



Center for Health Care Quality  
Licensing and Certification Program

MAY REVISION ESTIMATE

for  
Fiscal Years  
2013-14 and 2014-15

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Field Operations Detail is available on CD

## Executive Summary

### Program Overview

Health care facilities in California are licensed, regulated, inspected, and/or certified by the California Department of Public Health (CDPH) Licensing and Certification Program (L&C). The United States Department of Health and Human Services' Centers for Medicare and Medicaid Services (CMS) contracts with L&C to evaluate facilities accepting Medicare and Medicaid (Medi-Cal) payments to determine if they meet federal requirements. L&C evaluates health care facilities for compliance with state and federal laws and regulations and contracts with Los Angeles County (LAC) to license and certify health care facilities located in LAC. In addition, L&C oversees the certification of nurse assistants (CNAs), home health aides (HHAs), and certified hemodialysis technicians (CHTs), and the licensing of nursing home administrators. These activities are funded by the L&C Special Fund, by Federal Funds (Title 18 and Title 19 Grants) and from Reimbursement Funds associated with interagency agreements with the Department of Health Care Services.

### Estimate Overview

The Estimate considers all L&C programmatic, fiscal, and workload factors and incorporates all working documents and analyses via detailed and major assumptions. Each assumption addresses a separate workload issue and is fully assessed and evaluated transparently.

### Request:

**Current Year 2013-14.** No change from the Governor's Budget.

**Budget Year 2014-15.** L&C Special Fund: \$1.9 million and 18 positions (two-year, limited-term) to support timely investigations of allegations/complaints filed against CNAs, HHAs and CHTs.

	Current Year 2013-14 (\$ in millions)			Budget Year 2014-15 (\$ in millions)		
	Governor's Budget	CY 2013-14 May Revision Estimate	Difference	Governor's Budget	BY 2014-15 May Revision Estimate	Difference
<b>Total Budget**</b>	<b>\$189.4</b>	<b>\$189.4</b>	<b>\$ -</b>	<b>\$192.8</b>	<b>\$194.7</b>	<b>\$ 1.9</b>
General Fund	\$ 3.7	\$ 3.7	\$ -	\$ 3.7	\$ 3.7	\$ -
<i>Incremental GF Change</i>						
Federal Funds	\$77.0	\$77.0	\$ -	\$77.2	\$77.2	\$ -
L&C Special Fund	\$89.9	\$89.9	\$ -	\$90.6	\$92.5	\$ 1.9
Reimbursement Funds	\$13.9	\$13.9	\$ -	\$14.8	\$14.8	\$ -
Special Deposit Fund	\$ 4.9	\$ 4.9	\$ -	\$ 6.5	\$ 6.5	\$ -
<b>L&amp;C - Positions***</b>	<b>1,059.3*</b>	<b>1,059.3</b>	<b>-</b>	<b>1,062.3*</b>	<b>1,080.3</b>	<b>18.0</b>
Field Positions - HFEN	451.2	451.2	-	451.2	451.2	-
Field Positions - Other	387.1	387.1	-	390.1	390.1	-
Headquarters Positions	221.0	221.0	-	221.0	239.0	18.0

\* Position count after adjustments to Governor's Budget.

\*\* Funding detail is provided on Pages 8- 20.

\*\*\* See Pages 21 and 22 for the complete position summary.

**Budget Changes Summary:****Spring Finance Letter Healthcare Quality HQ-01 – Timely Investigations of Caregivers:**

The investigation of allegations/complaints filed against CNAs, HHAs, and CHTs is required by federal and state laws, including Social Security Act sections 1819 and 1919, Title 42 of the Code of Federal Regulations, California Health and Safety Code sections 1337 and 1338, Business and Professions Code section 1247, and Government Code section 11400. Approximately 925 allegations/complaints are received by the Center for Health Care Quality (CHCQ) for both active and inactive caregivers annually.

CDPH requests 18 two-year, limited-term positions and \$1.9 million to support timely investigations of allegations/complaints filed against CNAs, HHAs, and CHTs. These allegations/complaints of unprofessional conduct include: incompetence; gross negligence; physical, mental, and verbal abuse of patients; and misappropriation of property of patients or others. See pages 24-27 for further detail.

**Program Evaluation**

In addition to the request for positions, L&C is undertaking numerous steps to improve program performance, including:

**2013-14:**

- Updating databases on a monthly basis to ensure completed healthcare facility investigations are properly and accurately closed out in the system so performance can be assessed accurately.
- By July 1, 2014, completing statewide retraining of all surveyor staff on use of the timekeeping system, including requiring weekly, rather than monthly, data entry.
- Managing the L&C District Offices' performance through Headquarters' weekly review of the quality and timeliness of their performance of state and federal workload activities.
- Developing workload metrics measures for long-term care facility complaint investigations and investigations of complaints and allegations against CNAs, CHTs, and HHAs.
- Using a recently completed workflow analysis of complaint investigations in our Professional Certification Branch to help identify areas for business process improvements.
- Developing scopes of work for improvements to the consistency, quality, and timeliness of our Professional Certification Branch's investigations, and to the processing of health facility licensure applications.
- In advance of this in-depth assessment, forming an internal workgroup to immediately address ways to improve staff reporting into the timekeeping system.
- Aggressively recruiting for surveyor positions, using strategies such as recruitment postcards to all registered nurses in California, and exploring opportunities to streamline our hiring process.

**2014-15:**

- Developing performance metrics and dashboards to better manage the complaint workload and identifying areas where completion times lag;
- Evaluating the surveyor TEAM system;
- Evaluating the healthcare facility licensing application processing systems;

- Implementing improved tracking systems for L&C's Professional Certification Branch complaint investigation workload and embarking on a time study to develop standard timeframes for complaint investigations.

**Appropriation Summary by Fund  
 Current Year 2013-14 (\$ in thousands)**

**Current Year 2013-14**

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C Governor's Budget	\$ 3,700	\$ 76,967	\$ 89,944	\$ 13,904	\$ 4,928	\$ 189,443

**L&C CY 2013-14 Governor's Budget Authority**

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C Headquarters Allocation	\$ -	\$ 7,759	\$ 16,733	\$ 7,923	\$ 4,925	\$ 37,340
L&C Field Ops Allocation	-	48,136	57,992	3,617	-	109,745
LAC Contract Allocation	-	15,362	10,133	1,456	-	26,951
SFU Allocation	3,700	1,447	-	119	-	5,266
Pro Rata, SWCAP and Debt Service Allocation	-	3,478	4,121	1	-	7,600
<b>TOTAL FY 2013-14 November Estimate</b>	<b>\$ 3,700</b>	<b>\$ 76,182</b>	<b>\$ 88,979</b>	<b>\$ 13,116</b>	<b>\$ 4,925</b>	<b>\$ 186,902</b>
L&C Headquarters Allocation Adj.	-	308	164	(472)	3	3
L&C Field Ops Allocation Adj.	-	477	801	1,260	-	2,538
LAC Field Ops Allocation Adj.	-	-	-	-	-	-
SFU Allocation Adjustment	-	-	-	-	-	-
Pro Rata, SWCAP, and Debt Service Allocation Adj.	-	-	-	-	-	-
<b>TOTAL Appropriation</b>	<b>\$ 3,700</b>	<b>\$ 76,967</b>	<b>\$ 89,944</b>	<b>\$ 13,904</b>	<b>\$ 4,928</b>	<b>\$ 189,443</b>

**Current Year 2013-14 Request**

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C CY 2013-14 Governor's Budget Authority	\$ 3,700	\$ 76,967	\$ 89,944	\$ 13,904	\$ 4,928	\$ 189,443
Total May Revision Estimate Required Funding	3,700	76,967	89,944	13,904	4,928	189,443
<b>CY 2013-14 May Revision Request</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

### Appropriation Summary by Fund Budget Year 2014-15 (\$ in thousands)

**Budget Year 2014-15**

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C Governor's Budget	\$ 3,700	\$ 77,164	\$ 90,616	\$ 14,764	\$ 6,529	\$ 192,773

**L&C BY 2014-15 Governor's Budget Authority**

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C Headquarters Allocation	\$ -	\$ 7,759	\$ 16,733	\$ 7,923	\$ 6,526	\$ 38,941
L&C Field Ops Allocation	-	48,136	58,243	3,617	-	109,996
LAC Contract Allocation	-	15,362	10,133	1,456	-	26,951
SFU Allocation	3,700	1,447	-	119	-	5,266
Pro Rata and SWCAP Allocation	-	3,478	4,121	1	-	7,600
<b>TOTAL BY 2014-15 November Estimate</b>	<b>\$ 3,700</b>	<b>\$ 76,182</b>	<b>\$ 89,230</b>	<b>\$ 13,116</b>	<b>\$ 6,526</b>	<b>\$ 188,754</b>
L&C Headquarters Allocation Adjustment	-	308	164	(472)	3	3
L&C Field Ops Allocation Adjustment	-	643	1,071	2,120	-	3,834
LAC Field Ops Allocation Adjustment	-	-	-	-	-	-
SFU Allocation Adjustment	-	-	-	-	-	-
Pro Rata, SWCAP, and Debt Service Allocation Adj.	-	31	151	-	-	182
<b>TOTAL Appropriation</b>	<b>\$ 3,700</b>	<b>\$ 77,164</b>	<b>\$ 90,616</b>	<b>\$ 14,764</b>	<b>\$ 6,529</b>	<b>\$ 192,773</b>

**Budget Year 2014-15 Request**

	General 0001	Federal 0890	Special 3098	Reimbursement 0001R	Special Deposit 0942	TOTAL
L&C BY 2014-15 Governor's Budget Authority	\$ 3,700	\$ 77,164	\$ 90,616	\$ 14,764	\$ 6,529	\$ 192,773
Total May Revision Estimate Required Funding	3,700	77,164	92,567	14,764	6,529	194,724
<b>Budget Year 2014-15 May Revision Request</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,951</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,951</b>

**Fiscal Summaries**  
2013-14 Governor's Budget to 2014-15 May Revision Estimate  
Current Year 2013-14 (\$ in thousands)

	C Governor's Budget CY 2013-14 *	D May Revision Estimate CY 2013-14	E Request CY 2013-14
<b>I. BUDGET ITEMS:</b>			
<b>A. Headquarters</b>			
1. Research & Operations Management Branch (ROMB)	\$ 3,184	\$ 3,184	\$ -
2. Policy & Enforcement Branch (PEB)	3,624	3,624	-
3. System Technology and Research Branch (STAR)	5,829	5,829	-
4. Professional Certification Branch	12,603	12,603	-
5. Deputy Director's Office	677	677	-
6. Citation Review Unit	238	238	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244	244	-
8. Healthcare Associated Infections (HAI) Program	3,306	3,306	-
9. Temporary Manager Activities	3,117	3,117	-
10. Quality Improvement Activities	611	611	-
11. Contracts	3,910	3,910	-
12. Major Assumptions	-	-	-
<b>13. Headquarters Sub-total (Items 1 thru 11)</b>	<b>37,343</b>	<b>37,343</b>	<b>-</b>
<b>B. Field Operations</b>			
1. Licensing & Certification (L&C)			
a. Administrative Staff	14,575	14,575	-
b. Direct Surveyors***	88,464	87,465	(999)
c. Major Assumptions			
d. Adjustment to Not Request Change in Funding	9,244	10,243	999
<b>L&amp;C Sub-total</b>	<b>112,283</b>	<b>112,283</b>	<b>-</b>
2. Los Angeles County (LAC) Contract	<b>26,951</b>	<b>26,951</b>	<b>-</b>
3. State Facilities Unit (SFU)			
a. Administrative Staff	679	679	-
b. Direct Surveyors***	4,587	4,470	(117)
c. Adjustment to Not Request Change in Funding	-	117	117
<b>SFU Sub-total</b>	<b>5,266</b>	<b>5,266</b>	<b>-</b>
<b>4. Field Operations Sub-total (Items 1 thru 3)</b>	<b>144,500</b>	<b>144,500</b>	<b>-</b>
<b>D. Pro Rata and SWCAP</b>	<b>7,600</b>	<b>7,600</b>	<b>-</b>
<b>E. Grand Total</b>	<b>\$ 189,443</b>	<b>\$ 189,443</b>	<b>\$ -</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700	\$ 3,700	\$ -
B. Federal Trust Fund (0890)	76,967	76,967	-
C. Special Deposit Fund (0942)			
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	1,811	1,811	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	2,144	2,144	-
4. Federal Citation Penalty Account (605)	973	973	-
D. Reimbursement (0001R)	13,904	13,904	-
E. L&C Special Fund (3098)	89,944	89,944	-
<b>F. Grand Total</b>	<b>\$ 189,443</b>	<b>\$ 189,443</b>	<b>\$ -</b>
<b>III. TOTAL STATE POSITIONS:</b>			
A. Headquarters	221.0**	221.0	-
B. Field Operations - L&C	799.3**	779.3	-
C. Field Operations - SFU	39.0	39.0	-
<b>D. Grand Total</b>	<b>1,059.3**</b>	<b>1,059.3</b>	<b>-</b>

\* See the following page: columns A, B, & C for detail.

\*\* Position count after adjustments to Governor's Budget, see page 21 for details.

\*\*\* "Direct Surveyors" include costs for health facility surveyors and their associated supervisory and support staff. See page 23 for endnotes.

**Fiscal Summary**  
**Comparison of Enacted CY 2013-14 Budget to 2014-15 May Revision Estimate**  
**Current Year 2013-14 (\$ in thousands)**

	A Enacted Budget CY 2013-14	B Budget Allocation Adjustments CY 2013-14	C Governor's Budget CY 2013-14  (A + B)	D May Revision Estimate CY 2013- 14	E Request CY 2013-14  (D - C)	F Change from Enacted Budget CY 2013-14 (D - A)
<b>I. BUDGET ITEMS:</b>						
<b>A. Headquarters</b>						
1. Research & Operations Management Branch (ROMB)	\$ 3,184	\$ -	\$ 3,184	\$ 3,184	\$ -	\$ -
2. Policy & Enforcement Branch (PEB)	3,624	-	3,624	3,624	-	-
3. System Technology and Research Branch (STAR)	5,829	-	5,829	5,829	-	-
4. Professional Certification Branch	12,603	-	12,603	12,603	-	-
5. Deputy Director's Office	677	-	677	677	-	-
6. Citation Review Unit	238	-	238	238	-	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244	-	244	244	-	-
8. Healthcare Associated Infections (HAI) Program	3,306	-	3,306	3,306	-	-
9. Temporary Manager Activities	3,117	-	3,117	3,117	-	-
10. Quality Improvement Activities	608	3 <sup>1</sup>	611	611	-	3
11. Contracts	3,910	-	3,910	3,910	-	-
12. Major Assumptions	-	-	-	-	-	-
<b>13. Headquarters Sub-total (Items 1 thru 11)</b>	<b>37,340</b>	<b>3</b>	<b>37,343</b>	<b>37,343</b>	<b>-</b>	<b>3</b>
<b>B. Field Operations</b>						
1. Licensing & Certification (L&C)						
a. Administrative Staff	14,575	-	14,575	14,575	-	-
b. Direct Surveyors*	85,926	2,538 <sup>2</sup>	88,464	87,465	((999))	1,539
c. Major Assumptions	-	-	-	-	-	-
d. Adjustment to Not Request Change in Funding	9,244	-	9,244	10,243	999	999
<b>L&amp;C Sub-total</b>	<b>109,745</b>	<b>2,538</b>	<b>112,283</b>	<b>112,283</b>	<b>-</b>	<b>2,538</b>
2. Los Angeles County (LAC) Contract	26,951	-	26,951	26,951	-	-
3. State Facilities Unit (SFU)						
a. Administrative Staff	679	-	679	679	-	-
b. Direct Surveyors*	4,587	-	4,587	4,470	(117)	(117)
c. Adjustment to Not Request Change in Funding	-	-	-	117	117	117
<b>SFU Sub-total</b>	<b>5,266</b>	<b>-</b>	<b>5,266</b>	<b>5,266</b>	<b>-</b>	<b>-</b>
<b>4. Field Operations Sub-total (Items 1 thru 3)</b>	<b>141,962</b>	<b>2,538</b>	<b>144,500</b>	<b>144,500</b>	<b>-</b>	<b>2,538</b>
<b>D. Pro Rata and SWCAP</b>	<b>7,600</b>	<b>-</b>	<b>7,600</b>	<b>7,600</b>	<b>-</b>	<b>-</b>
<b>E. Grand Total</b>	<b>\$ 186,902</b>	<b>\$ 2,541</b>	<b>\$ 189,443</b>	<b>\$ 189,443</b>	<b>\$ -</b>	<b>\$ 2,541</b>
<b>II. FUND SOURCES:</b>						
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700	\$ -	\$ 3,700	\$ 3,700	\$ -	\$ -
B. Federal Trust Fund (0890)	76,182	785	76,967	76,967	-	785
C. Special Deposit Fund (0942)						
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	1,808	3	1,811	1,811	-	3
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-	-	-	-
3. State Citation Penalty Account (601)	2,144	-	2,144	2,144	-	-
4. Federal Citation Penalty Account (605)	973	-	973	973	-	-
D. Reimbursement (0001R)	13,116	788	13,904	13,904	-	788
E. L&C Special Fund (3098)	88,979	965	89,944	89,944	-	965
<b>F. Grand Total</b>	<b>\$ 186,902</b>	<b>\$ 2,541</b>	<b>\$ 189,443</b>	<b>\$ 189,443</b>	<b>\$ -</b>	<b>\$ 2,541</b>

\* "Direct Surveyors" include costs for health facility surveyors and their associated supervisory and support staff.  
See page 23 for endnotes.

**Fiscal Summary**  
2014-15 Governor's Budget to 2014-15 May Revision Estimate  
Budget Year 2014-15 (\$ in thousands)

	C Governor's Budget BY 2014-15 *	D May Revision Estimate BY 2014-15	E Request BY 2014-15
<b>I. BUDGET ITEMS:</b>			
<b>A. Headquarters</b>			
1. Research & Operations Management Branch (ROMB)	\$ 3,184	\$ 3,184	\$ -
2. Policy & Enforcement Branch (PEB)	3,624	3,624	-
3. System Technology and Research Branch (STAR)	5,829	5,829	-
4. Professional Certification Branch	12,603	12,603	-
5. Deputy Director's Office	677	677	-
6. Citation Review Unit	238	238	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244	244	-
8. Healthcare Associated Infections (HAI) Program	3,306	3,306	-
9. Temporary Manager Activities	3,117	3,117	-
10. Quality Improvement Activities	611	611	-
11. Contracts	3,910	3,910	-
12. Unchanged Major Assumption HQ-01 and HQ-04	1,601	1,601	-
13. New Major Assumption SFL HQ-01	-	1,951	1,951
<b>14. Headquarters Sub-total (Items 1 thru 11)</b>	<b>38,944</b>	<b>40,895</b>	<b>1,951</b>
<b>B. Field Operations</b>			
1. Licensing & Certification (L&C)			
a. Administrative Staff	14,575	14,575	-
b. Direct Surveyors***	89,760	87,465	(2,295)
c. Unchanged Major Assumption HQ-03	251	251	-
d. Adjustment to Not Request Change in Funding	9,244	11,539	2,295
<b>L&amp;C Sub-total</b>	<b>113,830</b>	<b>113,830</b>	<b>-</b>
2. Los Angeles County (LAC) Contract	<b>26,951</b>	<b>26,951</b>	<b>-</b>
3. State Facilities Unit (SFU)			
a. Administrative Staff	679	679	-
b. Direct Surveyors***	4,587	4,470	(117)
c. Adjustment to Not Request Change in Funding	-	117	117
<b>SFU Sub-total</b>	<b>5,266</b>	<b>5,266</b>	<b>-</b>
<b>4. Field Operations Sub-total (Items 1 thru 3)</b>	<b>146,047</b>	<b>146,047</b>	<b>-</b>
<b>D. Pro Rata and SWCAP</b>	<b>7,782</b>	<b>7,782</b>	<b>-</b>
<b>E. Grand Total</b>	<b>\$ 192,773</b>	<b>\$ 194,724</b>	<b>\$ 1,951</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700	\$ 3,700	\$ -
B. Federal Trust Fund (0890)	77,164	77,164	-
C. Special Deposit Fund (0942)			
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	3,412	3,412	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	2,144	2,144	-
4. Federal Citation Penalty Account (605)	973	973	-
D. Reimbursement (0001R)	14,764	14,764	-
E. L&C Special Fund (3098)	90,616	92,567	1,951
<b>F. Grand Total</b>	<b>\$ 192,773</b>	<b>\$ 194,724</b>	<b>\$ 1,951</b>
<b>III. TOTAL STATE POSITIONS:</b>			
A. Headquarters	221.0**	239.0	18.0
B. Field Operations - L&C	802.3**	802.3	-
C. Field Operations - SFU	39.0	39.0	-
<b>D. Grand Total</b>	<b>1,062.3**</b>	<b>1,080.3</b>	<b>18.0</b>

\* See the following page: columns A, B, & C for detail.

\*\* Position count after adjustments to Governor's Budget, see page 21 for details.

\*\*\* "Direct Surveyors" include costs for health facility surveyors and their associated supervisory and support staff. See page 23 for endnotes.

**Fiscal Summary**  
Comparison of 2014-15 November Estimate to 2014-15 May Revision Estimate  
Budget Year 2014-15 (\$ in thousands)

A November Estimate BY 2014-15	B Budget Allocation Adjustments BY 2014-15	C Governor's Budget BY 2014-15  (A + B)	D May Revision Estimate BY 2014-15	E Request BY 2014-15  (D - C)	F Change from November BY 2014-15  (D - A)
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**I. BUDGET ITEMS:****A. Headquarters**

1. Research and Operations Management Branch (ROMB)	\$ 3,184	\$ -	\$ 3,184	\$ 3,184	\$ -	\$ -
2. Policy & Enforcement Branch (PEB)	3,624	-	3,624	3,624	-	-
3. System Technology and Research Branch (STAR)	5,829	-	5,829	5,829	-	-
4. Professional Certification Branch	12,603	-	12,603	12,603	-	-
5. Deputy Director's Office	677	-	677	677	-	-
6. Citation Review Unit	238	-	238	238	-	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244	-	244	244	-	-
8. Healthcare Associated Infections (HAI) Program	3,306	-	3,306	3,306	-	-
9. Temporary Manager Activities	3,117	-	3,117	3,117	-	-
10. Quality Improvement Activities	608	3 <sup>1</sup>	611	611	-	3
11. Contracts	3,910	-	3,910	3,910	-	-
12. Unchanged Major Assumption HQ-01 and HQ-04	1,601	-	1,601	1,601	-	-
13. New Major Assumption SFL HQ-01	-	-	-	1,951	1,951	1,951
<b>14. Headquarters Sub-total (Items 1 thru 11)</b>	<b>38,941</b>	<b>3</b>	<b>38,944</b>	<b>40,895</b>	<b>1,951</b>	<b>1,954</b>

**B. Field Operations**

1. Licensing & Certification (L&C)						
a. Administrative Staff	14,575	-	14,575	14,575	-	-
b. Direct Surveyors*	85,926	3,834	89,760	87,465	(2,295)	1,539
c. Unchanged Major Assumption HQ-03	251	-	251	251	-	-
d. Adjustment to Not Request Change in Funding	9,244	-	9,244	11,539	2,295	2,295
<b>L&amp;C Sub-total</b>	<b>109,996</b>	<b>3,834</b>	<b>113,830</b>	<b>113,830</b>	<b>-</b>	<b>3,834</b>
2. Los Angeles County (LAC) Contract	26,951	-	26,951	26,951	-	-
3. State Facilities Unit (SFU)						
a. Administrative Staff	679	-	679	679	-	-
b. Direct Surveyors*	4,587	-	4,587	4,470	(117)	(117)
c. Adjustment to Not Request Change in Funding	-	-	-	117	117	117
<b>SFU Sub-total</b>	<b>5,266</b>	<b>-</b>	<b>5,266</b>	<b>5,266</b>	<b>-</b>	<b>-</b>
<b>4. Field Operations Sub-total (Items 1 thru 3)</b>	<b>142,213</b>	<b>3,834</b>	<b>146,047</b>	<b>146,047</b>	<b>-</b>	<b>3,834</b>
<b>D. Pro Rata and SWCAP</b>	<b>7,600</b>	<b>182<sup>3</sup></b>	<b>7,782</b>	<b>7,782</b>	<b>-</b>	<b>182</b>
<b>E. Grand Total</b>	<b>\$ 188,754</b>	<b>\$ 4,019</b>	<b>\$ 192,773</b>	<b>\$ 194,724</b>	<b>\$ 1,951</b>	<b>\$ 5,970</b>

**II. FUND SOURCES:**

A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700	\$ -	\$ 3,700	\$ 3,700	\$ -	\$ -
B. Federal Trust Fund (0890)	76,182	982	77,164	77,164	-	982
C. Special Deposit Fund (0942)						
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	3,409	3	3,412	3,412	-	3
3. State Citation Penalty Account (601)	2,144	-	2,144	2,144	-	-
4. Federal Citation Penalty Account (605)	973	-	973	973	-	-
D. Reimbursement (0001R)	13,116	1,648	14,764	14,764	-	1,648
E. L&C Special Fund (3098)	89,230	1,386	90,616	92,567	1,951	3,337
<b>F. Grand Total</b>	<b>\$ 188,754</b>	<b>\$ 4,019</b>	<b>\$ 192,773</b>	<b>\$ 194,724</b>	<b>\$ 1,951</b>	<b>\$ 5,970</b>

\* "Direct Surveyors" include costs for health facility surveyors and their associated supervisory and support staff.  
See page 23 for endnotes.

**Fiscal Summary**  
**2014-15 May Revision Estimate**  
**Current Year 2013-14 to Budget Year 2014-15 (\$ in thousands)**

	May Revision Estimate CY 2013-14	May Revision Estimate BY 2014-15	Difference
<b>I. BUDGET ITEMS:</b>			
<b>A. Headquarters</b>			
1. Research & Operations Management Branch (ROMB)	\$ 3,184	\$ 3,184	\$ -
2. Policy & Enforcement Branch (PEB)	3,624	3,624	-
3. System Technology and Research Branch (STAR)	5,829	5,829	-
4. Professional Certification Branch	12,603	12,603	-
5. Deputy Director's Office	677	677	-
6. Citation Review Unit	238	238	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244	244	-
8. Healthcare Associated Infections (HAI) Program	3,306	3,306	-
9. Temporary Manager Activities	3,117	3,117	-
10. Quality Improvement Activities	611	611	-
11. Contracts	3,910	3,910	-
12. Unchanged Major Assumption HQ-01 and HQ-04	-	1,601	1,601
13. New Major Assumption SFL HQ-01	-	1,951	1,951
<b>14. Headquarters Sub-total (Items 1 thru 11)</b>	<b>37,343</b>	<b>40,895</b>	<b>3,552</b>
<b>B. Field Operations</b>			
1. Licensing & Certification (L&C)			
a. Administrative Staff	14,575	14,575	-
b. Direct Surveyors	87,465	87,465	-
c. Unchanged Major Assumption HQ-03	-	251	251
d. Adjustment to Not Request Change in Funding	10,243	11,539	1,296
<b>L&amp;C Sub-total</b>	<b>112,283</b>	<b>113,830</b>	<b>1,547</b>
2. Los Angeles County (LAC) Contract	<b>26,951</b>	<b>26,951</b>	<b>-</b>
3. State Facilities Unit (SFU)			
a. Administrative Staff	679	679	-
b. Direct Surveyors	4,470	4,470	-
c. Adjustment to Not Request Change in Funding	117	117	-
<b>SFU Sub-total</b>	<b>5,266</b>	<b>5,266</b>	<b>-</b>
<b>4. Field Operations Sub-total (Items 1 thru 3)</b>	<b>144,500</b>	<b>146,047</b>	<b>1,547</b>
<b>D. Pro Rata and SWCAP</b>	<b>7,600</b>	<b>7,782</b>	<b>182</b>
<b>E. Grand Total</b>	<b>\$ 189,443</b>	<b>\$ 194,724</b>	<b>\$ 5,281</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Program Fund (3098)	\$ 3,700	\$ 3,700	\$ -
B. Federal Trust Fund (0890)	76,967	77,164	197
C. Special Deposit Fund (0942)			
1. Internal Departmental Quality Improvement Account (IDQIA) (222)	1,811	3,412	1,601
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	2,144	2,144	-
4. Federal Citation Penalty Account (605)	973	973	-
D. Reimbursement (0001R)	13,904	14,764	860
E. L&C Program Fund (3098)	89,944	92,567	2,623
<b>F. Grand Total</b>	<b>\$ 189,443</b>	<b>\$ 194,724</b>	<b>\$ 5,281</b>
<b>III. TOTAL STATE POSITIONS*:</b>			
A. Headquarters	221.0	239.0	18.0
B. Field Operations - L&C	799.3	802.3	3.0
C. Field Operations - SFU	39.0	39.0	-
<b>D. Grand Total</b>	<b>1,059.3</b>	<b>1,080.3</b>	<b>21.0</b>

**Detailed Summaries**  
**Headquarters Summary**  
2014-15 Governor's Budget to 2014-15 May Revision Estimate  
Current Year 2013-14 (\$ in thousands)

	A Governor's Budget CY 2013-14	B May Revision Estimate CY 2013-14	C Request CY 2013-14 (B - A)
<b>I. BUDGET ITEMS:</b>			
1. Research & Operations Management Branch (ROMB)	\$ 3,184	\$ 3,184	\$ -
2. Policy & Enforcement Branch (PEB)	3,624	3,624	-
3. System Technology and Research Branch (STAR)	5,829	5,829	-
4. Professional Certification Branch	12,603	12,603	-
5. Deputy Director's Office	677	677	-
6. Citation Review Unit	238	238	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244	244	-
8. Healthcare Associated Infections (HAI) Program	3,306	3,306	-
9. Temporary Manager Activities	3,117	3,117	-
10. Quality Improvement Activities	611	611	-
11. Contracts	3,910	3,910	-
<b>12. Subtotal (Items 1 thru 11)</b>	<b>37,343</b>	<b>37,343</b>	<b>-</b>
<b>13. Major Assumptions</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>14. Headquarters Total</b>	<b>\$ 37,343</b>	<b>\$ 37,343</b>	<b>\$ -</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	8,067	8,067	-
C. Special Deposit Fund (0942)			
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	1,811	1,811	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	2,144	2,144	-
4. Federal Citation Penalty Account (605)	973	973	-
D. Reimbursement (0001R)	7,451	7,451	-
E. L&C Special Fund (3098)	16,897	16,897	-
<b>F. Fund Sources Total</b>	<b>\$ 37,343</b>	<b>\$ 37,343</b>	<b>\$ -</b>
<b>III. POSITIONS:</b>			
	Adjusted Position Count*	May Revision Estimate Position Count	Request CY 2013-14
1. Research & Operations Management Branch (ROMB)	32.0	32.0	-
2. Policy & Enforcement Branch (PEB)	31.0	31.0	-
3. System Technology and Research Branch (STAR)	51.0	51.0	-
4. Professional Certification Branch	84.0	84.0	-
5. Deputy Director's Office	4.0	4.0	-
6. Citation Review Unit	2.0	2.0	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	2.0	2.0	-
8. Healthcare Associated Infections (HAI) Program	15.0	15.0	-
9. Division Office - Temp Help	-	-	-
<b>10. Total (Items 1 thru 9)</b>	<b>221.0</b>	<b>221.0</b>	<b>-</b>

\* Position count after adjustments to Governor's Budget, see page 21 for details.

**Headquarters Summary**  
2014-15 Governor's Budget to 2014-15 May Revision Estimate  
Budget Year 2014-15 (\$ in thousands)

	A Governor's Budget BY 2013-14	B May Revision Estimate BY 2014-15	C Request BY 2014-15 (B - A)
<b>I. BUDGET ITEMS:</b>			
1. Research & Operations Management Branch (ROMB)	\$ 3,184	\$ 3,184	\$ -
2. Policy & Enforcement Branch (PEB)	3,624	3,624	-
3. System Technology and Research Branch (STAR)	5,829	5,829	-
4. Professional Certification Branch	12,603	12,603	-
5. Deputy Director's Office	677	677	-
6. Citation Review Unit	238	238	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	244	244	-
8. Healthcare Associated Infections (HAI) Program	3,306	3,306	-
9. Temporary Manager Activities	3,117	3,117	-
10. Quality Improvement Activities	611	611	-
11. Contracts	3,910	3,910	-
<b>12. Subtotal (Items 1 thru 11)</b>	<b>37,343</b>	<b>37,343</b>	<b>-</b>
13. Unchanged Major Assumptions HQ-01 and HQ-04	1,601	1,601	-
14. New Major Assumption SFL HQ-01	-	1,951	1,951
<b>15. Headquarters Total</b>	<b>\$ 38,944</b>	<b>\$ 40,895</b>	<b>\$ 1,951</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	8,067	8,067	-
C. Special Deposit Fund (0942)			
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	3,412	3,412	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	2,144	2,144	-
4. Federal Citation Penalty Account (605)	973	973	-
D. Reimbursement (0001R)	7,451	7,451	-
E. L&C Special Fund (3098)	16,897	18,848	1,951
<b>F. Fund Sources Total</b>	<b>\$ 38,944</b>	<b>\$ 40,895</b>	<b>\$ 1,951</b>
<b>III. POSITIONS:</b>			
	Adjusted Position Count*	May Revision Estimate Position Count	Request BY 2014-15
1. Research & Operations Management Branch (ROMB)	32.0	32.0	-
2. Policy & Enforcement Branch (PEB)	31.0	31.0	-
3. System Technology and Research Branch (STAR)	51.0	51.0	-
4. Professional Certification Branch	84.0	102.0	18.0
5. Deputy Director's Office	4.0	4.0	-
6. Citation Review Unit	2.0	2.0	-
7. Life Safety/Emergency Prep. & Disaster Response Branch	2.0	2.0	-
8. Healthcare Associated Infections (HAI) Program	15.0	15.0	-
9. Division Office - Temp Help	-	-	-
<b>10. Total (Items 1 thru 9)</b>	<b>221.0</b>	<b>239.0</b>	<b>18.0</b>

\* Position count after adjustments to Governor's Budget, see page 21 for details.

**Licensing & Certification Summary**  
2014-15 Governor's Budget to 2014-15 May Revision Estimate  
Current Year 2013-14 (\$ in thousands)

	A Governor's Budget CY 2013-14	B May Revision Estimate CY 2013-14	C Request CY 2013-14 (B - A)
<b>I. BUDGET ITEMS:</b>			
A. Administrative Staff	\$ 14,575	\$ 14,575	\$ -
B. Facility Types			
1. Alternative Birthing Centers (ABC)	10	10	-
2. Adult Day Health Centers (ADHC)	1,057	1,027	(30)
3. Acute Psychiatric Hospitals (APH)	1,335	909	(426)
4. Chronic Dialysis Clinics (CDC)	3,310	3,556	246
5. Chemical Dependency Recovery (CDR)	32	31	(1)
6. Congregate Living Health Facilities (CLHF)	175	170	(5)
7. Community Clinic/Free Clinic (CC)	824	910	86
8. Correctional Treatment Centers (CTC)	25	24	(1)
9. General Acute Care Hospitals (GACH)	17,565	16,323	(1,242)
10. Home Health Agencies (HHA)	6,698	3,375	(3,323)
11. Hospice (H)	906	1,574	668
12. Intermediate Care Facilities (ICF)	257	250	(7)
13. ICF-DD/DDH/DDN	12,360	11,288	(1,072)
14. Pediatric Day Health/Respite (PDHR)	48	46	(2)
15. Psychology Clinics (PC)	31	30	(1)
16. Referral Agencies (RA)	-	-	-
17. Rehabilitation Clinics (RC)	348	490	142
18. Skilled Nursing Facilities (SNF)	41,806	44,940	3,134
19. Surgical Clinics (SC)	1,677	2,512	835
<b>C. L&amp;C Subtotal</b>	<b>103,039</b>	<b>102,040</b>	<b>(999)</b>
<b>D. Major Assumptions</b>	-	-	-
E. Adjustment to Not Request Change in Funding	9,244	10,243	1,999
<b>F. L&amp;C Total</b>	<b>\$ 112,283</b>	<b>\$ 112,283</b>	<b>\$ -</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G)/Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	48,613	48,613	-
C. Special Deposit Fund (0942)			
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-
D. Reimbursement (0001R)	4,877	4,877	-
E. L&C Special Fund (3098)	58,793	58,793	-
<b>F. Fund Sources Total</b>	<b>\$ 112,283</b>	<b>\$ 112,283</b>	<b>\$ -</b>
<b>III. POSITIONS:</b>			
	Adjusted Position Count*	May Revision Estimate Position Count	Request CY 2013-14
<b>A. Administrative Staff</b>	121.2	121.2	-
<b>B. Field Operation Staff</b>			
1. Health Facility Evaluator Nurse (HFEN)	427.2	396.9	-
2. Consultant	52.0	41.6	-
3. Health Facility Evaluator II Supervisor (HFE II Sup)	90.6	75.2	-
4. Support Staff	80.5	78.8	-
5. LSC - Health Facility Evaluator I (HFE I)	17.8	18.5	-
6. LSC - Health Facility Evaluator Supervisor (HFE Sup)	5.0	3.7	-
7. LSC - Support Staff	5.0	3.7	-
<b>C. L&amp;C Subtotal</b>	<b>799.3</b>	<b>740.5</b>	<b>-</b>
D. Additional Positions Required for 100% Workload	-	[58.8]	-
E. Adjustment to Not Request Change in Positions	-	58.8	-
<b>F. L&amp;C Total</b>	<b>799.3</b>	<b>799.3</b>	<b>-</b>

\* Position count after adjustments to Governor's Budget, see page 21 for details.

**Licensing & Certification Summary**  
2014-15 Governor's Budget to 2014-15 May Revision Estimate  
Budget Year 2014-15 (\$ in thousands)

	A Governor's Budget BY 2014-15	B May Revision Estimate BY 2014-15	C Request BY 2014-15 (B - A)
<b>I. BUDGET ITEMS:</b>			
A. Administrative Staff	\$ 14,575	\$ 14,575	\$ -
B. Facility Types			
1. Alternative Birthing Centers (ABC)	11	10	(1)
2. Adult Day Health Centers (ADHC)	1,073	1,027	(46)
3. Acute Psychiatric Hospitals (APH)	1,355	909	(446)
4. Chronic Dialysis Clinics (CDC)	3,358	3,556	198
5. Chemical Dependency Recovery (CDR)	32	31	(1)
6. Congregate Living Health Facilities (CLHF)	178	170	(8)
7. Community Clinic/Free Clinic (CC)	836	910	74
8. Correctional Treatment Centers (CTC)	25	24	(1)
9. General Acute Care Hospitals (GACH)	17,822	16,323	(1,499)
10. Home Health Agencies (HHA)	6,796	3,375	(3,421)
11. Hospice (H)	919	1,574	655
12. Intermediate Care Facilities (ICF)	261	250	(11)
13. ICF-DD/DDH/DDN	12,541	11,288	(1,253)
14. Pediatric Day Health/Respite (PDHR)	48	46	(2)
15. Psychology Clinics (PC)	31	30	(1)
16. Referral Agencies (RA)	-	-	-
17. Rehabilitation Clinics (RC)	353	490	137
18. Skilled Nursing Facilities (SNF)	42,419	44,940	2,521
19. Surgical Clinics (SC)	1,702	2,512	810
<b>C. L&amp;C Subtotal</b>	<b>104,335</b>	<b>102,040</b>	<b>(2,295)</b>
<b>D. Major Assumptions</b>	251	251	-
E. Adjustment to Not Request Change in Funding	9,244	11,539	2,295
<b>F. L&amp;C Total</b>	<b>\$ 113,830</b>	<b>\$ 113,830</b>	<b>\$ -</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	48,779	48,779	-
C. Special Deposit Fund (0942)			
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-
D. Reimbursement (0001R)	5,737	5,737	-
E. L&C Special Fund (3098)	59,314	59,314	-
<b>F. Fund Sources Total</b>	<b>\$ 113,830</b>	<b>\$ 113,830</b>	<b>\$ -</b>
<b>III. POSITIONS:</b>			
	Adjusted Position Count*	May Revision Estimate Position Count	Request CY 2014-15
<b>A. Administrative Staff</b>	124.2	124.2	-
<b>B. Field Operation Staff</b>			
1. Health Facility Evaluator Nurse (HFEN)	427.2	397.9	-
2. Consultant	52.0	41.6	-
3. Health Facility Evaluator II Supervisor (HFE II Sup)	90.6	75.2	-
4. Support Staff	80.5	78.8	-
5. LSC - Health Facility Evaluator I (HFE I)	17.8	18.5	-
6. LSC - Health Facility Evaluator Supervisor (HFE Sup)	5.0	3.7	-
7. LSC - Support Staff	5.0	3.7	-
<b>C. L&amp;C Subtotal</b>	<b>802.3</b>	<b>743.6</b>	<b>-</b>
D. Additional Positions Required for 100% Workload	-	[58.7]	-
E. Adjustment to Not Request Change in Positions	-	58.7	-
<b>F. L&amp;C Total</b>	<b>802.3</b>	<b>802.3</b>	<b>-</b>

\* Position count after adjustments to Governor's Budget, see page 21 for details.

**Los Angeles County Summary**  
 2014-15 Governor's Budget to 2014-15 May Revision Estimate  
 Current Year 2013-14 (\$ in thousands)

	<b>A</b> Governor's Budget CY 2013-14	<b>B</b> May Revision Estimate CY 2013-14	<b>C</b> Request CY 2013-14 (B - A)
<b>I. BUDGET ITEMS:</b>			
<b>A. LAC Contract Total</b>	<b>\$ 26,951</b>	<b>\$ 26,951</b>	<b>\$ -</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	15,362	15,362	-
C. Special Deposit Fund (0942)			
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-
D. Reimbursement (0001R)	1,456	1,456	-
E. L&C Special Fund (3098)	10,133	10,133	-
<b>F. Fund Sources Total</b>	<b>\$ 26,951</b>	<b>\$ 26,951</b>	<b>\$ -</b>

**Los Angeles County Summary**  
 2014-15 Governor's Budget to 2014-15 May Revision Estimate  
 Budget Year 2014-15 (\$ in thousands)

	<b>A</b> Governor's Budget BY 2014-15	<b>B</b> May Revision Estimate BY 2014-15	<b>C</b> Request BY 2014-15 (B - A)
<b>I. BUDGET ITEMS:</b>			
<b>A. LAC Contract Total</b>	<b>\$ 26,951</b>	<b>\$ 26,951</b>	<b>\$ -</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ -	\$ -	\$ -
B. Federal Trust Fund (0890)	15,362	15,362	-
C. Special Deposit Fund (0942)			
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-
D. Reimbursement (0001R)	1,456	1,456	-
E. L&C Special Fund (3098)	10,133	10,133	-
<b>F. Fund Sources Total</b>	<b>\$ 26,951</b>	<b>\$ 26,951</b>	<b>\$ -</b>

**State Facilities Unit Summary**  
 2014-15 Governor's Budget to 2014-15 May Revision Estimate  
 Current Year 2013-14 (\$ in thousands)

**I. BUDGET ITEMS:**

	<b>A Governor's Budget CY 2013-14</b>	<b>B May Revision Estimate CY 2013-14</b>	<b>C Request CY 2013-14 (B - A)</b>
A. Administrative Staff	\$ 679	\$ 679	\$ -
B. Facility Types			
1. Alternative Birthing Centers (ABC)	-	-	-
2. Adult Day Health Centers (ADHC)	-	-	-
3. Acute Psychiatric Hospitals (APH)	228	185	(43)
4. Chronic Dialysis Clinics (CDC)	95	89	(6)
5. Chemical Dependency Recovery (CDR)	-	-	-
6. Congregate Living Health Facilities (CLHF)	-	-	-
7. Community Clinic/Free Clinic (CC)	-	-	-
8. Correctional Treatment Centers (CTC)	390	390	-
9. General Acute Care Hospitals (GACH)	239	220	(19)
10. Home Health Agencies (HHA)	2	2	-
11. Hospice (H)	2	2	-
12. Intermediate Care Facilities (ICF)	124	124	-
13. ICF-DD/DDH/DDN	13	13	-
14. Pediatric Day Health/Respite (PDHR)	-	-	-
15. Psychology Clinics (PC)	-	-	-
16. Referral Agencies (RA)	-	-	-
17. Rehabilitation Clinics (RC)	-	-	-
18. Skilled Nursing Facilities (SNF)	3,487	3,438	(49)
19. Surgical Clinics (SC)	7	7	-
<b>C. SFU Subtotal</b>	<b>5,266</b>	<b>5,149</b>	<b>(117)</b>
D. Adjustment to Not Request Change in Funding	-	117	117
<b>E. SFU Total</b>	<b>\$ 5,266</b>	<b>\$ 5,266</b>	<b>\$ -</b>

**II. FUND SOURCES:**

A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700	\$ 3,700	\$ -
B. Federal Trust Fund (0890)	1,447	1,447	-
C. Special Deposit Fund (0942)			
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-
D. Reimbursement (0001R)	119	119	-
E. L&C Special Fund (3098)	-	-	-
<b>F. Fund Sources Total</b>	<b>\$ 5,266</b>	<b>\$ 5,266</b>	<b>\$ -</b>

**III. POSITIONS:**

	<b>Adjusted Position Count*</b>	<b>May Revision Estimate Position Count</b>	<b>Request CY 2013-14</b>
<b>A. Administrative Staff</b>	6.0	6.0	-
<b>B. Field Operation Staff</b>			
1. Health Facility Evaluator Nurse (HFEN)	24.0	20.9	-
2. Consultant	-	2.2	-
3. Health Facility Evaluator II Supervisor (HFE II Sup)	4.0	4.1	-
4. Support Staff	5.0	4.2	-
5. LSC - Health Facility Evaluator I (HFE I)	-	0.1	-
6. LSC - Health Facility Evaluator Supervisor (HFE Sup)	-	-	-
7. LSC - Support Staff	-	-	-
<b>C. SFU Subtotal</b>	<b>39.0</b>	<b>37.5</b>	<b>-</b>
D. Additional Positions Required for 100% Workload	-	[-1.5]	
E. Adjustment to Not Request Change in Positions	-	1.5	
<b>F. SFU Total</b>	<b>39.0</b>	<b>39.0</b>	<b>-</b>

\* Position count after adjustments to Governor's Budget, see page 21 for details.

**State Facilities Unit Summary**  
 2014-15 Governor's Budget to 2014-15 May Revision Estimate  
 Budget Year 2014-15 (\$ in thousands)

	<b>A Governor's Budget BY 2014-15</b>	<b>B May Revision Estimate BY 2014-15</b>	<b>C Request BY 2014-15 (B - A)</b>
<b>I. BUDGET ITEMS:</b>			
A. Administrative Staff	\$ 679	\$ 679	\$ -
B. Facility Types			
1. Alternative Birthing Centers (ABC)	-	-	-
2. Adult Day Health Centers (ADHC)	-	-	-
3. Acute Psychiatric Hospitals (APH)	228	185	(43)
4. Chronic Dialysis Clinics (CDC)	95	89	(6)
5. Chemical Dependency Recovery (CDR)	-	-	-
6. Congregate Living Health Facilities (CLHF)	-	-	-
7. Community Clinic/Free Clinic (CC)	-	-	-
8. Correctional Treatment Centers (CTC)	390	390	-
9. General Acute Care Hospitals (GACH)	239	220	(19)
10. Home Health Agencies (HHA)	2	2	-
11. Hospice (H)	2	2	-
12. Intermediate Care Facilities (ICF)	124	124	-
13. ICF-DD/DDH/DDN	13	13	-
14. Pediatric Day Health/Respite (PDHR)	-	-	-
15. Psychology Clinics (PC)	-	-	-
16. Referral Agencies (RA)	-	-	-
17. Rehabilitation Clinics (RC)	-	-	-
18. Skilled Nursing Facilities (SNF)	3,487	3,438	(49)
19. Surgical Clinics (SC)	7	7	-
<b>C. SFU Subtotal</b>	<b>5,266</b>	<b>5,149</b>	<b>(117)</b>
D. Adjustment to Not Request Change in Funding	-	117	117
<b>E. SFU Total</b>	<b>\$ 5,266</b>	<b>\$ 5,266</b>	<b>\$ -</b>
<b>II. FUND SOURCES:</b>			
A. General Fund (0001G) Transfer to L&C Special Fund (3098)	\$ 3,700	\$ 3,700	\$ -
B. Federal Trust Fund (0890)	1,447	1,447	-
C. Special Deposit Fund (0942)			
1. Internal Dept. Quality Improvement Account (IDQIA) (222)	-	-	-
2. Skilled Nursing Facility (SNF) Penalty Account (248)	-	-	-
3. State Citation Penalty Account (601)	-	-	-
4. Federal Citation Penalty Account (605)	-	-	-
D. Reimbursement (0001R)	119	119	-
E. L&C Special Fund (3098)	-	-	-
<b>F. Fund Sources Total</b>	<b>\$ 5,266</b>	<b>\$ 5,266</b>	<b>\$ -</b>
<b>III. POSITIONS:</b>			
	<b>Adjusted Position Count*</b>	<b>May Revision Estimate Position Count</b>	<b>Request BY 2014-15</b>
<b>A. Administrative Staff</b>	6.0	6.0	-
<b>B. Field Operation Staff</b>			
1. Health Facility Evaluator Nurse (HFEN)	24.0	20.9	-
2. Consultant	-	2.2	-
3. Health Facility Evaluator II Supervisor (HFE II Sup)	4.0	4.1	-
4. Support Staff	5.0	4.2	-
5. LSC - Health Facility Evaluator I (HFE I)	-	0.1	-
6. LSC - Health Facility Evaluator Supervisor (HFE Sup)	-	-	-
7. LSC - Support Staff	-	-	-
<b>C. SFU Subtotal</b>	<b>39.0</b>	<b>37.5</b>	<b>-</b>
D. Additional Positions Required for 100% Workload	-	[-1.5]	
E. Adjustment to Not Request Change in Positions	-	1.5	
<b>F. SFU Total</b>	<b>39.0</b>	<b>39.0</b>	<b>-</b>

\* Position count after adjustments to Governor's Budget, see page 21 for details.

**Position Summary**  
 Licensing & Certification and State Facilities Unit  
 Comparison of 2014-15 Governor's Budget to 2014-15 May Revision Estimate  
 CY 2013-14

	2014-15 GOVERNOR'S BUDGET FOR CY 2013-14			2014-15 MAY REVISION ESTIMATE FOR CY 2013-14				
	Total	Adj. <sup>4</sup>	November Estimate	Base Position Count	May Revision Estimate	Adj. <sup>5</sup>	Adj. May Revision Estimate	Request
<b>TOTAL STATE POSITIONS</b>	1,064.25	(5.00)	1,059.25	1,059.25	998.99	60.26	1,059.25	-
<b>TOTAL STATE HFENS</b>	457.90	(6.70)	451.20	451.20	418.76	32.44	451.20	-
<b>HEADQUARTERS</b>								
	<b>Total</b>	<b>Adj.<sup>4</sup></b>	<b>November Estimate</b>	<b>Base Budget</b>	<b>May Revision Estimate</b>	<b>Adj.<sup>5</sup></b>	<b>Adj. May Revision Estimate</b>	<b>Request</b>
<b>BRANCH/SECTION</b>								
Research & Operations Management Branch	32.00	-	32.00	32.00	32.00	-	32.00	-
Policy & Enforcement Branch (PEB)	35.00	(4.00)	31.00	31.00	31.00	-	31.00	-
System Technology and Research Branch (STAR)	21.00	30.00	51.00	51.00	51.00	-	51.00	-
Professional Certification Branch	87.50	(3.50)	84.00	84.00	84.00	-	84.00	-
Deputy Director's Office	4.00	-	4.00	4.00	4.00	-	4.00	-
Citation Review Unit	2.00	-	2.00	2.00	2.00	-	2.00	-
Life Safety/Emergency Prep. & Disaster Resp. Br.	2.00	-	2.00	2.00	2.00	-	2.00	-
Healthcare Associated Infections (HAI) Program	15.00	-	15.00	15.00	15.00	-	15.00	-
Division Office - Temp Help	6.00	(6.00)	-	-	-	-	-	-
<b>Total</b>	<b>204.50</b>	<b>16.50</b>	<b>221.00</b>	<b>221.00</b>	<b>221.00</b>	<b>-</b>	<b>221.00</b>	<b>-</b>
<b>L&amp;C</b>								
	<b>Total</b>	<b>Adj.<sup>4</sup></b>	<b>November Estimate</b>	<b>Base Budget</b>	<b>May Revision Estimate</b>	<b>Adj.<sup>5</sup></b>	<b>Adj. May Revision Estimate</b>	<b>Request</b>
<b>POSITIONS</b>								
<b>Administrative Staff</b>	130.00	(8.80)	121.20	121.20	121.20	-	121.20	-
<b>FIELD OPERATIONS</b>								
HFEN	433.90	(6.70)	427.20	427.20	397.86	29.34	427.20	-
Consultants	53.00	(1.00)	52.00	52.00	41.57	10.43	52.00	-
HFE II Supervisors	93.60	(3.00)	90.60	90.60	75.17	15.43	90.60	-
Support Staff	80.50	-	80.50	80.50	78.83	1.67	80.50	-
LSC - HFE I	19.75	(2.00)	17.75	17.75	18.48	(0.73)	17.75	-
LSC - HFE II Supervisors	5.00	-	5.00	5.00	3.69	1.31	5.00	-
LSC - Support Staff	5.00	-	5.00	5.00	3.69	1.31	5.00	-
<b>Total</b>	<b>820.75</b>	<b>(21.50)</b>	<b>799.25</b>	<b>799.25</b>	<b>740.49</b>	<b>58.76</b>	<b>799.25</b>	<b>-</b>
<b>SFU</b>								
	<b>Total</b>	<b>Adj.<sup>4</sup></b>	<b>November Estimate</b>	<b>Base Budget</b>	<b>May Revision Estimate</b>	<b>Adj.<sup>5</sup></b>	<b>Adj. May Revision Estimate</b>	<b>Request</b>
<b>POSITIONS</b>								
<b>Administrative Staff</b>	6.00	-	6.00	6.00	6.00	-	6.00	-
<b>FIELD OPERATIONS</b>								
HFEN	24.00	-	24.00	24.00	20.90	3.10	24.00	-
Consultants	-	-	-	-	2.20	(2.20)	-	-
HFE II Supervisors	4.00	-	4.00	4.00	4.10	(0.10)	4.00	-
Support Staff	5.00	-	5.00	5.00	4.20	0.80	5.00	-
LSC - HFE I	-	-	-	-	0.10	(0.10)	-	-
LSC - HFE II Supervisors	-	-	-	-	-	-	-	-
LSC - Support Staff	-	-	-	-	-	-	-	-
<b>Total</b>	<b>39.00</b>	<b>-</b>	<b>39.00</b>	<b>39.00</b>	<b>37.50</b>	<b>1.50</b>	<b>39.00</b>	<b>-</b>

See page 23 for endnotes.

**Position Summary**  
 Licensing & Certification and State Facilities Unit  
 Comparison of 2014-15 Governors' Budget to 2014-15 May Revision Estimate  
 BY 2014-15

	2014-15 GOVERNOR'S BUDGET			2014-15 MAY REVISION ESTIMATE				
	FOR BY 2014-15			FOR BY 2014-15				
	<b>Total</b>	<b>Adj.<sup>4</sup></b>	<b>November Estimate</b>	<b>Base Position Count</b>	<b>May Revision Estimate</b>	<b>Adj.<sup>5</sup></b>	<b>Adj. May Revision Estimate</b>	<b>Request</b>
<b>TOTAL STATE POSITIONS</b>	1,069.25	(7.00)	1,062.25	1,062.25	1,025.99	54.26	1,080.25	18.00
<b>TOTAL STATE HFENs</b>	457.90	(6.70)	451.20	451.20	418.76	32.44	451.20	-
<b>HEADQUARTERS</b>								
	<b>Total</b>	<b>Adj.<sup>4</sup></b>	<b>November Estimate</b>	<b>Base Budget</b>	<b>May Revision Estimate</b>	<b>Adj.<sup>5</sup></b>	<b>Adj. May Revision Estimate</b>	<b>Request</b>
<b>BRANCH/SECTION</b>								
Research & Operations Management Branch	32.00	-	32.00	32.00	32.00	-	32.00	-
Policy & Enforcement Branch (PEB)	35.00	(4.00)	31.00	31.00	31.00	-	31.00	-
System Technology and Research Branch (STAR)	21.00	30.00	51.00	51.00	51.00	-	51.00	-
Professional Certification Branch	87.50	(3.50)	84.00	84.00	102.00	-	102.00	18.00
Deputy Director's Office	4.00	-	4.00	4.00	4.00	-	4.00	-
Citation Review Unit	2.00	-	2.00	2.00	2.00	-	2.00	-
Life Safety/Emergency Prep. & Disaster Resp. Br.	2.00	-	2.00	2.00	2.00	-	2.00	-
Healthcare Associated Infections (HAI) Program	15.00	-	15.00	15.00	15.00	-	15.00	-
Division Office - Temp Help	6.00	(6.00)	-		6.00	(6.00)	-	-
<b>Total</b>	204.50	16.50	221.00	221.00	245.00	(6.00)	239.00	18.00
<b>L&amp;C</b>								
	<b>Total</b>	<b>Adj.<sup>4</sup></b>	<b>November Estimate</b>	<b>Base Budget</b>	<b>May Revision Estimate</b>	<b>Adj.<sup>5</sup></b>	<b>Adj. May Revision Estimate</b>	<b>Request</b>
<b>POSITIONS</b>								
Administrative Staff	135.00	(10.80)	124.20	124.20	124.20	-	124.20	-
<b>FIELD OPERATIONS</b>								
HFEN	433.90	(6.70)	427.20	427.20	397.86	29.34	427.20	-
Consultants	53.00	(1.00)	52.00	52.00	41.57	10.43	52.00	-
HFE II Supervisors	93.60	(3.00)	90.60	90.60	75.17	15.43	90.60	-
Support Staff	80.50	-	80.50	80.50	78.83	1.67	80.50	-
LSC - HFE I	19.75	(2.00)	17.75	17.75	18.48	(0.73)	17.75	-
LSC - HFE II Supervisors	5.00	-	5.00	5.00	3.69	1.31	5.00	-
LSC - Support Staff	5.00	-	5.00	5.00	3.69	1.31	5.00	-
<b>Total</b>	825.75	(23.50)	802.25	802.25	743.49	58.76	802.25	-
<b>SFU</b>								
	<b>Total</b>	<b>Adj.<sup>4</sup></b>	<b>November Estimate</b>	<b>Base Budget</b>	<b>May Revision Estimate</b>	<b>Adj.<sup>5</sup></b>	<b>Adj. May Revision Estimate</b>	<b>Request</b>
<b>POSITIONS</b>								
Administrative Staff	6.00	-	6.00	6.00	6.00	-	6.00	-
<b>FIELD OPERATIONS</b>								
HFEN	24.00	-	24.00	24.00	20.90	3.10	24.00	-
Consultants	-	-	-	-	2.20	(2.20)	-	-
HFE II Supervisors	4.00	-	4.00	4.00	4.10	(0.10)	4.00	-
Support Staff	5.00	-	5.00	5.00	4.20	0.80	5.00	-
LSC - HFE I	-	-	-	-	0.10	(0.10)	-	-
LSC - HFE II Supervisors	-	-	-	-	-	-	-	-
LSC - Support Staff	-	-	-	-	-	-	-	-
<b>Total</b>	39.00	-	39.00	39.00	37.50	1.50	39.00	-

See page 23 for endnotes.

## Summary Endnotes

### **Endnotes to Fiscal Summaries**

1. Baseline Budget Adjustments for Control Section (CS) 3.60 Public Employee Retirement System (PERS) Rate (Budget Letter (BL) 13-17) and Employee Compensation (BL 13-18) are included in the Governor's Budget but were not allocated in time for the L&C November Estimate .
2. Baseline Budget Adjustments for CS 3.60 PERS Rate (BL 13-17), Employee Compensation (BL 13-18), CS 4.05 Fleet Reduction Savings, Overhead Reallocation, and an adjustment to Reimbursement Authority were included in the Governor's Budget but were not allocated in time for the L&C November Estimate.
3. Adjustment to account for the change to Pro Rata (state wide cost allocation for special funds) and State Wide Cost Allocation Plan (SWCAP) (state wide cost allocation for the Federal Trust Fund).

### **Endnotes to the Position Summaries**

4. Adjustment to reconcile the Governor's Budget with L&C's authorized position counts.
5. Adjustment to not request changes to position authority in the FYs 2013-14 and 2014-15 May Revision Estimate.

## **Future Fiscal Issues and Major Assumptions**

### **Future Fiscal Issues**

#### **Senate Bill (SB) 135: Hospice Facilities**

**Background:** Chapter 673, Statutes of 2012 (SB 135), established a new hospice health facility category. The establishment of the facility category aligns California with the federal allowance of a hospice facility and provides standards by which the facilities must maintain compliance. Most state standards are congruent with the federal standards. As with all healthcare facilities licensed by CDPH, the law allows CDPH to adopt regulations and establish standards determined to be necessary for compliance. Until a determination for more specific state regulations is made, hospice facilities will be required to comply with those identified in SB 135 and with specified federal standards.

CDPH does not plan completion of these regulations within the current or budget year, but will revisit the issue once the program is operational and more experience is gained from the operation of this new licensure category.

**Description of Change:** A new hospice health facility category was established by SB 135 to align the state with federal compliance standards.

**Discretionary? Y/N:** No

#### **Reason for Adjustment/Change:**

- This is a new facility type established by Chapter 673, Statutes of 2012 (SB 135) that will require L&C oversight.
- To develop and promulgate regulations.

**Fiscal Impact:** Unknown. The cost of the workload, which would be funded by the L&C Special Fund, is unknown until facilities change their licensing status to the new hospice health facility category.

#### **Assembly Bill (AB) 377: Hospital Pharmacy**

**Background:** Chapter 687, Statutes of 2012 (AB 377) permits a hospital pharmacy to repackage/re-label drugs for delivery to another pharmacy or hospital under common ownership located within a 75-mile radius.

The estimated fiscal impact of AB 377 assumes that most or all hospitals under common ownership would take advantage of this allowance generating a considerable increase in the workload of L&C pharmaceutical staff. Because it is unknown how many hospitals will take advantage of this option, the department will not request resources for the budget year, but will instead re-examine the issue once workload becomes clear and it is known how many hospitals establish a centralized hospital packaging pharmacy.

**Description of Change:** Hospital pharmacies are allowed to repackage/re-label drugs for delivery to another facility under common ownership.

**Discretionary? Y/N:** No

**Reason for Adjustment/Change:**

- This is a new L&C oversight function.

**Fiscal Impact:** Unknown. The cost of the workload, which would be funded by the L&C Special Fund, is unknown due to a lack of data and comparable workload.

**New Major Assumptions/Premises**

**Spring Finance Letter Healthcare Quality HQ-01 – Timely Investigations of Caregivers**

**Background:** The CDPH CHCQ is responsible for the oversight of over 200,000 active applicants and certificate holders as CNAs, HHAs, CHTs, and over 400,000 inactive applicants and certificate holders.

The investigation of allegations/complaints filed against CNAs, HHAs, and CHTs is required by federal and state laws, including Social Security Act sections 1819 and 1919, Title 42 of the Code of Federal Regulations, California Health and Safety Code sections 1337 and 1338, Business and Professions Code section 1247, and Government Code section 11400. These allegations/complaints of unprofessional conduct may include: incompetence; gross negligence; physical, mental, and verbal abuse of patients; and misappropriation of property of patients or others. Approximately 925 allegations/complaints are received by CHCQ for both active and inactive caregivers annually.

CHCQ has been operating with an on-going multi-year accumulation of caregiver investigations. Furloughs, vacancies, and outdated processes led to the number of aging cases. CHCQ took action to work through the aging cases while attempting to complete current investigations, but could not achieve significant reductions as current cases continued to age. CHCQ must reduce the number of pending cases and also respond timely to current cases; therefore, CHCQ is proposing a two-prong approach to become and remain current on all cases and conduct timely investigations. Specifically, CHCQ proposes nine two-year, limited-term Associate Governmental Program Analyst (AGPA) investigator positions to augment existing investigators to more promptly and thoroughly investigate current allegations and complaints. Additionally, CHCQ proposes six two-year, limited-term AGPA investigator positions to focus on aging investigations. The requested AGPA investigators will enable the timely investigations of current and incoming allegation/complaints and eliminate aged allegation/complaints within two years. In addition, CHCQ is requesting two Program Technician IIs and one Staff Services Manager I to support and manage the additional staff. In summary, this proposal seeks 18 two-year, limited-term positions and \$1.9 million from the L&C Special Fund to investigate allegations/complaints of unprofessional conduct filed against CNAs, HHAs, and CHTs.

**Description of Change:** Increase the number of investigators to reduce the number of pending cases and complete complaint investigations timely.

**Discretionary? Y/N:** Yes.

**Reason for Adjustment/Change:**

- CHCQ must respond to cases more timely to increase the likelihood of substantiating allegations.
- The number of aging, pending cases that can be investigated cannot be addressed by current staffing levels, given the number of new complaints received each year.

**Fiscal Impact:** \$1.95 million from the L&C Special Fund.

**Existing (Significantly Changed) Major Assumptions/Premises**

There are no changes to previously approved assumptions that have been included in the base estimate.

**Unchanged Major Assumptions/Premises**

- **BCP HQ-01 L&C Program Evaluation:** This proposal would fund improvements in six areas: 1) workload assignment and workload management, 2) the timekeeping system, 3) allocation of staff and funding resources, 4) best practices, 5) program efficiencies, and 6) quality improvement activities. There have been no changes to this assumption; \$1.4 million was requested and is incorporated in the FY 2014-15 Governor's Budget.
- **BCP HQ-03 Medical Privacy Breach Enforcement:** This proposal combines the authority and resources of two existing programs. CDPH seeks the transfer of three investigator positions and their associated workload from the California Office of Health Information Integrity (CalOHII). There have been no changes to this assumption. Three positions and \$251,000 were requested and are incorporated in the FY 2014-15 Governor's Budget.
- **BCP HQ-04 Legislative BCP-SB 534: State Licensing Standards for Chronic Dialysis Clinics, Rehabilitation Clinics, and Surgical Clinics:** This proposal will fund the study of the impact of SB 534 on the use of federal standards until the department adopts regulations relating to the provision of services for chronic dialysis clinics, rehabilitation clinics, and surgical clinics. There have been no changes to this assumption; \$201,000 was requested and is incorporated in the FY 2014-15 Governor's Budget.
- **Standard Average Hours (SAH):** The FY 2014-15 November Estimate included a three-year average for calculating the SAHs each activity type takes to complete.

Moving from a one-year to a three-year average allows for more reliable metrics when quantifying workload. There have been no changes to this assumption.

**Discontinued Major Assumptions/Premises**

There are no discontinued major assumptions to report at this time.

## Attachment A – Summary of SFL HQ-01

**Spring Finance Letter HQ-01 – Timely Investigations of Caregivers**

The investigation of allegations/complaints filed against CNAs, HHAs, and CHTs is required by federal and state laws, including Social Security Act sections 1819 and 1919, Title 42 of the Code of Federal Regulations, California Health and Safety Code sections 1337 and 1338, Business and Professions Code section 1247 and Government Code section 11400.

Approximately 925 allegations/complaints are received by CHCQ for both active and inactive caregivers annually.

CDPH requests 18 two-year, limited-term positions and \$1.9 million to support timely investigations of allegations/complaints of unprofessional conduct filed against CNAs, HHAs, and CHTs. These allegations/complaints of unprofessional conduct include: incompetence; gross negligence; physical, mental, and verbal abuse of patients; and misappropriation of property of patients or others.

**Staffing:**

Classification	Number of Positions	Annual Salary Cost <sup>f/</sup> (A x Annual Salary Base)	Annual Benefit Cost <sup>g/</sup> (B x Benefit Cost Rate (.51524))	O E & E <sup>h/</sup> (A x Annual OE&E)	Total Estimated Cost (B + C + D)
	A	B	C	D	E
<b>Section Summary</b>					
<b>By Position Classification</b>					
Staff Services Manager I (SSM I)*	1.00	\$ 68,340	\$ 35,212	\$ 21,200	\$ 124,752
Associate Governmental Program Analyst*	15.00	891,720	459,450	325,050	1,676,220
Program Technician II*	2.00	71,316	36,745	42,400	150,461
<b>Total</b>	<b>18.00</b>	<b>\$ 1,031,376</b>	<b>\$ 531,406</b>	<b>\$ 388,650</b>	<b>\$ 1,951,432</b>
<b>By Funding Classification</b>				<b>Total Cost Classification by Fund</b>	<b>Rounded</b>
Special Fund				\$ 1,951,432	\$ 1,951,000
Reimbursement Fund				-	-
Federal Fund					
Title XVIII (18) LTC				-	-
Title XVIII (18) NLTC				-	-
Title XIX (19) LTC				-	-
<b>Total</b>	<b>18.00</b>			<b>\$ 1,951,432</b>	<b>\$ 1,951,000</b>

\* Includes Office Automation costs at \$2,000 per position.

## Detailed Assumptions

CY 2013-14 and BY 2014-15

### 1. Authority Methodology:

- Licensing Authority: The provisions of Health & Safety Code (HSC) sections 1254, 1282 and 1417 require L&C to license health facilities that do business in California.
- Certification Authority: CDPH's contract with the federal Centers for Medicare and Medicaid Services (CMS), as well as provisions of California's Medicaid State Plan, requires L&C to certify facilities for participation in Medicare (Title XVIII) and/or Medicaid (Medi-Cal) (Title XIX). In conducting these activities, L&C develops and enforces state licensure standards, conducts inspections to assure compliance with federal standards for facility participation in Medicare and/or Medi-Cal, and responds to complaints against providers licensed by CDPH.

### 2. Facility Counts:

- A health facility means any facility, place, or building that is organized, maintained, and operated for the diagnosis, care, prevention, and treatment of human illness, physical or mental, including convalescence and rehabilitation and including care during and after pregnancy, or for any one or more of these purposes, for one or more persons, to which the persons are admitted for a 24-hour stay or longer.
  - a. Facilities are counted by facility type, i.e., Skilled Nursing Facility (SNF), General Acute Care Hospital (GACH), Home Health Agency (HHA), etc.
  - b. Only active and open main facilities and SNF distinct part facilities are counted for purposes of this Estimate.
  - c. For some facility types, there may be a difference in the number of licensed facilities versus the number of certified facilities. This is due to some facilities being licensed-only or certified-only. Additionally, there may be minor discrepancies due to the use of different data sources required by CMS and/or the timing of data reconciliation activities.

### 3. Survey Activities:

- Licensing survey activities are based on state mandated requirements. Only licensed and licensed/certified facilities are covered in the state survey requirements. State licensing surveys include the following activities performed by surveyors:
  - a. Re-licensure
  - b. Re-licensure – Follow-up
  - c. Initial Licensure
  - d. Initial Licensure – Follow-up
  - e. Complaint Investigations/Entity Reported Investigation (ERI) – State
  - f. Field Visits
  - g. Review Medical Error Plan

- Certification survey activities are based on federal CMS tiered activity requirements. Only certified facilities and licensed/certified facilities are covered in the federal CMS survey requirement. Federal certification surveys include the following activities performed by surveyors:
  - a. Re-certification
  - b. Re-certification – Follow-up
  - c. Initial Certification
  - d. Initial Certification – Follow-up
  - e. Life Safety Code
  - f. Life Safety Code – Follow-up
  - g. Complaint/ERI Investigation – Federal
  - h. Complaint Validation
  - i. Validation
  - j. Validation – Follow-up
  - k. Informal Dispute Resolution
  - l. Federal Hearings
  - m. Pre-Referral Hearings
  - n. Monitoring Visits

#### **4. Surveyor Timekeeping System:**

The provisions of HSC Section 1266(d) require L&C to capture and report workload data by category (survey activity and facility type) that prompted the development and implementation of the Surveyor Timekeeping System (STS). In June 2011, the STS was automated and renamed the Time Entry and Activity Management (TEAM). The TEAM system captures data on the number of survey counts and the total hours spent for each survey activity to determine the standard average hours it takes to accomplish specific workload.

#### **5. Workload Survey:**

- Survey workload is either state-mandated (licensing survey) or federal/CMS-mandated (certification survey).
- The annual workload is calculated based on a methodology that includes facility count, annualized survey frequency rate, and the standard average hours for each activity.

#### **6. Standard Average Hours (SAH):**

SAHs are the average hours each activity type takes to complete. The SAHs are derived from the L&C TEAM system. The L&C Program used FY 2010-11, FY 2011-12, and FY 2012-13 data to develop the SAHs for CY 2013-14 and BY 2014-15.

#### **7. Workload Hours:**

The annualized survey frequency rate is determined by the corresponding state or federal mandated survey requirements, multiplied by the standard average hours.

#### **8. Surveyor Positions:**

It is assumed there are 1,800 functional hours per position per year for state field operations staff.

**9. Position Classification Costing:**

- Salaries for Headquarters and Field Operations administrative, supervisory, and support staff are based on the mid-step salary range and varying rates of travel reflected in the Operating Expenses and Equipment (OE&E) costs in Attachment B. Salaries for L&C surveyor position classifications [Health Facilities Evaluator Nurses (HFEN), Consultants, and legal staff] costs are based on the maximum salary range; the maximum range is used because these classifications are normally hired above the minimum salary for extraordinary qualifications. OE&E costs are based on standard costs for the department and include high travel for all surveyors, additional training costs of \$2,217 per HFEN Surveyor, and \$6,000 per employee for program-specific Information Technology Services Division (ITSD) costs. Salaries for supervisory and clerical staff are based on the mid-step salary range and have high travel and no travel, respectively. Attachment A provides salary and benefit rates and total OE&E costs by classification. Below are the OE&E Costs used for this estimate:

Operating Expenses and Equipment

<b>STANDARD COSTS</b>	<b>2013-14</b>	<b>2014-15</b>
General Expense	\$ 3,300	\$ 3,300
Printing	1,700	1,700
Communications	1,300	1,300
Travel – Light	3,000	3,000
Travel – Medium	7,500	7,500
Travel – High	13,000	13,000
Training	300	300
Facilities	10,300	10,300
Data Center	300	300
Office Automation	-	-

<b>ADDITIONAL COSTS</b>	<b>2013-14</b>	<b>2014-15</b>
HFEN Training	\$ 2,217	\$ 2,217
ITSD Bundled Costs	4,000	4,000
ITSD, Program Specific Exp.	2,000	2,000

- Cost factors for LAC surveyor and supervisory classifications are based on the current salary levels for FY 2013-14 as listed in agreement #12-10082.

**10. Staffing Ratios:**

**State Ratios:**

- L&C: The allocation of the HFE II Supervisor positions is computed using a (1:6) ratio: 1 HFE II Supervisor for every 6 HFENs.
- L&C: The allocation of the Program Technician (PTII) is computed using a (1:6) ratio: 1 PTII for every 6 of the combined HFENs/HFE II Supervisors.

**Federal Ratios:**

- L&C: The allocation of the HFE II Supervisor positions is computed using a (1:5) ratio: 1 HFE II Supervisor for every 5 HFENs.

- L&C: The allocation of the Program Technician (PTII) is computed using a (1:5) ratio: 1 PTII for every 5 HFENs.

**LAC Ratios:**

- Position classification ratios are based on the complement of current staff percentages as listed in the current LAC agreement. Current levels are (12:1) for HFENs to Supervising Health Facilities Evaluator Nursing, and (5:1) for HFENs to Word Processor II positions.

**11. OE&E Standard Training Cost Supplement for HFENs:**

Turnover for HFENs in FY 2012-13 was 17%. This percentage was applied to the OE&E costs of \$2,217 per HFEN and divided by all HFENs being requested. This amounts to a \$400 adjustment for training per HFEN.

**12.** All surveyor workload and related administrative costs for LAC Contract #12-10082 are displayed separately. The current amount of \$26,951,252 serves as the base for LAC. See Appropriation Summary by Fund for detail.

**13.** The CY 2013-14 and BY 2014-15 complaint and ERI workload is estimated to be consistent with the complaint workload in FY 2012-13.

**14.** CY 2013-14 and BY 2014-15 workload was updated to reflect the Federal Fiscal Year (FFY) 2014 grant workload.

**15.** Workload calculations and costs are displayed by each facility type, and footnotes are entered throughout the displays and summaries. For footnote legend information, see Attachment A, "Footnote Legend."

**16.** All Nursing Home Administrator Program Costs are charged to the L&C Special Fund beginning in CY 2013-14.

**17.** Contract costs are included for executed contracts only.

**Changes to Detailed Assumptions from 2014-15 November Estimate to the 2014-15 May Revision Estimate**

Item 6, 13 and 16 were updated to accurately reflect "CY" and "BY" references.

Item 14 was updated to reflect FFY 2014 grant workload.

## Attachment A - Footnote Legend

## Footnote Legend

- a / Based on the Electronic Licensing Management System (ELMS) open and active list of licensed only, certified only, and licensed/certified main facilities as of 07-01-2013.
- b / Number of activities are based on mandated and projected workloads for both licensing and certification.
- c / Workload hours are calculated based on surveyor activities multiplied by the Standard Average Hours (SAH). SAH is based on a three year average of total hours per activity item and is determined by dividing the total activity hours (i.e., direct activity hours including facility admin hours) by the number of survey activities or complaints.
- d / Surveyor positions are calculated based on 1,800 hours per position.
- e / HFE I positions are used to perform life safety code surveys only.
- f / Annual Salary based on FY 2013-14 salary range which excludes salary savings. The salary Maximum has been increased by 3% over the FY 2013-14 May Revision Estimate.

	FY 2013-14	FY 2014-15	Salary Range Level
8011 HFEN	\$79,885	\$79,885	Maximum
Consultants **** (see Table A below for calculation details)	108,485	108,485	Various
8051 Health Facility Evaluator II (Supervisor)	76,714	76,714	Middle
9928 Program Technician (PT II)	35,660	35,660	Middle
8001 HFE I ( life safety code activities only)	52,010	52,010	Middle
9927 Program Technician (PT)	32,066	32,066	Middle
9925 Supervising Program Technician II (SPT II)	39,904	39,904	Middle
9924 Supervising Program Technician I (SPT I)	37,074	37,074	Middle
8429 Health Program Manager III (HPM III)	86,863	86,863	Middle
8428 Health Program Manager II (HPM II)	75,029	75,029	Middle
8338 Health Program Specialist I	65,299	65,299	Middle
8337 Associate Health Program Adviser	59,451	59,451	Middle
8336 Health Program Specialist II	71,721	71,721	Middle
8195 Nurse Consultant II	80,016	80,016	Maximum
8181 Nurse Consultant III (Specialist)	87,490	87,490	Middle
8052 Health Facility Evaluator II (HFE II)	59,451	59,451	Middle
8052 Health Facility Evaluator II (HFE II) *	66,101	66,101	Maximum
8050 Health Facility Evaluator Manager I (HFEM I)	68,339	68,339	Middle
8007 Health Facility Evaluator Trainee (HFE Trainee)	38,075	38,075	Middle
7993 Health Facility Evaluator Manager II (HFEM II)	75,029	75,029	Middle
7964 Pharmaceutical Program Consultant	115,892	115,892	Middle
7788 Medical Consultant II (Supervisor)	159,360	159,360	Maximum
7705 Public Health Medical Officer III (Specialist)	136,067	136,067	Middle
7674 Public Health Medical Administrator I	152,071	152,071	Middle
7500 CEA II	103,392	103,392	Middle
5778 Staff Counsel (SC)	96,754	96,754	Maximum
5731 Research Analyst II	62,421	62,421	Middle
5758 Research Program Specialist II (RPS II)	71,721	71,721	Middle
5742 Research Program Specialist I (RPS I)	65,299	65,299	Middle
5643 Research Scientist Supervisor I	86,368	86,368	Middle
5594 Research Scientist III (Epidemiology)	78,308	78,308	Middle
5582 Research Scientist II (Epidemiology)	71,431	71,431	Middle
5393 Associate Governmental Program Analyst (AGPA)	59,451	59,451	Middle
5393 Associate Governmental Program Analyst (AGPA) *	66,101	66,101	Maximum
5278 Management Services Technician (MST)	36,143	36,143	Middle
5157 Staff Services Analyst (SSA)	44,378	44,378	Middle
5157 Staff Services Analyst (SSA) *	54,953	54,953	Maximum
4802 Staff Services Manager III	86,863	86,863	Middle
4801 Staff Services Manager II (SSM II)	75,029	75,029	Middle
4800 Staff Services Manager I (SSM I)	68,339	68,339	Middle
4800 Staff Services Manager I (SSM I) *	75,730	75,730	Maximum
4588 Associate Accounting Analyst (AAA)	62,421	62,421	Middle
2246 Health Facilities Evaluator Specialist (HFES)	65,299	65,299	Middle

\* These classifications are used in the Nursing Home Administrator Program and estimated at the maximum salary range based on actual cost.

**Footnote Legend (continued)**

f/ Annual Salary, based on FY 2013-14 salary range which excludes salary savings. The salary Maximum has been increased by 3% over the FY 2013-14 May Revision Estimate.

	FY 2013-14	FY 2014-15	Salary Range Level
1331 Deputy Director of the Center for Health Care Quality	135,960	135,960	Middle
1181 Word Processing Technician	32,330	32,330	Middle
1139 Office Technician (TYPING)	36,288	36,288	Middle
1138 Office Technician (GENERAL)	35,660	35,660	Middle
g / Benefit rate per annual salary	42.632%	42.632%	
h / OE&E based on standard cost per position for FY 13-14 & 14-15			

8011 HFEN (High Travel)	\$ 36,600
Consultants (High Travel)	36,200
8051 HFE II Sup (High Travel)	36,200
9928 PT II (No Travel)	23,200
8001 HFE I (High Travel, life safety code activities only)	36,200
9928 Program Technician II (Light Travel)	26,200
9927 Program Technician (No Travel)	23,200
9925 Supervising Program Technician II (SPT II)	23,200
9924 Supervising Program Technician I (SPT I)	23,200
8429 Health Program Manager III (HPM III)	23,200
8428 Health Program Manager II (HPM II) (Light Travel)	26,200
8338 Health Program Specialist I (Light Travel)	26,200
8337 Associate Health Program Adviser (Light Travel)	26,200
8336 Health Program Specialist II	23,200
8195 Nurse Consultant II	23,200
8181 Nurse Consultant III (Specialist) (High Travel)	36,200
8052 Health Facility Evaluator II (HFE II) (High Travel)	36,200
8052 Health Facility Evaluator II (HFE II) (No Travel)	23,200
8051 Health Facility Evaluator II (Supervisor) (Light Travel)	26,200
8050 Health Facility Evaluator Manager I (HFEM I) (Light Travel)	26,200
8011 Health Facility Evaluator Nurse (HFEN) (Medium Travel)	31,100
8007 Health Facility Evaluator Trainee (HFE Trainee)	23,200
7993 Health Facility Evaluator Manager II (HFEM II) (Medium Travel)	30,700
7993 Health Facility Evaluator Manager II (HFEM II) (Light Travel)	26,200
7964 Pharmaceutical Program Consultant (Light Travel)	26,200
7788 Medical Consultant II (Supervisor) (Light Travel)	26,200
7705 Public Health Medical Officer III (Specialist) (Medium Travel)	30,700
7674 Public Health Medical Administrator I (Medium Travel)	30,700
7500 CEA II	23,200
5731 Research Analyst II	23,200
5758 Research Program Specialist II (RPS II)	23,200
5742 Research Program Specialist I (RPS I) (No Travel)	23,200
5742 Research Program Specialist I (RPS I) (Light Travel)	26,200
5643 Research Scientist Supervisor I (Epidemiology) (Light Travel)	26,200
5594 Research Scientist III (Epidemiology) (Light Travel)	26,200
5582 Research Scientist II (Epidemiology) (Light Travel)	26,200
5393 Associate Governmental Program Analyst (AGPA) (No Travel)	23,200
5393 Associate Governmental Program Analyst (AGPA)(Light Travel)	26,200
5393 Associate Governmental Program Analyst (AGPA)(High Travel)	36,200
5278 Management Services Technician (MST)	23,200

**Footnote Legend (continued)**

h/	OE&E based on standard cost per position for FY 13-14 & 14-15	
	5157 Staff Services Analyst (SSA) (Light Travel)	\$26,200
	5157 Staff Services Analyst (SSA) (No Travel)	23,200
	4802 Staff Services Manager III	23,200
	4801 Staff Services Manager II (SSM II)	23,200
	4801 Staff Services Manager II (SSM II) (Medium Travel)	30,700
	4801 Staff Services Manager II (SSM II) (Light Travel)	26,200
	4800 Staff Services Manager I (SSM I)	23,200
	4800 Staff Services Manager I (SSM I) (Medium Travel)	30,700
	4800 Staff Services Manager I (SSM I) (Light Travel)	26,200
	4588 Associate Accounting Analyst (AAA)	23,200
	1581 Staff Programmer Analyst (Staff PA)	23,200
	1581 Staff Programmer Analyst (Staff PA) (Light Travel)	26,200
	1579 Associate Programmer Analyst (APA)	23,200
	1479 Assistance Information System Analyst (AISA)	23,200
	1470 Associate Information System Analyst Specialist (AISAS)	23,200
	1393 Data Processing Manager III (DPM III)	23,200
	1384 Data Processing Manager II (DPM II)	23,200
	1381 Data Processing Manager I (DPM I)	23,200
	1337 Sr Information System Analyst (ISA)	23,200
	1312 Staff Information System Analyst (Staff ISA)	23,200
	1139 Office Technician (TYPING) (No Travel)	23,200
	1139 Office Technician (TYPING) (Light Travel)	26,200
	1138 Office Technician (GENERAL)	23,200
	Miscellaneous Classifications (No Travel)	23,200
	Miscellaneous Classifications (Light Travel)	26,200
	Miscellaneous Classifications (Medium Travel)	30,700
	Miscellaneous Classifications (High Travel)	36,200
	Office Automation (for new positions only)	2,000
i/	Annual salary per position based on 13-14 contract	
	HFEN	\$85,285
	Consultant	124,020
	HFEN Sup	100,812
	Word Processor II	43,793
j/	Benefit rate based on 13-14 contract cost	49.88%
k/	OE&E rate is per position based on 13-14 contract	
	HFEN	\$31,471
	Consultant	40,543
	HFEN Sup	35,107
	Word Processor II	21,753

**Table A**

Positions	Code	Consultant Classification	Annual Base Salary		Salary Range Level
			FY 2013-14	FY 2014-15	
12	7787	MEDICAL CONSULTANT I	\$147,580	\$147,580	Maximum
1	8181	NURSE CONSULTANT III-SPEC	87,490	87,490	Maximum
2	8195	NURSE CONSULTANT II	80,016	80,016	Maximum
19	7994	PHARMACEUTICAL CONSULTANT II-SPEC	117,923	117,923	Maximum
10	2166	PUBLIC HEALTH NUTRITION CONSULTANT III (SPEC)	75,276	75,276	Maximum
3	8281	OCCUPATIONAL THERAPY CONSULTANT	70,812	70,812	Middle
3	1863	MEDICAL RECD CONSULTANT	66,672	66,672	Middle
50	****	Weighted Average	\$108,485	\$108,485	

**Appendix A: Field Operations Workload Driver Summary Chart**

Field Operations Workload Driver Summary Chart								
FY 2014-15 May Revision Estimate vs. FY 2014-15 November Estimate								
Facility Type	Facility Count		Estimated Activity Count	Estimated Activity Hours	Total Estimated Cost (\$ in thousands)	Funds		
	Federal	State				Reimb. Fund	Federal Fund	Special Fund
<b>TOTALS</b>								
2014-15 May Revision Estimate	6,100	5,131	36,413	1,184,658	\$ 126,233	\$ 6,328	\$ 66,763	\$ 53,142
2014-15 November Estimate	6,100	5,131	37,423	1,200,470	\$ 127,804	\$ 7,281	\$ 61,600	\$ 58,922
INC/(DEC)	-	-	(1,010)	(15,813)	(\$1,571)	(\$953)	\$ 5,163	(\$5,780)
<b>ALTERNATIVE BIRTHING CENTERS</b>								
2014-15 May Revision Estimate		7	3	92	\$ 10	\$ -	\$ -	\$ 10
2014-15 November Estimate		7	3	92	\$ 10	\$ -	\$ -	\$ 10
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>ADULT DAY HEALTH CENTER</b>								
2014-15 May Revision Estimate		270	164	9,736	\$ 1,027	\$ -	\$ -	\$ 1,027
2014-15 November Estimate		270	164	9,736	\$ 1,027	\$ -	\$ -	\$ 1,027
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>ACUTE PSYCHIATRIC HOSPITAL</b>								
2014-15 May Revision Estimate	65	36	549	13,361	\$ 1,415	\$ 157	\$ 240	\$ 1,018
2014-15 November Estimate	65	36	569	18,661	\$ 1,996	\$ 538	\$ 374	\$ 1,084
INC/(DEC)	-	-	(20)	(5,300)	(\$581)	(\$381)	(\$134)	(\$66)
<b>CHRONIC DIALYSIS CLINIC / ESRD</b>								
2014-15 May Revision Estimate	501	5	566	47,559	\$ 5,122	\$ 1,251	\$ 2,501	\$ 1,370
2014-15 November Estimate	501	5	739	43,953	\$ 4,710	\$ 1,148	\$ 2,295	\$ 1,267
INC/(DEC)	-	-	(173)	3,606	\$ 412	\$ 103	\$ 206	\$ 103
<b>CHEMICAL DEPENDENCY RECOVERY HOSPITAL</b>								
2014-15 May Revision Estimate		6	12	483	\$ 49	\$ -	\$ -	\$ 49
2014-15 November Estimate		6	12	483	\$ 49	\$ -	\$ -	\$ 49
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>CONGREGATE LIVING HEALTH FACILITY</b>								
2014-15 May Revision Estimate		65	70	2,884	\$ 307	\$ -	\$ -	\$ 307
2014-15 November Estimate		65	70	2,884	\$ 307	\$ -	\$ -	\$ 307
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>COMMUNITY CLINIC /PCC/RHC/FREEC</b>								
2014-15 May Revision Estimate	300	953	640	11,858	\$ 1,271	\$ 158	\$ 316	\$ 797
2014-15 November Estimate	300	953	602	10,403	\$ 1,110	\$ 118	\$ 235	\$ 757
INC/(DEC)	-	-	38	1,455	\$ 161	\$ 40	\$ 81	\$ 40
<b>CORRECTIONAL TREATMENT CENTERS</b>								
2014-15 May Revision Estimate		18	133	3,920	\$ 415	\$ -	\$ -	\$ 415
2014-15 November Estimate		18	133	3,920	\$ 415	\$ -	\$ -	\$ 415
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>GENERAL ACUTE CARE HOSPITAL</b>								
2014-15 May Revision Estimate	86	434	8,468	183,186	\$ 19,358	\$ 1,579	\$ 3,157	\$ 14,622
2014-15 November Estimate	86	434	8,290	191,418	\$ 20,272	\$ 1,807	\$ 3,615	\$ 14,850
INC/(DEC)	-	-	178	(8,232)	(\$914)	(\$228)	(\$458)	(\$228)
<b>HOME HEALTH AGENCIES</b>								
2014-15 May Revision Estimate	1,446	285	1,110	64,326	\$ 6,902	\$ 1,505	\$ 3,011	\$ 2,386
2014-15 November Estimate	1,446	285	1,337	91,926	\$ 9,895	\$ 2,254	\$ 4,507	\$ 3,134
INC/(DEC)	-	-	(227)	(27,600)	(\$2,993)	(\$749)	(\$1,496)	(\$748)
<b>HOSPICES</b>								
2014-15 May Revision Estimate	457	535	513	26,902	\$ 2,884	\$ 633	\$ 1,265	\$ 986
2014-15 November Estimate	457	535	489	18,680	\$ 1,991	\$ 409	\$ 819	\$ 763
INC/(DEC)	-	-	24	8,222	\$ 893	\$ 224	\$ 446	\$ 223
<b>INTERMEDIATE CARE FACILITY (ICF)</b>								
2014-15 May Revision Estimate		5	425	5,946	\$ 630	\$ -	\$ -	\$ 630
2014-15 November Estimate		5	425	5,946	\$ 630	\$ -	\$ -	\$ 630
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>ICF - DD; DDH; DDN</b>								
2014-15 May Revision Estimate	1,142	1,182	5,698	136,747	\$ 14,495	\$ -	\$ 6,749	\$ 7,746
2014-15 November Estimate	1,142	1,182	6,725	149,462	\$ 15,756	\$ -	\$ 7,695	\$ 8,061
INC/(DEC)	-	-	(1,027)	(12,715)	(\$1,261)	\$ -	(\$946)	(\$315)
<b>PEDIATRIC DAY HEALTH / RESPITE CARE</b>								
2014-15 May Revision Estimate		16	10	496	\$ 47	\$ -	\$ -	\$ 47
2014-15 November Estimate		16	10	496	\$ 47	\$ -	\$ -	\$ 47
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>PSYCHOLOGY CLINIC</b>								
2014-15 May Revision Estimate		22	8	469	\$ 48	\$ -	\$ -	\$ 48
2014-15 November Estimate		22	8	469	\$ 48	\$ -	\$ -	\$ 48
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>REFERRAL AGENCIES</b>								
2014-15 May Revision Estimate		4	-	-	\$ -	\$ -	\$ -	\$ -
2014-15 November Estimate		4	-	-	\$ -	\$ -	\$ -	\$ -
INC/(DEC)		-	-	-	\$ -	\$ -	\$ -	\$ -
<b>REHAB CLINIC / OPT/CORF</b>								
2014-15 May Revision Estimate	146	14	91	5,773	\$ 620	\$ 150	\$ 299	\$ 172
2014-15 November Estimate	146	14	63	3,968	\$ 426	\$ 101	\$ 202	\$ 123
INC/(DEC)	-	-	28	1,805	\$ 194	\$ 49	\$ 97	\$ 49
<b>SKILLED NURSING</b>								
2014-15 May Revision Estimate	1,244	1,270	17,361	637,090	\$ 68,040	\$ -	\$ 47,434	\$ 20,605
2014-15 November Estimate	1,244	1,270	17,188	613,896	\$ 65,481	\$ -	\$ 40,047	\$ 25,433
INC/(DEC)	-	-	173	23,194	\$ 2,559	\$ -	\$ 7,387	(\$4,828)
<b>SURGICAL CLINIC / ASC</b>								
2014-15 May Revision Estimate	713	4	592	33,829	\$ 3,593	\$ 895	\$ 1,791	\$ 907
2014-15 November Estimate	713	4	596	34,077	\$ 3,634	\$ 906	\$ 1,811	\$ 917
INC/(DEC)	-	-	(4)	(248)	(\$41)	(\$11)	(\$20)	(\$10)

See Appendix B for workload driver detail. Rounding differences may arise in totals.

**Appendix B: Workload Driver Comparison Analysis Detail is available on CD**

**Headquarters and Field Operations Administration Detail is available on CD**

**Field Operations Detail is available on CD**