CY 2013-14	2014-15 Estimate BY 2014-15	Difference
I. BUDGET ITEMS:			
A. Headquarters			
1. Administrative Services Branch (ASB)	\$ 3,184,000	\$ 3,184,000	\$ -
2. Policy & Enforcement Branch (PEB)	3,624,000	3,624,000	-
3. System Technology and Research Branch (STAR)	5,829,000	5,829,000	-
4. Professional Certification Branch	12,603,000	12,603,000	-
5. Deputy Director's Office	677,000	677,000	-
6. Citation Review Unit	238,000	238,000	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244,000	244,000	-
8. Healthcare Associated Infections (HAI) Program	3,306,000	3,306,000	-
9. Temporary Manager Activities	3,117,000	3,117,000	-
10. Quality Improvement Activities	608,000	608,000	-
11. Contracts	3,910,000	3,910,000	-
12. Major Assumption HQ-01	-	1,601,000	1,601,000
13. Headquarters Sub-total (Items 1 thru 11)	37,340,000	38,941,000	1,601,000
B. Field Operations			
1. Licensing & Certification (L&C)			
a. Administrative Staff	14,575,000	14,575,000	-
b. Direct Surveyors	85,926,000	85,926,000	-
c. Major Assumption HQ-03	-	251,000	251,000
d. Adjustment to Not Request Change in Funding	9,244,000	9,244,000	-
L&C Sub-total	109,745,000	109,996,000	251,000
2. Los Angeles County (LAC) Contract	26,951,000	26,951,000	-
3. State Facilities Unit (SFU)			
a. Administrative Staff	679,000	679,000	-
b. Direct Surveyors	4,587,000	4,587,000	-
SFU Sub-total	5,266,000	5,266,000	-
4. Field Operations Sub-total (Items 1 thru 3)	141,962,000	142,213,000	251,000
D. ProRata and SWCAP	7,600,000	7,600,000	-
E. Grand Total	\$ 186,902,000	\$ 188,754,000	\$ 1,852,000
II. FUND SOURCES:			
A. General Fund (0001G) Transfer to L&C Program Fund (3098)	\$ 3,700,000	\$ 3,700,000	\$ -
B. Federal Trust Fund (0890)	76,182,000	76,182,000	-
C. Special Deposit Fund (0942)			
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	1,808,000	3,409,000	1,601,000
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	2,144,000	2,144,000	-
4. Federal Citation Penalty Account (605)	973,000	973,000	-
D. Reimbursement (0001R)	13,116,000	13,116,000	-
E. L&C Program Fund (3098)	88,979,000	89,230,000	251,000
F. Grand Total	\$ 186,902,000	\$ 188,754,000	\$ 1,852,000
III. TOTAL STATE POSITIONS:			
A. Headquarters	221.00	221.00	-
B. Field Operations - L&C	799.25	802.25	3.00
C. Field Operations - SFU	39.00	39.00	-
D. Grand Total	1,059.25	1,062.25	3.00

Detailed Headquarters Summary
2013-14 Budget Act to 2014-15 November Estimate
Current Year 2013-14

A	B	C	D	E
May Revision Estimate FY 2013-14	Budget Allocation Adjustments CY 2013-14	Budget Act CY 2013-14 (A + B)	November Estimate CY 2013-14	Request CY 2013-14 (D - C)

I. BUDGET ITEMS:

1. Administrative Services Branch	\$ 3,012,000	\$ 172,000 ⁴	\$ 3,184,000	\$ 3,184,000	\$ -
2. Policy & Enforcement Branch (PEB)	3,190,000	434,000 ⁴	3,624,000	3,624,000	-
3. System Technology and Research Branch (STAR)	8,685,000	(2,856,000) ⁴	5,829,000	5,829,000	-
4. Professional Certification Branch	11,846,000	757,000 ⁴	12,603,000	12,603,000	-
5. Deputy Director's Office	833,000	(156,000) ⁴	677,000	677,000	-
6. Citation Review Unit	222,000	16,000 ⁴	238,000	238,000	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	225,000	19,000 ⁴	244,000	244,000	-
8. Healthcare Associated Infections (HAI) Program	3,322,000	(16,000) ⁴	3,306,000	3,306,000	-
9. Temporary Manager Activities	3,117,000	-	3,117,000	3,117,000	-
10. Quality Improvement Activities	608,000	(333,000) ⁴	275,000	608,000	333,000
11. Contracts	2,523,000	1,720,000 ⁴	4,243,000	3,910,000	(333,000)
12. Subtotal (Items 1 thru 11)	37,583,000	(243,000)	37,340,000	37,340,000	-
13. Major Assumptions	-	-	-	-	-
14. Headquarters Total	\$ 37,583,000	\$ (243,000)	\$ 37,340,000	\$ 37,340,000	\$ -

II. FUND SOURCES:

A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	6,765,000	994,000 ⁴	7,759,000	7,759,000	-
C. Special Deposit Fund (0942)					
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	1,808,000	-	1,808,000	1,808,000	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-	-	-
3. State Citation Penalty Account (601)	2,144,000	-	2,144,000	2,144,000	-
4. Federal Citation Penalty Account (605)	973,000	-	973,000	973,000	-
D. Reimbursement (0001R)	7,554,000	369,000 ⁴	7,923,000	7,923,000	-
E. L&C Special Fund (3098)	18,339,000	(1,606,000) ⁴	16,733,000	16,733,000	-
F. Fund Sources Total	\$ 37,583,000	\$ (243,000)	\$ 37,340,000	\$ 37,340,000	\$ -

III. POSITIONS:

	May Revision Estimate FY 2013-14	Position Adjustment	Adjusted Position Count	November Estimate CY 2013-14	Request CY 2013-14
1. Administrative Services Branch	32.00	-	32.00	32.00	-
2. Policy & Enforcement Branch (PEB)	29.00	2.00 ⁶	31.00	31.00	-
3. System Technology and Research Branch (STAR)	77.00	(26.00) ⁷	51.00	51.00	-
4. Professional Certification Branch	86.00	(2.00) ⁶	84.00	84.00	-
5. Deputy Director's Office	6.00	(2.00) ⁶	4.00	4.00	-
6. Citation Review Unit	2.00	-	2.00	2.00	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	2.00	-	2.00	2.00	-
8. Healthcare Associated Infections (HAI) Program	16.00	(1.00) ⁸	15.00	15.00	-
9. Total (Items 1 thru 8)	250.00	(29.00)	221.00	221.00	-

See page 22 for endnotes.

Detailed Headquarters Summary
 2013-14 Budget Act to 2014-15 November Estimate
Budget Year 14-15

A	B	C	D	E
May Revision Estimate FY 2013-14	Budget Allocation Adjustments CY 2013-14	Budget Act CY 2013-14 (A + B)	November Estimate BY 2014-15	Request BY 2014-15 (D - C)

I. BUDGET ITEMS:

1. Administrative Services Branch	\$ 3,012,000	\$ 172,000 ⁴	\$ 3,184,000	\$ 3,184,000	\$ -
2. Policy & Enforcement Branch (PEB)	3,190,000	434,000 ⁴	3,624,000	3,624,000	-
3. System Technology and Research Branch (STAR)	8,685,000	(2,856,000) ⁴	5,829,000	5,829,000	-
4. Professional Certification Branch	11,846,000	757,000 ⁴	12,603,000	12,603,000	-
5. Deputy Director's Office	833,000	(156,000) ⁴	677,000	677,000	-
6. Citation Review Unit	222,000	16,000 ⁴	238,000	238,000	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	225,000	19,000 ⁴	244,000	244,000	-
8. Healthcare Associated Infections (HAI) Program	3,322,000	(16,000) ⁴	3,306,000	3,306,000	-
9. Temporary Manager Activities	3,117,000	-	3,117,000	3,117,000	-
10. Quality Improvement Activities	608,000	(333,000) ⁴	275,000	608,000	333,000
11. Contracts	2,523,000	1,720,000 ⁴	4,243,000	3,910,000	(333,000)
12. Subtotal (Items 1 thru 11)	37,583,000	(243,000)	37,340,000	37,340,000	-
13. Major Assumptions	-	-	-	1,601,000	1,601,000
14. Headquarters Total	\$ 37,583,000	\$ (243,000)	\$ 37,340,000	\$ 38,941,000	\$ 1,601,000

II. FUND SOURCES:

A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	6,765,000	994,000 ⁴	7,759,000	7,759,000	-
C. Special Deposit Fund (0942)					
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	1,808,000	-	1,808,000	3,409,000	1,601,000
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-	-	-
3. State Citation Penalty Account (601)	2,144,000	-	2,144,000	2,144,000	-
4. Federal Citation Penalty Account (605)	973,000	-	973,000	973,000	-
D. Reimbursement (0001R)	7,554,000	369,000 ⁴	7,923,000	7,923,000	-
E. L&C Special Fund (3098)	18,339,000	(1,606,000) ⁴	16,733,000	16,733,000	-
F. Fund Sources Total	\$ 37,583,000	\$ (243,000)	\$ 37,340,000	\$ 38,941,000	\$ 1,601,000

III. POSITIONS:

	May Revision Estimate FY 2013-14	Position Adjustment	Adjusted Position Count	November Estimate BY 2014-15	Request BY 2014-15
1. Administrative Services Branch	32.00	-	32.00	32.00	-
2. Policy & Enforcement Branch (PEB)	29.00	2.00 ⁶	31.00	31.00	-
3. System Technology and Research Branch (STAR)	77.00	(26.00) ⁷	51.00	51.00	-
4. Professional Certification Branch	86.00	(2.00) ⁶	84.00	84.00	-
5. Deputy Director's Office	6.00	(2.00) ⁶	4.00	4.00	-
6. Citation Review Unit	2.00	-	2.00	2.00	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	2.00	-	2.00	2.00	-
8. Healthcare Associated Infections (HAI) Program	16.00	(1.00) ⁸	15.00	15.00	-
9. Total (Items 1 thru 8)	250.00	(29.00)	221.00	221.00	-

See page 22 for endnotes.

Detailed Field Operations: Licensing & Certification Summary

2013-14 Budget Act to 2014-15 November Estimate

Current Year 2013-14

	A May Revision Estimate FY 2013-14	B Budget Allocation Adjustments CY 2013-14	C Budget Act CY 2013-14 (A + B)	D November Estimate CY 2013-14	E Request CY 2013-14 (D - C)
I. BUDGET ITEMS:					
A. Administrative Staff	\$ 12,796,000	\$ 1,779,000 ⁴	\$ 14,575,000	\$ 14,575,000	\$ -
B. Facility Types					
1. Alternative Birthing Centers (ABC)	8,000	(1,000) ⁵	7,000	10,000	3,000
2. Adult Day Health Centers (ADHC)	1,747,000	(136,000) ⁵	1,611,000	1,027,000	(584,000)
3. Acute Psychiatric Hospitals (APH)	1,146,000	(89,000) ⁵	1,057,000	1,297,000	240,000
4. Chronic Dialysis Clinics (CDC)	3,632,000	(283,000) ⁵	3,349,000	3,215,000	(134,000)
5. Chemical Dependency Recovery (CDR)	50,000	(3,000) ⁵	47,000	31,000	(16,000)
6. Congregate Living Health Facilities (CLHF)	87,000	(7,000) ⁵	80,000	170,000	90,000
7. Community Clinic/Free Clinic (CC)	935,000	(73,000) ⁵	862,000	800,000	(62,000)
8. Correctional Treatment Centers (CTC)	9,000	(1,000) ⁵	8,000	24,000	16,000
9. General Acute Care Hospitals (GACH)	27,605,000	(2,157,000) ⁵	25,448,000	17,061,000	(8,387,000)
10. Home Health Agencies (HHA)	6,651,000	(520,000) ⁵	6,131,000	6,506,000	375,000
11. Hospice (H)	1,369,000	(107,000) ⁵	1,262,000	880,000	(382,000)
12. Intermediate Care Facilities (ICF)	-	-	-	250,000	250,000
13. ICF-DD/DDH/DDN	10,301,000	(805,000) ⁵	9,496,000	12,005,000	2,509,000
14. Pediatric Day Health/Respite (PDHR)	23,000	(2,000) ⁵	21,000	46,000	25,000
15. Psychology Clinics (PC)	33,000	(3,000) ⁵	30,000	30,000	-
16. Referral Agencies (RA)	3,000	-	3,000	-	(3,000)
17. Rehabilitation Clinics (RC)	419,000	(33,000) ⁵	386,000	338,000	(48,000)
18. Skilled Nursing Facilities (SNF)	44,802,000	(3,500,000) ⁵	41,302,000	40,607,000	(695,000)
19. Surgical Clinics (SC)	4,415,000	(345,000) ⁵	4,070,000	1,629,000	(2,441,000)
C. L&C Subtotal	116,031,000	(6,286,000)	109,745,000	100,501,000	(9,244,000)
D. Major Assumptions	-	-	-	-	-
E. Adjustment to Not Request Change in Funding	(6,652,000)	6,652,000 ⁵	-	9,244,000	9,244,000
F. L&C Total	\$ 109,379,000	\$ 366,000	\$ 109,745,000	\$ 109,745,000	\$ -
II. FUND SOURCES:					
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	48,504,000	(368,000) ⁴	48,136,000	48,136,000	-
C. Special Deposit Fund (0942)					
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-	-	-
3. State Citation Penalty Account (601)	-	-	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-	-	-
D. Reimbursement (0001R)	4,094,000	(477,000) ⁴	3,617,000	3,617,000	-
E. L&C Special Fund (3098)	56,781,000	1,211,000 ⁴	57,992,000	57,992,000	-
F. Fund Sources Total	\$ 109,379,000	\$ 366,000	\$ 109,745,000	\$ 109,745,000	\$ -
III. POSITIONS:					
	May Revision Estimate FY 2013-14	Position Adjustment	Adjusted Position Count	November Estimate CY 2013-14	Request CY 2013-14
A. Administrative Staff	109.70	11.50 ⁶	121.20	121.20	-
B. Field Operation Staff					
1. Health Facility Evaluator Nurse (HFEN)	430.13	(2.93) ⁹	427.20	390.19	-
2. Consultant	53.00	(1.00) ⁶	52.00	40.74	-
3. Health Facility Evaluator II Supervisor (HFE II Sup)	91.60	(1.00) ⁶	90.60	73.08	-
4. Support Staff	89.04	(8.54) ⁶	80.50	77.26	-
5. LSC - Health Facility Evaluator I (HFE I)	17.75	-	17.75	19.70	-
6. LSC - Health Facility Evaluator Supervisor (HFE Sup)	5.00	-	5.00	3.95	-
7. LSC - Support Staff	4.00	1.00 ⁶	5.00	3.95	-
C. L&C Subtotal	800.22	(0.97)	799.25	730.07	-
D. Additional Positions Required for 100% Workload	-	-	-	[-69.18]	-
E. Adjustment to Not Request Change in Positions	-	-	-	69.18	-
F. L&C Total	800.22	(0.97)	799.25	799.25	-

See page 22 for endnotes.

Detailed Field Operations: Licensing & Certification Summary

2013-14 Budget Act to 2014-15 November Estimate

Budget Year 14-15

	A May Revision Estimate FY 2013-14	B Budget Allocation Adjustments CY 2013-14	C Budget Act CY 2013-14 (A + B)	D November Estimate BY 2014-15	E Request BY 2014-15 (D - C)
I. BUDGET ITEMS:					
A. Administrative Staff	\$ 12,796,000	\$ 1,779,000 ⁴	\$ 14,575,000	\$ 14,575,000	\$ -
B. Facility Types					
1. Alternative Birthing Centers (ABC)	8,000	(1,000) ⁵	7,000	10,000	3,000
2. Adult Day Health Centers (ADHC)	1,747,000	(136,000) ⁵	1,611,000	1,027,000	(584,000)
3. Acute Psychiatric Hospitals (APH)	1,146,000	(89,000) ⁵	1,057,000	1,297,000	240,000
4. Chronic Dialysis Clinics (CDC)	3,632,000	(283,000) ⁵	3,349,000	3,215,000	(134,000)
5. Chemical Dependency Recovery (CDR)	50,000	(3,000) ⁵	47,000	31,000	(16,000)
6. Congregate Living Health Facilities (CLHF)	87,000	(7,000) ⁵	80,000	170,000	90,000
7. Community Clinic/Free Clinic (CC)	935,000	(73,000) ⁵	862,000	800,000	(62,000)
8. Correctional Treatment Centers (CTC)	9,000	(1,000) ⁵	8,000	24,000	16,000
9. General Acute Care Hospitals (GACH)	27,605,000	(2,157,000) ⁵	25,448,000	17,061,000	(8,387,000)
10. Home Health Agencies (HHA)	6,651,000	(520,000) ⁵	6,131,000	6,506,000	375,000
11. Hospice (H)	1,369,000	(107,000) ⁵	1,262,000	880,000	(382,000)
12. Intermediate Care Facilities (ICF)	-	-	-	250,000	250,000
13. ICF-DD/DDH/DDN	10,301,000	(805,000) ⁵	9,496,000	12,005,000	2,509,000
14. Pediatric Day Health/Respite (PDHR)	23,000	(2,000) ⁵	21,000	46,000	25,000
15. Psychology Clinics (PC)	33,000	(3,000) ⁵	30,000	30,000	-
16. Referral Agencies (RA)	3,000	-	3,000	-	(3,000)
17. Rehabilitation Clinics (RC)	419,000	(33,000) ⁵	386,000	338,000	(48,000)
18. Skilled Nursing Facilities (SNF)	44,802,000	(3,500,000) ⁵	41,302,000	40,607,000	(695,000)
19. Surgical Clinics (SC)	4,415,000	(345,000) ⁵	4,070,000	1,629,000	(2,441,000)
C. L&C Subtotal	116,031,000	(6,286,000)	109,745,000	100,501,000	(9,244,000)
D. Major Assumptions	-	-	-	251,000	251,000
E. Adjustment to Not Request Change in Funding	(6,652,000)	6,652,000	-	9,244,000	9,244,000
F. L&C Total	\$ 109,379,000	\$ 366,000	\$ 109,745,000	\$ 109,996,000	\$ 251,000
II. FUND SOURCES:					
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	48,504,000	(368,000) ⁴	48,136,000	48,136,000	-
C. Special Deposit Fund (0942)					
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-	-	-
3. State Citation Penalty Account (601)	-	-	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-	-	-
D. Reimbursement (0001R)	4,094,000	(477,000) ⁴	3,617,000	3,617,000	-
E. L&C Special Fund (3098)	56,781,000	1,211,000 ⁴	57,992,000	58,243,000	251,000
F. Fund Sources Total	\$ 109,379,000	\$ 366,000	\$ 109,745,000	\$ 109,996,000	\$ 251,000
III. POSITIONS:					
	May Revision Estimate FY 2013-14	Position Adjustment	Adjusted Position Count	November Estimate BY 2014-15	Request BY 2014-15
A. Administrative Staff	109.70	11.50 ⁶	121.20	124.20	3.00
B. Field Operation Staff					
1. Health Facility Evaluator Nurse (HFEN)	430.13	(2.93) ⁹	427.20	390.19	-
2. Consultant	53.00	(1.00) ⁶	52.00	40.74	-
3. Health Facility Evaluator II Supervisor (HFE II Sup)	91.60	(1.00) ⁶	90.60	73.08	-
4. Support Staff	89.04	(8.54) ⁶	80.50	77.26	-
5. LSC - Health Facility Evaluator I (HFE I)	17.75	-	17.75	19.70	-
6. LSC - Health Facility Evaluator Supervisor (HFE Sup)	5.00	-	5.00	3.95	-
7. LSC - Support Staff	4.00	1.00 ⁶	5.00	3.95	-
C. L&C Subtotal	800.22	(0.97)	799.25	733.07	3.00
D. Additional Positions Required for 100% Workload	-	-	-	[-69.18]	-
E. Adjustment to Not Request Change in Positions	-	-	-	69.18	-
F. L&C Total	800.22	(0.97)	799.25	802.25	3.00

See page 22 for endnotes.

Detailed Field Operations: Los Angeles County Summary

2013-14 Budget Act to 2014-15 November Estimate

Current Year 2013-14

A May Revision Estimate FY 2013-14	B Budget Allocation Adjustments CY 2013-14	C Budget Act CY 2013-14 (A + B)	D November Estimate CY 2013-14	E Request CY 2013-14 (D - C)
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I. BUDGET ITEMS:**A. LAC Contract Total**

\$ 26,951,000	\$ -	\$ 26,951,000	\$ 26,951,000	\$ -
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II. FUND SOURCES:

A. General Fund (0001G) Transfer to L&C Special Fund (3098)

\$ -	\$ -	\$ -	\$ -	\$ -
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B. Federal Trust Fund (0890)

15,362,000	-	15,362,000	15,362,000	-
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C. Special Deposit Fund (0942)

1. Internal Dept. Quality Improvement Account (IDQIA) (222)

-	-	-	-	-
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2. Skilled Nursing Facility (SNF) Penalty Account (248)

-	-	-	-	-
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3. State Citation Penalty Account (601)

-	-	-	-	-
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4. Federal Citation Penalty Account (605)

-	-	-	-	-
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D. Reimbursement (0001R)

1,456,000	-	1,456,000	1,456,000	-
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E. L&C Special Fund (3098)

10,133,000	-	10,133,000	10,133,000	-
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F. Fund Sources Total

\$ 26,951,000	\$ -	\$ 26,951,000	\$ 26,951,000	\$ -
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See page 22 for endnotes.

Detailed Field Operations: Los Angeles County Summary

2013-14 Budget Act to 2014-15 November Estimate

Budget Year 2014-15

A May Revision Estimate FY 2013-14	B Budget Allocation Adjustments CY 2013-14	C Budget Act CY 2013-14 (A + B)	D November Estimate BY 2014-15	E Request BY 2014-15 (D - C)
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I. BUDGET ITEMS:**A. LAC Contract Total**

\$ 26,951,000	\$ -	\$ 26,951,000	\$ 26,951,000	\$ -
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II. FUND SOURCES:

A. General Fund (0001G) Transfer to L&C Special Fund (3098)

\$ -	\$ -	\$ -	\$ -	\$ -
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B. Federal Trust Fund (0890)

15,362,000	-	15,362,000	15,362,000	-
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C. Special Deposit Fund (0942)

1. Internal Dept. Quality Improvement Account (IDQIA) (222)

-	-	-	-	-
---	---	---	---	---

2. Skilled Nursing Facility (SNF) Penalty Account (248)

-	-	-	-	-
---	---	---	---	---

3. State Citation Penalty Account (601)

-	-	-	-	-
---	---	---	---	---

4. Federal Citation Penalty Account (605)

-	-	-	-	-
---	---	---	---	---

D. Reimbursement (0001R)

1,456,000	-	1,456,000	1,456,000	-
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E. L&C Special Fund (3098)

10,133,000	-	10,133,000	10,133,000	-
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F. Fund Sources Total

\$ 26,951,000	\$ -	\$ 26,951,000	\$ 26,951,000	\$ -
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See page 22 for endnotes.

Detailed Field Operations: State Facilities Unit Summary

2013-14 Budget Act to 2014-15 November Estimate

Current Year 2013-14

	A May Revision Estimate FY 2013-14	B Budget Allocation Adjustments CY 2013-14	C Budget Act CY 2013-14 (A + B)	D November Estimate CY 2013-14	E Request CY 2013-14 (D - C)
I. BUDGET ITEMS:					
A. Administrative Staff	\$ 645,000	\$ -	\$ 645,000	\$ 679,000	\$ 34,000
B. Facility Types					
1. Alternative Birthing Centers (ABC)	-	-	-	-	-
2. Adult Day Health Centers (ADHC)	-	-	-	-	-
3. Acute Psychiatric Hospitals (APH)	270,000	(37,000) ⁵	233,000	228,000	(5,000)
4. Chronic Dialysis Clinics (CDC)	18,000	(3,000) ⁵	15,000	95,000	80,000
5. Chemical Dependency Recovery (CDR)	-	-	-	-	-
6. Congregate Living Health Facilities (CLHF)	-	-	-	-	-
7. Community Clinic/Free Clinic (CC)	-	-	-	-	-
8. Correctional Treatment Centers (CTC)	219,000	(29,000) ⁵	190,000	390,000	200,000
9. General Acute Care Hospitals (GACH)	142,000	(19,000) ⁵	123,000	239,000	116,000
10. Home Health Agencies (HHA)	1,000	-	1,000	2,000	1,000
11. Hospice (H)	-	-	-	2,000	2,000
12. Intermediate Care Facilities (ICF)	621,000	(83,000) ⁵	538,000	124,000	(414,000)
13. ICF-DD/DDH/DDN	1,049,000	(141,000) ⁵	908,000	13,000	(895,000)
14. Pediatric Day Health/Respite (PDHR)	-	-	-	-	-
15. Psychology Clinics (PC)	-	-	-	-	-
16. Referral Agencies (RA)	-	-	-	-	-
17. Rehabilitation Clinics (RC)	-	-	-	-	-
18. Skilled Nursing Facilities (SNF)	3,014,000	(404,000) ⁵	2,610,000	3,487,000	877,000
19. Surgical Clinics (SC)	3,000	- ⁵	3,000	7,000	4,000
C. SFU Subtotal	5,982,000	(716,000)	5,266,000	5,266,000	-
D. Adjustment to Not Request Change in Funding	(1,533,000)	1,533,000	-	-	-
E. SFU Total	\$ 4,449,000	\$ 817,000	\$ 5,266,000	\$ 5,266,000	\$ -
II. FUND SOURCES:					
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700,000	\$ -	\$ 3,700,000	\$ 3,700,000	\$ -
B. Federal Trust Fund (0890)	737,000	710,000 ⁴	1,447,000	1,447,000	-
C. Special Deposit Fund (0942)					
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-	-	-
3. State Citation Penalty Account (601)	-	-	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-	-	-
D. Reimbursement (0001R)	12,000	107,000 ⁴	119,000	119,000	-
E. L&C Special Fund (3098)	-	-	-	-	-
F. Fund Sources Total	\$ 4,449,000	\$ 817,000	\$ 5,266,000	\$ 5,266,000	\$ -
III. POSITIONS:					
	May Revision Estimate FY 2013-14	Position Adjustment	Adjusted Position Count	November Estimate CY 2013-14	Request CY 2013-14
A. Administrative Staff	6.00	-	6.00	6.00	-
B. Field Operation Staff					
1. Health Facility Evaluator Nurse (HFEN)	21.00	3.00 ¹⁰	24.00	21.44	-
2. Consultant	-	-	-	2.21	-
3. Health Facility Evaluator II Supervisor (HFE II Sup)	4.00	-	4.00	4.16	-
4. Support Staff	5.00	-	5.00	4.28	-
5. LSC - Health Facility Evaluator I (HFE I)	-	-	-	0.08	-
6. LSC - Health Facility Evaluator Supervisor (HFE Sup)	-	-	-	0.02	-
7. LSC - Support Staff	-	-	-	0.02	-
C. SFU Subtotal	36.00	3.00	39.00	38.21	-
D. Additional Positions Required for 100% Workload	-	-	-	[-0.79]	-
E. Adjustment to Not Request Change in Positions	-	-	-	0.79	-
F. SFU Total	36.00	3.00	39.00	39.00	-

See page 22 for endnotes.

Detailed Field Operations: State Facilities Unit Summary

2013-14 Budget Act to 2014-15 November Estimate

Budget Year 2014-15

	A May Revision Estimate FY 2013-14	B Budget Allocation Adjustments CY 2013-14	C Budget Act CY 2013-14 (A + B)	D November Estimate BY 2014-15	E Request BY 2014-15 (D - C)
I. BUDGET ITEMS:					
A. Administrative Staff	\$ 645,000	\$ -	\$ 645,000	\$ 679,000	\$ 34,000
B. Facility Types					
1. Alternative Birthing Centers (ABC)	-	-	-	-	-
2. Adult Day Health Centers (ADHC)	-	-	-	-	-
3. Acute Psychiatric Hospitals (APH)	270,000	(37,000) ⁵	233,000	228,000	(5,000)
4. Chronic Dialysis Clinics (CDC)	18,000	(3,000) ⁵	15,000	95,000	80,000
5. Chemical Dependency Recovery (CDR)	-	-	-	-	-
6. Congregate Living Health Facilities (CLHF)	-	-	-	-	-
7. Community Clinic/Free Clinic (CC)	-	-	-	-	-
8. Correctional Treatment Centers (CTC)	219,000	(29,000) ⁵	190,000	390,000	200,000
9. General Acute Care Hospitals (GACH)	142,000	(19,000) ⁵	123,000	239,000	116,000
10. Home Health Agencies (HHA)	1,000	-	1,000	2,000	1,000
11. Hospice (H)	-	-	-	2,000	2,000
12. Intermediate Care Facilities (ICF)	621,000	(83,000) ⁵	538,000	124,000	(414,000)
13. ICF-DD/DDH/DDN	1,049,000	(141,000) ⁵	908,000	13,000	(895,000)
14. Pediatric Day Health/Respite (PDHR)	-	-	-	-	-
15. Psychology Clinics (PC)	-	-	-	-	-
16. Referral Agencies (RA)	-	-	-	-	-
17. Rehabilitation Clinics (RC)	-	-	-	-	-
18. Skilled Nursing Facilities (SNF)	3,014,000	(404,000) ⁵	2,610,000	3,487,000	877,000
19. Surgical Clinics (SC)	3,000	- ⁵	3,000	7,000	4,000
C. SFU Subtotal	5,982,000	(716,000)	5,266,000	5,266,000	-
D. Adjustment to Not Request Change in Funding	(1,533,000)	1,533,000	-	-	-
E. SFU Total	\$ 4,449,000	\$ 817,000	\$ 5,266,000	\$ 5,266,000	\$ -
II. FUND SOURCES:					
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700,000	\$ -	\$ 3,700,000	\$ 3,700,000	\$ -
B. Federal Trust Fund (0890)	737,000	710,000 ⁴	1,447,000	1,447,000	-
C. Special Deposit Fund (0942)					
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-	-	-
3. State Citation Penalty Account (601)	-	-	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-	-	-
D. Reimbursement (0001R)	12,000	107,000 ⁴	119,000	119,000	-
E. L&C Special Fund (3098)	-	-	-	-	-
F. Fund Sources Total	\$ 4,449,000	\$ 817,000	\$ 5,266,000	\$ 5,266,000	\$ -
III. POSITIONS:					
	May Revision Estimate FY 2013-14	Position Adjustment	Adjusted Position Count	November Estimate BY 2014-15	Request BY 2014-15
A. Administrative Staff	6.00	-	6.00	6.00	-
B. Field Operation Staff					
1. Health Facility Evaluator Nurse (HFEN)	21.00	3.00 ¹⁰	24.00	21.44	-
2. Consultant	-	-	-	2.21	-
3. Health Facility Evaluator II Supervisor (HFE II Sup)	4.00	-	4.00	4.16	-
4. Support Staff	5.00	-	5.00	4.28	-
5. LSC - Health Facility Evaluator I (HFE I)	-	-	-	0.08	-
6. LSC - Health Facility Evaluator Supervisor (HFE Sup)	-	-	-	0.02	-
7. LSC - Support Staff	-	-	-	0.02	-
C. SFU Subtotal	36.00	3.00	39.00	38.21	-
D. Additional Positions Required for 100% Workload	-	-	-	[-0.79]	-
E. Adjustment to Not Request Change in Positions	-	-	-	0.79	-
F. SFU Total	36.00	3.00	39.00	39.00	-

See page 22 for endnotes.

Position Summary
Licensing & Certification and State Facilities Unit
Comparison of 2013-14 Budget Act to 2014-15 November Estimate
CY 2013-14

	CY 2013-14			2014-15 NOVEMBER ESTIMATE FOR CY 2013-14					
	May Revise FY 2013-14	Adj. ¹¹	Budget Act FY 2013-14	Base Budget	November Estimate	Difference	Adj. ¹²	Adj. November Estimate	Request
TOTAL STATE POSITIONS	1,086.22	(26.97)	1,059.25	1,059.25	989.28	(69.97)	69.97	1,059.25	-
TOTAL STATE HFENs	451.13	0.07	451.20	451.20	411.63	(39.57)	39.57	451.20	-

HEADQUARTERS

BRANCH/SECTION	May Revise FY 2013-14	Adj. ¹¹	Budget Act FY 2013-14	Base Budget	November Estimate	Difference	Adj. ¹²	Adj. November Estimate	Request
	Administrative Services Branch	32.00	-	32.00	32.00	32.00	-	-	32.00
Policy & Enforcement Branch (PEB)	29.00	2.00	31.00	31.00	31.00	-	-	31.00	-
System Technology and Research Branch (STAR)	77.00	(26.00)	51.00	51.00	51.00	-	-	51.00	-
Professional Certification Branch	86.00	(2.00)	84.00	84.00	84.00	-	-	84.00	-
Deputy Director's Office	6.00	(2.00)	4.00	4.00	4.00	-	-	4.00	-
Citation Review Unit	2.00	-	2.00	2.00	2.00	-	-	2.00	-
Life Safety/Emergency Prep. & Disaster Resp. Br.	2.00	-	2.00	2.00	2.00	-	-	2.00	-
Healthcare Associated Infections (HAI) Program	16.00	(1.00)	15.00	15.00	15.00	-	-	15.00	-
Total	250.00	(29.00)	221.00	221.00	221.00	-	-	221.00	-

L&C

POSITIONS	May Revise FY 2013-14	Adj. ¹¹	Budget Act FY 2013-14	Base Budget	November Estimate	Difference	Adj. ¹²	Adj. November Estimate	Request
	Administrative Staff	109.70	11.50	121.20	121.20	121.20	-	-	121.20
FIELD OPERATIONS									
HFEN	430.13	(2.93)	427.20	427.20	390.19	(37.01)	37.01	427.20	-
Consultants	53.00	(1.00)	52.00	52.00	40.74	(11.26)	11.26	52.00	-
HFE II Supervisors	91.60	(1.00)	90.60	90.60	73.08	(17.52)	17.52	90.60	-
Support Staff	89.04	(8.54)	80.50	80.50	77.26	(3.24)	3.24	80.50	-
LSC - HFE I	17.75	-	17.75	17.75	19.70	1.95	(1.95)	17.75	-
LSC - HFE II Supervisors	5.00	-	5.00	5.00	3.95	(1.05)	1.05	5.00	-
LSC - Support Staff	4.00	1.00	5.00	5.00	3.95	(1.05)	1.05	5.00	-
Total	800.22	(0.97)	799.25	799.25	730.07	(69.18)	69.18	799.25	-

SFU

POSITIONS	May Revise FY 2013-14	Adj. ¹¹	Budget Act FY 2013-14	Base Budget	November Estimate	Difference	Adj. ¹²	Adj. November Estimate	Request
	Administrative Staff	6.00	-	6.00	6.00	6.00	-	-	6.00
FIELD OPERATIONS									
HFEN	21.00	3.00	24.00	24.00	21.44	(2.56)	2.56	24.00	-
Consultants	-	-	-	-	2.21	2.21	(2.21)	-	-
HFE II Supervisors	4.00	-	4.00	4.00	4.16	0.16	(0.16)	4.00	-
Support Staff	5.00	-	5.00	5.00	4.28	(0.72)	0.72	5.00	-
LSC - HFE I	-	-	-	-	0.08	0.08	(0.08)	-	-
LSC - HFE II Supervisors	-	-	-	-	0.02	0.02	(0.02)	-	-
LSC - Support Staff	-	-	-	-	0.02	0.02	(0.02)	-	-
Total	36.00	3.00	39.00	39.00	38.21	(0.79)	0.79	39.00	-

See page 22 for endnotes.

Position Summary
Licensing & Certification and State Facilities Unit
Comparison of 2013-14 Budget Act to 2014-15 November Estimate
BY 2014-15

	CY 2013-14			2014-15 NOVEMBER ESTIMATE FOR BY 2014-15					
	May Revise FY 2013-14	Adj. ¹¹	Budget Act FY 2013-14	Base Budget	November Estimate	Difference	Adj. ¹²	Adj. November Estimate	Request
TOTAL STATE POSITIONS	1,086.22	(26.97)	1,059.25	1,059.25	992.28	(66.97)	69.97	1,062.25	3.00
TOTAL STATE HFENS	451.13	0.07	451.20	451.20	411.63	(39.57)	39.57	451.20	-
HEADQUARTERS									

BRANCH/SECTION	May Revise FY 2013-14	Adj. ¹¹	Budget Act FY 2013-14	Base Budget	November Estimate	Difference	Adj. ¹²	Adj. November Estimate	Request
	Administrative Services Branch	32.00	-	32.00	32.00	32.00	-	-	32.00
Policy & Enforcement Branch (PEB)	29.00	2.00	31.00	31.00	31.00	-	-	31.00	-
System Technology and Research Branch (STAR)	77.00	(26.00)	51.00	51.00	51.00	-	-	51.00	-
Professional Certification Branch	86.00	(2.00)	84.00	84.00	84.00	-	-	84.00	-
Deputy Director's Office	6.00	(2.00)	4.00	4.00	4.00	-	-	4.00	-
Citation Review Unit	2.00	-	2.00	2.00	2.00	-	-	2.00	-
Life Safety/Emergency Prep. & Disaster Resp. Br.	2.00	-	2.00	2.00	2.00	-	-	2.00	-
Healthcare Associated Infections (HAI) Program	16.00	(1.00)	15.00	15.00	15.00	-	-	15.00	-
Total	250.00	(29.00)	221.00	221.00	221.00	-	-	221.00	-

L&C

POSITIONS	May Revise FY 2013-14	Adj. ¹¹	Budget Act FY 2013-14	Base Budget	November Estimate	Difference	Adj. ¹²	Adj. November Estimate	Request
	Administrative Staff	109.70	11.50	121.20	121.20	124.20	3.00	-	124.20
FIELD OPERATIONS									
HFEN	430.13	(2.93)	427.20	427.20	390.19	(37.01)	37.01	427.20	-
Consultants	53.00	(1.00)	52.00	52.00	40.74	(11.26)	11.26	52.00	-
HFE II Supervisors	91.60	(1.00)	90.60	90.60	73.08	(17.52)	17.52	90.60	-
Support Staff	89.04	(8.54)	80.50	80.50	77.26	(3.24)	3.24	80.50	-
LSC - HFE I	17.75	-	17.75	17.75	19.70	1.95	(1.95)	17.75	-
LSC - HFE II Supervisors	5.00	-	5.00	5.00	3.95	(1.05)	1.05	5.00	-
LSC - Support Staff	4.00	1.00	5.00	5.00	3.95	(1.05)	1.05	5.00	-
Total	800.22	(0.97)	799.25	799.25	733.07	(66.18)	69.18	802.25	3.00

SFU

POSITIONS	May Revise FY 2013-14	Adj. ¹¹	Budget Act FY 2013-14	Base Budget	November Estimate	Difference	Adj. ¹²	Adj. November Estimate	Request
	Administrative Staff	6.00	-	6.00	6.00	6.00	-	-	6.00
FIELD OPERATIONS									
HFEN	21.00	3.00	24.00	24.00	21.44	(2.56)	2.56	24.00	-
Consultants	-	-	-	-	2.21	2.21	(2.21)	-	-
HFE II Supervisors	4.00	-	4.00	4.00	4.16	0.16	(0.16)	4.00	-
Support Staff	5.00	-	5.00	5.00	4.28	(0.72)	0.72	5.00	-
LSC - HFE I	-	-	-	-	0.08	0.08	(0.08)	-	-
LSC - HFE II Supervisors	-	-	-	-	0.02	0.02	(0.02)	-	-
LSC - Support Staff	-	-	-	-	0.02	0.02	(0.02)	-	-
Total	36.00	3.00	39.00	39.00	38.21	(0.79)	0.79	39.00	-

See page 22 for endnotes.

SUMMARY ENDNOTES

Endnotes to the Fiscal Summaries

- 1 Adjustment to baseline cost due to cost calculation and allocation changes.
- 2 Adjustment to align the "Adjustment to Not Request Additional Funding" from the May Revision Estimate
- 3 Adjustment to align the "Adjustment to Not Request Additional Funding" from the May Revision Estimate and account for the reduction to Pro Rata and SWCAP.

Endnotes to the Detailed Summaries

- 4 Adjustment to baseline cost due to cost calculation and allocation changes.
- 5 Adjustment to align the "Adjustment to Not Request Additional Funding" from the May Revision Estimate.
- 6 Position redirections to achieve program business needs.
- 7 24 positions redirected to Information Technology Services Division (ITSD) as a result of the IT consolidation. The costs for these positions have been spread among remaining L&C positions as additional costs displayed in the Detailed Assumptions.
2 positions redirected to the Office of Legal Services (OLS).
- 8 1 position redirected to Information Technology Services Division (ITSD) as a result of the IT consolidation. The costs for this position has been spread among remaining L&C positions as additional costs displayed in the Detailed Assumptions.
- 9 3 positions redirected to the State Facilities Unit (SFU).
- 10 3 positions redirected from L&C Field Operations.

Endnotes to the Position Summaries

- 11 Adjustments to reflect the position allocation as of 9/1/2013 which includes the reduction of positions transferred to ITSD and OLS.
- 12 Adjustments to not request changes to position authority in the FY 2014-15 November Estimate.

FUTURE FISCAL ISSUES AND MAJOR ASSUMPTIONS

Introduction

Health care facilities in California are licensed, regulated, inspected, and/or certified by a number of public and private agencies at the state and federal levels, including the California Department of Public Health (CDPH) Licensing and Certification Program (L&C) and the United States Department of Health and Human Services' Centers for Medicare and Medicaid Services (CMS). CMS contracts with L&C to ensure that facilities accepting Medicare and Medi-Cal payments meet federal requirements. L&C is responsible for ensuring health care facilities comply with state laws and regulations. In addition, L&C oversees the certification of nurse assistants, home health aides, hemodialysis technicians, and the licensing of nursing home administrators.

The L&C Estimate includes positions and funding for the entire program, and will make requests based on normal growth and program enhancements. Until directed to do otherwise, the L&C program will continue to use the Budget Change Proposal process as a budgetary vehicle for requesting programmatic changes as follows:

- Program changes that are not workload driven.
- One-time funding requests.
- Requests for non-continuous appropriations.

The Center for Health Care Quality is comprised of the following Headquarters and Field Operations Staff:

Headquarters

Headquarters is comprised of three main components: (1) operational costs for the L&C non-field operations branches including Policy and Enforcement Branch (PEB), Resource Operations and Management Branch (ROMB), Staffing Audits and Research Branch (STAR), Professional Certification Branch (PCB), Life Safety Code (LSC)/Emergency Preparedness and Disaster Response Branch (EPDRB), and Healthcare Associated Infections (HAI); (2) the base; and (3) the adjustments to the base. The base estimate is the anticipated level of expenditures assuming there will be no changes in direction. The adjustments to the base are the estimated fiscal impacts of new mandates and policies or any program changes that are either anticipated to occur at some point in the future, or have occurred so recently that they are not yet fully reflected in the historical data base.

Field Operations

Field Operations is comprised of three main components: (1) field operational costs for the L&C District Offices including LSC, State Facilities Unit, Centralized Applications Unit, Staff Education and Quality Improvement Section, and costs for the Los Angeles County (LAC) contract; (2) the base; and (3) the adjustments to the base. The base estimate is the anticipated level of expenditures assuming there will be no changes in

direction. The adjustments to the base are the estimated fiscal impacts of normal growth or any program changes that are either anticipated to occur at some point in the future, or have occurred so recently that they are not yet fully reflected in the historical data base.

Federal fund amounts are subject to the funding allocation provided by the CMS grant award, and workload is prioritized according to Tier. Federal funding available at this time covers only the Tier 1 & 2 workload that will be completed during Current Year (CY) and Budget Year (BY). The costs to fully fund the CY and BY workload are presented for display purposes only in the Executive Summary tables and in the Estimate detail. Bottom-line adjustments in the Executive Summary tables offset the increases for which federal funding is not available. The LAC contract is also subject to the same federal funding thresholds in addition to the contracting amounts negotiated between L&C and LAC. The Estimate is based on funding all federal workload; however, adjustments are made to assume only Tier 1 and 2 workloads are completed, to coincide with the funding allocation provided by CMS.

NEW MAJOR ASSUMPTIONS

Standard Average Hours (SAH)

The FY 2014-15 November Estimate will include a three-year average for calculating the SAHs each activity type takes to complete. Moving from a one year to a three-year average allows for more reliable metrics when quantifying workload.

BCP HQ-01 – L&C Program Evaluation

L&C strives to ensure that Californians receive quality healthcare by performing periodic surveys of healthcare facilities throughout the state. In order to provide these services effectively and efficiently, L&C has contracted with a vendor to perform high-level assessments of program's processes.

This proposal will allow L&C to expand the work being done by the current contractor related to the L&C Program Evaluation project to conduct high-level workload assessments and the development of six scopes of work. This proposal would fund improvements in the following six areas: (1) workload assignment and workload management processes, (2) the Time Entry and Activity Management (TEAM) system, (3) allocation of staff and funding resources, (4) best practices, (5) program efficiencies, and (6) quality improvement activities. In order to achieve CMS benchmarks and support continuation of federal grants, the CHCQ is contracting to improve internal business practices and quality improvement efforts to achieve timely fulfillment of the L&C Program's state licensing and federal certification workload.

This proposal seeks one-time funding in the amount of \$1,400,000 (based on an estimated need of six contractors at an average cost per contract of about \$233,000) from the Internal Departmental Quality Improvement Account (IDQIA). The IDQIA was established for purposes such as this to improve business processes within the CHCQ.

BCP HQ-03 – Medical Privacy Breach Enforcement

Chapter 605, Statutes of 2008 (SB541) and Chapter 180, Statutes of 2009 (SB 337) improve patient privacy laws and the enforcement of those laws. Since 2009, the CDPH and the California Office of Health Information Integrity (CalOHII) have established and maintained two distinct enforcement programs, one focusing on medical privacy violations committed by health facilities and the other focusing on violations committed by healthcare providers and other individuals.

This proposal seeks to improve efficiency and increase productivity by combining the authority and resources of the two existing programs. Specifically, the CDPH seeks the transfer of three investigator positions and their associated workload and responsibilities from the CalOHII to CDPH.

This proposal requests an increase in CDPH's position authority of 3 positions and associated expenditure authority of \$251,000 from the State Department of Public Health Licensing and Certification Program Fund (3098), while reducing CalOHII's position authority by 3 positions and the associated expenditure authority. CDPH will submit appropriate legislative amendments with this proposal.

BCP HQ-04 – Legislative BCP SB 534 – State Licensing Standards for Chronic Dialysis Clinics, Rehabilitation Clinics, and Surgical Clinics

CDPH, L&C requests a one-time expenditure authority of \$201,000 to contract with the University of California, Davis for an independent research analysis and report required by Chapter 722 of the Statutes of 2013 (SB534, Hernandez). SB 534 temporarily authorizes the use of specified federal standards, in lieu of state specific standards, until the department adopts regulations relating to the provisions of services for chronic dialysis clinics, rehabilitation clinics, and surgical clinics. This legislation provides Public Health, until January 1, 2018, statutory authority to enforce the federal standards or to compel corrective action from facilities that fail to meet them. The analysis and report, required by SB 534, will support ongoing efforts to ensure that the department is utilizing suitable regulations and standards to protect patient health and ensure consistent, well-regulated care. The quality improvement activities will focus on assessing the CDPH's use of federal certification standards for the specified facilities to ensure that they are sufficient to protect patient health and safety.

FUTURE FISCAL ISSUES

Program Evaluation

The L&C program is contracting with a private consulting firm to conduct a comprehensive analysis of the program's operations and workload functions. This assessment will review the entire L&C program, work processes, staffing, workload completion, timekeeping systems, and other aspects of the program. This assessment will provide recommendations for areas of improvement and best practices, and will set a framework of staff needed to complete the workload.

Senate Bill (SB) 135: Hospice Facilities

SB 135 (Chapter 673, Statutes of 2012) established a new hospice health facility category. The establishment of the facility category aligns California with the federal allowance of a hospice facility and provides standards by which the facilities must maintain compliance. Most state standards are congruent with the federal standards. As with all health care facilities licensed by CDPH, the law allows the department to adopt regulations to establish standards determined to be necessary. Until such time a determination for more specific state regulations is made, hospice facilities will be required to comply with those identified in SB 135 and with specified federal standards.

The department does not plan completion of these regulations within the current or budget year, but will revisit the issue once the program is up and running and more experience is gained from the operation of this new licensure category.

Assembly Bill (AB) 377: Hospital Pharmacy

AB 377 (Chapter 687, Statutes of 2012) permits a hospital pharmacy to repackage/re-label drugs for delivery to another pharmacy or hospital under common ownership located within a 75-mile radius.

The estimated fiscal impact of AB 377 assumes that most or all hospitals under common ownership would take advantage of this allowance which would generate a considerable increase in the workload of L&C pharmaceutical staff. Because it is unknown how many hospitals will take advantage of this option, the department does not want to request resources for the budget year, but will instead re-examine the issue once workload becomes clear and it is known how many hospitals establish a centralized hospital packaging pharmacy.

DISCONTINUED MAJOR ASSUMPTIONS

There are no discontinued major assumptions to report at this time.

New Major Assumption
BCP HQ-01 – L&C Program Evaluation

Attachment A

This proposal will allow L&C to expand the work being done by the current contractor related to the L&C Program Evaluation project to conduct high-level workload assessments and the development of six scopes of work. This proposal would fund improvements in the following six areas: (1) workload assignment and workload management processes, (2) the Time Entry and Activity Management (TEAM) system, (3) allocation of staff and funding resources, (4) best practices, (5) program efficiencies, and (6) quality improvement activities. In order to achieve CMS benchmarks and support continuation of federal grants, the CHCQ is contracting to improve internal business practices and quality improvement efforts to achieve timely fulfillment of the L&C Program’s state licensing and federal certification workload.

This proposal seeks one-time funding in the amount of \$1,400,000 (based on an estimated need of six contractors at an average cost per contract of about \$233,000) from the Internal Departmental Quality Improvement Account (IDQIA). The IDQIA was established for purposes such as this to improve business processes within the CHCQ.

BY 2014-15

		Cost Summary	
		Total Cost	Rounded
Contract		\$ 1,400,000	\$ 1,400,000
Total		\$ 1,400,000	\$ 1,400,000
By Funding Classification		Total Cost	Rounded
Special Fund		\$ -	\$ -
Reimbursement Fund		-	-
Federal Fund			
Title XVIII (18) LTC		-	-
Title XVIII (18) NLTC		-	-
Title XIX (19) LTC		-	-
IDQIA		1,400,000	1,400,000
Total		\$ 1,400,000	\$ 1,400,000

New Major Assumption
BCP HQ-03 – Medical Privacy Breach Enforcement**Attachment B**

Chapter 605, Statutes of 2008 (SB541) and Chapter 180, Statutes of 2009 (SB 337) improve patient privacy laws and the enforcement of those laws. Since 2009, the CDPH and the California Office of Health Information Integrity (CalOHII) have established and maintained two distinct enforcement programs, one focusing on medical privacy violations committed by health facilities and the other focusing on violations committed by healthcare providers and other individuals.

This proposal seeks to improve efficiency and increase productivity by combining the authority and resources of the two existing programs. Specifically, the CDPH seeks the transfer of three investigator positions and their associated workload and responsibilities from the CalOHII to CDPH.

This proposal requests an increase in CDPH's position authority of 3 positions and associated expenditure authority of \$251,000 from the State Department of Public Health Licensing and Certification Program Fund (3098), while reducing CalOHII's position authority by 3 positions and the associated expenditure authority. CDPH will submit appropriate legislative amendments with this proposal.

Staffing:**BY 2014-15**

Classification	Number of Positions	Annual Salary Cost ^{f/} (A x Annual Salary Base)	Annual Benefit Cost ^{g/} (B x Benefit Cost Rate)	OE & E ^{h/} (A x Annual OE&E)	Total Estimated Cost (B + C + D)
	A	B	C	D	E
Section Summary					
By Position Classification					
Supervising Special Investigator (Non police Officer)	1.00	\$ 72,246	\$ 30,800	\$ 10,667	\$ 113,713
Special Investigator	2.00	119,736	51,046	21,333	192,115
Total	3.00	\$ 191,982	\$ 81,846	\$ 32,000	\$ 305,828
Less Current CDPH Authority for CalOHII*					(55,000)
Total (less current CDPH authority)					\$ 250,828
By Funding Classification				Total Cost	Rounded
Special Fund				\$ 250,828	\$ 251,000
Reimbursement Fund				-	-
Federal Fund					
Title XVIII (18) LTC				-	-
Title XVIII (18) NLTC				-	-
Title XIX (19) LTC				-	-
Total	3.00			\$ 250,828	\$ 251,000

* CDPH's current contribution of \$55,000 towards reimbursement of CalOHII expenditure is being used to offset L&C's costs.

New Major Assumption

Attachment C

BCP HQ-04 - Legislative BCP - SB 534: State Licensing Standards for CDCs, RCs, & SCs

CDPH, L&C requests a one-time expenditure authority of \$201,000 to contract with the University of California, Davis for an independent research analysis and report required by Chapter 722 of the Statutes of 2013 (SB534, Hernandez). SB 534 temporarily authorizes the use of specified federal standards, in lieu of state specific standards, until the department adopts regulations relating to the provisions of services for chronic dialysis clinics, rehabilitation clinics, and surgical clinics. This legislation provides Public Health, until January 1, 2018, statutory authority to enforce the federal standards or to compel corrective action from facilities that fail to meet them. The analysis and report, required by SB 534, will support ongoing efforts to ensure that the department is utilizing suitable regulations and standards to protect patient health and ensure consistent, well-regulated care. The quality improvement activities will focus on assessing the CDPH's use of federal certification standards for the specified facilities to ensure that they are sufficient to protect patient health and safety.

The funding for this initiative will come from the internal departmental Quality Improvement Account (IDQIA). The IDQIA Fund 0942-222 fund balance as of 06/30/13 was \$11.708 million. The proposal will not impact health care facility licensing fees or the general fund.

BY 2014-15

		Cost Summary	
		Total Cost	Rounded
Contract		\$ 200,750	\$ 201,000
Total		\$ 200,750	\$ 201,000
By Funding Classification		Total Cost	Rounded
Special Fund		\$ -	\$ -
Reimbursement Fund		-	-
Federal Fund			
Title XVIII (18) LTC		-	-
Title XVIII (18) NLTC		-	-
Title XIX (19) LTC		-	-
IDQIA		200,750	201,000
Total		\$ 200,750	\$ 201,000

DETAILED ASSUMPTIONS

Fiscal Years 2013-14 and 2014-15

1. Authority Methodology:

- Licensing Authority- The provisions of Health & Safety Code (HSC) Sections 1254, 1282 and 1417 require the L&C program to license health facilities that do business in California.
- Certification Authority- CDPH's contract with the federal Centers for Medicare and Medicaid Services (CMS), as well as provisions of California's Medicaid State Plan, requires L&C to certify facilities for participation in Medicare (Title XVIII) and /or Medi-Cal (Title XIX). In conducting these activities, L&C develops and enforces state licensure standards, conducts inspections to assure compliance with federal standards for facility participation in Medicare and /or Medi-Cal, and responds to complaints against providers licensed by CDPH.

2. Facility Counts:

- A health facility means any facility, place, or building that is organized, maintained, and operated for the diagnosis, care, prevention, and treatment of human illness, physical or mental, including convalescence and rehabilitation and including care during and after pregnancy, or for any one or more of these purposes, for one or more persons, to which the persons are admitted for a 24-hour stay or longer.
 - a. Facilities are counted by facility type i.e. Skilled Nursing Facility (SNF), General Acute Care Hospital (GACH), Home Health Agency (HHA), etc.
 - b. Only active and open main facilities and SNF distinct part facilities are counted for purposes of this Estimate.
 - c. For some facility types, there may be a difference in the number of licensed facilities versus the number of certified facilities. This is due to some facilities being licensed-only, or certified-only. Additionally, there may be minor discrepancies due to the use of a different data sources required by CMS and/or the timing of data reconciliation activities.

3. Survey Activities:

- Licensing survey activities are based on state mandated requirements. Only licensed and licensed/certified facilities are covered in the state survey requirements. State licensing surveys include the following activities performed by surveyors:
 - a. Re-licensure
 - b. Re-licensure - Follow-up
 - c. Initial Licensure
 - d. Initial Licensure - Follow-up
 - e. Complaint Investigations/Entity Reported Investigation (ERI)
 - f. Field Visits
 - g. Review Medical Error Plan

- Certification survey activities are based on federal CMS Tiered activity requirements. Only certified facilities and licensed/certified facilities are covered in the federal CMS survey requirement. Federal certification surveys include the following activities performed by surveyors:
 - a. Re-certification
 - b. Re-certification – Follow-up
 - c. Initial Certification
 - d. Initial Certification – Follow-up
 - e. Life Safety Code
 - f. Life Safety Code – Follow-up
 - g. Complaint/ERI Investigation – Certification
 - h. Complaint Validation
 - i. Validation
 - j. Validation – Follow-up
 - k. Informal Dispute Resolution
 - l. Federal Hearings
 - m. Pre-Referral Hearings
 - n. Monitoring Visits

4. Surveyor Timekeeping System:

The provisions of HSC Section 1266(d) require L&C to capture and report workload data by category (survey activity and facility type) that prompted the development and implementation of the Surveyor Timekeeping System (STS). In June 2011, the STS system was automated and renamed to Time Entry and Activity Management (TEAM). The TEAM system captures data on the number of survey counts and the total hours spent for each survey activity to determine the standard average hours it takes to accomplish specific workload.

5. Workload Survey:

- Survey workload is either state-mandated (licensing survey) or federal/CMS-mandated (certification survey).
- The annual workload is calculated based on a methodology that includes facility count, annualized survey frequency rate, and the standard average hours for each activity.

6. Standard Average Hours (SAH):

SAH are the average hours each activity type takes to complete. The SAH are derived from the L&C TEAM system. The L&C Program used FYs 2010-11, 2011-12, and 2012-13 data to develop the SAHs for FYs 2013-14 and 2014-15.

7. Workload Hours:

Annualized survey frequency rate is determined by the corresponding state or federal mandated survey requirements, multiplied by the standard average hours.

8. Surveyor Positions:

It is assumed there are 1,800 functional hours per position for state field operations staff.

9. Position Classification Costing:

- Salaries for Headquarters and Field Operations Administrative staff are based on the mid-step salary range and varying rates of travel reflected in the Operating Expenses and Equipment (OE&E) costs in Attachment B. Salaries for L&C surveyor position classifications [Health Facilities Evaluator Nurse (HFEN), Health Facility Evaluator (HFE) I and Consultants] costs are based on the maximum salary range. OE&E costs are based on standard costs for the department and include high travel for all surveyors, additional training costs of \$2,217 per HFEN Surveyor, and \$6,000 per employee for program specific ITSD costs. Salaries for supervisory and clerical staff are based on the mid-step salary range and have high travel and no travel respectively. Attachment A provides salary and benefit rates and total OE&E costs by classification. Below are the OE&E Costs used for this Estimate.

Operating Expenses and Equipment

STANDARD COSTS	2013-14	2014-15
General Expense	\$ 3,300	\$ 3,300
Printing	1,700	1,700
Communications	1,300	1,300
Travel – Light	3,000	3,000
Travel – Medium	7,500	7,500
Travel – High	13,000	13,000
Training	300	300
Facilities	10,300	10,300
Data Center	300	300
Office Automation	-	-

ADDITIONAL COSTS	2013-14	2014-15
HFEN Training	\$ 2,217	\$ 2,217
ITSD Bundled Costs	4,000	4,000
ITSD, Program Specific Exp.	2,000	2,000

- Cost factors for LAC surveyor and supervisory classifications are based on the current salary levels for FY 2013-14 as listed in agreement #12-10082.

10. Staffing Ratios:**State Ratios:**

- L&C: The allocation of the HFE II Supervisor positions is computed using a (1:6) ratio: 1 HFE II Supervisor for every 6 HFENs.
- L&C: The allocation of the Program Technician (PTII) is computed using a (1:6) ratio: 1 PTII for every 6 of the combined HFENs/HFE II Supervisor.

Federal Ratios:

- L&C: The allocation of the HFE II Supervisor positions is computed using a (1:5) ratio: 1 HFE II Supervisor for every 5 HFENs.
- L&C: The allocation of the Program Technician (PTII) is computed using a (1:5) ratio: 1 PTII for every 5 HFENs.

LAC Ratios:

- Position classification ratios are based on the complement of current staff percentages as listed in the current LAC agreement. Current levels are (12:1) for HFENs to Supervising Health Facilities Evaluator Nursing, and (5:1) for HFENS to Word Processor II positions.

11. OE&E Standard Training Cost Supplement for HFENs:

Turnover for HFENs in FY 2012-13 was 17%. This percentage was applied to the OE&E costs of \$2,217 per HFEN and divided by all HFENs being requested. This amounts to a \$400 adjustment for training per HFEN.

12. All surveyor workload and related administrative costs for LAC Contract #12-10082 are displayed separately. The current amount of \$26,951,252 serves as the base for LAC. See Appropriation Summary by Fund for detail.**13. The FY 2013-14 and 2014-15 complaint and entity reported incidents (ERI) workload is estimated to be consistent with the complaint workload in FY 2012-13.****14. FY 2013-14 and 2014-15 federal grant amounts are estimated. The Federal Fiscal Year 2014 federal grant is anticipated to be approved in the spring of 2014, and the FY 2014-15 May Revise will reflect the approved federal grant amount.****15. Workload calculations and costs are displayed by each facility type, and footnotes are entered throughout the displays and summaries. For footnote legend information, see Attachment A, "Footnote Legend."****16. All Nursing Home Administrator Program Costs are charged to the State Department of Public Health Licensing and Certification Program Fund (3098) beginning in FY 2013-14.****17. Contract costs are included for executed contracts only.****Changes to Detailed Assumptions from 2013-14 May Revision Estimate to the 2014-15 November Estimate**

- Item 2, amended to include the distinct part SNFs.
- Item 6, changed from using a single prior year of timekeeping data to prepare standard average hours to using the average of three years of data.

- Item 9, changed to increase OE&E costs to include ITSD bundled costs and ITSD program specific expenses, which both resulted from consolidating CDPH IT personnel to the ITSD effective July 2013. The cost factors for LAC were changed to reflect the contract amounts for FY 2013-14.
- Item 11, changed to reduce the HFEN turnover rate to 17%. However, this did not change the \$400 training supplement for HFEN positions.
- Item 13, changed to estimate FY 2013-14 and 2014-15 complaint and ERI levels based on the FY 2012-13 complaint and ERI intakes received.
- Item 14, changed to state that L&C will adjust the federal workload in the May Revision Estimate when the Federal Fiscal Year 2014 federal grant is anticipated to be approved.
- Item 17, added to specify that only executed contract costs are included in the Estimate.

FOOTNOTE LEGEND**Attachment A****Footnotes to the Headquarters and Field Operations Detail.**

- a / Based on ELMS open and active list of licensed only, certified only, and licensed/certified main facilities as of 07-01-2013.
- b / Number of activities are based on mandated and projected workloads for both licensing and certification.
- c / Workload hours are calculated based on surveyor activities multiplied by the Standard Average Hours (SAH). SAH is based on a three year average of total hours per activity item and is determined by dividing the total activity hours (i.e., direct activity hours including facility admin hours) by the number of survey activities or complaints.
- d / Surveyor positions are calculated based on 1,800 hrs. per position.
- e / HFE I positions are used to perform life safety code surveys only.
- f / Annual Salary, based on FY 2013-14 salary range which excludes salary savings. The salary Maximum has been increased by 3% over the May Revision Estimate.

	FY 2013-14	FY 2014-15	Salary Range Level
8011 HFEN	\$ 79,885	\$ 79,885	Maximum
Consultants **** (see Table A below for calculation details)	108,485	108,485	Various
8051 Health Facility Evaluator II (Supervisor)	76,714	76,714	Middle
9928 Program Technician (PT II)	35,660	35,660	Middle
8001 HFE I (life safety code activities only)	52,010	52,010	Middle
9927 Program Technician (PT)	32,066	32,066	Middle
9925 Supervising Program Technician II (SPT II)	39,904	39,904	Middle
9924 Supervising Program Technician I (SPT I)	37,074	37,074	Middle
8429 Health Program Manager III (HPM III)	86,863	86,863	Middle
8428 Health Program Manager II (HPM II)	75,029	75,029	Middle
8338 Health Program Specialist I	65,299	65,299	Middle
8337 Associate Health Program Adviser	59,451	59,451	Middle
8336 Health Program Specialist II	71,721	71,721	Middle
8195 Nurse Consultant II	80,016	80,016	Maximum
8181 Nurse Consultant III (Specialist)	87,490	87,490	Middle
8052 Health Facility Evaluator II (HFE II)	59,451	59,451	Middle
8052 Health Facility Evaluator II (HFE II)	66,101	66,101	Maximum
8050 Health Facility Evaluator Manager I (HFEM I)	68,339	68,339	Middle
8007 Health Facility Evaluator Trainee (HFE Trainee)	38,075	38,075	Middle
7993 Health Facility Evaluator Manager II (HFEM II)	75,029	75,029	Middle
7964 Pharmaceutical Program Consultant	115,892	115,892	Middle
7788 Medical Consultant II (Supervisor)	159,360	159,360	Maximum
7705 Public Health Medical Officer III (Specialist)	136,067	136,067	Middle
7674 Public Health Medical Administrator I	152,071	152,071	Middle
7500 CEA II	103,392	103,392	Middle
5778 Staff Counsel (SC)	96,754	96,754	Maximum
5731 Research Analyst II	62,421	62,421	Middle
5758 Research Program Specialist II (RPS II)	71,721	71,721	Middle
5742 Research Program Specialist I (RPS I)	65,299	65,299	Middle
5643 Research Scientist Supervisor I	86,368	86,368	Middle
5594 Research Scientist III (Epidemiology)	78,308	78,308	Middle
5582 Research Scientist II (Epidemiology)	71,431	71,431	Middle
5393 Associate Governmental Program Analyst (AGPA)	59,451	59,451	Middle
5393 Associate Governmental Program Analyst (AGPA)	66,101	66,101	Maximum
5278 Management Services Technician (MST)	36,143	36,143	Middle
5157 Staff Services Analyst (SSA)	44,378	44,378	Middle
5157 Staff Services Analyst (SSA)	54,953	54,953	Maximum
4802 Staff Services Manager III	86,863	86,863	Middle
4801 Staff Services Manager II (SSM II)	75,029	75,029	Middle
4800 Staff Services Manager I (SSM I)	68,339	68,339	Middle
4800 Staff Services Manager I (SSM I)	75,730	75,730	Maximum
4588 Associate Accounting Analyst (AAA)	62,421	62,421	Middle
2246 Health Facilities Evaluator Specialist (HFES)	65,299	65,299	Middle

FOOTNOTE LEGEND

Attachment A

Footnotes to the Headquarters and Field Operations Detail.

f / Annual Salary, based on FY 2013-14 salary range which excludes salary savings. The salary Maximum has been increased by 3% over the May Revision Estimate.

	FY 2013-14	FY 2014-15	Salary Range Level
1331 Deputy Director of the Center for Health Care Quality	135,960	135,960	Middle
1181 Word Processing Technician	32,330	32,330	Middle
1139 Office Technician (TYPING)	36,288	36,288	Middle
1138 Office Technician (GENERAL)	35,660	35,660	Middle
g / Benefit rate per annual salary	42.632%	42.632%	

h / OE&E based on standard cost per position for FY 13-14 & 14-15

8011 HFEN (High Travel)	\$ 36,600
Consultants (High Travel)	36,200
8051 HFE II Sup (High Travel)	36,200
9928 PT II (No Travel)	23,200
8001 HFE I (High Travel, life safety code activities only)	36,200
9928 Program Technician II (Light Travel)	26,200
9927 Program Technician (No Travel)	23,200
9925 Supervising Program Technician II (SPT II)	23,200
9924 Supervising Program Technician I (SPT I)	23,200
8429 Health Program Manager III (HPM III)	23,200
8428 Health Program Manager II (HPM II) (Light Travel)	26,200
8338 Health Program Specialist I (Light Travel)	26,200
8337 Associate Health Program Adviser (Light Travel)	26,200
8336 Health Program Specialist II	23,200
8195 Nurse Consultant II	23,200
8181 Nurse Consultant III (Specialist) (High Travel)	36,200
8052 Health Facility Evaluator II (HFE II) (High Travel)	36,200
8052 Health Facility Evaluator II (HFE II) (No Travel)	23,200
8051 Health Facility Evaluator II (Supervisor) (Light Travel)	26,200
8050 Health Facility Evaluator Manager I (HFEM I) (Light Travel)	26,200
8011 Health Facility Evaluator Nurse (HFEN) (Medium Travel)	31,100
8007 Health Facility Evaluator Trainee (HFE Trainee)	23,200
7993 Health Facility Evaluator Manager II (HFEM II) (Medium Travel)	30,700
7993 Health Facility Evaluator Manager II (HFEM II) (Light Travel)	26,200
7964 Pharmaceutical Program Consultant (Light Travel)	26,200
7788 Medical Consultant II (Supervisor) (Light Travel)	26,200
7705 Public Health Medical Officer III (Specialist) (Medium Travel)	30,700
7674 Public Health Medical Administrator I (Medium Travel)	30,700
7500 CEA II	23,200
5731 Research Analyst II	23,200
5758 Research Program Specialist II (RPS II)	23,200
5742 Research Program Specialist I (RPS I) (No Travel)	23,200
5742 Research Program Specialist I (RPS I) (Light Travel)	26,200
5643 Research Scientist Supervisor I (Epidemiology) (Light Travel)	26,200
5594 Research Scientist III (Epidemiology) (Light Travel)	26,200
5582 Research Scientist II (Epidemiology) (Light Travel)	26,200
5393 Associate Governmental Program Analyst (AGPA) (No Travel)	23,200
5393 Associate Governmental Program Analyst (AGPA)(Light Travel)	26,200
5393 Associate Governmental Program Analyst (AGPA)(High Travel)	36,200
5278 Management Services Technician (MST)	23,200

FOOTNOTE LEGEND

Attachment A

Footnotes to the Headquarters and Field Operations Detail.

h /	OE&E based on standard cost per position for FY 13-14 & 14-15	
	5157 Staff Services Analyst (SSA) (Light Travel)	\$ 26,200
	5157 Staff Services Analyst (SSA) (No Travel)	23,200
	4802 Staff Services Manager III	23,200
	4801 Staff Services Manager II (SSM II)	23,200
	4801 Staff Services Manager II (SSM II) (Medium Travel)	30,700
	4801 Staff Services Manager II (SSM II) (Light Travel)	26,200
	4800 Staff Services Manager I (SSM I)	23,200
	4800 Staff Services Manager I (SSM I) (Medium Travel)	30,700
	4800 Staff Services Manager I (SSM I) (Light Travel)	26,200
	4588 Associate Accounting Analyst (AAA)	23,200
	1581 Staff Programmer Analyst (Staff PA)	23,200
	1581 Staff Programmer Analyst (Staff PA) (Light Travel)	26,200
	1579 Associate Programmer Analyst (APA)	23,200
	1479 Assistance Information System Analyst (AISA)	23,200
	1470 Associate Information System Analyst Specialist (AISAS)	23,200
	1393 Data Processing Manager III (DPM III)	23,200
	1384 Data Processing Manager II (DPM II)	23,200
	1381 Data Processing Manager I (DPM I)	23,200
	1337 Sr Information System Analyst (ISA)	23,200
	1312 Staff Information System Analyst (Staff ISA)	23,200
	1139 Office Technician (TYPING) (No Travel)	23,200
	1139 Office Technician (TYPING) (Light Travel)	26,200
	1138 Office Technician (GENERAL)	23,200
	Miscellaneous Classifications (No Travel)	23,200
	Miscellaneous Classifications (Light Travel)	26,200
	Miscellaneous Classifications (Medium Travel)	30,700
	Miscellaneous Classifications (High Travel)	36,200
	Office Automation (for new positions only)	2,000
i /	Annual salary per position based on 13-14 contract	
	HFEN	\$ 85,285
	Consultant	124,020
	HFEN Sup	100,812
	Word Processor II	43,793
j /	Benefit rate based on 13-14 contract cost	49.88%
k /	OE&E rate is per position based on 13-14 contract	
	HFEN	\$ 31,471
	Consultant	40,543
	HFEN Sup	35,107
	Word Processor II	21,753

Table A

Positions	Code	Consultant Classification	Annual Base Salary		Salary Range Level
			FY 2013-14	FY 2014-15	
12	7787	MEDICAL CONSULTANT I	\$ 147,580	\$ 147,580	Maximum
1	8181	NURSE CONSULTANT III-SPEC	87,490	87,490	Maximum
2	8195	NURSE CONSULTANT II	80,016	80,016	Maximum
19	7994	PHARMACEUTICAL CONSULTANT II-SPEC	117,923	117,923	Maximum
10	2166	PUBLIC HEALTH NUTRITION CONSULTANT III (SPEC)	75,276	75,276	Maximum
3	8281	OCCUPATIONAL THERAPY CONSULTANT	70,812	70,812	Middle
3	1863	MEDICAL RECD CONSULTANT	66,672	66,672	Middle
50	****	Weighted Average	\$ 108,485	\$ 108,485	

FIELD OPERATIONS WORKLOAD DRIVER SUMMARY CHART
BY 2014-15 November Estimate vs. BY 2013-14 May Revision Estimate

Facility Type	Facility Count		Estimated Activity Count	Estimated Activity Hours	Total Estimated Cost	Funds		
	Federal	State				Reimbursement Fund	Federal Fund	Special Fund
Totals								
FY 2014-15 November Estimate	6,100	5,131	37,424	1,200,471	\$ 127,804,000	\$ 7,281,000	\$ 61,600,000	\$ 58,922,000
FY 2013-14 May Revision Estimate	6,005	5,772	43,597	1,412,840	\$ 141,280,000	\$ 10,520,000	\$ 65,953,000	\$ 64,807,000
INC/(DEC)	95	(641)	(6,173)	(212,369)	\$ (13,476,000)	\$ (3,239,000)	\$ (4,353,000)	\$ (5,885,000)
ALTERNATIVE BIRTHING CENTERS								
FY 2014-15 November Estimate		7	3	92	\$ 10,000	\$ -	\$ -	\$ 10,000
FY 2013-14 May Revision Estimate		8	3	88	\$ 9,000	\$ -	\$ -	\$ 9,000
INC/(DEC) (detail provided on pages 40-41)		(1)	1	4	\$ 1,000	\$ -	\$ -	\$ 1,000
ADULT DAY HEALTH CENTER								
FY 2014-15 November Estimate		270	164	9,736	\$ 1,027,000	\$ -	\$ -	\$ 1,027,000
FY 2013-14 May Revision Estimate		300	214	17,961	\$ 1,747,000	\$ -	\$ -	\$ 1,747,000
INC/(DEC) (detail provided on pages 40-41)		(30)	(50)	(8,225)	\$ (720,000)	\$ -	\$ -	\$ (720,000)
ACUTE PSYCHIATRIC HOSPITAL								
FY 2014-15 November Estimate	65	36	569	18,661	\$ 1,996,000	\$ 538,000	\$ 374,000	\$ 1,084,000
FY 2013-14 May Revision Estimate	65	38	850	18,377	\$ 1,840,000	\$ 247,000	\$ 495,000	\$ 1,098,000
INC/(DEC) (detail provided on pages 40-41)	0	(2)	(281)	284	\$ 156,000	\$ 291,000	\$ (121,000)	\$ (14,000)
CHRONIC DIALYSIS CLINIC / ESRD								
FY 2014-15 November Estimate	501	5	739	43,953	\$ 4,710,000	\$ 1,148,000	\$ 2,295,000	\$ 1,267,000
FY 2013-14 May Revision Estimate	522	470	969	51,441	\$ 5,184,000	\$ 1,181,000	\$ 2,363,000	\$ 1,640,000
INC/(DEC) (detail provided on pages 42-43)	(21)	(465)	(230)	(7,488)	\$ (474,000)	\$ (33,000)	\$ (68,000)	\$ (373,000)
CHEMICAL DEPENDENCY RECOVERY HOSPITAL								
FY 2014-15 November Estimate		6	12	483	\$ 49,000	\$ -	\$ -	\$ 49,000
FY 2013-14 May Revision Estimate		6	31	673	\$ 68,000	\$ -	\$ -	\$ 68,000
INC/(DEC) (detail provided on pages 42-43)		0	(19)	(190)	\$ (19,000)	\$ -	\$ -	\$ (19,000)
CONGREGATE LIVING HEALTH FACILITY								
FY 2014-15 November Estimate		65	70	2,884	\$ 307,000	\$ -	\$ -	\$ 307,000
FY 2013-14 May Revision Estimate		54	60	1,164	\$ 115,000	\$ -	\$ -	\$ 115,000
INC/(DEC) (detail provided on pages 42-43)		11	10	1,720	\$ 192,000	\$ -	\$ -	\$ 192,000
COMMUNITY CLINIC /PCC/RHC/FREEC								
FY 2014-15 November Estimate	300	953	602	10,403	\$ 1,110,000	\$ 118,000	\$ 235,000	\$ 757,000
FY 2013-14 May Revision Estimate	283	994	504	12,116	\$ 1,217,000	\$ 135,000	\$ 270,000	\$ 812,000
INC/(DEC) (detail provided on pages 44-45)	17	(41)	98	(1,713)	\$ (107,000)	\$ (17,000)	\$ (35,000)	\$ (55,000)
CORRECTIONAL TREATMENT CENTERS								
FY 2014-15 November Estimate		18	133	3,920	\$ 415,000	\$ -	\$ -	\$ 415,000
FY 2013-14 May Revision Estimate		19	69	2,347	\$ 228,000	\$ -	\$ -	\$ 228,000
INC/(DEC) (detail provided on pages 44-45)		(1)	65	1,572	\$ 187,000	\$ -	\$ -	\$ 187,000
GENERAL ACUTE CARE HOSPITAL								
FY 2014-15 November Estimate	86	434	8,290	191,418	\$ 20,272,000	\$ 1,807,000	\$ 3,615,000	\$ 14,850,000
FY 2013-14 May Revision Estimate	80	438	9,742	306,321	\$ 30,312,000	\$ 4,444,000	\$ 8,889,000	\$ 16,979,000
INC/(DEC) (detail provided on pages 46-47)	6	(4)	(1,452)	(114,903)	\$ (10,040,000)	\$ (2,637,000)	\$ (5,274,000)	\$ (2,129,000)
HOME HEALTH AGENCIES								
FY 2014-15 November Estimate	1,446	285	1,337	91,926	\$ 9,895,000	\$ 2,254,000	\$ 4,507,000	\$ 3,134,000
FY 2013-14 May Revision Estimate	1,429	422	1,418	101,208	\$ 10,347,000	\$ 2,324,000	\$ 4,647,000	\$ 3,376,000
INC/(DEC) (detail provided on pages 48-49)	17	(137)	(80)	(9,282)	\$ (452,000)	\$ (70,000)	\$ (140,000)	\$ (242,000)
HOSPICES								
FY 2014-15 November Estimate	457	535	489	18,680	\$ 1,991,000	\$ 409,000	\$ 819,000	\$ 763,000
FY 2013-14 May Revision Estimate	279	435	360	20,455	\$ 2,031,000	\$ 443,000	\$ 887,000	\$ 701,000
INC/(DEC) (detail provided on pages 50-51)	178	100	129	(1,775)	\$ (40,000)	\$ (34,000)	\$ (68,000)	\$ 62,000
INTERMEDIATE CARE FACILITY (ICF)								
FY 2014-15 November Estimate		5	425	5,946	\$ 630,000	\$ -	\$ -	\$ 630,000
FY 2013-14 May Revision Estimate		5	569	6,386	\$ 621,000	\$ -	\$ -	\$ 621,000
INC/(DEC) (detail provided on pages 50-51)		0	(144)	(440)	\$ 9,000	\$ -	\$ -	\$ 9,000
ICF - DD; DDH; DDN								
FY 2014-15 November Estimate	1,142	1,182	6,725	149,462	\$ 15,756,000	\$ -	\$ 7,695,000	\$ 8,061,000
FY 2013-14 May Revision Estimate	1,186	1,184	7,603	145,900	\$ 14,397,000	\$ -	\$ 7,340,000	\$ 7,057,000
INC/(DEC) (detail provided on pages 52-53)	(44)	(2)	(878)	3,563	\$ 1,359,000	\$ -	\$ 355,000	\$ 1,004,000
PEDIATRIC DAY HEALTH / RESPITE CARE								
FY 2014-15 November Estimate		16	10	496	\$ 47,000	\$ -	\$ -	\$ 47,000
FY 2013-14 May Revision Estimate		25	20	286	\$ 26,000	\$ -	\$ -	\$ 26,000
INC/(DEC) (detail provided on pages 52-53)		(9)	(10)	210	\$ 21,000	\$ -	\$ -	\$ 21,000
PSYCHOLOGY CLINIC								
FY 2014-15 November Estimate		22	8	469	\$ 48,000	\$ -	\$ -	\$ 48,000
FY 2013-14 May Revision Estimate		24	9	528	\$ 53,000	\$ -	\$ -	\$ 53,000
INC/(DEC) (detail provided on pages 54-55)		(2)	(1)	(59)	\$ (5,000)	\$ -	\$ -	\$ (5,000)
REFERRAL AGENCIES								
FY 2014-15 November Estimate		4	0	0	\$ -	\$ -	\$ -	\$ -
FY 2013-14 May Revision Estimate		6	3	153	\$ 15,000	\$ -	\$ -	\$ 15,000
INC/(DEC) (detail provided on pages 54-55)		(2)	(3)	(153)	\$ (15,000)	\$ -	\$ -	\$ (15,000)
REHAB CLINIC / OPT/CORF								
FY 2014-15 November Estimate	146	14	63	3,968	\$ 426,000	\$ 101,000	\$ 202,000	\$ 123,000
FY 2013-14 May Revision Estimate	174	14	74	5,192	\$ 519,000	\$ 125,000	\$ 249,000	\$ 145,000
INC/(DEC) (detail provided on pages 54-55)	(28)	0	(11)	(1,224)	\$ (93,000)	\$ (24,000)	\$ (47,000)	\$ (22,000)
SKILLED NURSING								
FY 2014-15 November Estimate	1,244	1,270	17,188	613,896	\$ 65,481,000	\$ -	\$ 40,047,000	\$ 25,433,000
FY 2013-14 May Revision Estimate	1,250	1,288	19,987	657,472	\$ 66,032,000	\$ -	\$ 37,570,000	\$ 28,462,000
INC/(DEC) (detail provided on pages 56-57)	(6)	(18)	(2,799)	(43,576)	\$ (551,000)	\$ -	\$ 2,477,000	\$ (3,029,000)
SURGICAL CLINIC / ASC								
FY 2014-15 November Estimate	713	4	596	34,077	\$ 3,634,000	\$ 906,000	\$ 1,811,000	\$ 917,000
FY 2013-14 May Revision Estimate	737	42	1,113	64,772	\$ 6,519,000	\$ 1,621,000	\$ 3,243,000	\$ 1,655,000
INC/(DEC) (detail provided on pages 58-59)	(24)	(38)	(517)	(30,695)	\$ (2,885,000)	\$ (715,000)	\$ (1,432,000)	\$ (738,000)

See Appendix B on Page 39 for workload driver detail.
Rounding differences may arise in totals.

Facility Type Workload Driver Comparison

Appendix B

Chart 1 Summary

Date of Report / Reporting Period	Actual Facility Counts					% Change from 13-14 to 14-15
	07/22/2010	07/01/2011	07/02/2012	07/01/2013		
For Estimate Budget Year	2011-12	2012-13	2013-14	2014-15		
Total Federally Certified Facilities	5,412	6,005	6,005	6,100	2%	
Total Licensed Facilities	6,525	5,424	5,772	5,131	-11%	
Grand Total						
Year Over Year Percentage Change		-4%	3%	-5%		
Total Positions						
Position Year Over Year Percentage Change						

Chart 2 Summary

	Estimated Activity Hours				% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	
	865,095	942,815	942,378	787,569	-16%
	532,410	484,342	470,462	412,902	-12%
	1,397,505	1,427,157	1,412,840	1,200,471	-15%
		2%	-1%	-15%	
	998	793	785	667	
		(0)	-1%	(0)	

Chart 3 Summary

For Estimate Budget Year	Estimated Activity Count				% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	
Total Federally Certified Facilities	14,528.00	15,051.00	15,051.00	16,160.33	7%
Total Licensed Facilities	28,074.03	28,330.53	28,463.54	21,263.33	-25%
Grand Total	42,602.03	43,382	43,514.54	37,424	-14%
Year Over Year Percentage Change		2%	0%	-14%	
Total Positions					
Position Year Over Year Percentage Change					

Chart 4 Summary

Standard Average Hours
The hours required to complete all activities are captured and calculated to yield the Standard Average Hours unit of measure for all activities within all facility types. They are unique both to the activity and to the facility type and cannot be aggregated.

These summary charts contain year by year data of the drivers that make up our estimated workload. The charts are repeated below for each facility type.

Chart 1 Contains comparative data for facility counts for each budget year

Chart 2 Contains comparative data for the total hours spent to complete all estimated activities

Chart 3 Contains comparative data for the number of activities estimated to be completed in the year.

Chart 4 Contains the Standard Average Hours required to complete each activity. The standard average hours are developed from actual timekeeping data from the prior three years.

Facility Type Workload Driver Comparison

Appendix B

Chart 1

Chart 2

Date of Report / Reporting Period For Estimate Budget Year	Actual Facility Counts					% Change from 13-14 to 14-15	Estimated Activity Hours					% Change from 13-14 to 14-15
	07/22/2010 2011-12	07/01/2011 2012-13	07/02/2012 2013-14	07/01/2013 2014-15			2011-12	2012-13	2013-14	2014-15		

ALTERNATIVE BIRTHING CENTERS

FIELD VISIT	5	6	8	7	-13%	109	111	88	77	-12%
INITIAL LICENSURE						-	-	-	-	
COMPLAINT INVESTIGATION			-			23	-	-	15	
Total Licensed Facilities	5	6	8	7	-13%	132	111	88	92	5%
<i>Year Over Year Percentage Change</i>		20%	33%	-13%			-16%	-21%	5%	

ADULT DAY HEALTH CENTER

RE-LICENSURE	328	301	300	270	-10%	1,472	1,574	15,747	9,136	-42%
INITIAL LICENSURE			-			9	94	99	-	-100%
INITIAL LICENSURE - FOLLOW-UP			-			2	1	2	-	-100%
COMPLAINT / ERI INVESTIGATION			-			433	489	1,772	514	-71%
FIELD VISIT			-			633	323	342	86	-75%
Total Licensed Facilities	328	301	300	270	-10%	2,549	2,482	17,961	9,736	-46%
<i>Year Over Year Percentage Change</i>		-8%	0%	-10%					-46%	

ACUTE PSYCHIATRIC HOSPITAL

RE-LICENSURE	37	38	38	36	-5%	195	3,790	1,122	3,702	230%
INITIAL LICENSURE			-			2	10	11	-	-100%
FIELD VISIT			-			82	-	-	14	
COMPLAINT (or ERI)			-			6,805	4,939	7,367	4,743	-36%
COMPLAINT - DEEMED						2,854	2,068	368	90	-76%
COMPLAINT - NON-DEEMED						408	687	1,025	-	-100%
VALIDATION						-	1,884	4,747	3,025	-36%
VALIDATION FOLLOW UP						-	369	390	-	-100%
RE-CERTIFICATION	68	65	65	65	0%	2,854	3,600	2,670	3,105	16%
RE-CERTIFICATION FOLLOW UP / REVISITS			-			408	397	381	408	7%
LIFE SAFETY CODE			-			828	367	297	342	15%
LIFE SAFETY CODE - FOLLOW UP						-	-	-	-	
Total Federally Certified Facilities	68	65	65	65	0%	7,352	9,371	9,877	10,203	3%
Total Licensed Facilities	37	38	38	36	-5%	7,084	8,738	8,500	8,458	0%
Grand Total	105	103	103	101	-2%	14,436	18,110	18,377	18,661	2%
<i>Year Over Year Percentage Change</i>		-2%	0%	-2%			25%	1%	2%	

Facility Type Workload Driver Comparison

Appendix B

Chart 3

Date of Report / Reporting Period	Estimated Activity Count				% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	
For Estimate Budget Year					

Chart 4

SAH 2009-10	SAH 2010-11	SAH 2011-12	SAH 2012-13	% Change from 13-14 to 14-15

ALTERNATIVE BIRTHING CENTERS

FIELD VISIT	1.67	2.00	2.67	2.33	-13%
INITIAL LICENSURE	-	-	-	-	
COMPLAINT INVESTIGATION	1.05	-	-	1.00	
Total Licensed Facilities	2.77	2.00	2.67	3.33	25%
<i>Year Over Year Percentage Change</i>		-28%	33%	25%	

43.89	43.89	24.56	24.56	0%
12.67	12.67	12.67	12.67	0%
14.00	6.08	15.94	11.01	-31%

ADULT DAY HEALTH CENTER

RE-LICENSURE	164.00	150.50	150.00	135.00	-10%
INITIAL LICENSURE	2.00	2.00	2.00	-	-100%
INITIAL LICENSURE - FOLLOW-UP	1.00	1.00	1.00	-	-100%
COMPLAINT / ERI INVESTIGATION	43.05	45.20	45.20	25.00	-45%
FIELD VISIT	28.00	15.97	15.97	4.00	-75%
Total Licensed Facilities	240.00	214.67	214.17	164.00	-23%
<i>Year Over Year Percentage Change</i>				-23%	

6.01	8.25	78.32	50.29	-36%
3.13	37.05	37.05	37.05	0%
	1.16	1.16	1.16	0%
6.41	8.54	29.25	34.73	19%
15.13	15.97	15.97	15.97	0%

ACUTE PSYCHIATRIC HOSPITAL

RE-LICENSURE	12.33	12.66	12.66	12.00	-5%
INITIAL LICENSURE	0.73	4.00	4.00	-	-100%
FIELD VISIT	5.00	-	-	1.00	
COMPLAINT (or ERI)	786.45	661.45	661.45	475.00	-28%
COMPLAINT - DEEMED	-	33.00	33.00	9.00	-73%
COMPLAINT - NON-DEEMED	-	92.00	92.00	-	-100%
VALIDATION	-	13.00	13.00	13.00	0%
VALIDATION FOLLOW UP	-	4.00	4.00	-	-100%
RE-CERTIFICATION	14.00	13.00	13.00	13.00	0%
RECERTIFICATION FOLLOW UP / REVISITS	4.00	4.00	4.00	4.00	0%
LIFE SAFETY CODE	14.00	13.00	13.00	13.00	0%
LIFE SAFETY CODE - FOLLOW UP	-	-	-	-	
Total Federally Certified Facilities	32.00	172.00	172.00	81.00	-53%
Total Licensed Facilities	844.00	678.11	678.11	488.00	-28%
Grand Total	876.00	850.11	850.11	569.00	-33%
<i>Year Over Year Percentage Change</i>		-3%	0%	-33%	

10.55	236.08	66.10	229.28	247%
2.01	2.01	2.01	180.81	8896%
10.85	10.12	10.12	10.34	2%
	5.89	8.31	7.42	-11%
5.45	49.44	8.31	7.42	-11%
	5.89	8.31	7.42	-11%
53.51	114.35	272.41	172.90	-37%
43.21	72.77	72.77	68.25	-6%
136.49	218.45	153.23	177.50	16%
255.47	78.23	71.11	75.86	7%
39.61	22.25	17.03	19.57	15%
	11.42	11.42	11.42	0%

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Actual Facility Counts					% Change from 13-14 to 14-15	Estimated Activity Hours					% Change from 13-14 to 14-15
	07/22/2010 2011-12	07/01/2011 2012-13	07/02/2012 2013-14	07/01/2013 2014-15			2011-12	2012-13	2013-14	2014-15		

CHRONIC DIALYSIS CLINIC / ESRD

RE-LICENSURE	431	457	470	5	-99%	2,743	5,438	2,694	45	-98%
INITIAL LICENSURE			-			685	343	441	369	-16%
INITIAL LICENSURE - FOLLOW-UP						-	12	12	-	-100%
COMPLAINT / ERI INVESTIGATION			-			1,234	1,074	1,432	632	-56%
FIELD VISIT			-			120	106	24	78	229%
RE-LICENSURE - FOLLOW UP						27	-	-	-	
RE-CERTIFICATION	510	522	522	501	-4%	38,032	36,722	34,030	33,030	-3%
INITIAL CERTIFICATION (New Providers)			-			778	833	1,548	707	-54%
INITIAL CERTIFICATION - FOLLOW UP						201	216	228	137	-40%
RE-CERTIFICATION FOLLOW-UP/REVISITS			-			4,104	3,990	4,131	3,908	-5%
LIFE SAFETY CODE			-			2,048	1,755	3,210	1,985	-38%
LIFE SAFETY CODE SURVEY COMPLAINTS						-	-	-	83	
COMPLAINT INVESTIGATION						1,897	2,587	3,691	2,979	-19%
Total Federally Certified Facilities	510	522	522	501	-4%	47,060	46,104	46,838	42,829	-9%
Total Licensed Facilities	431	457	470	5	-99%	4,809	6,973	4,603	1,124	-76%
Grand Total	941	979	992	506	-49%	51,869	53,077	51,441	43,953	-15%
<i>Year Over Year Percentage Change</i>		4%	1%	-49%			2%	-3%	-15%	

CHEMICAL DEPENDENCY RECOVERY HOSPITAL

RE-LICENSURE	6	6	6	6	0%	462	392	414	416	0%
COMPLAINT / ERI INVESTIGATION			-			114	187	259	67	-74%
FIELD VISIT						16	-	-	-	
Total Licensed Facilities	6	6	6	6	0%	592	579	673	483	
<i>Year Over Year Percentage Change</i>		0%	0%	0%			-2%	16%	-28%	

CONGREGATE LIVING HEALTH FACILITY

RE-LICENSURE	52	54	54	65	20%	3,420	1,782	458	1,976	331%
INITIAL LICENSURE			-			242	126	56	182	223%
COMPLAINT / ERI INVESTIGATION			-			718	558	623	706	13%
FIELD VISIT			-			36	25	26	19	-27%
Total Licensed Facilities	52	54	54	65	20%	4,416	2,491	1,164	2,884	148%
<i>Year Over Year Percentage Change</i>		4%	0%	20%			-44%	-53%	148%	

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Chart 3 Estimated Activity Count					Chart 4 Actual Standard Average Hours				
	2011-12	2012-13	2013-14	2014-15	% Change from 13-14 to 14-15	SAH 2009-10	SAH 2010-11	SAH 2011-12	SAH 2012-13	% Change from 13-14 to 14-15

CHRONIC DIALYSIS CLINIC / ESRD

RE-LICENSURE	143.65	152.33	156.67	2.00	-99%
INITIAL LICENSURE	24.78	15.00	15.00	14.00	-7%
INITIAL LICENSURE - FOLLOW-UP	-	1.00	1.00	-	-100%
COMPLAINT / ERI INVESTIGATION	107.00	96.49	96.49	48.00	-50%
FIELD VISIT	8.92	10.00	10.00	6.00	-40%
RE-LICENSURE - FOLLOW UP	4.95	-	-	-	
RE-CERTIFICATION	220.00	223.00	223.00	215.00	-4%
INITIAL CERTIFICATION (New Providers)	29.00	29.00	29.00	18.00	-38%
INITIAL CERTIFICATION - FOLLOW UP	15.00	15.00	15.00	9.00	-40%
RE-CERTIFICATION FOLLOW-UP/REVISITS	75.00	76.00	76.00	73.00	-4%
LIFE SAFETY CODE	219.00	223.00	223.00	215.00	-4%
LIFE SAFETY CODE SURVEY COMPLAINTS	-	-	-	9.00	
COMPLAINT INVESTIGATION	103.00	124.00	124.00	130.00	5%
Total Federally Certified Facilities	661.00	690.00	690.00	669.00	-3%
Total Licensed Facilities	289.30	274.82	279.16	70.00	-75%
Grand Total	950.30	964.82	969.16	739.00	-24%
<i>Year Over Year Percentage Change</i>		2%	0%	-24%	

	12.78	28.16	12.83	16.66	30%
	18.50	18.05	21.92	19.57	-11%
		9.26	9.26	9.26	0%
	7.02	8.78	11.07	9.79	-12%
	9.01	8.39	1.76	9.61	446%
	3.71	3.71	3.71	3.71	0%
	115.73	129.91	113.85	114.16	0%
	17.96	22.67	39.82	29.20	-27%
		11.34	11.34	11.34	0%
	36.63	41.42	40.55	39.78	-2%
	6.26	6.21	10.74	6.86	-36%
	2.48	2.48	2.48	2.48	0%
	12.33	16.46	22.21	17.03	-23%

CHEMICAL DEPENDENCY RECOVERY HOSPITAL

RE-LICENSURE	3.00	3.00	3.00	3.00	0%
COMPLAINT / ERI INVESTIGATION	26.25	27.56	27.56	9.00	-67%
FIELD VISIT	1.00	-	-	-	
Total Licensed Facilities	31.56	30.56	30.56	12.00	-61%
<i>Year Over Year Percentage Change</i>		-3%	0%	-61%	

	103.00	103.00	103.00	103.00	0%
	2.77	5.35	7.00	5.56	-21%
	11.04	11.04	11.04	11.04	0%

CONGREGATE LIVING HEALTH FACILITY

RE-LICENSURE	26.00	27.00	27.00	33.00	22%
INITIAL LICENSURE	10.00	3.00	3.00	6.00	100%
COMPLAINT / ERI INVESTIGATION	27.30	28.67	28.67	30.00	5%
FIELD VISIT	2.00	1.00	1.00	1.00	0%
Total Licensed Facilities	66.67	59.67	59.67	70.00	17%
<i>Year Over Year Percentage Change</i>		-10%	0%	17%	

	88.05	52.08	12.66	44.50	252%
	16.23	33.04	13.98	22.51	61%
	16.76	15.35	16.22	17.50	8%
		19.72	19.72	14.34	-27%

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Chart 1 Actual Facility Counts					Chart 2 Estimated Activity Hours				
	07/22/2010 2011-12	07/01/2011 2012-13	07/02/2012 2013-14	07/01/2013 2014-15	% Change from 13-14 to 14-15	2011-12	2012-13	2013-14	2014-15	% Change from 13-14 to 14-15

COMMUNITY CLINIC /PCC/RHC/FREEC

RE-LICENSURE	1,034	856	994	953	-4%	4,561	2,658	5,360	3,106	-42%
INITIAL LICENSURE			-			362	574	752	537	-29%
COMPLAINT / ERI INVESTIGATION			-			750	647	552	2,238	305%
FIELD VISIT			-			195	144	152	133	-12%
RE-LICENSURE FOLLOW UP						1	1	1	-	-100%
RE-CERTIFICATION (RHC)	274	283	283	300	6%	1,463	1,262	4,838	4,014	-17%
INITIAL SURVEY (New Providers)			-			1,669	1,034	210	273	30%
INITIAL FOLLOW UP			-			132	116	89	57	-36%
COMPLAINT INVESTIGATION - NLTC						260	317	161	44	-72%
Total Federally Certified Facilities	274	283	283	300	6%	3,524	2,729	5,298	4,388	-17%
Total Licensed Facilities	1,034	856	994	953	-4%	5,869	4,023	6,817	6,015	-12%
Grand Total	1,308	1,139	1,277	1,253	-2%	9,393	6,752	12,116	10,403	-14%
Year Over Year Percentage Change		-13%	12%	-2%			-28%	79%	-14%	

CORRECTIONAL TREATMENT CENTERS

RE-LICENSURE	19	19	19	18	-5%	2,082	1,793	1,666	1,899	14%
INITIAL LICENSURE			-			52	43	46	531	1065%
COMPLAINT INVESTIGATION			-			657	535	524	1,465	180%
COMPLAINT FOLLOW UP			-			5	4	9	-	
FIELD VISIT			-			231	97	102	25	-76%
Total Licensed Facilities	19	19	19	18	-5%	3,027	2,471	2,347	3,920	67%
Year Over Year Percentage Change		0%	0%	-5%				-5%	67%	

Facility Type Workload Driver Comparison

Appendix B

Chart 3

Chart 4

Date of Report / Reporting Period	Estimated Activity Count				% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	
For Estimate Budget Year					

SAH 2009-10	SAH 2010-11	SAH 2011-12	SAH 2012-13	% Change from 13-14 to 14-15

COMMUNITY CLINIC /PCC/RHC/FREEC

RE-LICENSURE	344.66	285.33	331.33	314.00	-5%
INITIAL LICENSURE	20.00	15.00	15.00	13.00	-13%
COMPLAINT / ERI INVESTIGATION	45.10	48.36	48.36	184.00	280%
FIELD VISIT	15.00	9.00	9.00	7.00	-22%
RE-LICENSURE FOLLOW UP	1.00	1.00	1.00	-	-100%
RE-CERTIFICATION (RHC)	60.00	61.00	61.00	62.00	2%
INITIAL SURVEY (New Providers)	12.00	12.00	12.00	12.00	0%
INITIAL FOLLOW UP	6.00	6.00	6.00	6.00	0%
COMPLAINT INVESTIGATION - NLTC	20.00	20.00	20.00	4.00	-80%
Total Federally Certified Facilities	98.00	99.00	99.00	84.00	-15%
Total Licensed Facilities	425.76	358.69	404.69	518.00	28%
Grand Total	523.76	457.69	503.69	602.00	20%
Year Over Year Percentage Change		-13%	10%	20%	

8.86	7.35	12.07	7.35	-39%
12.12	30.17	37.42	30.70	-18%
10.38	10.55	8.52	9.04	6%
8.70	12.58	12.58	14.16	13%
0.51	0.51	0.51	0.51	0%
16.32	16.32	59.17	48.11	-19%
22.19	67.96	13.07	16.88	29%
	15.31	11.10	7.07	-36%
8.70	12.49	6.00	8.26	38%

CORRECTIONAL TREATMENT CENTERS

RE-LICENSURE	10.00	9.50	9.50	9.00	-5%
INITIAL LICENSURE	1.00	1.00	1.00	2.00	100%
COMPLAINT INVESTIGATION	74.55	56.00	56.00	120.00	114%
COMPLAINT FOLLOW UP	1.00	-	-	-	
FIELD VISIT	2.00	1.00	1.00	2.00	100%
Total Licensed Facilities	256.33	67.50	67.50	133.00	97%
Year Over Year Percentage Change		-74%	0%	97%	

137.36	148.91	130.84	156.81	20%
34.00	34.00	34.00	197.21	480%
5.54	7.53	6.98	9.07	30%
3.04	3.04	6.98	-	
75.25	76.37	76.37	9.31	-88%

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Chart 1 Actual Facility Counts					Chart 2 Estimated Activity Hours				
	07/22/2010 2011-12	07/01/2011 2012-13	07/02/2012 2013-14	07/01/2013 2014-15	% Change from 13-14 to 14-15	2011-12	2012-13	2013-14	2014-15	% Change from 13-14 to 14-15

GENERAL ACUTE CARE HOSPITAL

RE-LICENSURE	433	438	438	434	-1%	5,655	2,556	3,640	4,140	14%
PATIENT SAFETY LICENSING						8,756	3,042	5,869	2,786	-53%
RELICENSURE FOLLOW UP						31	15	16	-	
INITIAL LICENSURE						1,066	1,341	2,365	1,174	-50%
INITIAL LICENSURE FOLLOW-UP						268	-	-	-	
COMPLAINT						84,695	77,537	98,630	95,362	-3%
COMPLAINT FOLLOW UP						75	-	-	-	
REVIEW MEDICAL ERROR PLAN MERP						11,531	9,862	11,982	11,331	-5%
FIELD VISIT						9,211	25,378	4,488	8,576	91%
RECERTIFICATION	50	49	49	86	76%	5,960	3,896	11,160	11,160	0%
RECERTIFICATION - FOLLOW UP						641	828	1,594	-	-100%
RECERTIFICATION (Transplant Center)						1,261	1,070	2,126	-	
INITIAL CERTIFICATION FOLLOW UP(NON-ACCREDITED)						93	52	55	594	977%
COMPLAINT SURVEY (NON-ACCREDITED)						8,033	110,214	116,540	7,340	-94%
INITIAL CERTIFICATION FOLLOW UP(Transplant Center)						158	134	28	-	-100%
INITIAL SURVEY (New Providers NON-ACCREDITED)						1,480	2,237	2,365	-	-100%
INITIAL SURVEY (New Providers - ORGAN TRANSPLANT)						315	267	283	297	5%
COMPLAINT SURVEY (ACCREDITED)						3,412	-	-	6,728	
COMPLAINT VALIDATION (ACCREDITED)						5,579	4,437	1,879	11,301	502%
COMPLAINT VALIDATION FOLLOW UP (ACCREDITED)						1,399	1,030	965	-	-100%
COMPLAINT SURVEYS EMTALA (ACCREDITED)						1,185	1,005	337	1,750	420%
COMPLAINT INVESTIGATION NLTC EMTALA (NONACCREDITED)						91	77	26	309	1093%
VALIDATION (ACCREDITED)	30	31	31		-100%	12,186	15,724	26,710	12,285	-54%
VALIDATION FOLLOW UP (ACCREDITED)		-	-			2,931	3,008	3,368	6,233	85%
LIFE SAFETY CODE (ACCREDITED)						5,491	4,706	6,348	3,368	-47%
LIFE SAFETY CODE (NON-ACCREDITED)						2,197	1,725	2,328	2,675	15%
LIFE SAFETY CODE - FOLLOW UP (ACCREDITED)						183	30	4	19	405%
LIFE SAFETY CODE - FOLLOW UP (NON-ACCREDITED)						55	61	7	75	911%
FOLLOW UP /REVISITS NON-ACCREDITED (COMPLIANCE VERIFICATION) Recert Followup						998	1,080	3,211	3,915	22%
Total Federally Certified Facilities	80	80	80	86	8%	53,648	151,582	179,332	68,048	-62%
Total Licensed Facilities	433	438	438	434	-1%	121,288	119,732	126,990	123,371	-3%
Grand Total	513	518	518	520	0%	174,936	271,314	306,321	191,418	-38%
<i>Year Over Year Percentage Change</i>		1%	0%	0%			55%	13%	-38%	

* Federal Activities are Bolded

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Chart 3 Estimated Activity Count					% Change from 13-14 to 14-15	Chart 4 Actual Standard Average Hours					% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	SAH 2009-10		SAH 2010-11	SAH 2011-12	SAH 2012-13	2011-12	2012-13	

GENERAL ACUTE CARE HOSPITAL

RE-LICENSURE	140.99	146.00	146.00	143.00	-2%	26.85	13.81	18.60	20.43	10%
PATIENT SAFETY LICENSING	150.00	60.00	60.00	31.00	-48%		40.00	72.98	66.79	-8%
RELICENSURE FOLLOW UP	6.84	4.00	4.00	-		3.05	3.05	3.05	-	
INITIAL LICENSURE	43.96	25.00	25.00	14.00	-44%	16.24	42.32	70.57	62.34	-12%
INITIAL LICENSURE FOLLOW-UP	6.84	-	-	-			26.21	26.21	-	
COMPLAINT	7,158.95	7,617.45	7,617.45	6,344.00	-17%	7.49	8.03	9.66	9.09	-6%
COMPLAINT FOLLOW UP	17.90	-	-	-			4.05	9.66	-	
REVIEW MEDICAL ERROR PLAN MERP	127.00	128.00	128.00	115.00	-10%		60.78	69.84	73.22	5%
FIELD VISIT	250.00	450.00	450.00	202.00	-55%	24.65	44.49	7.44	31.55	324%
RECERTIFICATION	26.00	21.00	21.00	26.00	24%	153.17	146.36	396.47	329.17	-17%
RECERTIFICATION - FOLLOW UP	3.00	3.00	3.00	-	-100%	44.54	217.62	396.47	128.84	-68%
RECERTIFICATION (Transplant Center)	4.00	4.00	4.00	-			211.00	396.47	-	
INITIAL CERTIFICATION FOLLOW UP(NON-ACCREDITED)	3.00	2.00	2.00	2.00	0%	20.57	20.57	20.57	20.57	0%
COMPLAINT SURVEY (NON-ACCREDITED)	718.00	919.00	919.00	600.00	-35%		94.61	94.61	9.09	-90%
INITIAL CERTIFICATION FOLLOW UP(Transplant Center)	1.00	1.00	1.00	-	-100%		105.50	20.57	20.57	0%
INITIAL SURVEY (New Providers NON-ACCREDITED)	8.00	8.00	8.00	-	-100%	123.84	220.56	220.58	220.56	0%
INITIAL SURVEY (New Providers - ORGAN TRANSPLANT)	1.00	1.00	1.00	1.00	0%		211.00	211.00	220.56	5%
COMPLAINT SURVEY (ACCREDITED)	305.00	-	-	550.00			8.03	9.66	9.09	-6%
COMPLAINT VALIDATION (ACCREDITED)	72.00	37.00	37.00	70.00	89%	51.87	94.61	37.88	119.97	217%
COMPLAINT VALIDATION FOLLOW UP (ACCREDITED)	36.00	19.00	19.00	-	-100%	26.02	42.77	37.88	37.88	0%
COMPLAINT SURVEYS EMTALA (ACCREDITED)	26.00	26.00	26.00	17.00	-35%		30.50	9.66	76.50	692%
COMPLAINT INVESTIGATION NLTC EMTALA (NONACCREDITED)	2.00	2.00	2.00	3.00	50%		30.50	9.66	76.50	692%
VALIDATION (ACCREDITED)	57.00	57.00	57.00	34.00	-40%	143.12	217.62	349.60	268.51	-23%
VALIDATION FOLLOW UP (ACCREDITED)	28.00	28.00	28.00	55.00	96%	70.07	84.76	89.74	84.22	-6%
LIFE SAFETY CODE (ACCREDITED)	60.00	60.00	60.00	34.00	-43%	61.27	61.87	78.94	73.61	-7%
LIFE SAFETY CODE (NON-ACCREDITED)	24.00	22.00	22.00	27.00	23%	61.27	61.87	78.94	73.61	-7%
LIFE SAFETY CODE - FOLLOW UP (ACCREDITED)	2.00	2.00	2.00	1.00	-50%	9.17	12.02	1.38	13.89	907%
LIFE SAFETY CODE - FOLLOW UP (NON-ACCREDITED)	4.00	4.00	4.00	4.00	0%	9.17	12.02	1.38	13.89	907%
FOLLOW UP /REVISITS NON-ACCREDITED (COMPLIANCE VERIFICATION) Recert	15.00	14.00	14.00	17.00	21%	2.67	60.86	171.13	171.13	0%
Total Federally Certified Facilities	1,395.00	1,230.00	1,230.00	1,441.00	17%					
Total Licensed Facilities	7,902.48	8,430.45	8,430.45	6,849.00	-19%					
Grand Total	9,297.48	9,660.45	9,660.45	8,290.00	-14%					
Year Over Year Percentage Change		4%	0%	-14%						

* Federal Activities are Bolded

Facility Type Workload Driver Comparison

Appendix B

Chart 1						Chart 2				
Date of Report / Reporting Period	Actual Facility Counts					Estimated Activity Hours				
	07/22/2010	07/01/2011	07/02/2012	07/01/2013	% Change from 13-14 to 14-15	2011-12	2012-13	2013-14	2014-15	% Change from 13-14 to 14-15
For Estimate Budget Year	2011-12	2012-13	2013-14	2014-15		2011-12	2012-13	2013-14	2014-15	

HOME HEALTH AGENCIES

RE-LICENSURE	1,267	341	422	285	-32%	48,054	4,526	4,452	3,866	-13%
RE-LICENSURE FOLLOW UP						-	4	4	4	0%
INITIAL LICENSURE			-			2,438	1,895	2,620	1,715	-35%
INITIAL LICENSURE FOLLOW UP			-			24	50	53	18	-67%
COMPLAINT / ERI INVESTIGATION			-			2,579	2,343	3,047	2,593	-15%
COMPLAINT FOLLOW UP			-			7	-	-	-	
FIELD VISIT			-			177	221	234	72	-69%
RECERTIFICATION (SURVEY)	583	1,029	1,029	832	-19%	48,340	50,089	53,775	42,938	-20%
Add'l Target Sample & 24.9 mo Avg						-	25,552	29,260	23,224	-21%
COMPLAINT INVESTIGATION - NON-DEEMED			-			649	558	726	2,299	217%
COMPLAINT INVESTIGATION - DEEMED			-			289	369	264	-	-100%
COMPLAINT VALIDATION						-	-	-	4,681	
VALIDATION SURVEY (RANDOM SAMPLE)	300	400	400	614	54%	2,441	2,092	3,587	149	-96%
VALIDATION FOLLOW UP						-	274	297	278	-6%
INITIAL SURVEY (New Providers)			-			121	79	223	204	-8%
INITIAL CERTIFICATION - FOLLOW UP			-			42	36	59	59	0%
RECERTIFICATION FOLLOW UP / REVISITS			-			5,101	4,090	2,608	9,827	277%
Total Federally Certified Facilities	883	1,429	1,429	1,446	1%	56,983	83,138	90,798	83,658	-8%
Total Licensed Facilities	1,267	341	422	285	-32%	53,279	9,039	10,410	8,268	-21%
Grand Total	2,150	1,770	1,851	1,731	-6%	110,262	92,178	101,208	91,926	-9%
Year Over Year Percentage Change		-18%	5%	-6%			-16%	10%	-9%	

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Chart 3 Estimated Activity Count					% Change from 13-14 to 14-15	Chart 4 Actual Standard Average Hours					% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	SAH 2009-10		SAH 2010-11	SAH 2011-12	SAH 2012-13	2014-15		

HOME HEALTH AGENCIES

RE-LICENSURE	1,267.00	341.00	422.00	285.00	-32%	25.39	10.47	7.87	10.08	28%
RE-LICENSURE FOLLOW UP	-	1.00	1.00	1.00	0%		3.03	3.03	3.03	0%
INITIAL LICENSURE	127.00	120.00	120.00	84.00	-30%	12.85	12.46	16.29	15.17	-7%
INITIAL LICENSURE FOLLOW UP	5.00	3.00	3.00	1.00	-67%	3.16	13.25	13.25	13.25	0%
COMPLAINT / ERI INVESTIGATION	167.05	184.66	184.66	176.00	-5%		10.01	12.31	10.95	-11%
COMPLAINT FOLLOW UP	1.75	-	-	-		2.55	2.55	12.31	10.95	-11%
FIELD VISIT	29.00	26.00	26.00	8.00	-69%	4.09	6.71	6.71	6.67	-1%
RECERTIFICATION (SURVEY)	297.00	340.00	340.00	277.33	-18%	108.96	116.22	118.00	115.05	-3%
Add'l Target Sample & 24.9 mo Avg	-	185.00	185.00	150.00	-19%	108.96	108.96	118.00	115.05	-3%
COMPLAINT INVESTIGATION - NON-DEEMED	45.00	44.00	44.00	156.00	255%		10.01	12.31	10.95	-11%
COMPLAINT INVESTIGATION - DEEMED	20.00	16.00	16.00	-	-100%		18.17	12.31	10.95	-11%
COMPLAINT VALIDATION	-	-	-	50.00			18.17	18.17	69.57	283%
VALIDATION SURVEY (RANDOM SAMPLE)	15.00	20.00	20.00	1.00	-95%		82.51	133.82	110.59	-17%
VALIDATION FOLLOW UP	-	3.00	3.00	3.00	0%		72.08	73.78	68.84	-7%
INITIAL SURVEY (New Providers)	3.00	3.00	3.00	3.00	0%	26.90	20.78	55.34	50.54	-9%
INITIAL CERTIFICATION - FOLLOW UP	1.00	1.00	1.00	1.00	0%	28.01	28.01	43.68	43.68	0%
RECERTIFICATION FOLLOW UP / REVISITS	49.00	49.00	49.00	141.00	188%	69.69	65.85	39.71	51.79	30%
Total Federally Certified Facilities	430.00	661.00	661.00	782.33	18%					
Total Licensed Facilities	1,596.80	675.66	756.66	555.00	-27%					
Grand Total	2,026.80	1,336.66	1,417.66	1,337.33	-6%					
Year Over Year Percentage Change		-34%	6%	-6%						

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period	Actual Facility Counts					% Change from 13-14 to 14-15	Estimated Activity Hours					% Change from 13-14 to 14-15
	07/22/2010	07/01/2011	07/02/2012	07/01/2013			2011-12	2012-13	2013-14	2014-15		
For Estimate Budget Year	2011-12	2012-13	2013-14	2014-15			2011-12	2012-13	2013-14	2014-15		

HOSPICES

RE-LICENSURE	291	333	435	535	23%	296	934	214	-	-100%
INITIAL LICENSURE			-			447	376	367	1,426	288%
INITIAL LICENSURE FOLLOW UP						-	20	11	16	41%
COMPLAINT / ERI INVESTIGATION			-			1,725	1,628	1,955	1,853	-5%
FIELD VISIT			-			5	34	36	31	-13%
RE-CERTIFICATION	255	279	279	250	-10%	7,302	7,410	9,578	7,956	-17%
INITIAL SURVEY (New Providers)			-			235	200	112	1,292	1058%
INITIAL SURVEY - FOLLOW UP			-			105	89	94	646	584%
RE-CERTIFICATION FOLLOW-UP/REVISITS			-			1,151	1,647	2,106	1,948	-8%
COMPLAINT INVESTIGATION - NLTC						1,801	714	857	1,779	108%
COMPLAINT VALIDATION						-	318	336	156	-53%
VALIDATION				207		-	495	566	-	-100%
VALIDATION FOLLOW UP						-	346	366	-	-100%
LIFE SAFETY CODE			-			6,347	5,885	1,117	1,045	-6%
LIFE SAFETY CODE- FOLLOW UP			-			2,843	2,591	2,740	532	-81%
Total Federally Certified Facilities	255	279	279	457	64%	19,784	19,695	17,872	15,354	-14%
Total Licensed Facilities	291	333	435	535	23%	2,473	2,991	2,583	3,326	29%
Grand Total	546	612	714	992	39%	22,257	22,685	20,455	18,680	-9%
Year Over Year Percentage Change		12%	17%	39%			2%	-10%	-9%	

INTERMEDIATE CARE FACILITY (ICF)

RELICENSURE	6	5	5	5	0%	66	870	289	1,174	306%
INITIAL LICENSURE						-	21	22	-	-100%
COMPLAINT / ERI INVESTIGATION			-			7,382	6,231	6,040	4,753	-21%
COMPLAINT FOLLOW UP						5	-	-	-	
FIELD VISIT			-			623	32	34	18	-47%
Total Licensed Facilities	6	5	5	5	0%	8,076	7,155	6,386	5,946	-7%
Year Over Year Percentage Change		-17%	0%	0%			-11%	-11%	-7%	

Facility Type Workload Driver Comparison

Appendix B

Chart 3

Chart 4

Date of Report / Reporting Period	Estimated Activity Count				% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	
For Estimate Budget Year					

SAH 2009-10	SAH 2010-11	SAH 2011-12	SAH 2012-13	% Change from 13-14 to 14-15

HOSPICES

RE-LICENSURE	9.97	10.00	10.00	-	-100%
INITIAL LICENSURE	23.92	24.00	24.00	90.00	275%
INITIAL LICENSURE FOLLOW UP	57.95	1.00	1.00	1.00	0%
COMPLAINT / ERI INVESTIGATION	64.20	91.20	91.20	100.00	10%
FIELD VISIT	2.99	3.00	3.00	3.00	0%
RE-CERTIFICATION	54.00	59.00	59.00	56.00	-5%
INITIAL SURVEY (New Providers)	2.00	2.00	2.00	20.00	900%
INITIAL SURVEY - FOLLOW UP	1.00	1.00	1.00	10.00	900%
RE-CERTIFICATION FOLLOW-UP/REVISITS	27.00	29.00	29.00	28.00	-3%
COMPLAINT INVESTIGATION - NLTC	67.00	40.00	40.00	96.00	140%
COMPLAINT VALIDATION	-	2.00	2.00	2.00	0%
VALIDATION	-	5.00	5.00	-	-100%
VALIDATION FOLLOW UP	-	5.00	5.00	-	-100%
LIFE SAFETY CODE	54.00	59.00	59.00	55.00	-7%
LIFE SAFETY CODE- FOLLOW UP	27.00	29.00	29.00	28.00	-3%
Total Federally Certified Facilities	232.00	231.00	231.00	295.00	28%
Total Licensed Facilities	159.03	129.20	129.20	194.00	50%
Grand Total	391.03	360.20	360.20	489.00	36%
<i>Year Over Year Percentage Change</i>		-8%	0%	36%	

	73.65	15.96	37.64	136%
	12.52	12.35	11.41	3%
		15.45	8.57	40%
	17.99	14.08	15.99	-14%
	1.03	8.93	8.93	-13%
	90.52	99.08	121.12	-13%
	2.25	78.69	41.61	15%
		70.48	70.48	-32%
	28.53	44.81	54.19	-5%
		14.08	15.99	-14%
	14.92	125.28	125.28	-54%
	157.72	78.12	84.47	-3%
	43.92	24.59	54.59	-47%
		78.69	14.12	0%
		70.48	70.48	-80%

INTERMEDIATE CARE FACILITY (ICF)

RELICENSURE	3.00	2.50	2.50	3.00	20%
INITIAL LICENSURE	-	1.00	1.00	-	-100%
COMPLAINT / ERI INVESTIGATION	534.45	561.17	561.17	420.00	-25%
COMPLAINT FOLLOW UP	1.87	-	-	-	
FIELD VISIT	3.00	4.00	4.00	2.00	-50%
Total Licensed Facilities	542.32	568.67	568.67	425.00	-25%
<i>Year Over Year Percentage Change</i>		5%	0%	-25%	

	14.54	274.64	86.26	290.90	237%
		16.73	16.73	16.73	0%
	8.68	8.76	8.03	8.41	5%
	1.53	1.53	8.03	8.03	0%
	136.98	6.39	6.39	6.78	6%

Facility Type Workload Driver Comparison

Appendix B

Chart 1

Chart 2

Date of Report / Reporting Period For Estimate Budget Year	Actual Facility Counts					% Change from 13-14 to 14-15	Estimated Activity Hours				% Change from 13-14 to 14-15
	07/22/2010 2011-12	07/01/2011 2012-13	07/02/2012 2013-14	07/01/2013 2014-15	2011-12		2012-13	2013-14	2014-15		

ICF - DD; DDH; DDN

RELICENSURE (SURVEY)	1,183	1,184	1,184	1,182	0%	25,661	39,915	20,226	36,887	82%
INITIAL LICENSURE			-			382	323	532	264	-50%
INITIAL LICENSURE - FOLLOW-UP			-			2	20	22	-	-100%
COMPLAINT / ERI INVESTIGATION			-			24,609	23,433	25,960	14,654	-44%
COMPLAINT FOLLOW UP			-			10	9	38	-	-100%
FIELD VISIT			-			207	103	98	187	91%
RECERTIFICATION	1,187	1,186	1,186	1,142	-4%	74,372	69,531	72,711	70,509	-3%
INITIAL CERTIFICATION			-			464	483	781	-	-100%
INITIAL CERTIFICATION - FOLLOW UP			-			125	35	215	156	-27%
RECERTIFICATION FOLLOW UP / REVISITS			-			1,011	1,142	801	979	22%
COMPLAINT INVESTIGATION - LTC			-			8,621	5,272	7,857	11,182	42%
LIFE SAFETY CODE - FOLLOW UP			-			3,511	7,637	6,009	4,672	-22%
LIFE SAFETY CODE			-			11,472	10,133	10,651	9,974	-6%
Total Federally Certified Facilities	1,187	1,186	1,186	1,142	-4%	99,576	94,233	99,025	97,472	-2%
Total Licensed Facilities	1,183	1,184	1,184	1,182	0%	50,871	63,803	46,875	51,991	11%
Grand Total	2,370	2,370	2,370	2,324	-2%	150,447	158,035	145,900	149,462	2%
Year Over Year Percentage Change		0%	0%	-2%			5%	-8%	2%	

PEDIATRIC DAY HEALTH / RESPITE CARE

RELICENSURE	15	16	25	16	-36%	762	18	17	429	2422%
INITIAL LICENSURE			-			-	50	117	43	-64%
INITIAL LICENSURE - FOLLOW-UP			-			84	8	8	-	-100%
COMPLAINT / ERI INVESTIGATION			-			76	65	141	25	-83%
FIELD VISIT			-			1	3	3	-	-100%
Total Licensed Facilities	15	16	25	16	-36%	923	142	286	496	73%
Year Over Year Percentage Change		7%	56%	-36%			-85%	101%	73%	

Facility Type Workload Driver Comparison

Appendix B

Chart 3

Chart 4

Date of Report / Reporting Period For Estimate Budget Year	Estimated Activity Count				% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	

SAH 2009-10 2011-12	SAH 2010-11 2012-13	SAH 2011-12 2013-14	SAH 2012-13 2014-15	% Change from 13-14 to 14-15
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ICF - DD: DDH: DDN

RELICENSURE (SURVEY)	591.50	592.00	592.00	591.00	0%
INITIAL LICENSURE	15.90	15.00	15.00	8.00	-47%
INITIAL LICENSURE - FOLLOW-UP	0.99	1.00	1.00	-	-100%
COMPLAINT / ERI INVESTIGATION	2,529.10	2,727.84	2,727.84	1,592.00	-42%
COMPLAINT FOLLOW UP	3.99	4.00	4.00	-	-100%
FIELD VISIT	17.89	10.00	10.00	17.00	70%
RECERTIFICATION	1,187.00	1,186.00	1,186.00	1,142.00	-4%
INITIAL CERTIFICATION	10.00	10.00	10.00	-	-100%
INITIAL CERTIFICATION - FOLLOW UP	5.00	5.00	5.00	5.00	0%
RECERTIFICATION FOLLOW UP / REVISITS	47.00	47.00	47.00	46.00	-2%
COMPLAINT INVESTIGATION - LTC	765.00	633.00	633.00	1,040.00	64%
LIFE SAFETY CODE - FOLLOW UP	1,187.00	1,186.00	1,186.00	1,142.00	-4%
LIFE SAFETY CODE	1,187.00	1,186.00	1,186.00	1,142.00	-4%
Total Federally Certified Facilities	4,388.00	4,253.00	4,253.00	4,517.00	6%
Total Licensed Facilities	3,315.11	3,349.84	3,349.84	2,208.00	-34%
Grand Total	7,703.11	7,602.84	7,602.84	6,725.00	-12%
Year Over Year Percentage Change		-1%	0%	-12%	

122.09	53.19	25.49	46.38	82%
16.25	17.01	26.46	24.49	-7%
	16.07	16.07	16.07	0%
7.12	6.78	7.10	6.84	-4%
1.92	1.69	7.10	7.10	0%
9.50	8.11	7.31	8.16	12%
41.94	46.25	45.74	45.88	0%
31.06	38.08	58.28	49.18	-16%
14.39	5.59	32.01	23.20	-28%
	19.16	12.72	15.81	24%
7.52	6.57	9.26	7.99	-14%
1.98	5.08	3.78	3.04	-20%
6.47	6.74	6.70	6.49	-3%

PEDIATRIC DAY HEALTH / RESPITE CARE

RELICENSURE	7.50	7.00	7.00	8.00	14%
INITIAL LICENSURE	2.00	2.00	2.00	1.00	-50%
INITIAL LICENSURE - FOLLOW-UP	-	1.00	1.00	-	-100%
COMPLAINT / ERI INVESTIGATION	9.45	9.92	9.92	1.00	-90%
FIELD VISIT	1.00	1.00	1.00	-	-100%
Total Licensed Facilities	19.95	20.92	20.92	10.00	-52%
Year Over Year Percentage Change		5%	0%	-52%	

	2.02	2.02	39.86	1873%
28.05	19.67	43.75	31.71	-28%
	6.05	6.05	6.05	0%
5.13	5.13	10.63	18.26	72%
0.75	2.02	2.02	2.02	0%

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Chart 1 Actual Facility Counts					Chart 2 Estimated Activity Hours				
	07/22/2010 2011-12	07/01/2011 2012-13	07/02/2012 2013-14	07/01/2013 2014-15	% Change from 13-14 to 14-15	2011-12	2012-13	2013-14	2014-15	% Change from 13-14 to 14-15

PSYCHOLOGY CLINIC

RELICENSURE	26	24	24	22	-8%	632	490	518	460	-11%
INITIAL LICENSURE			-			5	9	10	9	-3%
COMPLAINT (or ERI)			-			12	-	-	-	
Total Licensed Facilities	26	24	24	22	-8%	649	499	528	469	-11%
<i>Year Over Year Percentage Change</i>		-8%	0%	-8%			-23%	6%	-11%	

REFERRAL AGENCIES

RELICENSURE	10	5	6	4	-33%	373	127	134	-	-100%
INITIAL LICENSURE						-	18	19	-	-100%
COMPLAINT (or ERI)			-			27	-	-	-	
Total Licensed Facilities	10	5	6	4	-33%	400	145	153	-	-100%
<i>Year Over Year Percentage Change</i>		-50%	20%	-33%			-64%	6%	-100%	

REHAB CLINIC / OPT/CORF

RELICENSURE	30	14	14	14	0%	478	187	198	215	9%
INITIAL LICENSURE			-			49	21	22	-	-100%
COMPLAINT / ERI INVESTIGATION			-			8	6	7	6	-16%
RECERTIFICATION (OPT)	160	156	156	134	-14%	1,761	1,210	3,682	2,384	-35%
RECERTIFICATION (CORF)	20	18	18	12	-33%	305	112	611	260	-58%
COMPLAINT INVESTIGATION			-			2	2	2	2	0%
RECERTIFICATION FOLLOW UP / REVISI TS (OPT)			-			949	322	635	892	40%
RECERTIFICATION FOLLOW UP / REVISI TS (CORF)			-			-	-	-	-	
INITIAL CERTIFICATION (OPT)			-			35	30	31	164	425%
INITIAL CERTIFICATION FOLLOW UP (OPT)			-			3	3	3	45	1434%
Total Federally Certified Facilities	180	174	174	146	-16%	3,055	1,679	4,965	3,747	-25%
Total Licensed Facilities	30	14	14	14	0%	535	215	227	221	-3%
Grand Total	210	188	188	160	-15%	3,590	1,893	5,192	3,968	-24%
<i>Year Over Year Percentage Change</i>		-10%	0%	-15%			-47%	174%	-24%	

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Chart 3 Estimated Activity Count					% Change from 13-14 to 14-15	Chart 4 Actual Standard Average Hours					% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	SAH 2009-10		SAH 2010-11	SAH 2011-12	SAH 2012-13	2013-14	2014-15	

PSYCHOLOGY CLINIC

RELICENSURE	8.67	7.92	7.92	7.00	-12%
INITIAL LICENSURE	1.00	1.00	1.00	1.00	0%
COMPLAINT (or ERI)	4.20	-	-	-	
Total Licensed Facilities	13.87	8.92	8.92	8.00	-10%
<i>Year Over Year Percentage Change</i>		-36%	0%	-10%	

	48.82	48.82	48.82	0%
	7.00	7.25	7.00	-3%
	12.50	12.50	12.50	0%

REFERRAL AGENCIES

RELICENSURE	5.00	2.00	2.00	-	-100%
INITIAL LICENSURE	-	1.00	1.00	-	-100%
COMPLAINT (or ERI)	1.05	-	-	-	
Total Licensed Facilities	6.05	3.00	3.00	-	-100%
<i>Year Over Year Percentage Change</i>		-50%	0%	-100%	

	50.00	50.00	50.00	0%
	14.50	14.50	15.50	7%
16.25	16.25	16.25	12.50	-23%

REHAB CLINIC / OPT/CORF

RELICENSURE	10.00	4.62	4.62	5.00	8%
INITIAL LICENSURE	2.00	1.00	1.00	-	-100%
COMPLAINT / ERI INVESTIGATION	1.10	1.20	1.20	1.00	-17%
RECERTIFICATION (OPT)	35.00	34.00	34.00	29.00	-15%
RECERTIFICATION (CORF)	7.00	6.00	6.00	4.00	-33%
COMPLAINT INVESTIGATION	1.00	1.00	1.00	1.00	0%
RECERTIFICATION FOLLOW UP / REVISI TS (OPT)	24.00	23.00	23.00	20.00	-13%
RECERTIFICATION FOLLOW UP / REVISI TS (CORF)	-	-	-	-	
INITIAL CERTIFICATION (OPT)	2.00	2.00	2.00	2.00	0%
INITIAL CERTIFICATION FOLLOW UP (OPT)	1.00	1.00	1.00	1.00	0%
Total Federally Certified Facilities	70.00	67.00	67.00	57.00	-15%
Total Licensed Facilities	13.10	6.82	6.82	6.00	-12%
Grand Total	83.10	73.82	73.82	63.00	-15%
<i>Year Over Year Percentage Change</i>		-11%	0%	-15%	

	32.00	32.00	32.00	0%
	16.46	16.46	16.46	0%
4.21	4.21	4.22	4.22	0%
33.68	28.07	80.80	61.08	-24%
29.20	14.79	76.00	48.21	-37%
1.50	1.50	1.50	1.50	0%
26.47	11.05	20.61	33.16	61%
13.70	8.21	8.21	26.02	217%
11.68	11.68	11.68	61.08	423%
2.17	2.17	2.17	33.16	1428%

Facility Type Workload Driver Comparison

Appendix B

Date of Report / Reporting Period For Estimate Budget Year	Actual Facility Counts					% Change from 13-14 to 14-15	Estimated Activity Hours					% Change from 13-14 to 14-15
	07/22/2010 2011-12	07/01/2011 2012-13	07/02/2012 2013-14	07/01/2013 2014-15			2011-12	2012-13	2013-14	2014-15		

SKILLED NURSING

RE-LICENSURE	1,293	1,285	1,288	1,270	-1%	43,734	46,605	47,510	48,187	1%
RE-LICENSURE FOLLOW UP			-			59	19	11	4	-60%
INITIAL LICENSURE			-			52	55	272	427	57%
INITIAL LICENSURE FOLLOW UP			-			21	18	19	-	-100%
COMPLAINT / ERI INVESTIGATION			-			219,472	203,344	185,246	135,650	-27%
FIELD VISIT			-			438	2,643	460	1,728	276%
COMPLAINT FOLLOW UP			-			1,265	-	-	-	
RECERTIFICATION	1,258	1,250	1,250	1,244	0%	366,592	328,278	285,044	267,256	-6%
RECERTIFICATION FOLLOW UP						-	12,539	7,748	8,280	7%
INITIAL CERTIFICATION (Title 18 & 19)			-			835	517	803	-	-100%
INITIAL CERTIFICATION - FOLLOW UP (Title 18 & 19)			-			264	233	274	265	-3%
FOLLOW UP / REVISITS (SPECIAL FOCUS FACILITY)			-			13,053	-	-	428	
LIFE SAFETY CODE						28,920	29,060	25,685	24,100	-6%
LIFE SAFETY CODE - FOLLOW UP			-			259	395	362	363	0%
COMPLAINT INVESTIGATION - LTC			-			57,890	45,536	41,483	65,112	57%
INFORMAL DISPUTE RESOLUTION			-			2,480	2,089	2,209	2,191	-1%
FEDERAL HEARING			-			66,473	55,141	58,306	57,866	-1%
PRE-REFERRAL HEARING			-			266	221	233	231	-1%
MONITORING VISITS			-			665	551	583	579	-1%
OTHER MISC ACTIVITIES (APPEALS, HEARING, ETC)			-			426	1,157	1,223	1,228	0%
Total Federally Certified Facilities	1,258	1,250	1,250	1,244	0%	538,123	475,716	423,953	427,900	1%
Total Licensed Facilities	1,293	1,285	1,288	1,270	-1%	265,041	252,684	233,519	185,997	-20%
Grand Total	2,551	2,535	2,538	2,514	-1%	803,164	728,400	657,472	613,896	-7%
Year Over Year Percentage Change		-1%	0%	-1%			-9%	-10%	-7%	

Facility Type Workload Driver Comparison

Appendix B

Chart 3

Chart 4

Date of Report / Reporting Period For Estimate Budget Year	Estimated Activity Count					% Change from 13-14 to 14-15	Actual Standard Average Hours					% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	SAH 2009-10		SAH 2010-11	SAH 2011-12	SAH 2012-13	2014-15		

SKILLED NURSING

RE-LICENSURE	646.50	642.50	644.00	635.00	-1%	45.28	57.09	55.04	56.39	2%
RE-LICENSURE FOLLOW UP	5.95	4.00	4.00	1.00	-75%	6.69	3.72	2.06	3.31	61%
INITIAL LICENSURE	1.98	3.00	3.00	5.00	67%	17.61	14.36	67.72	63.45	-6%
INITIAL LICENSURE FOLLOW UP	0.99	1.00	1.00	-	-100%	14.48	14.48	14.48	14.48	0%
COMPLAINT / ERI INVESTIGATION	11,550.45	12,691.13	12,691.13	8,850.00	-30%	11.95	12.64	10.89	11.39	5%
FIELD VISIT	64.00	70.00	70.00	49.00	-30%	24.90	29.79	4.90	26.21	435%
COMPLAINT FOLLOW UP	34.00	-	-	-	-	4.58	24.90	10.89	10.89	0%
RECERTIFICATION	1,258.00	1,250.00	1,250.00	1,090.00	-13%	195.05	207.18	170.13	182.20	7%
RECERTIFICATION FOLLOW UP	-	138.00	138.00	136.00	-1%	63.32	71.68	41.89	45.24	8%
INITIAL CERTIFICATION (Title 18 & 19)	6.00	6.00	6.00	-	-100%	93.13	67.96	99.85	88.25	-12%
INITIAL CERTIFICATION - FOLLOW UP (Title 18 & 19)	12.00	12.00	12.00	12.00	0%	14.73	15.31	17.01	16.44	-3%
FOLLOW UP / REVISITS (SPECIAL FOCUS FACILITY)	138.00	-	-	5.00	-	-	71.68	71.68	63.66	-11%
LIFE SAFETY CODE	1,258.00	1,250.00	1,250.00	1,090.00	-13%	15.39	18.34	15.33	16.43	7%
LIFE SAFETY CODE - FOLLOW UP	38.00	38.00	38.00	37.00	-3%	4.56	8.19	7.11	7.30	3%
COMPLAINT INVESTIGATION - LTC	3,243.00	2,842.00	2,842.00	4,248.00	49%	11.95	12.64	10.89	11.39	5%
INFORMAL DISPUTE RESOLUTION	415.00	412.00	412.00	407.00	-1%	-	4.00	4.00	4.00	0%
FEDERAL HEARING	89.00	87.00	87.00	86.00	-1%	-	500.00	500.00	500.00	0%
PRE-REFERRAL HEARING	89.00	87.00	87.00	86.00	-1%	-	2.00	2.00	2.00	0%
MONITORING VISITS	89.00	87.00	87.00	86.00	-1%	-	5.00	5.00	5.00	0%
OTHER MISC ACTIVITIES (APPEALS, HEARING, ETC)	-	365.00	365.00	365.00	0%	-	2.50	2.50	2.50	0%
Total Federally Certified Facilities	6,635.00	6,574.00	6,574.00	7,648.00	16%					
Total Licensed Facilities	12,303.87	13,411.63	13,413.13	9,540.00	-29%					
Grand Total	18,938.87	19,985.63	19,987.13	17,188.00	-14%					
Year Over Year Percentage Change		6%	0%	-14%						

* Federal Activities are Bolded

Facility Type Workload Driver Comparison

Appendix B

Chart 1

Chart 2

Date of Report / Reporting Period For Estimate Budget Year	Actual Facility Counts					% Change from 13-14 to 14-15	Estimated Activity Hours				% Change from 13-14 to 14-15
	07/22/2010 2011-12	07/01/2011 2012-13	07/02/2012 2013-14	07/01/2013 2014-15	2011-12		2012-13	2013-14	2014-15		

SURGICAL CLINIC / ASC

RELICENSURE (SURVEY)	59	42	42	4	-90%	29	18	19	5	-72%
INITIAL LICENSURE			-			66	13	48	-	-100%
COMPLAINT / ERI INVESTIGATION			-			274	15	261	100	-61%
FIELD VISIT			-			28	24	25	-	-100%
RECERTIFICATION			-			25,906	42,408	46,877	25,236	-46%
INITIAL SURVEY (New Providers)	457	474	474	408	-14%	94	213	824	292	-65%
INITIAL SURVEY - FOLLOW UP			-			49	42	44	44	0%
RECERTIFICATION FOLLOW UP			-			5,422	7,406	7,919	4,136	-48%
VALIDATION SURVEY	260	263	263	305	16%	507	885	1,399	-	-100%
VALIDATION FOLLOW UP			-			-	-	-	-	-
COMPLAINT INVESTIGATION			-			672	837	968	433	-55%
COMPLAINT VALIDATION			-			-	216	126	141	12%
LIFE SAFETY CODE			-			2,989	5,820	5,774	3,341	-42%
LIFE SAFETY CODE - FOLLOW UP			-			351	740	487	348	-29%
Total Federally Certified Facilities	717	737	737	713	-3%	35,990	58,568	64,420	33,971	-47%
Total Licensed Facilities	59	42	42	4	-90%	397	69	352	106	-70%
Grand Total	776	779	779	717	-8%	36,387	58,637	64,772	34,077	-47%
Year Over Year Percentage Change		0%	0%	-8%			61%	10%	-47%	

Facility Type Workload Driver Comparison

Appendix B

Chart 3

Chart 4

Date of Report / Reporting Period	Estimated Activity Count				% Change from 13-14 to 14-15
	2011-12	2012-13	2013-14	2014-15	
For Estimate Budget Year					

SAH 2009-10	SAH 2010-11	SAH 2011-12	SAH 2012-13	% Change from 13-14 to 14-15

SURGICAL CLINIC / ASC

RELICENSURE (SURVEY)	19.66	13.86	13.86	1.00	-93%
INITIAL LICENSURE	1.00	1.00	1.00	-	-100%
COMPLAINT / ERI INVESTIGATION	23.40	23.54	23.54	9.00	-62%
FIELD VISIT	1.00	1.00	1.00	-	-100%
RECERTIFICATION	187.00	359.00	359.00	198.00	-45%
INITIAL SURVEY (New Providers)	3.00	3.00	3.00	3.00	0%
INITIAL SURVEY - FOLLOW UP	111.00	2.00	2.00	2.00	0%
RECERTIFICATION FOLLOW UP	-	212.00	212.00	117.00	-45%
VALIDATION SURVEY	13.00	13.00	13.00	-	-100%
VALIDATION FOLLOW UP	-	-	-	-	-
COMPLAINT INVESTIGATION	39.00	33.00	33.00	16.00	-52%
COMPLAINT VALIDATION	-	3.00	3.00	3.00	0%
LIFE SAFETY CODE	187.00	359.00	359.00	198.00	-45%
LIFE SAFETY CODE - FOLLOW UP	47.00	90.00	90.00	49.00	-46%
Total Federally Certified Facilities	587.00	1,074.00	1,074.00	586.00	-45%
Total Licensed Facilities	45.06	39.40	39.40	10.00	-75%
Grand Total	632.06	1,113.40	1,113.40	596.00	-46%
Year Over Year Percentage Change		76%	0%	-46%	

	1.00	1.00	1.00	3.93	293%
	44.36	9.99	35.80	27.41	-23%
	7.07	0.51	8.26	8.29	0%
	18.55	18.55	18.55	7.37	-60%
	92.74	93.19	97.42	94.71	-3%
	20.95	56.08	205.01	72.41	-65%
		16.42	16.42	16.42	0%
	32.70	27.56	27.87	26.27	-6%
	26.12	53.68	80.31	68.48	-15%
	5.57	27.21	18.09	25.46	41%
	11.53	20.02	21.89	20.10	-8%
	34.47	56.80	31.22	34.90	12%
	10.70	12.79	12.00	12.54	4%
	5.00	6.49	4.04	5.28	31%