



**California Department of Public Health**  
 Center for Health Care Quality  
 Licensing and Certification Program

**Field Operations**  
Long-Term Care Health Facility State Recertification Surveys

**Data as of June 30, 2016 (Cumulative through Quarter 4, SFY 2015-16 [Q3, FFY])**

**VOLUME, TIMELINESS, DISPOSITION**

Reporting Period	LTC Facility Type	A Number of Certified Facilities Subject to Recertification in the FFY	B Number of Facilities Surveyed During Reporting Period	C Percentage Completed During Reporting Period	D Deficiencies Issued from Surveys	
					E Cumulative Number	Average per Survey
<b>Current Federal Fiscal Year (FFY)</b>						
2015-2016, Cumulative through FFY Quarter 3	Intermediate Care Facility - Individuals with Intellectual Disabilities (ICF-IID)	1,134	1,062	94%	5,111	4.6
	Skilled Nursing Facility (SNF)	1,199	1,061	88%	10,366	9.4
	<b>All LTC Total</b>	<b>2,333</b>	<b>2,123</b>	<b>91%</b>	<b>15,477</b>	<b>6.9</b>
<b>Previous Federal Fiscal Years (FFY)</b>						
2014-2015	All LTC Total	2,355	2,056	87%	13,673	6.5
2013-2014	All LTC Total	2,370	2,288	97%	14,990	6.6
2012-2013	All LTC Total	2,369	2,368	100%	16,116	6.8
2011-2012	All LTC Total	2,367	2,367	100%	16,017	6.8

This table describes the volume, timeliness, and disposition of recertification surveys of long-term care (LTC) facilities. All certified LTC facilities are due for a recertification survey each 15.9 months (42 CFR §488.308.) The ICF-IID category above includes all certified ICF-Developmentally Disabled (ICF-DD), ICF-DD-Habilitative (H), ICF-DD-Nursing (N), and ICF-DD-Continuous Nursing (CN) facilities. "Licensed-only" facility types that are not subject to recertification, including congregate living health facilities, pediatric day health and respite care facilities, are not included in this report.

**Table Notes:**

- Column A shows the number of long-term care facilities that are certified by the State of California and subject to recertification, by facility type. This includes distinct part facilities (data source: CASPER Reports 0820S-ICFIID and 0801S-SNF, July 5, 2016).
- Column B shows the cumulative number of facilities surveyed from the beginning of the reporting period through the end of the FFY quarter being reported (data source: CASPER Reports 0820S-ICFIID and 0801S-SNF, July 5, 2016). The reporting period for federal recertification surveys is from June 1 of one year to September 30 of the following year. For reporting purposes, the FFY Q1 report will include facilities surveyed June 1 thru December 31 (7 months); the FFY Q2 report will count facilities surveyed June 1 - March 31 (10 months); the FFY Q3 report will count facilities surveyed June 1 - June 30 (13 months); and the FFY Q4 report will count facilities surveyed June 1 - September 30 (16 months). This is a preliminary number. Missing surveys may not yet have been uploaded to the federal QIES database.
- Column C shows the percentage of surveys due by close of the FFY that were completed by close of the reporting period.
- Column D shows the total number of deficiencies (violations of statutory or regulatory requirements) issued for surveys (data source: QIES Workbench, July 27, 2016). Licensing & Certification Program's Field Operations may identify one or more deficiencies in the course of a survey. This is a preliminary count.
- Column E shows the average number of deficiencies issued per survey. Some facilities may be surveyed more than once per reporting period.

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Long-Term Care Health Facility State Recertification Surveys  
by District Office

**Data as of June 30, 2016 (Cumulative through Quarter 4, SFY 2015-16 [Q3, FFY])**

**VOLUME, TIMELINESS, DISPOSITION**

District Office / Statewide	A	B	C	D		E
	Number of Certified Facilities Subject to Recertification in the FFY	Number of Facilities Surveyed During Reporting Period	Percentage Completed During Reporting Period	Deficiencies Issued from Surveys		
				Cumulative Number	Average per Survey	
<b>California</b>	<b>2,333</b>	<b>2,123</b>	<b>91%</b>	<b>15,477</b>	<b>6.9</b>	
Bakersfield	99	96	97%	1,212	11.3	
Chico	72	55	76%	589	10.3	
East Bay	166	154	93%	746	4.6	
Fresno	136	126	93%	835	6.5	
Los Angeles County	638	522	82%	4,373	8.4	
Orange County	195	185	95%	1,526	8.0	
Riverside	127	123	97%	947	7.4	
Sacramento	155	152	98%	746	4.5	
San Bernardino	160	160	100%	631	3.5	
San Diego North	47	43	91%	249	5.8	
San Diego South	139	134	96%	815	5.7	
San Francisco	90	76	84%	514	6.7	
San Jose	91	91	100%	769	7.3	
Santa Rosa/Redwood Coast	113	107	95%	826	7.3	
State Facilities Section	13	9	69%	89	8.9	
Ventura	92	90	98%	610	6.3	